## Shadows

Jiří Bittner, Michael Wimmer

- Motivation \& Terminology
- Approximate \& projection shadows
- Shadow maps
- Shadow volumes

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- Summary


## What for?

## Shadows tell us about the relative locations and motions of objects



## What for?

Shadows tell us about the relative locations and motion of objects And about light positions


## What for?

Objects look like they are "floating" $\rightarrow$ shadows fix that!


## What for?



## Motivation

- Shadows contribute significantly to realism of rendered images - Anchor objects in scene
- Global effect $\rightarrow$ expensive!
- Light source behaves very similar to camera
- Is a point visible from the light source?
$\rightarrow$ shadows are "hidden" regions
- Shadow is a projection of caster on receiver
$\rightarrow$ projection methods


## Shadow Algorithms

- Static shadow algorithms (lights + objects)
- Radiosity, ray tracing $\rightarrow$ lightmaps
- Approximate shadows
- Projected shadows [Blinn 88]
- Shadow maps [Williams 78]
- Projective image-space algorithm
- Shadow volumes [Crow 77]
- Object-space algorithm
- Soft shadow extensions for all above algorithms
- Still hot research topic (500+ shadow publications)


## Shadow Terms

light source


## Hard vs. Soft Shadows


hard shadow
+fast
-only good for localized lights (sun, projectors)
+fake soft shadow through filtering

penumbra penumbra

+ very realistic
- very expensive
+ becomes more and more usable


## Static Shadows

- Glue to surface whatever we want
- Idea: incorporate shadows into light maps
- For each texel, cast ray to each light source
- "Bake" soft shadows in light maps
- Not by texture filtering alone, but:
- Sample area light sources


## Static Soft Shadow Example



- Motivation \& Terminology
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## Approximate Shadows

- Handdrawn approximate geometry
- Perceptual studies suggest: shape not so important
- Minimal cost



## Approximate Shadows

- Dark polygon (maybe with texture)
- Cast ray from light source through object center
- Blend polygon into frame buffer at location of hit
- May apply additional rotation/scale/translation - Incorporate distance and receiver orientation
- Problem with 7-auantization.



## Approximate Shadows



## Projection Shadows (Blinn 88)

- Shadows for selected large planar receivers
- Ground plane
- Walls
- Projective geometry: flatten 3D model onto plane
- and "darken" using framebuffer blend



## Projection for Ground Plane

- Use similar-triangles


$$
\begin{aligned}
& \frac{p_{x}-l_{x}}{v_{x}-l_{x}}=\frac{l_{y}}{l_{y}-v_{y}} \\
& p_{x}=\frac{l_{y} v_{x}-l_{x} v_{y}}{l_{y}-v_{y}} \\
& p_{z}=\frac{l_{y} y_{z}-l_{z} v_{y}}{l_{y}-v_{y}} \\
& p_{y}=0
\end{aligned}
$$

## Projection Matrix

- Projective $4 \times 4$ matrix:

$$
M=\left(\begin{array}{cccc}
l_{y} & -l_{x} & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & -l_{z} & l_{y} & 0 \\
0 & -1 & 0 & l_{y}
\end{array}\right)
$$

- Arbitrary plane:
- Intersect line $\mathbf{p}=\mathbf{I}-\alpha(\mathbf{v}-\mathbf{I})$
- with plane $\quad n \mathbf{x}+\mathrm{d}=0$
- Express result as a $4 \times 4$ matrix
- Append this matrix to view transform


## Projection Shadow Algorithm

- Render scene (full lighting)
- For each receiver polygon
- Compute projection matrix M
- Append to view matrix
- Render selected shadow caster
- With framebuffer blending enabled


## Projection Shadow Artifacts

Bad


## Stencil Buffer Projection Shadows

- Stencil can solve all of these problems
- Separate 8-bit frame buffer for numeric ops
- Stencil buffer algorithm (requires 1 bit):
- Clear stencil to 0
- Draw ground polygon last and with
- glStencilOp (GL_KEEP, GL_KEEP, GL_ONE) ;
- Draw shadow caster with no depth test but
- glStencilFunc (GL_EQUAL, 1, 0xFF) ; glStencilOp (GL_KEEP, GL_KEEP, GL_ZERO) ;
- Every plane pixel is touched at most once


## Stencil Buffer Planar Reflections

- Draw object twice, second time with:
- glScalef(1, -1, 1)
- Reflects through floor



## Projection Shadow Summary

- Easy to implement
- GLQuake first game to implement it
- Only practical for very few, large receivers
- No self shadowing
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## Shadow Maps

- Casting curved shadows on curved surfaces
- Image-space algorithm, 2 passes



## Shadow Map Algorithm



- Render from light; save depth values
- Render from eye
- Transform all fragments to light space
- Compare $z_{\text {eye }}$ and $z_{\text {light }}$ (both in light space!!!)
$\square \mathrm{Z}_{\text {eye }}>\mathrm{Z}_{\text {light }} \longrightarrow$ fragment in shadow


## Shadow Maps in Hardware

- Render lightspace depth into texture
- In vertex shader:
- Calculate texture coordinates as in projective texturing
- In fragment shader:
- Depth compare


## Problem: Perspective Aliasing

- Sufficient resolution far from eye
- Insufficient resolution near eye



## Problem: Projection Aliasing

Shadow receiver ~ orthogonal to Shadow Map - viewplane


Problem: Incorrect Self-Shadowing


## Problem: Incorrect Self-Shadowing


$\mathrm{z}_{\text {eye }}>\mathrm{z}_{\text {light }} \longrightarrow$ Incorrect Self-shadowing

## Solution for Perspective Aliasing

- Insufficient resolution near eye路



## Solution for Perspective Aliasing

- Insufficient resolution near eye
- Redistribute values in shadow map


## Solution for Perspective Aliasing

- Sufficient resolution near eye
- Redistribute values in shadow map




## Solution for Perspective Aliasing

- Use warping for light pass (and lookups)



## Solution for Perspective Aliasing



- Stamminger, Drettakis - Perspective Shadow Maps
- Wimmer et al. - Light space perspective shadow maps


## Solution for Projection Aliasing

- Shadow receiver ~ orthogonal to Shadow Map plane
- Redistribution does not work
- But...



## Solution for Projection Aliasing

- Diffuse lighting: I = I $\max (\operatorname{dot}(L, N), 0)$
- Almost orthogonal receivers have small I
- Dark $\quad \longrightarrow \quad$ artifacts not very visible!



## Solution for Projection Aliasing

- Recommendations
- Small ambient term
- Diffuse term hides artifacts
- Specular term not problematic
- Light and view direction almost identical
- Shadow Map resolution sufficient



## Solution for Incorrect Self-Shadowing



## Solution for Incorrect Self-Shadowing

- How to choose bias_(shift)?

- No Bias
... Constant Bias
-. Slope-Scale Bias


## Problem: Aliasing Artifacts

- Resolution mismatch image/shadow map!
- Use perspective shadow maps
- Use "percentage closer" filtering
- Normal color filtering cannot be used
- Filter lookup result, not depth map values!
- 2x2 PCF in hardware for NVIDIA


## Shadow Map Filtering

NEAREST
LINEAR PCF


## Shadow Map Summary

- Advantages
- Fast - only one additional pass
- Independent of scene complexity (no additional shadow polygons!)
- Self shadowing (but beware bias)
- Can sometimes reuse depth map
- Disadvantages
- Problematic for omnidirectional lights
- Biasing tweak (light leaks, surface acne)
- Jagged edges (aliasing)
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## Shadow Volumes (Crow 1977)

- Occluders and light source cast out a 3D shadow volume
- Shadow through new geometry
- Results in Pixel correct shadows



## Shadow Volumes (Crow 1977)

- Heavily used in Doom3



## 2D Cutaway of Shadow Volume

- Occluder polygons extruded to semi-infinite volumes



## Shadow Volume Algorithm

- 3D point-in-polyhedron inside-outside test
- Principle similar to 2D point-in-polygon test
- Choose a point known to be outside the volume
- Count ray intersections from test point to known point with polyhedron faces
- Front face +1
- Back face -1
- Known point will distinguish algorithms:
- Infinity: "Z-fail" algorithm
- Eye-point: "Z-pass" algorithm


## Enter/Leave Approach

- Increment on enter, decrement on leave
- Simultaneously test all visible pixels
$\rightarrow$ Stop when hitting object nearest to viewer



## Shadow Volume Algorithm

- Shadow volumes in object precision
- Calculated by CPU/Vertex Shaders
- Shadow test in image precision
- Using stencil buffer as counter!
- Light Source



## Shadow Volume Algorithm

## - Light source

Shadow casting object


Step 1: Render scene $\Rightarrow$ Z-values

## Shadow Volume Algorithm

- Light source


Step 2: Render shadow volume faces

## Shadow Volume Algorithm

## - Light source

Shadow casting object $\xrightarrow{\longrightarrow}$

## Shadow Volume

Screen buffer
$\pm 0$ (Depth test)
Front face:
$\pm 0$ (Depth test)
$\Sigma=$
$\pm 0$

## Shadow Volume Algorithm

- Light source

Shadow casting object $\longrightarrow$


## Shadow Volume Algorithm

## - Light source



## Shadow Volume Algorithm

- Light source


Step 3: Apply shadow mask to scene

## Shadow Volume Algorithm (Zpass)

- Render scene to establish z-buffer
- Can also do ambient illumination
- For each light
- Clear stencil
- Draw shadow volume twice using culling
- Render front faces and increment stencil
- Render back faces and decrement stencil
- Illuminate all pixels not in shadow volume
- Render testing stencil $=0$
- Use additive blend


## Zpass Technique (Before Shadow)



Shadow Volume Count = 0 (no depth tests passes)

## Zpass Technique (In Shadow)



Shadow Volume Count $=+1+1+1-1=2$

## Zpass Technique (Behind Shadow)



## Zpass Near Plane Problem



## Alternative: Zfail Technique

- Zpass near plane problem difficult to solve
- Have to "cap" shadow volume at near plane
- Expensive and not robust, many special cases
- Try reversing test order $\rightarrow$ Zfail technique (also known as Carmack's reverse)
- Start from infinity and stop at nearest intersection
$\rightarrow$ Render shadow volume fragments only when depth test fails
- Render back faces first and increment
- Then front faces and decrement
- Need to cap shadow volume at infinity or light extent


## Zfail, Behind Shadow



Shadow Volume Count = 0 (zero depth tests fail)

## Zfail, in Shadow



Shadow Volume Count $=+1+1=2$

## Zfail, before Shadow



## Shadow Volumes

- Shadow volume = closed polyhedron
- Actually 3 sets of polygons!

1. Object polygons facing the light ("light cap")
2. Object polygons facing away from the light and projected to infinity (with w = 0) ("dark cap")
3. Actual shadow volume polygons (extruded object edges) ("sides")
$\rightarrow$ but which edges?

## Computing Actual SV Polygons

- Trivial but bad: one volume per triangle
- 3 shadow volume polygons per triangle
- Better: find exact silhouette
- Expensive on CPU
- Even better: possible silhouette edges
- Edge shared by a back-facing and front-facing polygon (with respect to light source!), extended to infinity
- Actual extrusion can be done by vertex shader


## Shadow Volumes Summary

- Advantages
- Arbitrary receivers
- Fully dynamic
- Omnidirectional lights (unlike shadow maps!)
- Exact shadow boundaries (pixel-accurate)
- Automatic self shadowing
- Broad hardware support (stencil)
- Disadvantages
- Fill-rate intensive
- Difficult to get right (Zfail vs. Zpass)
- Silhouette computation required
- Doesn't work for arbitrary casters (smoke, fog...)


## Conclusions

- Shadows are very important but still difficult
- Many shadow alg. (based on shadow volumes/shadow maps)
- Variance shadow mapping (VSM)
- Perspective shadow mapping (PSM)
- Hierarchical shadow volume
- Subdivided shadow maps
- ...
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## Questions?

