Lecture Topic: Adiabatic Quantum Computing and Quantum Replacements of Optimization Algorithms

Beautifully:

Theorem

The model of adiabatic computation is polynomially equivalent to the standard model of quantum computation.

Main Result to show

Interestingly:

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The model of adiabatic computation with explicit sparse Hamiltonians is polynomially equivalent to the standard model of quantum computation.

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Motivation behind AQC

The study of adiabatic quantum computation (AQC) was initiated several years ago by Farhi, Goldstone, Gutmann and Sipser:

Novel quantum algorithm for solving classical optimization problems such as Satisfiability (SAT).

Their algorithm, that for what follows will abbreviated as **AQC** (abusing notation) and will explicitly describe later on, is based on a celebrated theorem in quantum mechanics known as the **adiabatic theorem**.

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The exact worst-case behavior of AQC is not known. On one the positive side, several simulations on random instances of up to 20 quantum bits led to various optimistic speculations.

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[...] On the question of whether [AQC] can be used to efficiently solve NP-complete problems on a quantum computer [...] the usual query complexity arguments cannot be used to rule out a polynomial time solution.

On the other hand, we argue that the adiabatic approach may be thought of as a kind of "quantum local search".

Let us briefly introduce ACQ:A computation in this model is specified by two Hamiltonians named H_{init} and H_{final} .

The ground state of H_{init} is required to be an easy to prepare state (it can be done efficiently) and serves as the input of the computation.

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The running time of the adiabatic computation is determined by the minimal spectral gap^2 of all the path connected Hamiltonians along the curve:

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The space of Hamiltonians



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Concretely for any $s \in [0, 1]$ we have and infinite family of path parametrized Hamiltonians:

$$H(s) = (1 - s)H_{\text{init}} + sH_{\text{final}}$$
(0.1)

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If this is done slowly we say we perform adiabatic computation and it is polynomial time if the corresponding minimal spectral gap is at least inverse polynomial. Concretely for any $s \in [0, 1]$ we have and infinite family of path parametrized Hamiltonians:

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Let us provide some motivation:

• Recall that H corresponds to the energy of the quantum system.

- To be physically realistic and implementable it must be local.
- Ground state of *H* is the state of lowest energy.
- We can set up a quantum system in the ground state of H_{init} (which is supposed to be easy to generate) and apply the Hamiltonian H_{init} to the system. We then slowly modify the Hamiltonian along the path from H_{init} towards $H_{\text{final.}}$.

From the **adiabatic theorem** it follows that if this transformation is performed slowly enough (determined by the minimal spectral gap), the final state of the system will be in the ground state of H_{final} , as required.

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To refer to the **adiabatic model** as a **computational model** that computes classical functions, we consider the result of the adiabatic computation to be the outcome of a measurement of one or more of the qubits, performed on the final ground state.

So, AQC is performed on qubits similar to the ones of the gate-based computers.

Note: adiabatic computation can be efficiently simulated by gate-based quantum computers .

Therefore, its computational power is not greater than that of gate-based computers.

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Being diagonal implies that the ground state of H_{final} is a classical state, (a state in the computational basis).

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n-qubit systems

An *n*-qubit is described by a state in Hilbert space of dimension 2^n , the tensor product of 2-dimensional Hilbert spaces $\mathcal{H} = \mathbb{C}$, that is:

$$|\psi\rangle \in \mathbb{C}^{\otimes n}.\tag{0.2}$$

In terms of the individual qubits:

$$|\psi\rangle = |i_1\rangle \otimes \dots |i_n\rangle = |i_1 \dots i_n\rangle, \qquad (0.3)$$

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Evolution

The state of n qubits evolves in discrete time steps by unitary operations.

Of course, the underlying physical description of this evolution is continuous, and is governed by Schrödinger's equation:

$$a \frac{\mathrm{d}}{\mathrm{d}t} |\psi(t)\rangle = H |\psi(t)\rangle$$
 (0.4)

where H is the system's Hamiltonian and $|\psi(t)\rangle$ is the state of the n qubits at time t.

We have already seen that:

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Given that the state of the system at time t = 0 is equal to $|\psi(0)\rangle$, one can in principle solve Schrödinger's equation with this initial condition, to get $|\psi(T)\rangle$, the state of the system at a later (terminal) time t = T.

Recall: eigenvalues of Hamiltonians as (eigen)energies.

The ground energy of a Hamiltonian is its lowest eigenvalue and the corresponding eigenvector(s) are called ground state(s).

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One cannot efficiently apply any arbitrary Hamiltonian on a *n*-qubit system (just describing it requires roughly 2^{2n} space).

Restrict to k-local Hamiltonians.

A Hamiltonian *H* is *k*-local if $H = \sum_A H^A$ where *A* runs over all subsets of *k* qubits.

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The cornerstone of the adiabatic model of computation is the celebrated **adiabatic theorem**.

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We let the system evolve according to the Hamiltonian H(s), where s := t/T, from t = 0 to the terminal time t = T.

As said before, the adiabatic theorem affirms that for large enough T the final state of the system is very close to the ground state of H(1).

How large T should be for this to happen is determined by the spectral gap of the Hamiltonians $\Delta(H(s))$.

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It is crucial that the spectral gap does not change sign.



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Consider a spin particle (e.g. an electron) in a magnetic field B which rotates from the x direction to the z direction in a total time T. The dynamics of the particle are described by the Hamiltonian:

$$H(t) = -\cos\left(\frac{\pi t}{2T}\right)\sigma_x - \sin\left(\frac{\pi t}{2T}\right)\sigma_z.$$
 (0.6)

Physical intuition

Assume: at t = 0 particle points in the x direction: $|\psi(0)\rangle = (|0\rangle + |1\rangle)/\sqrt{2}$, the ground state of H(0). As the magnetic field is slowly rotated toward the z direction the particle's spin begins to precess about the new direction of the field, moving it toward the z axis.



Note that this produces a small wiggling component out of the xz-plane, $\frac{1}{2}$ and

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Adiabaticity: allow T to be larger and larger, so that the rotation of the field direction happens slower and slower.

At large T: state will precess in a tighter and tighter orbit about the field direction (aligning completely with the geodesic).

In the limit of arbitrarily slow rotation of the field, the state simply tracks the field, remaining in the instantaneous ground state of H(t).

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Full statement

Generally: let H(s) be a Hermitian operator that varies smoothly as a function of s := t/T.

For T large, H(t) varies very slowly as a function of t.

An initial quantum state $|\psi(0)\rangle$ evolves according to the Schrödinger equation (0.4), or, equivalently:

$$i \frac{\mathrm{d}}{\mathrm{d}s} |\psi(s)\rangle = TH |\psi(s)\rangle.$$
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Now suppose that $|\psi(0)\rangle$ is an eigenstate of H(0), which we assume for simplicity is the ground state, and is nondegenerate.

Furthermore, suppose that the ground state of H(s) is nondegenerate for all s.

Theorem (Adiabatic Theorem)

Given the above, in the limit $T \to \infty$, $|\psi(T)\rangle$ will be the ground state of H(1).

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Proof of the Adiabatic Theorem

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A more formal version

Theorem (Adiabatic Theorem (Proper))

Let H_{init} and H_{final} be two Hamiltonians acting on a quantum system and consider the time-dependent Hamiltonian $H(s) := (1 - s) H_{init} + sH_{final.}$. Assume that for all s, H(s) has a unique ground state. Then for any fixed $\delta > 0$, if

$$T \ge \Omega\left(\frac{\|H_{final} - H_{init}\|^{1+\delta}}{\epsilon^{\delta} \min_{s \in [0,1]} \left\{\Delta^{2+\delta}(H(s))\right\}}\right)$$
(1.1)

then the final state of an adiabatic evolution according to H for time T (with an appropriate setting of global phase) is ϵ -close in ℓ_2 -norm to the ground state of H_{final} .

The matrix norm is the spectral norm $\|H\| := \max_w \|Hw\|/\|w\|$.

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The AQC model: proper

The adiabatic circuit is determined by H_{init} and H_{final} and the output of the computation is (close to) the ground state of H_{final} .

Definition

A k-local AQC $(n, d, H_{init}, H_{final}, \epsilon)$ is specified by two k-local Hamiltonians, H_{init} and H_{final} acting on n d-dimensional particles, such that both Hamiltonians have unique ground states.

The ground state of $H_{\rm init}$ is a tensor product state. The output is a state that is ϵ -close in ℓ_2 -norm to the ground state of $H_{\rm final}$.

Let T be the smallest time such that the final state of an adiabatic evolution according to $H(s) := (1 - s)H_{init} + sH_{final}$ for time T is ϵ -close in ℓ_2 -norm to the ground state of H_{final} . The running time of the adiabatic algorithm is defined to be $T \cdot \max_s ||H(s)||$.

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Main theorem can be proved by simulating a quantum circuit with L (two-qubit) gates on n qubits by an adiabatic computation on n + L qubits.

Note that the opposite direction can also be shown.

We will show this by considering 5-qubit interactions.

However, it is possible to reduce it to three. (Note that the practical implementation of 5-qubit interactions is still not easy.)

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Gates to AQC: Theorem

Theorem

Given a quantum circuit on n qubits with L two-qubit gates implementing a unitary U and $\epsilon > 0$, there exists a 5-local adiabatic computation $(n + 2, 2, H_{\rm init}, H_{\rm final}, \epsilon)$ whose running time is $\mathrm{poly}(L, 1/\epsilon)$ and whose output is ϵ -close to $U|0\rangle^n = U|0\rangle^{\otimes n}$. Additionally, $H_{\rm init}$ and $H_{\rm final}$ can be computed by a polynomial time Turing machine.

The Hamiltonian we need is defined in the book of Kitaev (ref in the notes). We begin by defining a state

$$|\gamma_{\ell}\rangle := |\alpha(\ell)\rangle \otimes |1^{\ell} 0^{L-\ell}\rangle^{c}.$$
(1.2)

Here $|\alpha(\ell)\rangle$ denotes the state of the circuit after the application of the ℓ -th gate (and the superscript *c* denotes the "clock qubits" required for the proof of the theorem).

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We now define the Hamiltonian H_{init} with ground state $|\gamma_0\rangle = |0^n\rangle \otimes |0^L\rangle^c$, and the local Hamiltonian H_{final} with ground state $|\eta\rangle = \frac{1}{\sqrt{L+1}} \sum_{\ell=0}^{L} |\gamma_\ell\rangle$.

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The Hilbert Space



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The Hilbert Space



Typo: $n \rightarrow 2n$.

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It turns out that the way to do it is:

$$H_{\text{init}} := H_{\text{clock init}} + H_{\text{input}} + H_{\text{clock}}$$
$$H_{\text{final}} := \frac{1}{2} \sum_{\ell=1}^{L} H_{\ell} + H_{\text{input}} + H_{\text{clock}}$$
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The terms in the two Hamiltonians are defined such that the only state whose energy is 0 is the desired ground state.

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The adiabatic evolution then follows the time-dependent Hamiltonian

$$H(s) = (1-s)H_{\text{init}} + sH_{\text{final}}$$
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The Hamiltonians Explained: H_{clock}

First, H_{clock} checks that the clock's state is of the form $|1^{\ell}0^{L-\ell}\rangle^{c}$ for some $0 \leq \ell \leq L$ (thus "clock").

To do this we give a penalty to any state (of the clock register) that contain a sequence 01, that is:

$$H_{\rm clock} := \sum_{\ell=1}^{L-1} |01\rangle \langle 01|_{\ell,\ell+1}^{c}.$$
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The Hamiltonians Explained: H_{init}

 H_{input} checks that if the clock is at $|0\rangle^{\otimes L}$ (we ommited the *c*-clock index here, clearly referring to $\mathcal{H}_{\text{clock}}$) then the computation qubits must be in the state $|0\rangle^{\otimes n}$. This is given by:

$$H_{\text{init}} := \sum_{i=1}^{n} |1\rangle \langle 1| \otimes |0\rangle \langle 0|.$$
(1.6)

The Hamiltonians Explained: $H_{\text{clock init}}$ and J_{ℓ}

The goal of $H_{\text{clock init}}$ is to check that the clock's state is $|0\rangle^{\otimes L}$:

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Finally, we have the term

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Summary

 $H_{\text{clock init}}$ and H_{clock} : These terms are related to the clock qubits. $H_{\text{clock init}}$ sets the initial state of the clock qubits and ensures that the computation starts with all clock qubits in the state $|1\rangle^{c}$. H_{clock} penalizes out-of-order transitions and enforces a step-by-step progression through the circuit.

 H_{input} : This term sets the initial state of the quantum circuit. It essentially encodes the input data of the problem you want to solve.

 $\frac{1}{2}\sum_{\ell=1}^{L}H_{\ell}$: This term is present only in the final Hamiltonian, H_{final} . It represents the quantum gates in the circuit. The factor $\frac{1}{2}$ ensures that the spectrum of the Hamiltonian is non-negative, which is a requirement for the adiabatic theorem to hold.

Spectral gap inverse in L

We have now seen what are the Hamiltonians needed to transform a gate-based problem to an AQC.

We need to understand the **spectral gap** now.

Recall the state given by Eq. (1.2):

 $|\gamma_{\ell}\rangle \coloneqq |\alpha(\ell)\rangle \otimes |1^{\ell}0^{L-\ell}\rangle^{c}.$

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Spectral gap inverse in L: s > 1/3Let S_0 a subspace of $\mathbb{C}^n \otimes \mathbb{C}^L$ spanned by

$$\{|\gamma_0\rangle,\ldots,|\gamma_1\rangle\}\tag{1.9}$$

which are equivariant states (w.r.t. the action of Hamiltonians on S). In other words, we have some form of symmetry.

Theorem

The spectral gap of the restriction of H(s) to S_0 satisfies:

$$\Delta(H_{S_0}(s)) = \Omega(L^{-2}), \tag{1.10}$$

for all $s \in [0, 1]$.

Interestingly, the proof uses a **continuous-time quantum walk**.

Aharonov et. al.: "[...] From this it follows that the running time of the adiabatic computation is polynomial".

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The proof is technical but not very hard.

The important thing is to understand the need for the Hamiltonians $H_{\rm init}$ and $H_{\rm final}$ in Eq. (1.3).

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The Equivalence Statement

Given a quantum circuit on *n* qubits with *L* gates, the quantum adiabatic algorithm with H_{init} and H_{final} as defined in the previous slides, with $T = \mathcal{O}(\epsilon^{-\delta}L^{4+2\delta})$, for fixed $\delta > 0$, outputs a final state $|\eta\rangle$ that is within ℓ_2 distance ϵ of the history state of the circuit. The running time of the AQC algorithm is $\mathcal{O}(TL)$.

Already from 2000 it was known that gate-based algorithms can be encoded as AQC.

With the proof of the main theorem the universality of AQC is also proven.

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Quantum Annealing



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We have discussed that the solution of computational problem can be encoded into the ground state of a time-dependent quantum Hamiltonian H(s) which evolves following the paradigm of AQC.

Quantum annealing (QA) is a framework to solve computational problems by quantum evolution towards the ground states of final Hamiltonians that encode classical (optimization) problems.

We have discussed that the solution of computational problem can be encoded into the ground state of a time-dependent quantum Hamiltonian H(s) which evolves following the paradigm of AQC.

Quantum annealing (QA) is a framework to solve computational problems by quantum evolution towards the ground states of final Hamiltonians that encode classical (optimization) problems.

Quantum Annealers are Real



This is the D-Wave 2000Q system. It performs quantum annealing using superconducting qubits that live in the very end of a dilution refridgerator cooled at approximately -273.5 degrees Celcius.

QA therefore, moves between the idealized assumptions of universal AQC and the unavoidable experimental compromises that happen in a lab.

Compromise in QA: only design of stoquastic quantum annealers.

Definition (Stoquastic Hamiltonian)

A Hamiltonian H is called stoquastic, with respect to a basis B, if and only if H has real nonpositive off-diagonal matrix elements in the basis B.

For example, a Hamiltonian is stoquastic if and only

$$\langle i|H|j\rangle \leq 0, \quad \forall i,j \in \{0,1\}^n, \quad i \neq j.$$
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This means the ground state of H can be expressed as a classical probability distribution.

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AQC with Stoquastic Hamiltonians

Definition

Stoquastic adiabatic quantum computation (StoqAQC) is the special case of AQC restricted to *k*-local stoquastic Hamiltonians.

Essentially, Quantum Annealing (QA) refers to StoqAQC when considered in (realistic) open quantum systems.

The computational power of stoquastic Hamiltonians has been carefully studied, and is suspected to be limited..

It is quite unlikely that ground-state StoqAQC is universal.

Quantum Annealing

QA follows the same idea of AQC. We still have the same tools:

- \bullet An initial, easy-to-prepare state and a Hamiltonian $H_{\rm init},$
- \bullet A problem of interest whose solution is encoded into the ground state of a Hamiltonian $H_{\rm final},$
- Adiabatic evolution using Eq. (0.1):

$$H(s) = (1 - s)H_{\text{init}} + sH_{\text{final}}$$
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Exponential Speedups with QA

It turns out that QA can be used to obtain exponential speedups!

Somma, Nagaj, and Kieferovaá showed that similarly to the case of quantum walks, utilizing QA on the **glued-trees problem** one obtains an exponential speedup.

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Somma, Nagaj, and Kieferovaá showed that similarly to the case of quantum walks, utilizing QA on the **glued-trees problem** one obtains an exponential speedup.

In this problem we are given an oracle O_A that concists of the adjacency matrix A of two binary trees that are randomly glued. There are $\mathcal{O}(2^n)$ vertices named with randomly chosen 2n-strings.

The oracle O_A outputs the names of the adjacent vertices on any given input vertex name.

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There are two special vertices:

- ENTRANCE
- EXIT

which are the roots of the binary trees. They can be identified because they are the only vertices of degree two in the graph.

Glued-Trees Problem: Given an oracle O_A for the graph and the name x of the ENTRANCE, find the name y of the EXIT.

An efficient method based on quantum walks can solve this problem with constant probability, while no classical algorithm that uses less than a subexponential (in n) number of oracles exists.

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Break

Questions?

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If the problem is to maximize the cost function f, it suffices to minimize -f. Additional constraints can be imposed on the objective function:

$$\min_{\substack{x,y \\ x,y}} f(x,y)$$
(2.3)
s.t. $g(x) \ge 0$ (2.4)
 $x \in \mathbb{R}^m, y \in \mathbb{Z}^n$ (2.5)

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Potential solution: QA

Consider the *k*-th eigenstate state of the Hamiltonian:

$$H(s)|k\rangle = \lambda_k(s)|k\rangle$$
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with $|0(0)\rangle$ being the ground state of $H_{\rm init}$ and generically $|0(s)\rangle$ the ground state of H(s).

If $|0(s)\rangle$ is non-degenerate and if initial ground state is $|0(0)\rangle$ then the final state vector, at large T, take the form:

$$|\psi(s)\rangle = \sum_{\kappa} c_{\kappa}(s) e^{-iT\phi_{\kappa}(s)} |\kappa(s)\rangle$$
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It turns out:

$$c_0(s) \approx 1 + \mathcal{O}(T^{-2}),$$
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The adiabaticity condition becomes:

$$\frac{1}{\Delta_{\kappa}(t)^{2}} \left| \left\langle \kappa(t) \left| \frac{\mathrm{d}H(t)}{\mathrm{d}t} \right| \mathbf{0}(t) \right\rangle \right| = \delta \ll 1.$$
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Suppose that the optimization (2.3) problem we wish to solve can be represented as the ground-state search of an Ising model of general form

$$H_{\text{Ising}} \equiv -\sum_{i=1}^{N} J_i \sigma_i^z - \sum_{i,j=1}^{N} J_{ij} \sigma_i^z \sigma_j^z + \mathcal{O}(\sigma^3).$$
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Here, $\sigma_i^{\alpha}(\alpha = x, y, z)$ are the Pauli matrices.

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$$\cdots \cdots \gamma (j-1) \prod_{J_{j-1,j}} j \prod_{J_{j,j+1}} (j+1) \gamma \cdots \cdots$$

Eigenvalues of σ_i^z is +1 or -1, which corresponds the classical Ising spin chain.

Most combinatorial optimization problems can be written in this form by mapping binary variables $\{0,1\}$ to spin variables $\{\pm 1\}$.

An important assumption is that the Hamiltonian (2.11) is proportional to the number of spins N for large N.

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An important assumption is that the Hamiltonian (2.11) is proportional to the number of spins N for large N.

To realize QA, a (kinetic) energy term is introduced typically by the so-called time-dependent transverse field:

$$H_{\rm TF}(t) \equiv -\Gamma(t) \sum_{i=1}^{N} \sigma_i^{\rm x}$$
 (2.12)

which results in a variety of possible quantum mechanical effects to the chain: spin flips, quantum fluctuations or quantum tunneling, between the two states $\sigma_{f}^{z} = 1$ and $\sigma_{f}^{z} = -1$.

Essentially this allows a quantum search of the phase space of the system.

Initially the strength of the transverse field $\Gamma(t)$ is chosen to be very large, and the total Hamiltonian

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The coefficient $\Gamma(t)$ is then gradually and monotonically decreased toward 0, leaving eventually only the potential term H_{lsing} .

Accordingly the state vector $|\psi(t)\rangle$, which follows the real-time Schrödinger equation, is expected to evolve from the trivial initial ground state of the transverse-field (2.13) to the non-trivial ground state of (2.11), which is the solution of the optimization problem.

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The following Theorem provides a solution to this problem as a sufficient condition.

Theorem

The adiabaticity (2.10) for the transverse-field Ising model (2.11) yields the time dependence of $\Gamma(t)$ as

$$\Gamma(t) = a(\delta t + c)^{-1/(2N-1)}$$
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for $t > t_0$ (for given $t_0 > 0$) as a sufficient condition of convergence of QA. Here a, c are small constants O(1) and δ is a small parameter that controls adiabaticity.

Point is: The power decay above satisfies the adiabaticity condition (2.10) which guarantees convergence to the ground state of H_{Ising} as $t \to \infty$.

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QA in Practice: Optimization

In practical situations QA is used as heuristic optimization method.

Due to hardware constructions, at the moment only Quadratic Binary Optimization (QUBO) problems can be implemented.

A QUBO problem reads

$$\min_{\in \{0,1\}^N} Q(x) \tag{2.15}$$

where the objective function Q is defined as:

$$Q(x) := \sum_{i,j=1}^{N} Q_{ij} x_i x_j + \sum_{i=1}^{N} c_i x_i.$$
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The problem to be optimized is then fully specified by Q_{ij} and c_i .

A broad class of paradigmatic optimization problems from Vertex Cover to the Traveling Salesperson problem have been mapped to QUBO form.

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If the problem of interest has a cost function of high-order interactions, than the quadratic, one has to encode this information in ancilla qubits.

For example, assume a problem encoding involves the 3-local expression

 $xyz, x, y, z \in \mathbb{R}.$

This has to be mapped to the expression

XW,

where w := yz and impose the additional constraint

3w + yz - 2yw - 2zw.

Only solution (zero penalization) is w = yz.

$k \geq 3$

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Example: Knapsack Problem

We are given a set of weights $w \in \mathbb{Z}_{\geq 0}^n$ and their corresponding values $v \in \mathbb{Z}_{\geq 0}^n$, and the objective is to maximize the total value of the items that can be packed into a knapsack subject to a given weight limit W.

$$\max \sum_{i=1}^{n} v_i x_i,$$
s.t.
$$\sum_{i=1}^{n} w_i x_i \le W,$$
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where *W* is the maximum weight limit (threshold) of the knapsack and *x_i* is the binary variable representing whether the *i*-th item is to be placed in the knapsack.

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MILP to QUBO

In converting MILPs to QUBOs we introduce a slack variable S for each linear inequality and transform it into an equivalent linear equality. We add to the objective a penalty term:

$$\lambda_0 \left(\sum_{i=1}^n w_i x_i - W + S \right)^2 \tag{2.18}$$

where the purpose of the auxiliary slack variable S is to reduce this term to 0 once the constraint has been satisfied, $0 \le S \le \max_x \sum_i^n w_i x_i - W$.

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The QUBO formulation

the Knapsack problem can be formulated then as:

$$\max \sum_{i}^{n} v_i x_i - \lambda_0 \left(\sum_{i}^{n} w_i x_i - W + \sum_{k=1}^{N} 2^{k-1} s_k \right)^2,$$

(2.19)

Maping to the Ising model

min
$$-\left(\sum_{i=1}^{n}\sum_{j=1}^{n}J_{ij}s_{i}s_{j}+\sum_{i=1}^{n}h_{i}s_{i}+c\right)$$
 (2.20)

where

$$J_{ij} = \lambda_0 2^{k-1} w_i \delta_{ij}, \qquad (2.21)$$

$$h_i = \frac{v_i}{2} - \lambda_0 w_i W, \qquad (2.22)$$

$$c = \sum_{i=1}^n \frac{v_i}{2} + \lambda_0 \left(\sum_{i=1}^n \frac{w_i^2}{4} + \sum_{k=1}^N 2^{2k-2} \right). \qquad (2.23)$$

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QA Fails?

Adiabatic Quantum Optimization Fails to Solve the Knapsack Problem

Lauren Pusey-Nazzaro Department of Physics Washington University St. Louis, MO lauren.p@wustl.edu Prasanna Date Computer Science and Mathematics Oak Ridge National Laboratory Oak Ridge, TN datepa@ornl.gov

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QA Succeeds?

Article | Published: 19 April 2023

Quantum critical dynamics in a 5,000-qubit programmable spin glass

Andrew D. King \supseteq , Jack Raymond, Trevor Lanting, Richard Harris, Alex Zucca, Fabio Altomare, Andrew J. Berkley, Kelly Boothby, Sara Ejtemaee, Colin Enderud, Emile Hoskinson, Shuiyuan Huang, Eric Ladizinsky, Allison J. R. MacDonald, Gaelen Marsden, Reza Molavi, Travis Oh, Gabriel Poulin-Lamarre, Mauricio Reis, Chris Rich, Yuki Sato, Nicholas Tsai, Mark Volkmann, Jed D. Whittaker, ... Mohammad H. Amin \supseteq + Show authors

Break

Questions?

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VQAs: PQCs

Variational Quantum Algorithms (VQAs) provide a general framework that can be used to solve a variety of problems.

For that we first need the idea of a parametrized quantum circuit.

Definition

A parametrized quantum circuit (PQC) is a continuous function $U : \mathbb{R}^L \to U(N)$ mapping any real parameter vector $\vartheta \in \mathbb{R}^L$ to a unitary $U(\vartheta)$.

In practice such a quantum circuit is a sequence of universal quantum gates' compositions and/or tensor products.

Consider, for a moment, the following optimization problem (and keep it in mind):

$$\min_{x \in \{0,1\}^n} f(x).$$
(2.24)

A VQA is, essentially, a (quantum) continuous relaxation of this problem.
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PQC example

$$\begin{array}{c} |0\rangle & - R_Y(\theta_1) \\ |0\rangle & - R_Y(\theta_2) \\ |0\rangle & - R_Y(\theta_3) \\ \end{array}$$

Aspman/Korpas/Mareček (CTU)

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PQC example

$$\begin{aligned} U(\theta) &= R_Y(\theta_1) \otimes R_Y(\theta_2) \otimes R_Y(\theta_3) \\ &= \left(\begin{array}{cccc} \cos\frac{\theta_1}{2} & -\sin\frac{\theta_1}{2} \\ \sin\frac{\theta_1}{2} & \cos\frac{\theta_1}{2} \end{array} \right) \otimes \left(\begin{array}{cccc} \cos\frac{\theta_2}{2} & -\sin\frac{\theta_2}{2} \\ \sin\frac{\theta_2}{2} & \cos\frac{\theta_2}{2} \end{array} \right) \otimes \left(\begin{array}{cccc} \cos\frac{\theta_2}{2} & -\sin\frac{\theta_2}{2} \\ \sin\frac{\theta_3}{2} & \cos\frac{\theta_3}{2} \end{array} \right) \\ &= \left(\begin{array}{cccc} c_1c_2c_3 & -c_1c_2s_3 & -c_1s_2c_3 & c_1s_2s_3 & -s_1c_2c_3 & s_1c_2s_3 & s_1s_2c_3 \\ c_1c_2s_3 & c_1c_2c_3 & -c_1s_2s_3 & -c_1s_2c_3 & -s_1c_2s_3 & -s_1c_2c_3 & s_1s_2s_3 \\ c_1s_2c_3 & -c_1s_2s_3 & c_1c_2c_3 & -c_1c_2s_3 & -s_1s_2c_3 & s_1s_2s_3 & -s_1c_2c_3 & s_1s_2s_3 \\ c_1s_2s_3 & c_1s_2c_3 & c_1c_2s_3 & c_1c_2c_3 & -s_1s_2c_3 & s_1s_2s_3 & -s_1c_2c_3 & s_1c_2s_3 \\ c_1s_2s_3 & c_1s_2c_3 & -s_1s_2c_3 & s_1s_2s_3 & c_1c_2c_3 & -s_1s_2c_3 & -s_1s_2c_3 & -s_1c_2c_3 \\ s_1c_2s_3 & s_1c_2c_3 & -s_1s_2s_3 & -s_1s_2c_3 & c_1c_2s_3 & -c_1s_2s_3 & -s_1s_2c_3 \\ s_1s_2s_3 & s_1c_2c_3 & -s_1s_2s_3 & -s_1s_2c_3 & c_1c_2s_3 & -c_1s_2s_3 & -c_1s_2c_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2c_3 & -s_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2c_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2c_3 & -s_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2c_3 & -s_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2c_3 & c_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2c_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2s_3 & c_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2s_3 & c_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2s_3 & c_1s_2s_3 & c_1s_2c_3 & -c_1s_2s_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2s_3 & c_1s_2s_3 & c_1s_2c_3 & c_1s_2c_3 & -c_1s_2s_3 & c_1c_2c_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2s_3 & c_1s_2s_3 & c_1s_2c_3 & c_1s_2c_3 & c_1s_2s_3 & c_1c_2c_3 & -c_1s_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2c_3 & c_1s_2s_3 & c_1s_2c_3 & c_1s_2c_3 & c_1c_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c_2s_3 & s_1c_2c_3 & c_1s_2s_3 & c_1s_2c_3 & c_1c_2s_3 \\ s_1s_2s_3 & s_1s_2c_3 & s_1c$$

for $\theta = (\theta_1, \theta_2, \theta_3) \in \mathbb{R}^3$, where $c_i = \cos \frac{\theta_i}{2}$ and $s_i = \sin \frac{\theta_i}{2}$ for i = 1, 2, 3.

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Generically

The quantum part of a VQA has the following form:



More precisely, we can explicitly include the observable we want to measure:



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VQAs: The Quantum Part Given a PQC with $\vartheta \in \mathbb{R}^{L}$ we can define a cost function

$$B(\vartheta) = f\Big(\{|\Psi\rangle_0\}, \{B_k\}, U(\vartheta)\Big).$$
(2.25)

It involves (some) obsevable quantity: operators $\{O_k\}$ given input states $\{|\Psi\rangle_0\}$ and the PQC $U(\vartheta)$.

Let $\rho_{in} := |\Psi\rangle_0 \langle \Psi|_0$ (assume norm 1). A common choice is (using Born's rule) to define the "observable" function

$$B(\vartheta) = \sum_{k \in I} \operatorname{Tr} \Big(B_k U(\vartheta) \rho_{\mathrm{in}} U^{\dagger}(\vartheta) \Big), \qquad (2.26)$$

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Construct an empirical estimate of $\langle B \rangle_{\vartheta}$ of the observable:

$$\langle B \rangle_{\vartheta} \coloneqq \langle \Psi(\vartheta) | B | \Psi(\vartheta) \rangle,$$
 (2.28)

where $|\Psi(\vartheta)\rangle := U(\vartheta)|\Psi_0\rangle$.

The empirical estimate we measure is: $\mathbb{E}[B_{\vartheta}]$. This is constructed by measuring the same circuit repeatedly. Out of this we construct a cost function we would like to minimize:

$$\vartheta^* \coloneqq \arg\min_{\vartheta} \|\mathbb{E}[B_{\vartheta}] - \langle B \rangle_{\vartheta} \|_{\ell}^{\rho}$$
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VQAs: After the measurement what?



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VQA: The Classical Part

During the optimization, one uses a finite statistic estimator of the cost or its gradients.

Essentially we are "training" the VQA by learning the parameters ϑ .

It is known that for many optimization tasks using information in the cost function **gradient** can help in speeding up and guaranteeing the convergence of the optimizer.

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Consider a cost function as in Eq. (2.27):

$$B(\vartheta) = \operatorname{Tr}\Big(BU(\vartheta)\rho_{\mathrm{in}}U^{\dagger}(\vartheta)\Big), \qquad (2.30)$$

 $(f_k = \text{Id}, k = 1)$. Furthermore, let the unitaries read:

$$U(\vartheta_j) = e^{i\vartheta_j \sigma_j^a}.$$
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Then:

$$\frac{\partial B(\vartheta)}{\partial \vartheta_{i}} \sim \frac{1}{\sin \alpha} (\operatorname{Tr}(BU^{\dagger}(\vartheta_{+})\rho U(\vartheta_{+})) - \operatorname{Tr}(BU^{\dagger}(\vartheta_{-})\rho U(\vartheta_{-}))$$
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It's hard to train VQAs

ITXIV > quant-ph > arXiv:2101.07267	Search Help Advance	All fields 🗸 Searc
Quantum Physics		Download:
(Submitted on 18 Jan 2021 (v1), last revised 14 Apr 2022 (this version, v2)) Training variational quantum algorithms is		DOWNIOAD: PDF Other formats
NP-hard Lennart Bittel, Martin Kliesch	Current browse context: quant-ph < prev next > new recent 2101	
variational quantum auguritums are proposed to solve relevant computational problems on near term quantum devices. Popular versions are variational quantum eigensolvers and quantum ap- proximate optimization algorithms that solve ground state problems from quantum chemistry and binary optimization problems, respectively. They are based on the idea of using a classical computer to train a parameterized quantum circuit. We show that the corresponding classical optimization problems are NP-hard. Moreover, the hardness is robust in the sense that, for every polynomial time algorithm, there are instances for which		References & Citations INSPIRE HEP NASA ADS Google Scholar Semantic Scholar
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the relative error resulting from the classical optimization pro arbitrarily large assuming P ¥ NP. Even for classically tractable composed of only logarithmically many qubits or free fermior the optimization to be NP-hard. This elucidates that the class optimization is intrinsically hard and does not merely inherit from the ground state problem. Our analysis shows that the t landscape can have many far from optimal persistent local mi means that gradient and higher order descent algorithms will converge to far from optimal solutions.	bblem can be 2 systems 1s, we show ical the hardness raining nima. This generally	

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As we saw the training can be NP-Hard. Training a VQA one can encounter new challenges:

- huge number of local minima
- barren plateaus
- stochastic environment due to the finite budget for measurements
- hardware noise affecting $\mathbb{E}[B_{artheta}]$
- restricted qubit connectivity
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This has led to the development of many quantum hardware-aware optimizers, with the optimal choice still being an active topic of debate. A common choice is the family of SGD (e.g. SPSA).

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Break

Questions?

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QAOA is an approximation algorithm: it does not deliver the "best" result, but only the "good enough" result, which is characterized by a lower bound of the approximation ratio.

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Recall that in the case of AQC we have:

$$H(s) = (1 - s)H_{\text{init}} + sH_{\text{final}}.$$
(3.1)

Time evolution under this *time-dependent* Hamiltonian involves is hard:

$$U(T) \sim \exp\left(-i \int_0^t H(w) \mathrm{d}w\right).$$
 (3.2)

Solution:Trotterization. Discretize $U(T) \equiv U(T, 0)$ into intervals Δt (in total $T = L\Delta t$) small enough that the Hamiltonian is approximately constant over each interval. Then:

$$U(T,0) = U(T, T - \Delta t)U(T - \Delta t, T - 2\Delta t) \dots U(\Delta t, 0)$$
(3.3)
$$= \prod_{j=0}^{L-1} U((L-j)\Delta T, (L-j-1)\Delta t)$$
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$$=_{L \to \infty} \prod_{i=1}^{L-1} e^{-iH[(L-j)\Delta t]\Delta t}$$
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Aspman/Korpas/Mareček (CTU)

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Thus we can approximate AQC by repeatedly letting the system evolve under H_{final} for $s(j\Delta t)$ and then under H_{init} for $(1 - s(j\Delta t))$.

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Combinatorial Optimization

Recall that a combinatorial optimization problem amounts to finding the *n*-bit string z that (approximately) satisfies the maximal amount of m constraints C_{α} , each of which takes the form

$$C_{lpha}(z) = \left\{ egin{array}{c} 1 & ext{if } z ext{ satisfies the constraint} \\ 0 & ext{otherwise.} \end{array}
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Quantum Analogue

For the quantum analogue of the previous problem we define a diagonal operator: H_C acting on the 2ⁿ-dimensional Hilbert space where each bitstring z is a basis vector $|z\rangle$.

 H_C acts on $|z\rangle$ as follows:

$$H_C|z\rangle = C(z)|z\rangle$$
 (3.10)

and since C(z) is scalar valued, we can see that each $|z\rangle$ is an eigenstate of H_C . Let us view \hat{C} as a Hamiltonian and the highest energy eigenstate $|z\rangle$ is the solution to the combinatorial optimization problem, as it gives the highest value of C(z).

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In the case of Max-Cut we have:

$$C(z) = \frac{1}{2} \sum_{(i,j) \in E(G)} z_i z_j$$
(3.11)



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QAOA at last

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The other one is called mixer Hamiltonian which is

$$H_B = \sum_{j=1}^n \sigma_j^x$$

The corresponding unitaries we need are:

$$U_C = e^{-I\gamma H_C} \tag{3.13}$$

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QAOA: Optimization

The goal is to maximize the expression

$$M_L(\gamma,\beta) \coloneqq \langle \gamma,\beta | M_L | \gamma,\beta \rangle \tag{3.15}$$

 $\gamma \in [0, 2\pi]^L$, $\beta \in [0, \pi]^L$.

and

$$|\gamma,\beta\rangle = U_C(\gamma_L)U_B(\beta_L)\dots U_C(\gamma_1)U_B(\beta_1)|+\rangle^n.$$
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Compare with Eq. (3.3). Its basically the same.



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We begin in an eigenstate of H_B and then repeatedly let the system evolve under H_C and H_B , alternating between the two.

The approximation increase as $L \rightarrow \infty$.

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$$(\gamma^*, \beta^*) = \arg \max_{\gamma, \beta} \|\mathbb{E}[M_L] - \langle M_L \rangle \|_{\ell}^{P}$$
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In the end we measure $|\gamma,\beta\rangle$ in the computational basis to get some bitstring z, and evaluate C(z).

We repeat the above steps $\mathcal{O}(m \log m)$ (*m* number of constraints) such that we bound C(z) with high probability.

Key result: QAOA with L = 1 achieves an approximation ratio of $r_c = C(z)/C_{\text{max}} = 0.6924$ when performing Max-Cut on 3-regular graphs.

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We begin in an eigenstate of H_B and then repeatedly let the system evolve under H_C and H_B , alternating between the two.

The approximation increase as $L \to \infty$.

We are trying to find

$$(\gamma^*, \beta^*) = \arg \max_{\gamma, \beta} \|\mathbb{E}[M_L] - \langle M_L \rangle \|_{\ell}^{P}$$
(3.17)

In the end we measure $|\gamma, \beta\rangle$ in the computational basis to get some bitstring z, and evaluate C(z).

We repeat the above steps $\mathcal{O}(m \log m)$ (*m* number of constraints) such that we bound C(z) with high probability.

Key result: QAOA with L = 1 achieves an approximation ratio of $r_c = C(z)/C_{\text{max}} = 0.6924$ when performing Max-Cut on 3-regular graphs.

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