# Automated Action Planning Introduction

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# Automated Action Planning

— Introduction

About the course

### What is planning?

Problem classes Dynamics Observability Objectives

### Transition systems Definition

## Representation

State variables Action Languages

### Towards Algorithms

### How to obtain a heuristic The STRIPS heuristic

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## Prerequisites

Course prerequisites:

- computational complexity theory: decision problems, reductions, NP-completeness
- foundations of AI: search, heuristic search
- propositional logic: syntax and semantics

See the complementary "background" set of slides.

### Outline

The course is on *computational* aspects of physical autonomous systems, and in particular on AI techniques developed for

• Goal-oriented planning of action.

Focus on generic, domain-independent techniques.

# Autonomous Systems

A sample of problems:

- Solving Rubik's cube (or 15-puzzle, or ...)
- Selecting and ordering movements of an elevator or a crane
- Scheduling of production lines
- Autonomous robots
- Crisis management

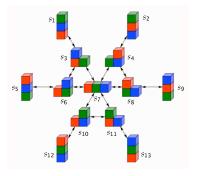
What is in common?

. . .

# Autonomous Systems

### What is in common?

- ► All these problems deal with action selection or control
- Some notion of problem state
- ▶ (Often) specification of initial state and/or goal state
- ► Legal moves or actions that transform states into other state



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# Action Selection in AI

Three approaches in AI (*in general?*) to the problems of action selection or control

- Programming: specify control by hand
- > Planning: specify problem by hand, derive control automatically
- Learning: learn control from experience

All three have strengths and weaknesses; approaches not exclusive and often complementary.

# **Planning Problems**

### For now focus on:

- Plans (aka solutions) are sequences of moves that transform the initial state into the goal state
- Intuitively, not all solutions are equally desirable

### What is our task?

- 1. Find out whether there is a solution
- 2. Find any solution
- 3. Find an optimal (or near-optimal) solution
- 4. Fixed amount of time, find best solution possible
- 5. Find solution that satisfy property  $\aleph$  (what is  $\aleph$ ? you choose!)
- While all these tasks sound related, they are very different. The techniques best suited for each one are almost disjoint.
- ► In Al planning, (1) is usually assumed not to be an issue. (In Automated Action Planning

# Planning vs. Scheduling

Closely related but conceptually different problems

## Scheduling

Deciding when to perform a given set of actions

- Time constraints
- Resource constraints
- Global constraints (e.g., regulatory issues)
- Objective functions

### Planning

Deciding what actions to perform (and when) to achieve a given objective

same issues

The difference comes in play in solution techniques, and actually even in worst-case time/space complexity

What is planning?

Three Key Ingredients of Planning ... and of AI approach to problems in general?

Planning is a form of general problem solving

 $Problem \Longrightarrow Language \Longrightarrow Planner \Longrightarrow Solution$ 

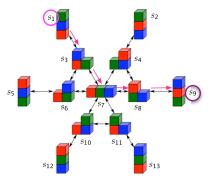
1. models for defining, classifying, and understanding problems

- what is a *planning problem*
- what is a *solution* (*plan*), and
- what is an optimal solution
- 2. languages for representing problems
- 3. algorithms for solving them

What is planning?

# Why planning is difficult?

- Solutions to planning problems are paths from an initial state to a goal state in the transition graph
- ▶ Dijkstra's algorithm solves this problem in O(|V| log (|V|) + |E|)
- Can we go home??
- Not exactly  $\Rightarrow |V|$  of our interest is  $10^{10}$ ,  $10^{20}$ ,  $10^{100}$ , ...
- But do we need such values of |V| ?!



# Beyond Classical Planning

### Adding into the model

- Uncertainty about initial state and action outcomes
- ▶ Infinite state spaces (resources, time, ...)
- Continuous state spaces (resources, time, ...)
- Complex models of solution, and solution optimality
- Interleaving planning and execution

### Side comment ...

. . .

- It is not that classical planning is easy
- It is not even clear that it is too far from modeling and/or solving real-world problems well!

# Different classes of problems

- dynamics: deterministic, nondeterministic or probabilistic
- observability: full, partial, or none
- horizon: finite or infinite

classical planning

...

- 2. conditional planning with full observability
- 3. conditional planning with partial observability
- 4. conformant planning
- Markov decision processes (MDP)
- 6. partially observable MDPs (POMDP)

# Properties of the world: dynamics

### Deterministic dynamics

Action + current state uniquely determine successor state.

### Nondeterministic dynamics

For each action and current state there may be several possible successor states.

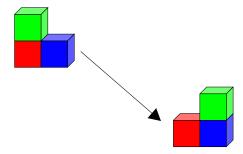
### Probabilistic dynamics

For each action and current state there is a probability distribution over possible successor states.

Analogy: deterministic versus nondeterministic automata

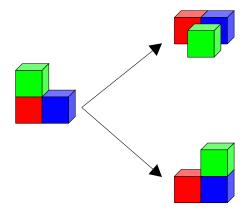
# Determistic dynamics example

Moving objects with a robotic hand: move the green block onto the blue block.



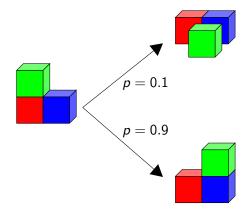
## Nondetermistic dynamics example

Moving objects with an unreliable robotic hand: move the green block onto the blue block.



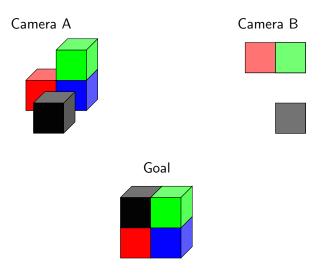
# Probabilistic dynamics example

Moving objects with an unreliable robotic hand: move the green block onto the blue block.



What is planning? Observability

# Properties of the world: observability



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# Properties of the world: observability

## Full observability

Observations/sensing determine current world state uniquely.

### Partial observability

Observations determine current world state only partially: we only know that current state is one of several possible ones.

### No observability

There are no observations to narrow down possible current states. However, can use knowledge of action dynamics to deduce which states we might be in.

Consequence: If observability is not full, must represent the knowledge an agent has.

# Different objectives

- 1. Reach a goal state.
  - Example: Earn 500 euro.
- 2. Stay in goal states indefinitely (infinite horizon).
  - Example: Never allow the bank account balance to be negative.
- 3. Maximize the probability of reaching a goal state.
  - Example: To be able to finance buying a house by 2018 study hard and save money.
- 4. Collect the maximal *expected* rewards/minimal expected costs (infinite horizon).
  - Example: Maximize your future income.

5. ...

## Relation to games and game theory

- Game theory addresses decision making in multi-agent setting: "Assuming that the other agents are rational, what do I have to do to achieve my goals?"
- Game theory is related to multi-agent planning.
- ► I will concentrate on single-agent planning.
- Some of the techniques are also applicable to special cases of multi-agent planning.

# Where classical planning stands?

- dynamics: deterministic, nondeterministic or probabilistic
- observability: full, partial or none
- horizon: finite or infinite

1. classical planning

...

- 2. conditional planning with full observability
- 3. conditional planning with partial observability
- 4. conformant planning
- 5. Markov decision processes (MDP)
- 6. partially observable MDPs (POMDP)

# Transition systems

Formalization of the dynamics of the world/application

## Definition (transition system)

A transition system is  $\langle S, I, \{a_1, \ldots, a_n\}, G \rangle$  where

- ► *S* is a finite set of states (the state space),
- $I \subseteq S$  is a finite set of initial states,
- every action  $a_i \subseteq S \times S$  is a binary relation on S,
- $G \subseteq S$  is a finite set of goal states.

### Definition (applicable action)

An action a is applicable in a state s if sas' for at least one state s'.

# Transition systems

Deterministic transition systems

A transition system is deterministic if there is only one initial state and all actions are deterministic. Hence all future states of the world are completely predictable.

Definition (deterministic transition system)

A deterministic transition system is  $\langle S, I, A, G \rangle$  where

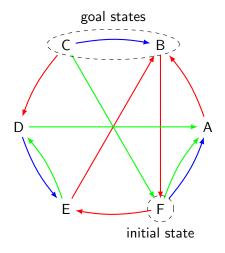
- S is a finite set of states (the state space),
- $I \in S$  is a state,
- ▶ actions  $a \in A$  (with  $a \subseteq S \times S$ ) are partial functions,
- $G \subseteq S$  is a finite set of goal states.

### Successor state wrt. an action

Given a state s and an action a so that a is applicable in s, the successor state of s with respect to a is s' such that sas', denoted by  $s' = app_a(s)$ .

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## Transition systems



# Deterministic planning: plans

### Definition (plan)

A plan for (S, I, A, G) is a sequence  $\pi = a_1, \ldots, a_n$  of action instances such that  $a_1, \ldots, a_n \in A$  and  $s_0, \ldots, s_n$  is a sequence of states (the execution of  $\pi$ ) so that

1. 
$$s_0 = I$$
,  
2.  $s_i = app_{a_i}(s_{i-1})$  for every  $i \in \{1, ..., n\}$ , and  
3.  $s_n \in G$ .

This can be equivalently expressed as

$$app_{a_n}(app_{a_{n-1}}(\dots app_{a_1}(I)\dots))\in G$$

Representation

Three Key Ingredients of Planning ... and of AI approach to problems in general?

Planning is a form of general problem solving

 $Problem \Longrightarrow Language \Longrightarrow Planner \Longrightarrow Solution$ 

1. models for defining, classifying, and understanding problems

- what is a *planning problem*
- what is a *solution* (*plan*), and
- what is an optimal solution
- 2. languages for representing problems
- 3. algorithms for solving them

# Succinct representation of transition systems

- More compact representation of actions than as relations is often
  - possible because of symmetries and other regularities,
  - unavoidable because the relations are too big.
- Represent different aspects of the world in terms of different state variables.
  - $\rightarrow$  A state is a valuation of state variables.
- Represent actions in terms of changes to the state variables.

### State variables

The state of the world is described in terms of a finite set of finite-valued state variables.

### Example $vhour: \{0, ..., 23\} = 13$ $vminute: \{0, ..., 59\} = 55$ $vlocation: \{51, 52, 82, 101, 102\} = 101$ $vweather: \{dsunny, dcloudy, drainy\} = dcloudy$ $vholiday: \{dT, dF\} = dF$

# Blocks world with state variables

State variables: vlocation-of-A: {*dB*, *dC*, *dtable*} vlocation-of-B:  $\{dA, dC, dtable\}$ vlocation-of-C: {*dA*, *dB*, *dtable*}

## Example

$$s(v \ location - of - A) = dtable$$
  
 $s(v \ location - of - B) = dA$   
 $s(v \ location - of - C) = dtable$ 

Not all valuations correspond to an intended blocks world state, e.g. s such that s(v location - of - A) = dB and s(v location - of - B) = dA.

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# Blocks world with Boolean state variables

### Example

$$s(vA - on - B) = 0$$
  

$$s(vA - on - C) = 0$$
  

$$s(vA - on - table) = 1$$
  

$$s(vB - on - A) = 1$$
  

$$s(vB - on - C) = 0$$
  

$$s(vB - on - table) = 0$$
  

$$s(vC - on - A) = 0$$
  

$$s(vC - on - B) = 0$$
  

$$s(vC - on - table) = 1$$



# The FDR Language

Also known as SAS

### A problem in FDR is a tuple $\langle V, A, I, G \rangle$

- ▶ V is a finite set of state variables with finite domains  $dom(v_i)$
- I is an initial state over V
- ▶ G is a partial assignment to V
- A is a finite set of actions a specified via pre(a) and eff(a), both being partial assignments to V

- An action a is applicable in a state s ∈ dom(V) iff s[v] = pre(a)[v] whenever pre(a)[v] is specified
- Applying an applicable action a changes the value of each variable v to eff(a)[v] if eff(a)[v] is specified.

Three Key Ingredients of Planning ... and of AI approach to problems in general?

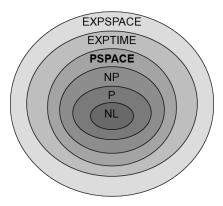
Planning is a form of general problem solving

 $\texttt{Problem} \Longrightarrow \texttt{Language} \Longrightarrow \texttt{Planner} \Longrightarrow \texttt{Solution}$ 

1. models for defining, classifying, and understanding problems

- 2. languages for representing problems
- 3. algorithms for solving them
  - NEXT: algorithms for classical planning where a significant progress has been recently achieved

# Planning Tasks and Worst-Case Complexity



non-deterministic + NO  $\rightsquigarrow$ EXPSPACE-complete non-deterministic + FO  $\rightsquigarrow$ EXPTIME-complete deterministic  $\rightsquigarrow$  PSPACE-complete bounded deterministic  $\rightsquigarrow$ NP-complete

No efficient algorithm  $\mapsto$ Search techniques + Language-specific "know-hows"

# Solving Problems Intelligently

Quote by a Famous Computer Scientist in a Famous Book "How, then, are we to construct an intelligent problem-solver?

It appears that the clue to intelligent behavior, whether of men or machines, is highly selective search, the drastic pruning of the tree of possibilities explored.

For a computer program to behave intelligently, it must search problem mazes in a highly selective way, exploring paths relatively fertile with solutions and ignoring paths relatively sterile."

- Alan Turing, in: Computers and Thought (1963)

Planning as Heuristic Search

Planning as Heuristic Search

general search algorithm (e.g. A\*, greedy best-first search)
+ heuristic function ("heuristic")

- heuristic: estimates goal distance from the current situation
- challenge: precise domain-independent heuristics
- optimal planning: admissible (optimistic) heuristics

## Where Do Heuristics Come From?

#### Of Heuristics and Academics

"Algorithms are conceived in analytic purity in the high citadels of academic research, heuristics are midwifed by expediency in the dark corners of the practitioner's lair."

- Fred Glover (1977)

#### It doesn't have to be this way!

In what comes next, we'll

- Develop a rigorous theory of heuristics for planning.
- In doing so, advance the practice of planning.

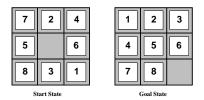
## Where heuristics come from?

General idea (Admissible) heuristic functions obtained as (optimal) cost functions of relaxed problems

#### Examples

- Euclidian distance in Path Finding
- Manhattan distance in N-puzzle
- Spanning Tree in Traveling Salesman Problem
- Shortest Path in Job Shop Scheduling

## Example 8-Puzzle



- A tile can move from square A to square B if A is adjacent to B and B is blank ~-> solution distance h\*
- ▶ A tile can move from square A to square B if A is adjacent to B  $\rightsquigarrow$  manhattan distance heuristic  $h^{MD}$
- ► A tile can move from square A to square B ~→ misplaced tiles heuristic h<sup>MT</sup>

Here:  $h^*(s_0) = ?$ ,  $h^{MD}(s_0) = 14$ ,  $h^{MT}(s_0) = 6$ In general,  $h^* \ge h^{MD} \ge h^{MT}$ . (Why?)

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## Are we solver?

#### General idea

(Admissible) heuristic functions obtained as (optimal) cost functions of relaxed problems

- OK, but heuristic is yet another input to our agent!
- Satisfactory for general solvers?
- Satisfactory in special purpose solvers?

#### Towards domain-independent agents

- How to get heuristics automatically?
- Can such automatically derived heuristics dominate the domain-specific heuristics crafted by hand?

## A simple heuristic for deterministic planning

STRIPS (Fikes & Nilsson, 1971) used the number of state variables that differ in current state s and a STRIPS goal  $G = \{g_1, \ldots, g_k\}$ :

```
h(s):=|G\setminus s|.
```

Intuition: more true goal literals  $\rightsquigarrow$  closer to the goal

```
with state of the state o
```

## Criticism of the STRIPS heuristic

What is wrong with the STRIPS heuristic?

quite uninformative:

the range of heuristic values in a given task is small; typically, most successors have the same estimate

- very sensitive to reformulation: can easily transform any planning task into an equivalent one where h(s) = 1 for all non-goal states (how?)
- ignores almost all problem structure: heuristic value does not depend on the set of actions!

 $\rightarrow$  need a better, principled way of coming up with heuristics

Heuristics Toolbox

#### Just 15 years ago

Nothing, but "STRIPS heuristic" (missing goals counting).

- HSP is considered natural yet hopeless approach to planning (cf. R&N, ed1).
- Surprising, given successes of HS in AI back then ...

## In (just) 15 years

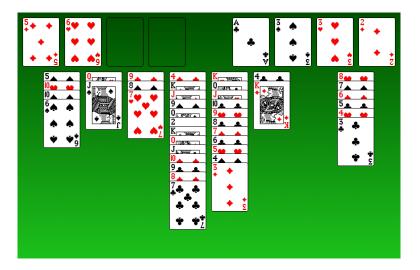
HSP is considered a leading approach to planning (cf. R&N, ed3).

## Heuristics for Planning

How do we come up with heuristics for general planning tasks? → four major approaches in the literature:

- abstraction
- delete relaxation
- critical paths
- Iandmarks

## Example: FreeCell



### image credits: GNOME Project (GNU General Public License)

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## Planning Heuristics: Abstraction

Four classes of heuristics:

#### 1. Abstraction

Estimate cost by projecting the state space to a smaller space (e.g., by applying a graph homomorphism).

### Example: Abstraction in FreeCell

One possible abstraction for FreeCell: project away all cards that are not 10s, Js, Qs or Ks.

## Abstraction Heuristics in the Literature

Abstraction heuristics in the literature:

- pattern databases (PDBs) (Edelkamp, 2001; Haslum, Helmert, Bonet, Botea & Koenig, 2007)
- symbolic PDBs (Edelkamp, 2002)
- constrained PDBs (Haslum, Bonet & Geffner, 2005)
- merge-and-shrink (Helmert, Haslum & Hoffmann, 2007; Nissim, Hoffmann & Helmert, 2011)
- implicit abstractions (Katz & Domshlak, 2008)

## Planning Heuristics: Delete Relaxation

Four classes of heuristics:

#### 2 Delete Relaxation

Estimate cost to goal by considering simpler planning task without negative side effects of actions.

### Example: Delete Relaxation in FreeCell

Problem constraints dropped by the delete relaxation in FreeCell:

- free cells and free tableau positions remain available after moving cards into them
- cards remain movable and remain valid targets for other cards after moving cards on top of them

## Delete Relaxation Heuristics in the Literature

Delete relaxation heuristics in the literature:

- maximum heuristic h<sub>max</sub> (Bonet & Geffner, 1999)
- additive heuristic h<sub>add</sub> (Bonet & Geffner, 1999)
- FF heuristic,  $h^+$  heuristic (Hoffmann & Nebel, 2001)
- pairwise max heuristic (Mirkis & Domshlak, 2007)
- set-additive heuristic (Keyder & Geffner, 2008)
- Steiner tree heuristic (Keyder & Geffner, 2009)

## Planning Heuristics: Critical Paths

Four classes of heuristics:

### 3. Critical Paths

Estimate cost as critical path length of a subgoal decomposition that ignores (or limits) dependencies between subgoals.

### Example: Critical Paths in FreeCell

Possible critical path for single subgoals  $(h^1)$ :

- Solving the FreeCell task requires four subgoals: have each of ◊K, ♡K, ♠K, ♣K at foundations
- ► Follow 3rd subgoal: getting ♠K to foundations requires first having ♠Q at foundations and having ♠K movable.
- Follow 2nd subsubgoal: having  $\blacklozenge K$  movable requires...

## Critical Path Heuristics in the Literature

Critical path heuristics in the literature:

- h<sup>(m)</sup> heuristic family (Haslum & Geffner, 2000)
- additive  $h^{(m)}$  (Haslum, Bonet & Geffner, 2005)
- additive-disjunctive heuristic graphs (Coles, Fox, Long & Smith, 2008)

## Planning Heuristics: Landmarks

Four classes of heuristics:

### 4. Landmarks

An action set A is a landmark if all plans include an action from A.

Compute a set of landmarks and use its cardinality (possibly modified by weights) as a cost estimate.

### Example: Landmarks in FreeCell

Landmarks in FreeCell:

- The set of actions that move the  $\heartsuit Q$  to foundations.
- The set of actions that move the \$7 away from the  $\diamondsuit8$ .

▶ ...

## Landmark Heuristics in the Literature

Landmark heuristics in the literature:

- LAMA heuristic (Richter, Helmert & Westphal, 2008)
- cost-partitioned landmarks (Karpas & Domshlak, 2009)
- conjunctive landmarks (Keyder, Richter & Helmert, 2010)

Obtaining heuristics STRIPS heuristic

# Lets Dive into Details!

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