

One dimensional searching

Searching in an array

naive search, binary search, interpolation search

Binary search tree (BST)

operations Find, Insert, Delete

Naive search in a sorted array — linear, SLOW.

Array

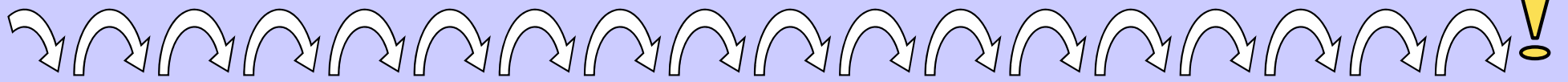
Sorted array: 

Size = N


363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

Find 993 !

Tests: N 



363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----



Find 363 !

Tests: 1 



363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----



Search in a sorted array — binary, FASTER

1 test

Find 863 !

363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993

1 test

839	860	863	938	939	966	968	983	993
839	860	863	938	939	966	968	983	993

1 test

839	860	863	938	939
839	860	863	938	939

1 test

839	860	863
839	860	863

1 test



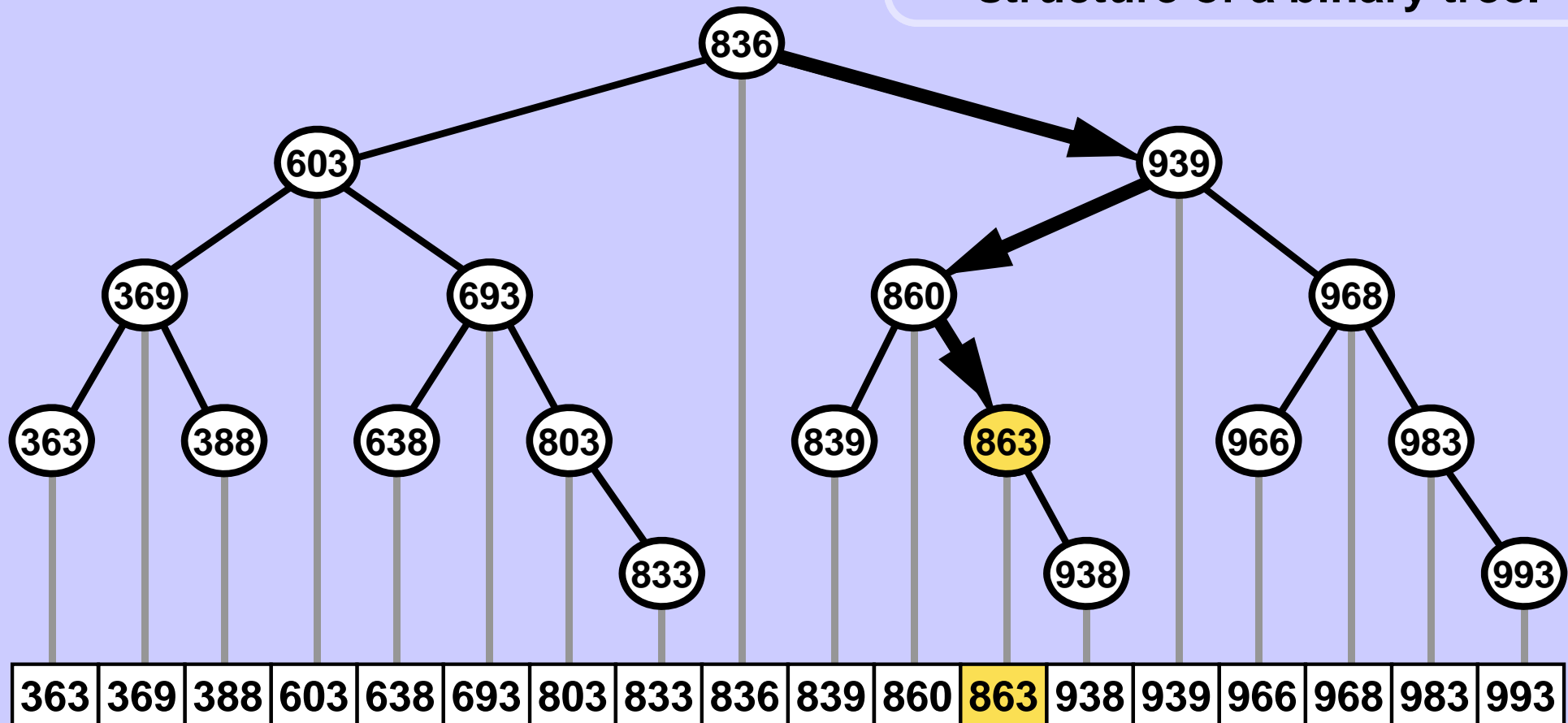
863

Search in a sorted array — binary, FASTER

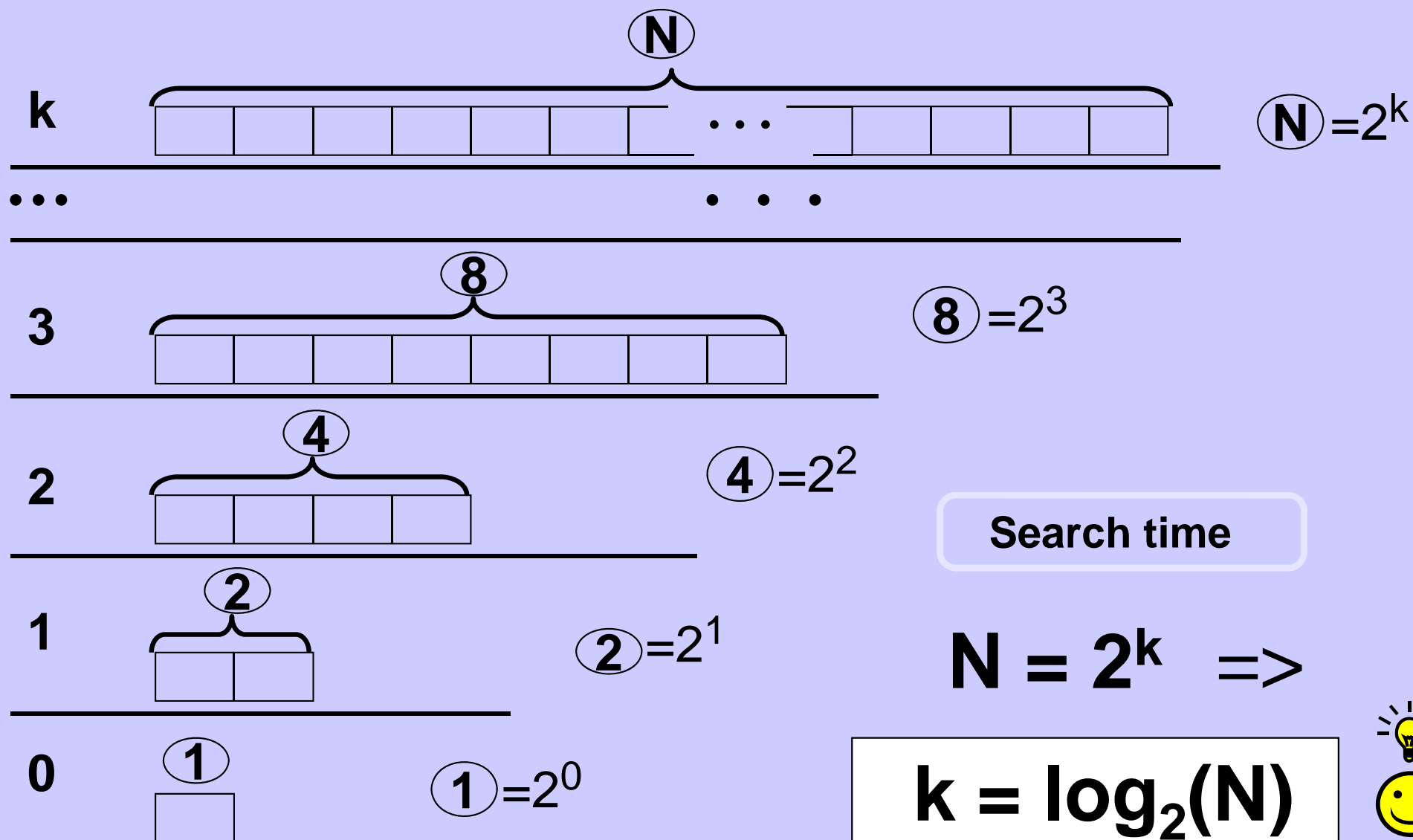


Find 863 !

The search follows the structure of a binary tree.



Search in a sorted array — binary, FASTER





Search in a sorted array — binary, EVEN FASTER

Find $q = 863$!

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993

Typically, on average, the query value is close to a leaf in the search tree.

It takes time to test each value in the tree during the search descent to the leaf.

So, the method first finds the exact place where the query should be located and only then checks if it is really there.

During the search, the current segment is divided to two halves and the unpromising half is discarded. The final test "Is q in the array?" is performed only once, when the current segment length is 1.

839	860	863	938	939	966	968	983	993
-----	-----	-----	-----	-----	-----	-----	-----	-----

839	860	863	938	939
-----	-----	-----	-----	-----

839	860	863
-----	-----	-----



5 tests in total

Though the query value 863 was encountered already in the 3rd check, its presence in the array was confirmed only after the 4th test.

Binary search -- fast variant

```
def binarySearch( arr, value ):
    low = 0; high = len(arr)-1
    while low < high:                # while segment length > 1
        mid = (low + high) // 2      # bug ?
                                     # fix: mid = low + (high-low)/2;
        if arr[mid] < value: low = mid+1
        else:                        high = mid
    if arr[low] == value: return low    # found or
    return -1                          # not found
```

Bug? : When $low + high > INT_MAX$ in some languages overflow appears
<https://research.googleblog.com/2006/06/extra-extra-read-all-about-it-nearly.html>

Interpolation search

Array a[]

Find q = 939

363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
0	1	2											13		15		17
first														position			last

When the values are expected to be more or less evenly distributed in the range the interpolation search might help. The position of the element should roughly correspond to its value.

$$\text{position} = \text{first} + \frac{(q - a[\text{first}])}{a[\text{last}] - a[\text{first}]} * (\text{last} - \text{first})$$

$$\text{position} = 0 + \frac{939 - 363}{993 - 363} * (17 - 0) = 15.54$$

Example

Interpolation search

Array a[]

Find q = 939

363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
0	1	2											13	14	15		17
first													position		last		

When the element is not found at the first hit then continue the search recursively in the part of the array which was not excluded from the search yet.

$$\text{position} = \text{first} + \frac{(q - a[\text{first}])}{a[\text{last}] - a[\text{first}]} * (\text{last} - \text{first})$$

$$\text{position} = 0 + \frac{939 - 363}{968 - 363} * (15 - 0) = 14.12$$

Example

Interpolation search

Array a[]

Find q = 939

363	369	388	603	638	693	803	833	836	839	860	863	938	939	966	968	983	993
0	1	2											13	14	15		17
first													position		last		

When the element is not found at the first hit then continue the search recursively in the part of the array which was not excluded from the search yet.

$$\text{position} = \text{first} + \frac{(q - a[\text{first}])}{a[\text{last}] - a[\text{first}]} * (\text{last} - \text{first})$$

$$\text{position} = 0 + \frac{939 - 363}{966 - 363} * (14 - 0) = 13.37$$

Example

Finished.

Interpolation search

```
def interpol( arr, q ): # q is the query
    first = 0; last = len(arr)-1

    while True:
        if first == last :
            if arr[first] == q: return pos
            else: return -1

        pos = first + round( (q-arr[first])/
                            (arr[last]-arr[first]) *(last-first) )

        if arr[pos] == q: return pos
        if arr[pos] < q: first = pos+1 # check left side
        else: last = pos-1 # check right side
```

Search in a sorted array — speed comparison

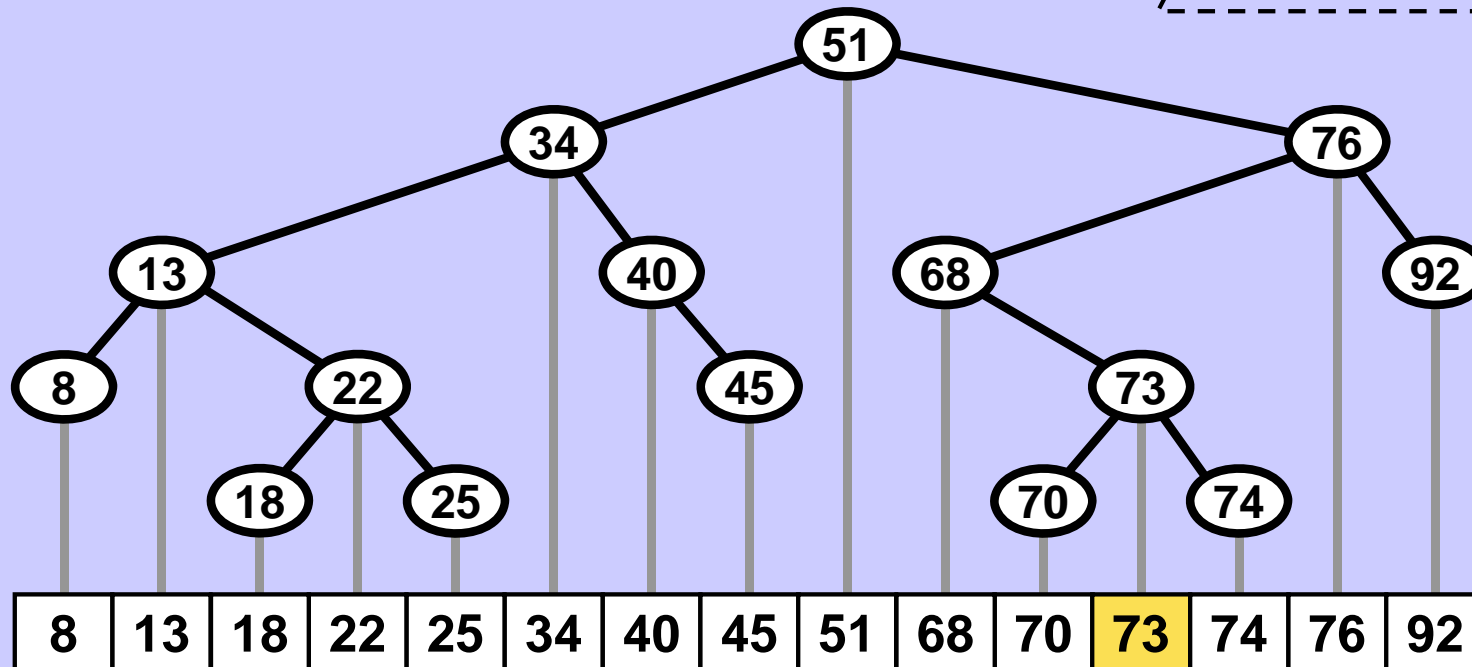
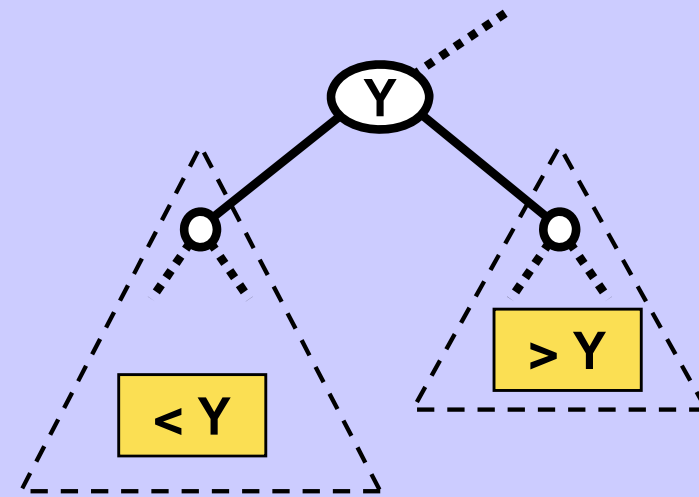
Array size N	Linear search average case	Interpolation search average case	Binary search	
			slow worst case	fast all cases
10	5.5	1.60	9	4
30	15.5	2.12	11	5
100	50.5	2.56	15	7
300	150.5	2.89	19	9
1 000	500.5	3.18	21	10
3 000	1 500.5	3.41	25	12
10 000	5 000.5	3.63	29	14
30 000	15 000.5	3.80	31	15
100 000	50 000.5	3.96	35	17
300 000	150 000.5	4.11	39	19
1 000 000	500 000.5	4.24	41	20
Asymptotic complexity	Obviously $\Theta(n)$	Random uniform distribution $\log_2(\log_2(N)) \in \Theta(\log(\log(N)))$	Due to the binary tree structure $\Theta(\log(n))$	

Binary search tree

For each node Y it holds:

Keys in the left subtree of Y are smaller than the key of Y.

Keys in the right subtree of Y are bigger than the key of Y.

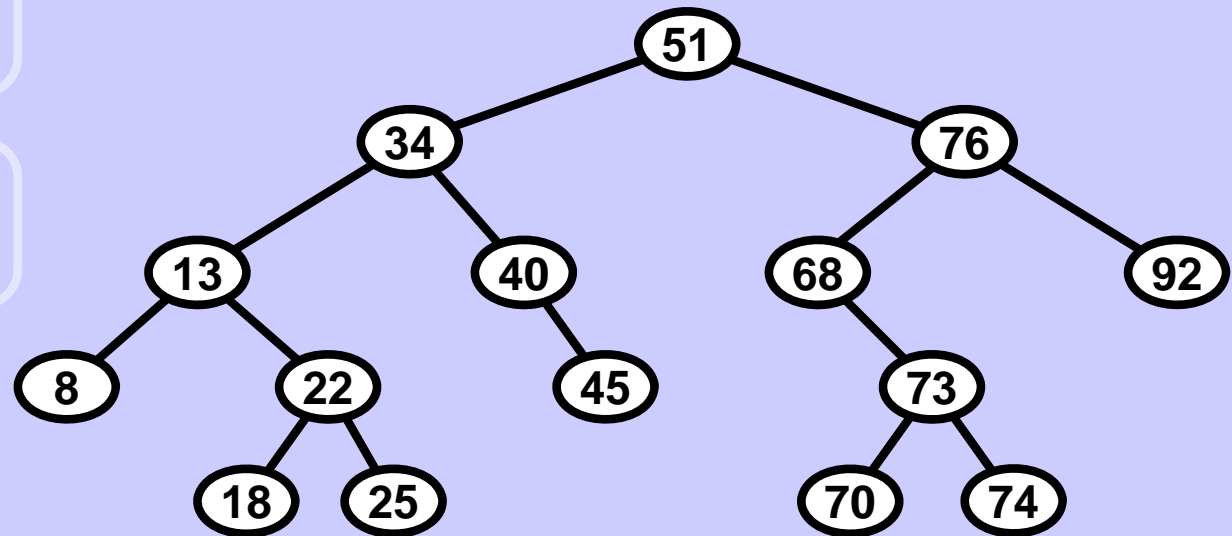


Binary search tree

BST may not be balanced and usually it is not.

BST may not be regular and usually it is not.

Apply the INORDER traversal to obtain sorted list of the keys of BST.



BST is flexible due to operations:

Find – return the pointer to the node with the given key (or null).

Insert – insert a node with the given key.

Delete – (find and) remove the node with the given key.

Binary search tree implementation -- Python

Tree

Node

Node
representation

key

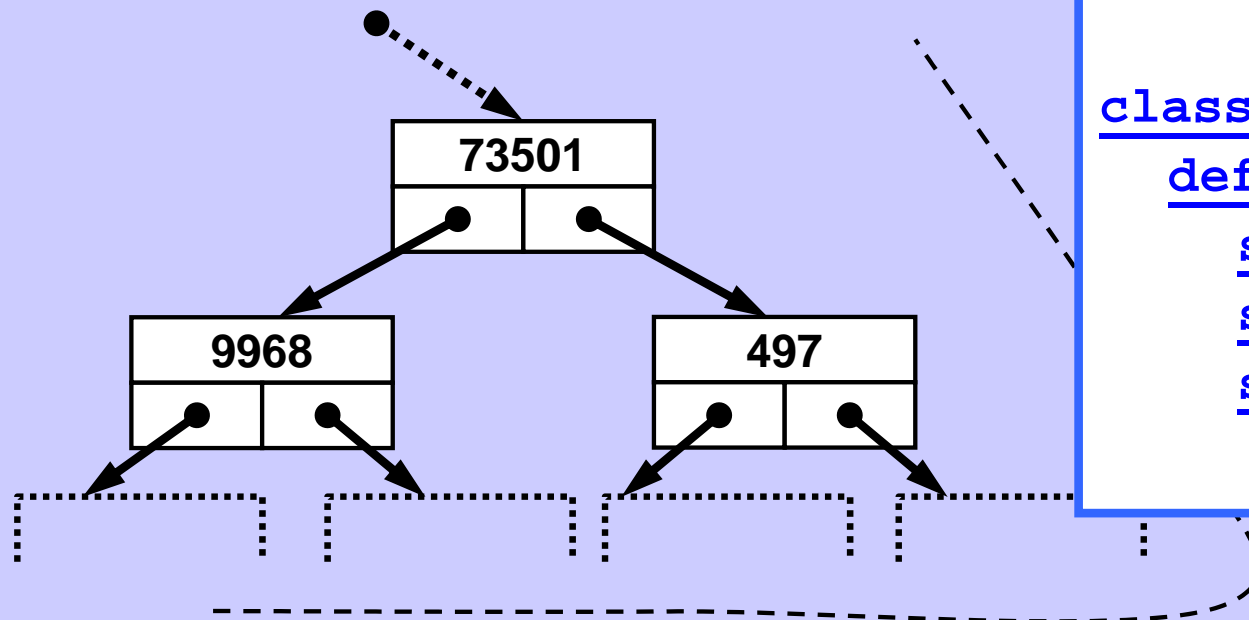
left

right

```

class Node:
    def __init__(self, key):
        self.left = None
        self.right = None
        self.key = key

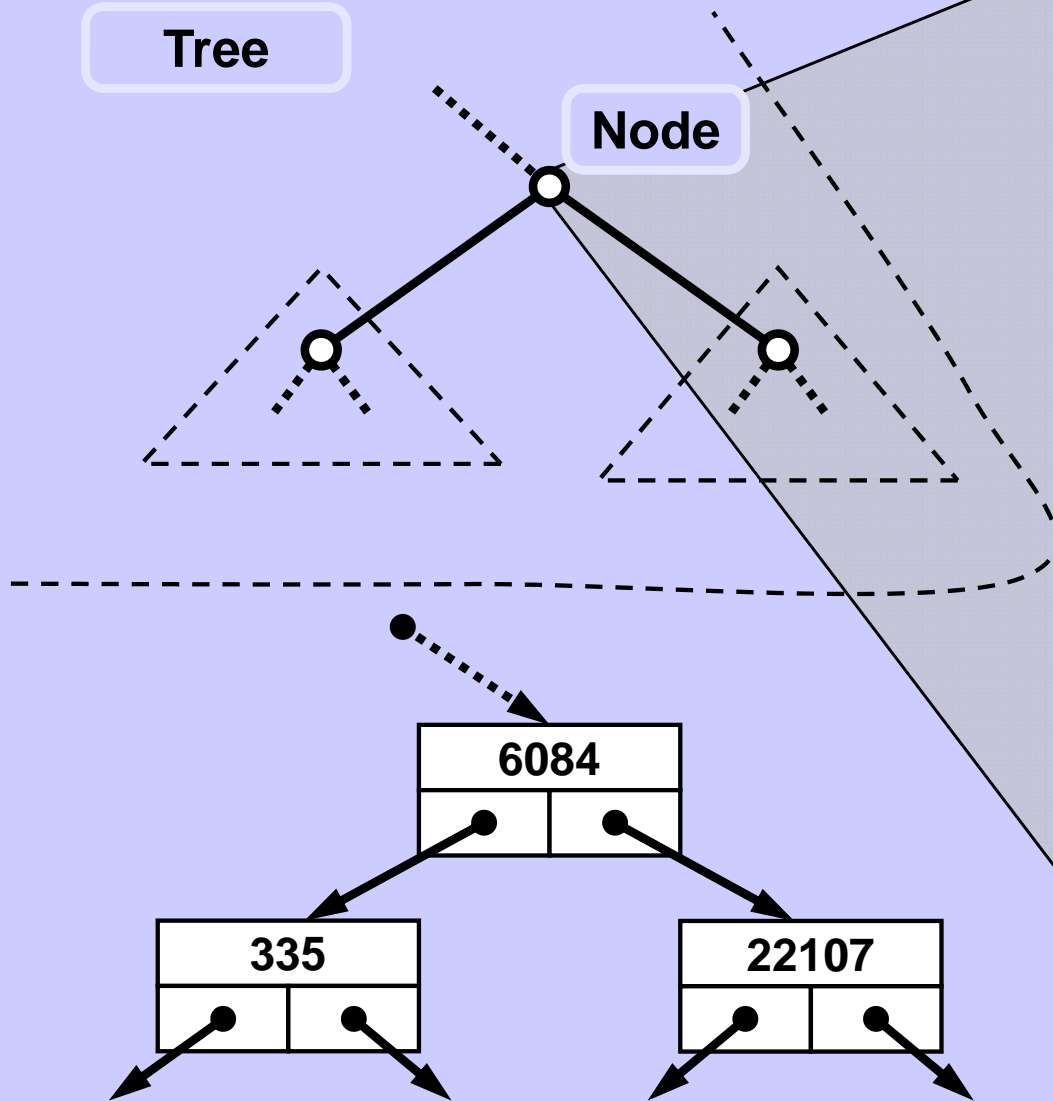
```



Binary search tree implementation -- Python

Tree

Node



```

class Node:
    def __init__(self, key):
        self.left = None
        self.right = None
        self.key = key
  
```

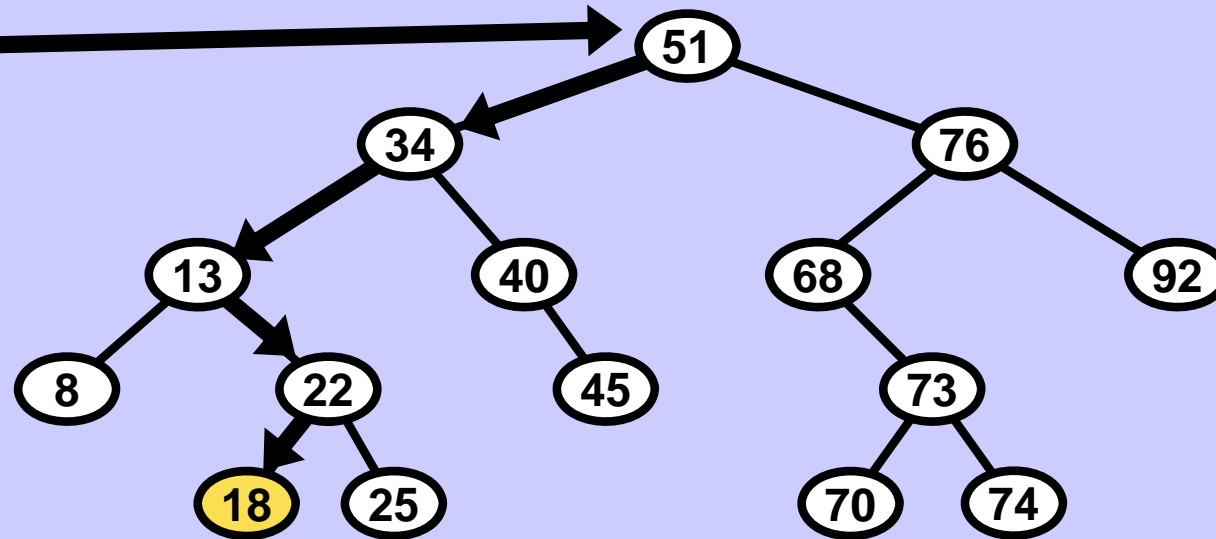
```

class BinaryTree:
    def __init__(self):
        self.root = None
  
```


Operation Find in BST

Find 18

Each BST operation starts in the root.



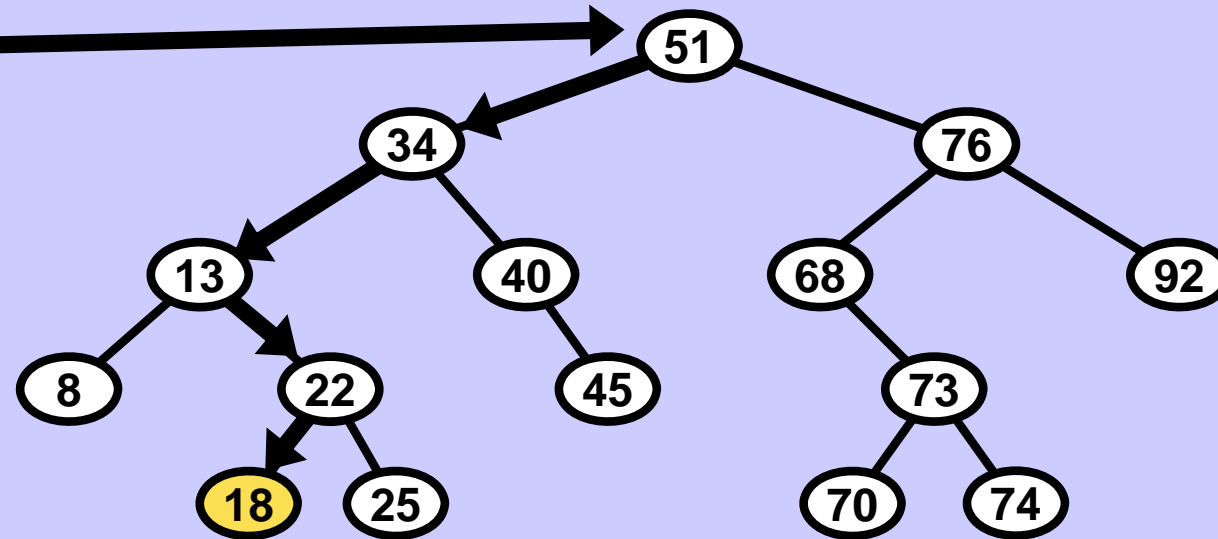
Iteratively

```
def FindIter(self, key, node):
    while(True):
        if node == None      : return None
        if key == node.key   : return node
        if key < node.key    : node = node.left
        else                 : node = node.right

FindIter(key, tree.root) # call
```

Operation Find in BST

Find 18



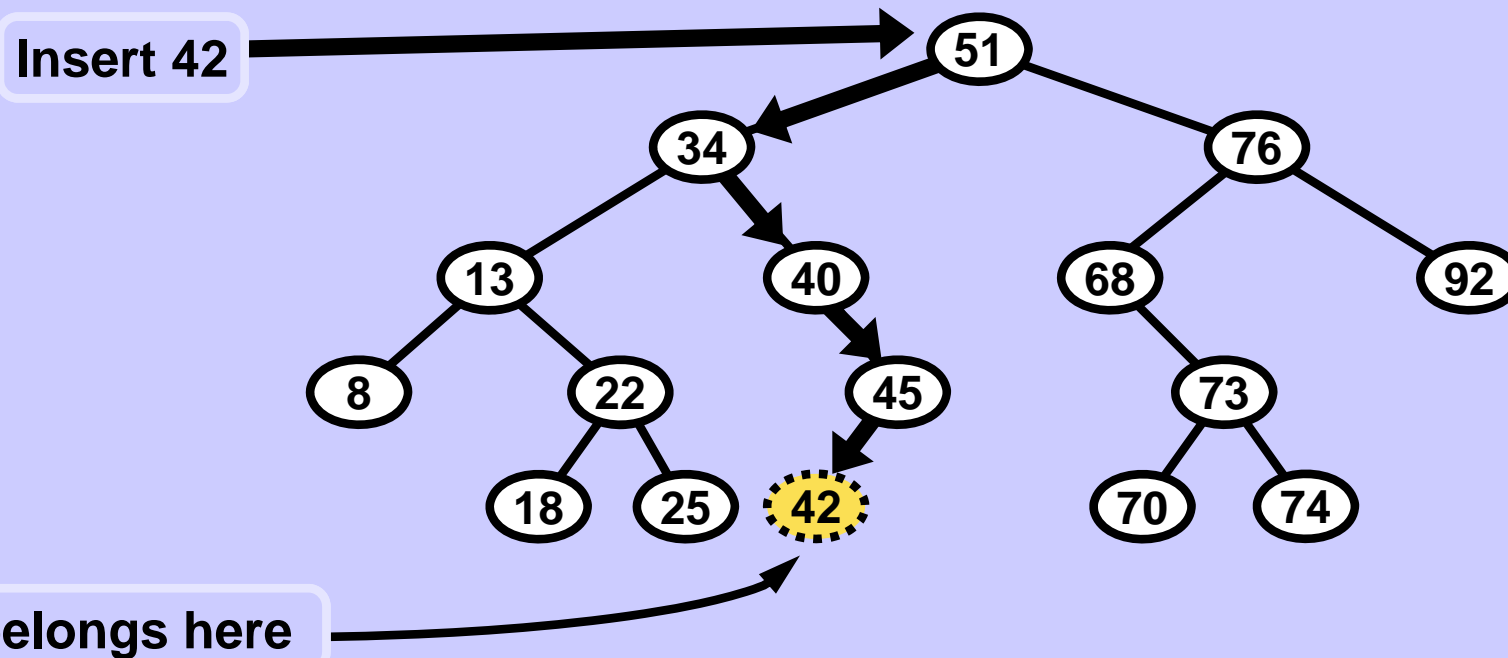
Each BST operation starts in the root.

Recursively

```
def Find(self, key, node):
    if node == None      : return None
    if key == node.key  : return node
    if key < node.key   : self.Find(key, node.left)
    else                 : self.Find(key, node.right)
```

```
Find(key, tree.root) # call
```

Operation Insert in BST



Insert

1. Find the place (like in Find) for the leaf where the key belongs.
2. Create this leaf and connect it to the tree.

Operation Insert in BST iteratively

```
def InsertIter(self, key):  
    if self.root == None:  
        self.root = Node(key);  
        return self.root  
  
    node = self.root  
    while True:  
        if key == node.key: return None # no duplicates  
        if key < node.key:  
            if node.left == None:  
                node.left = Node(key); return node.left  
            else: node = node.left  
        else:  
            if node.right == None:  
                node.right = Node(key); return node.right  
            else: node = node.right
```

Operation Insert in BST recursively

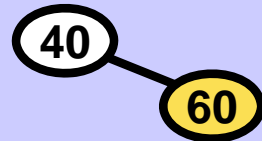
```
def Insert(self, key, node):  
    if key == node.key: return None # no duplicates  
    if key < node.key:  
        if node.left == None: node.left = Node(key)  
        else: self.Insert(key, node.left)  
    else:  
        if node.right == None: node.right = Node(key)  
        else: self.Insert(key, node.right)
```

Building BST by repeated Insert

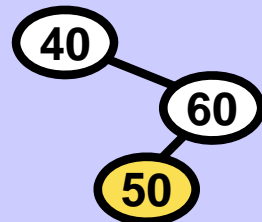
insert 40



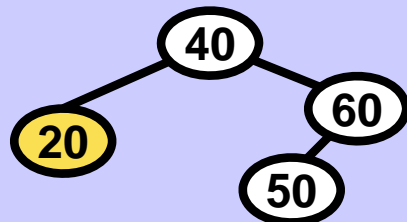
insert 60



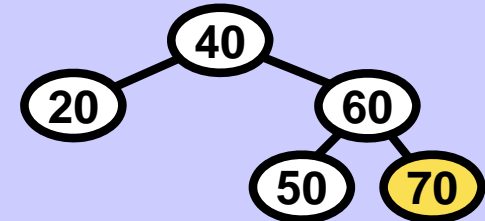
insert 50



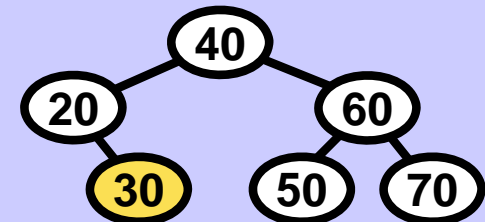
insert 20



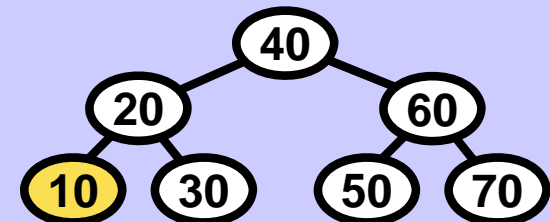
insert 70



insert 30



insert 10

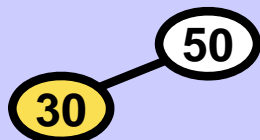


The shape of the BST depends on the order in which data are inserted.

insert 50



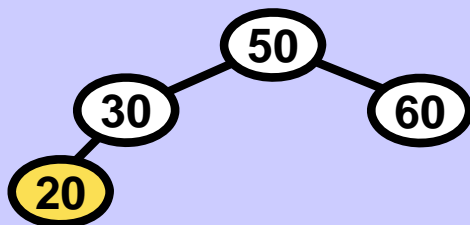
insert 30



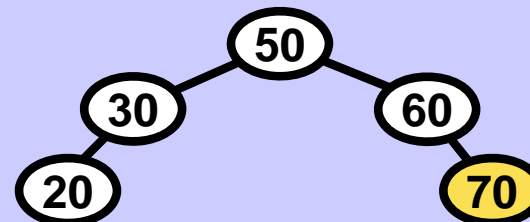
insert 60



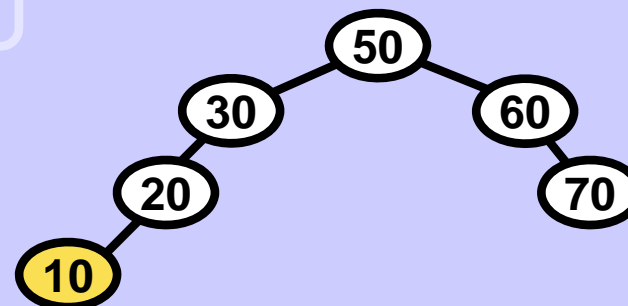
insert 20



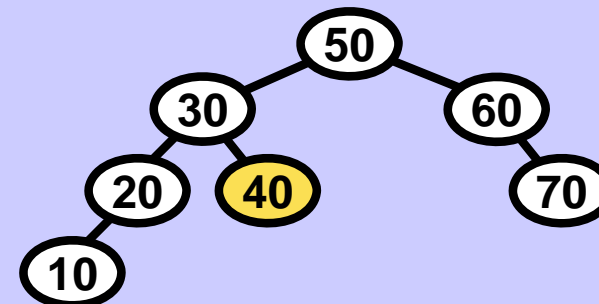
insert 70



insert 10



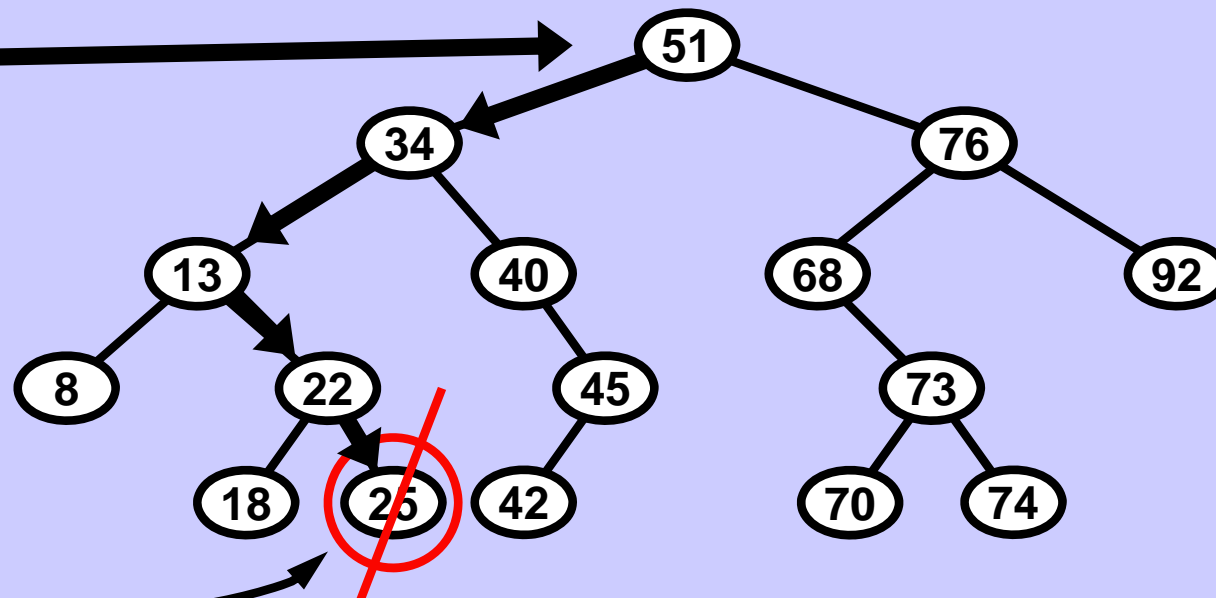
insert 40



Operation Delete in BST (I.)

Delete a node with 0 children (= leaf)

Delete 25



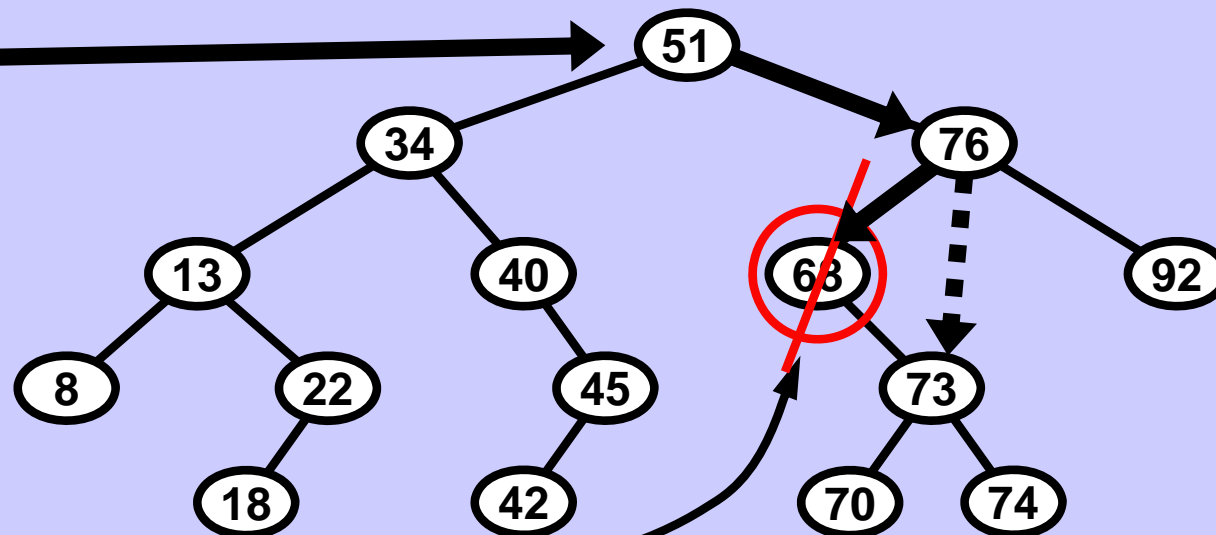
Leaf with key 25
disappears

Delete I. Find the node (like in Find operation) with the given key and set the reference to it from its parent to null.

Operation Delete in BST (II.)

Delete a node with 1 child.

Delete 68



Node with key 68
disappears

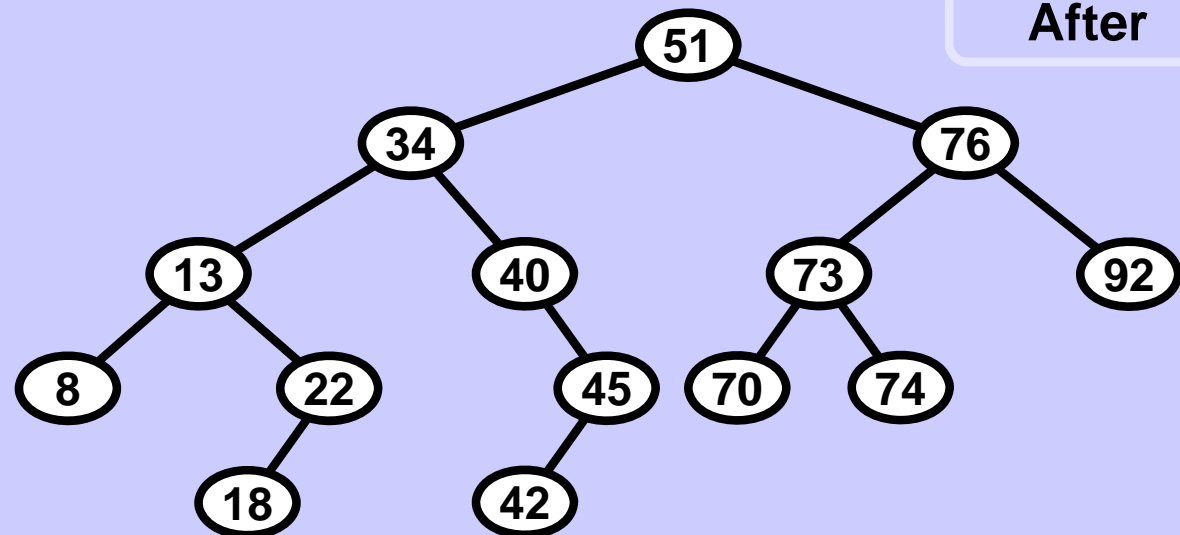
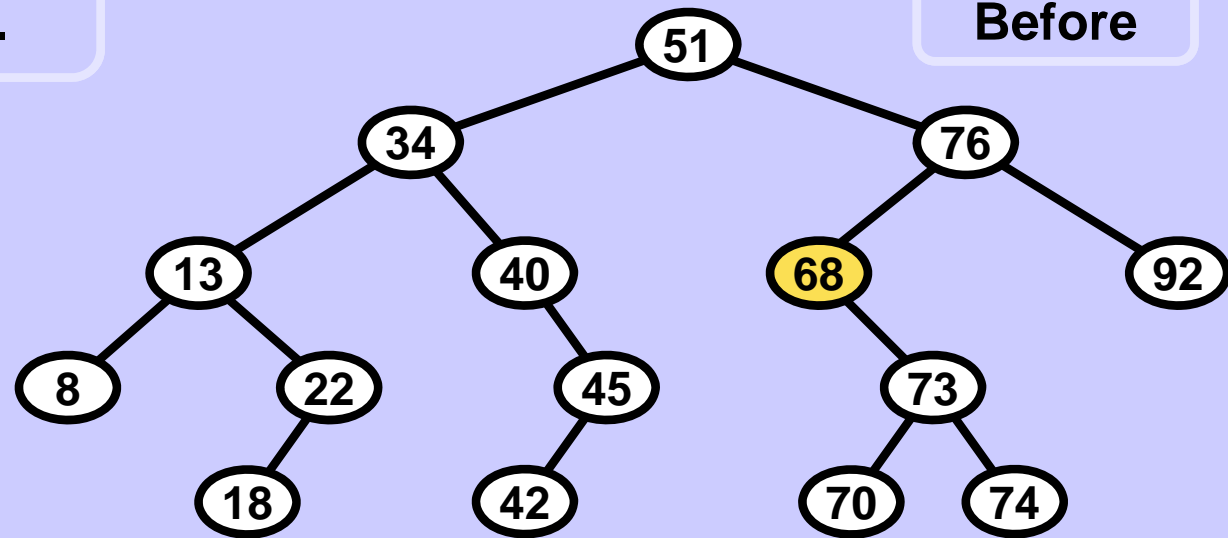
Change the 76 --> 68 reference to 76 --> 73 reference.

Delete II. Find the node (like in Find operation) with the given key and set the reference to it from its parent to its (single) child.

Operation Delete in BST (II.)

Delete a node with 1 child.

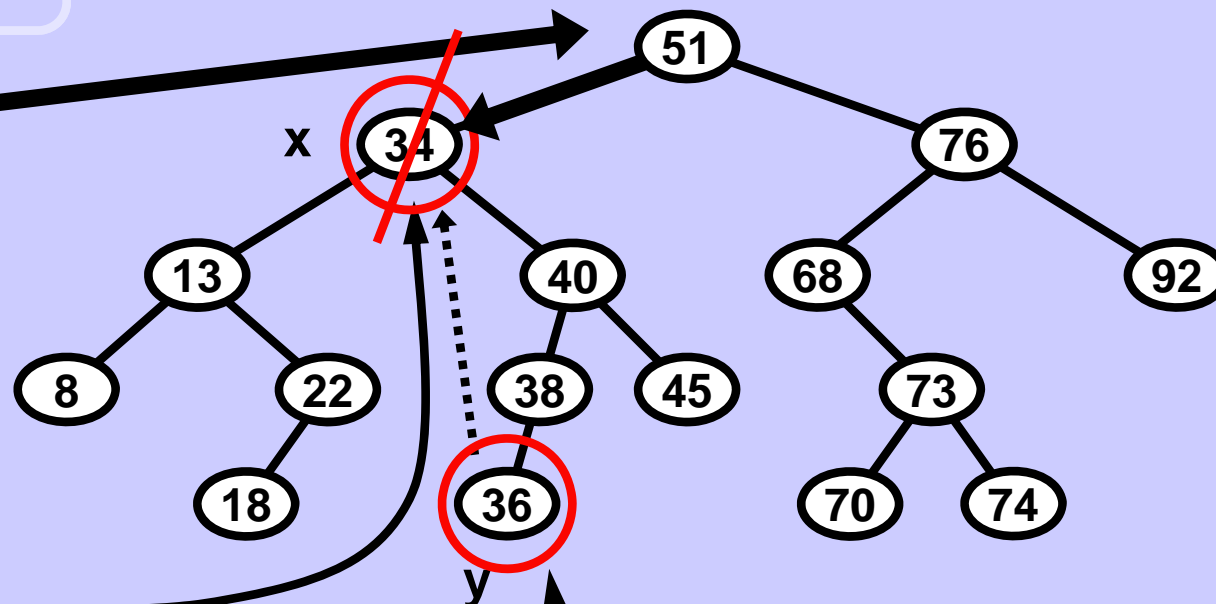
Delete 68



Operation Delete in BST (Illa.)

Delete a node with 2 children.

Delete 34



Key 34 disappears.

And it is substituted by key 36.

Delete Illa.

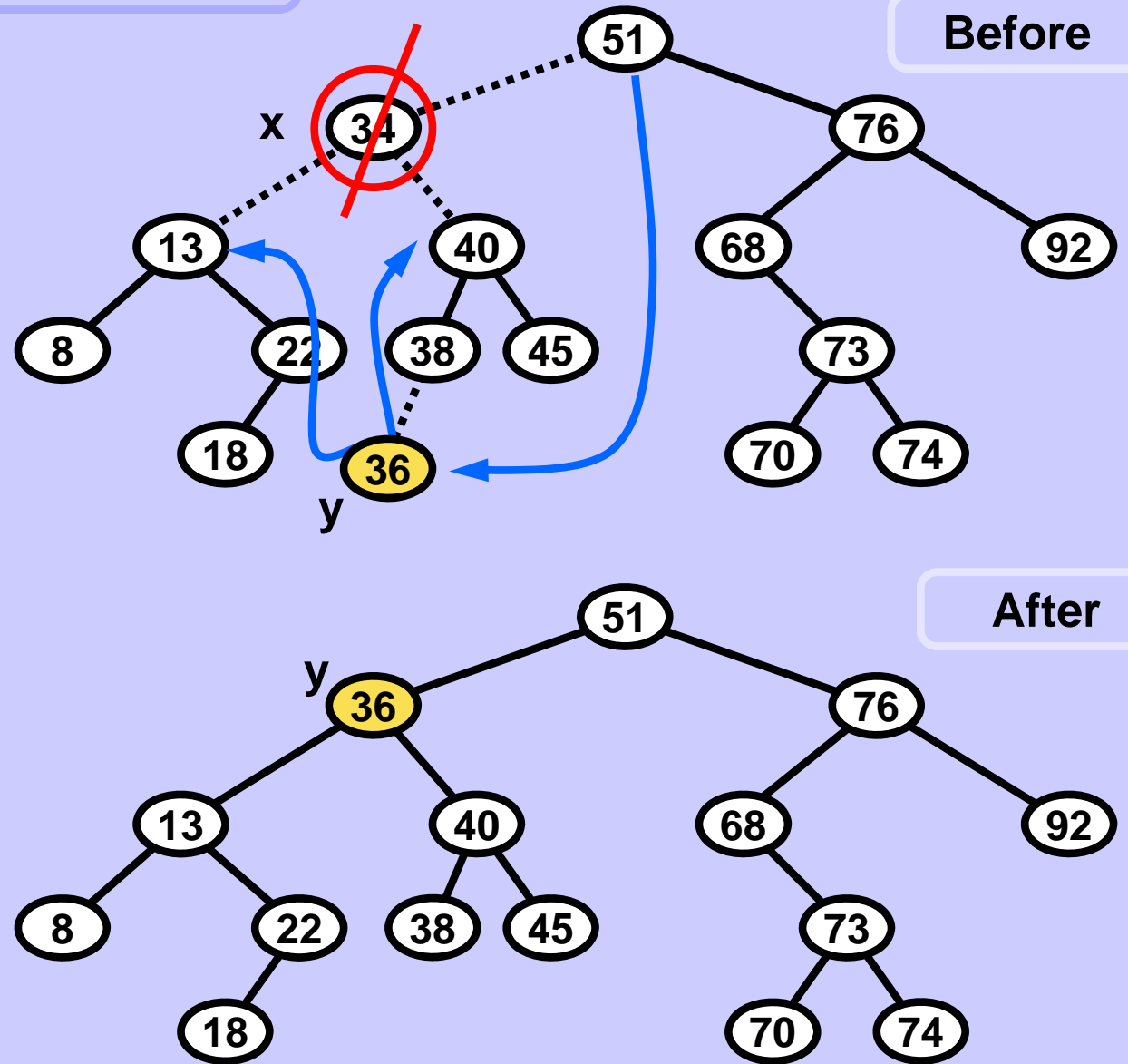
1. Find the node (like in Find operation) with the given key and then find the leftmost (= smallest key) node y in the right subtree of x.
2. Point from y to children of x, from parent of y point to the child of y instead of y, from parent of x point to y.

Operation Delete in BST (IIa.)

Delete 34

old
edges/pointers/references
.....

new
edges/pointers/references
—————→

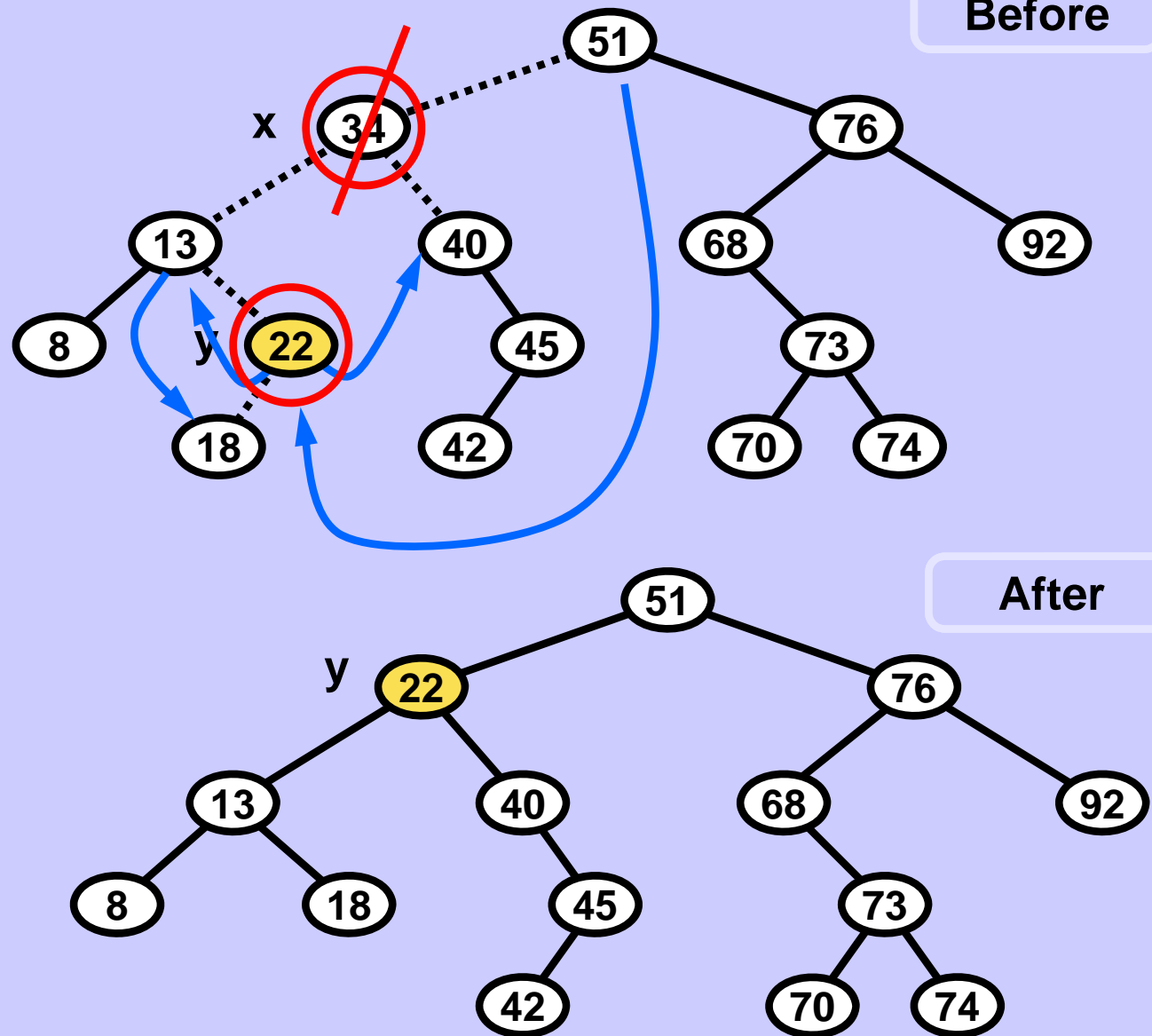


Operation Delete in BST (IIIb.) is equivalent to Delete IIIa.

Delete 34

old
edges/pointers/references
.....

new
edges/pointers/references
—————→



The moved node may
itself have a child.
In such case apply to it
the variant Delete II.

Operation Delete in BST

```
def Delete (self, key):  
    ...                # homework...
```

Asymptotic complexities of operations Find, Insert, Delete in BST

	BST with n nodes	
Operation	Balanced	Maybe not balanced
Find	$O(\log(n))$	$O(n)$
Insert	$O(\log(n))$	$O(n)$
Delete	$O(\log(n))$	$O(n)$