

# Introduction to Complex Networks

## Network Application Diagnostics

### B2M32DSA

Radek Mařík

Czech Technical University  
Faculty of Electrical Engineering  
Department of Telecommunication Engineering  
Prague CZ

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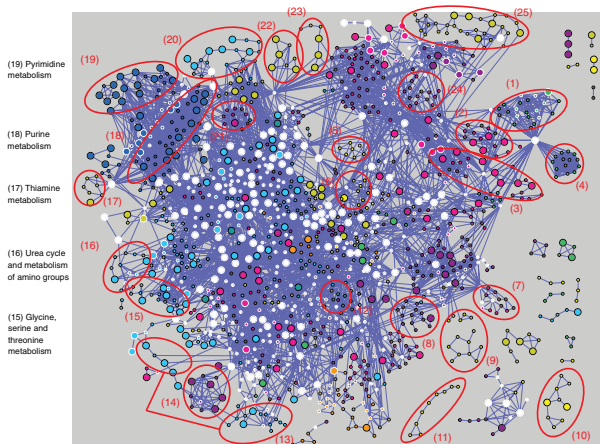


- 1 Complex Networks
  - Practical Examples
  - Software Tools
  - Network Volume
    - Netflow Comprehension
  - Network Visualization
    - Data on the Ancient Egypt
    - Mainframe Assembly Comprehension
    - Enterprise people
- 2 Complex Network Introduction
  - Graph Terminology
  - Graph Algorithms

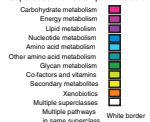


# Conservation within the global metabolic network <sup>[PASP09]</sup>

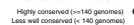
(20) Phenylalanine, tyrosine and tryptophan biosynthesis    (21) Nitrogen metabolism    (22) Pantothenate and CoA biosynthesis    (23) Riboflavin metabolism    (24) Galactose metabolism    (25) Porphyrin and chlorophyll biosynthesis



Superclass membership : Node color



Conservation : Node size



## Pathway examples

- (1) Blood group glycolipid and ganglioside biosynthesis; glycoside metabolism
- (2) Aminosugars biosynthesis
- (3) Fructose and mannose metabolism
- (4) N-glycan metabolism
- (5) Alkaloid biosynthesis I
- (6) Flavanoids, stilbene and lignin biosynthesis
- (7) Inositol phosphate metabolism
- (8) Prostaglandin and leukotriene metabolism
- (9) Folate metabolism
- (10) Penicillin and cephalopirin biosynthesis

(14) Fatty acid biosynthesis pathway I

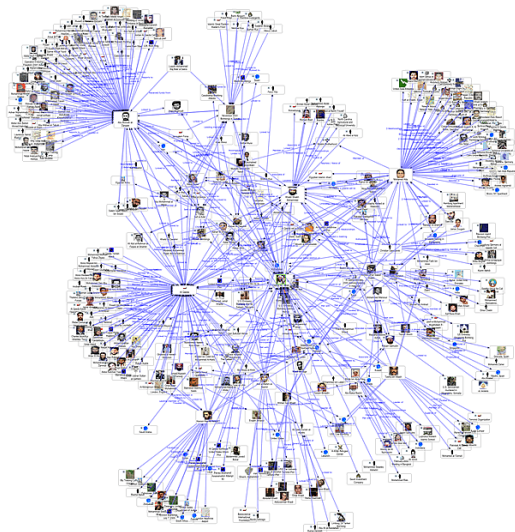
(13) Lysine biosynthesis and degradation

(12) Glutathione metabolism

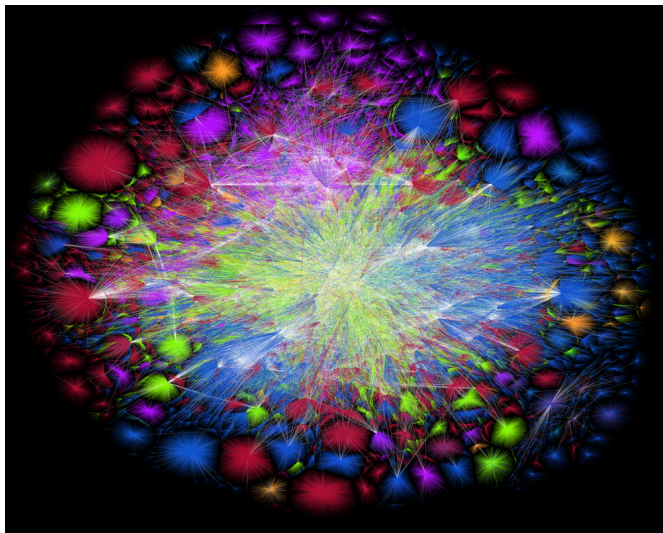
(11) Diterpenoid biosynthesis



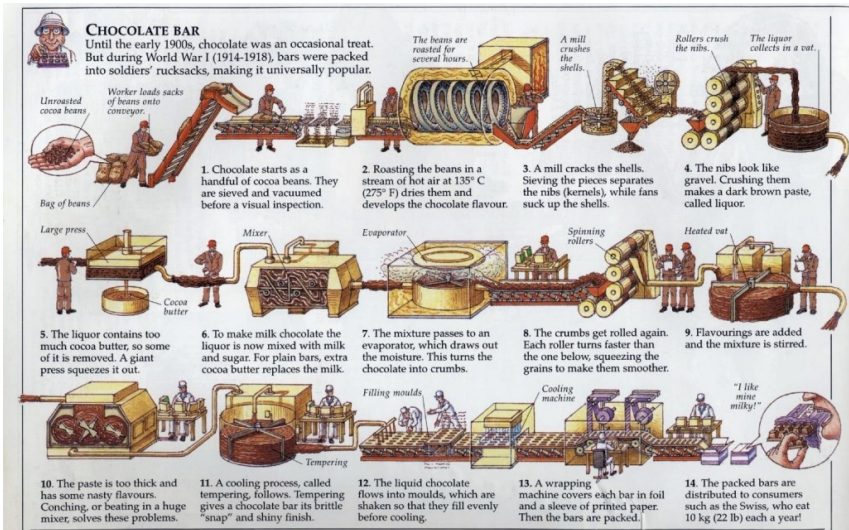
# Link Analysis of the Al Qaeda Terrorist Network <sup>[FMS]</sup>



# Internet Map in 2015 [Blo14, Opt17]



# Chocolate Making Process Dependencies [Fre14]

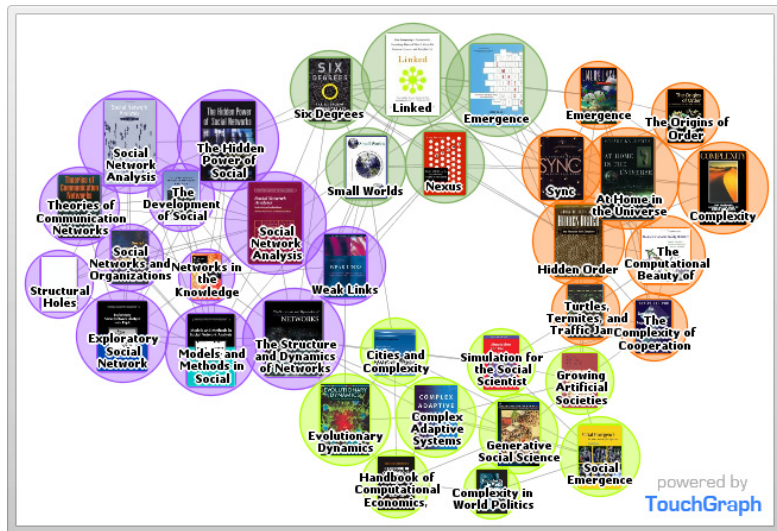


# More Examples

- Biological networks
  - gene regulation networks
  - protein-protein interaction networks
  - metabolic networks
  - the food web
  - predator-prey relations
  - brain network
- Social networks:
  - networks of acquaintances
  - collaboration networks
  - phone-call networks
  - citation networks
  - opinion formation
  - society/community/party networks
- Technological networks:
  - the Internet
  - telephone networks
  - transportation networks
  - sensor networks
  - energy grid networks
- Informational networks:
  - the World Wide Web
  - Twitter
  - Facebook
  - peer-to-peer



## SNA Books





# Approach to Complex Networks

- One needs to distinguish between **analysis** and **production** phases
- Some phenomena appear only with sufficiently large data volumes (emergent events)
- Volume
  - A number of suitable tools . . . HDF5, ElasticSearch, Clouds
  - Capable to operate with terabytes of data
- Visualization
  - Critical if anomaly features are not known
  - At present, there is no obvious choice of a tool and a network layout given a particular problem.
  - Tools do not often scale with data volumes (> 10.000 nodes,  $10^5$  edges)
  - GGobi, Pajek, NetworkX, SNAP, Tulip, Gephi, Cytospace, yEd, D3.js
  - Aspects: data volume, interactions with the user



# Popular software packages <sup>[HLDS13]</sup>

- Analysis

- **UCINET** (<http://www.analytictech.com/ucinet.htm>)
- **ENET** (<http://analytictech.com/e-net/e-net.htm>)
- **Pajek** (<http://pajek.imfm.si/doku.php?id=pajek>)
- **RSIENA**
- **R**
- **NodeXL**
- **NetworkX** ... a Python library
- **iGraph** ... a C/Python library

- Visualization

- **yEd**
- **Gephi**
- **Cytospace**
- **Tulip**
- **NetDraw** (2D, embedded in UCINET, see above)
- **Mage** (3D, embedded in UCINET, see above)
- visit [www.netvis.org/resources.php](http://www.netvis.org/resources.php) for more



# NETFLOW Primary Statistics

## • Netflow

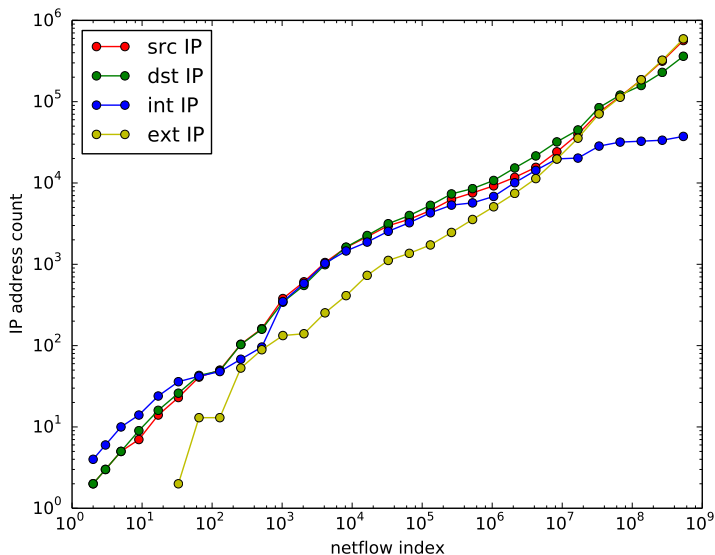
- Condensed records on a packet flow
- Several packets are merged into one netflow record
- Only 14-20 aggregated metrics

An enterprise traffic as a netflow sample taken during 9 days:

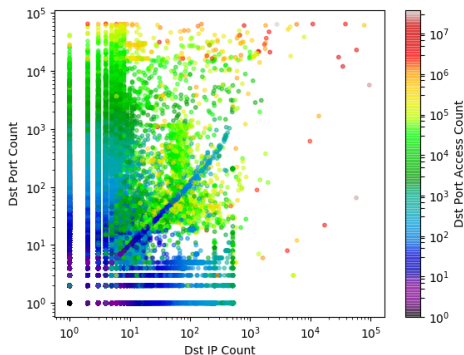
Statistics	Value
Total transported data volume	13,995,690,457,765 [B]
Packet count	20,131,367,095
Netflow count	617,326,053
IP address count	686,168
Source IP address count	614,150
Destination IP address count	392,881
Different P2P connections count	2,412,481



# Is the Sample of IP addresses representative?



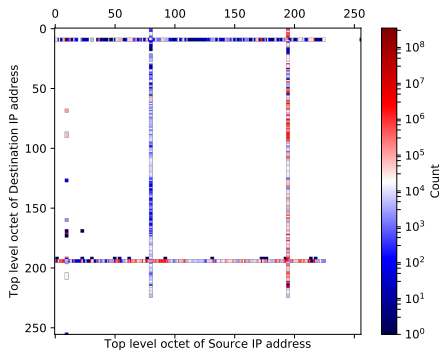
# A Data Projection Focused on Services



- Destination IP vs. destination port (space of services and their locations)
- Some counts of accesses are exceptional (red)



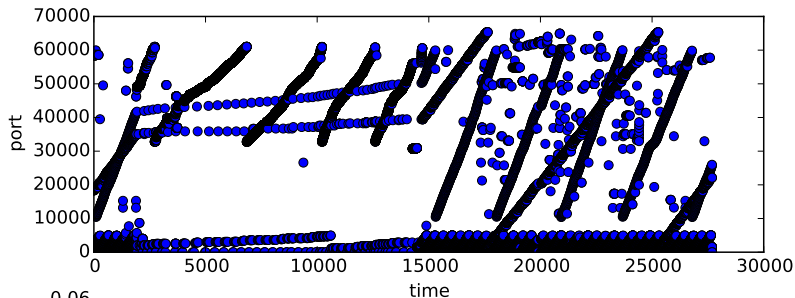
# Top Level IP Network Projection - Data Sparsity



- Focused on the network of source and destination IP addresses
- Top level octets of IP addresses ( $160.30.29.17 \implies 160$ )
- A very sparse space
- A rather restricted source-destination IP connections (as expected)



# Port Scanning from xxx.xxx.18.120 - Logical Time Progress



- 617,326,053 netflows  $\approx$  60,000 samples  $\times$  sample size 10.000
- $\implies$  60,000 samples might be still visualized with difficulties
- $\implies$  1.000 events can be easily missed with 10,000 sample size



# Masters of Social Network Analysis [RP13, Weh13]



- US National Security Agency
- Maintains large programs in social network analysis
- Believed to process  $2 \times 10^{10}$  node and tie updating events per day
- Result:  
"Better Person Centric Analysis"

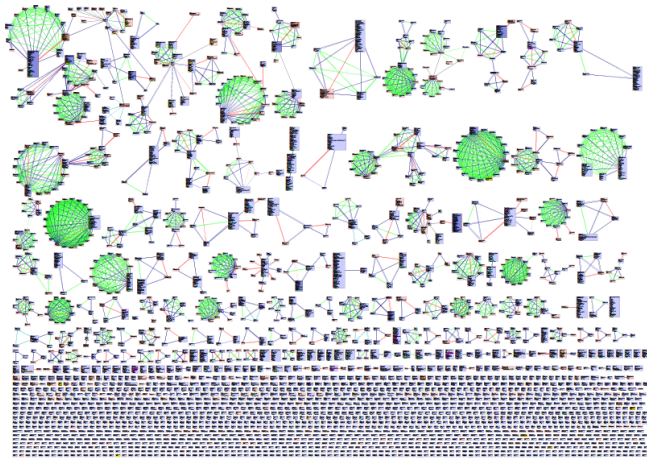
## Types

- **94 entity/node** types  
(*phone numbers, e-mail addresses, IP addresses, etc.*)
- **164 relationship** types to build "community of interest" profiles  
(*travelsWith, hasFather, sentForumMessage, employs, etc.*)





# Egypt Data - Family Recognition



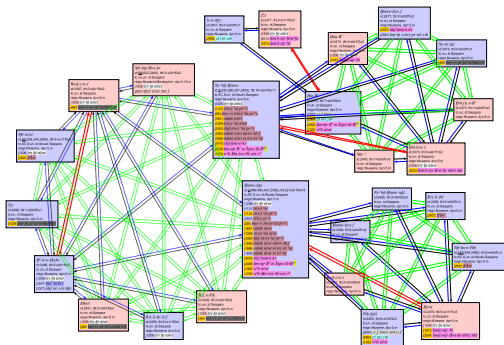
circular layout (yEd)

## A family:

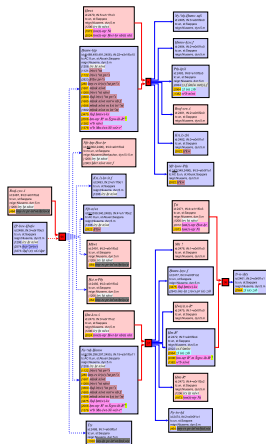
- Using family designation
  - husband, wife, son, etc.
- A connected graph component
- Sparse data assumed
- Transformed into family tree using marriage nodes



# Egypt Data - Transformation into Family Tree



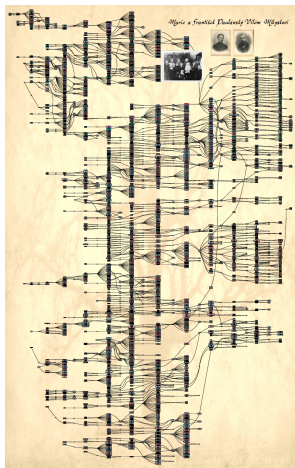
A family as a connected component  
**circular layout (yEd)**



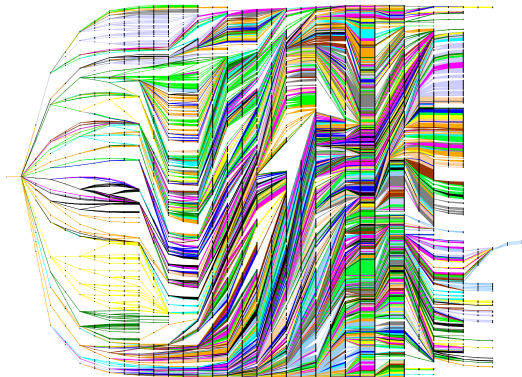
A family tree  
**hierarchical layout (yEd)**



# Family Trees<sup>[Mar17]</sup>



**multitree-like tree driven layout, Graphviz**



- Taxonomic information ITIS on plants, animals, fungi, and microbes,
- A phylogenetic tree with 945.352 nodes
- **multitree-like tree driven layout**



## HLASM Mainframe Assembly

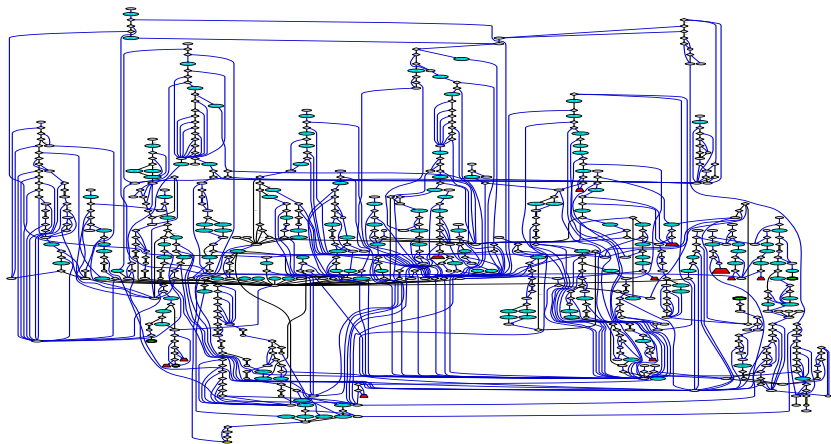
```

◀ Active Usings: IHIFSARA,R13
◀ Loc Object Code Addr1 Addr2 Stmt Source Statement
HLASM R5.0 2008/04/18 03.22
+00028A 1A3B 646 AR R3,PBT ADDRESS OF PBT-ENTRY 11980000
+00028C 910C 3006 00006 647 TM 6(R3),BETAM PROCEDURE CALLED 12000000
+000290 4780 D2A4 002A4 648 BZ PROLOG1 NO 12020000
+000294 0000 0000 00000 649 C ADR,ASTLOC(O,FSA) COMP.CONT.OF ADR.WITH ADDR.OF 12040000
*** ASMA044E Undefined symbol - ASTLOC
*** ASMA435I Record 619 in KOTEM01.CBT310.ASM(IHIFSA) on volume: TSU011
+ 650 * *FUNCTIONVALUESTORAGE 12060000
+000298 0000 0000 00000 651 BE OERR21(O,FSA) BRANCH IF EQUAL 12080000
*** ASMA044E Undefined symbol - OERR21
*** ASMA435I Record 621 in KOTEM01.CBT310.ASM(IHIFSA) on volume: TSU011
+00029C 9110 3006 00006 652 TM 6(R3),CODEPRM CODE PROCEDURE CALLED 12100000
+0002A0 4710 D47A 0047A 653 BO PROLOG2 YES 12120000
+0002A4 4803 0004 00004 654 PROLOG1 LH R0,4(R3) LENGTH OF DSA TO REG 0 12140000
+0002A8 184F 655 LR R4,BRR SAVE BRR DURING GETMAIN 12160000
+ 656 GETMAIN R,LV=(0) GETMAIN FOR DSA 12180000
+0002AA 4510 D2AE 002AE 658+ BAL 1,*+4 INDICATE GETMAIN 0230EN96 01-GETMA
+0002AE 0A0A 659+ SVC 10 ISSUE GETMAIN SVC 01-GETMA
+0002B0 18F4 660 LR BRR,R4 12200000
+0002B2 5802 B000 00000 661 L R0,0(R2,PBT) LOAD POINTER OF LAST GENERATION 12220000
+0002B6 5000 1000 00000 662 ST R0,0(O,R1) AND STORE IT IN DSA 12240000
+0002BA 50A0 1004 00004 663 ST CDSA,4(O,R1) STORE POINTER OF EMBRACING PB. 12260000
+0002BE 4020 1008 00008 664 STH R2,8(O,R1) STORE PBT DISPLACEMENT 12280000
+0002C2 9200 100A 0000A 665 MVI 10(R1),X'00' ZEROS TO VALUE ARRAY AND 12300000
+0002C6 D204 100B 100A 0000B 0000A 666 MVC 11(5,R1),10(R1) *ARRAY POINTERS 12320000
+0002CC 5012 B000 00000 667 ST R1,0(R2,PBT) STORE CURR.DSA POINTER IN PBT 12340000
+0002D0 18A1 668 LR CDSA,R1 SET CDSA POINTER 12360000
+0002D2 90BC A010 00010 669 STH PBT,LAT,16(CDSA) 12380000
+0002D6 0000 0000 00000 670 L STH,RASPT(FSA) RAS-POINTER TOP 12400000

```



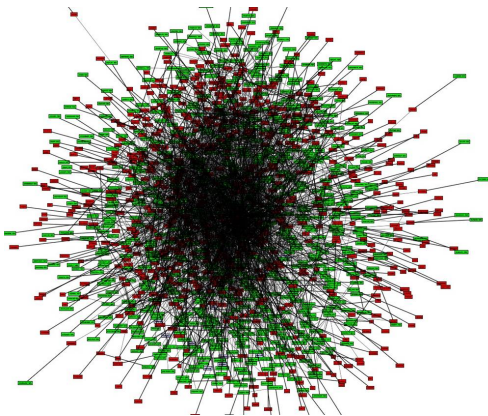
# CHALLENGE: Complex Control Flow, a typical case



layered layout - Graphviz dot



# Dependency of External Symbols in Mainframe Assembly Software

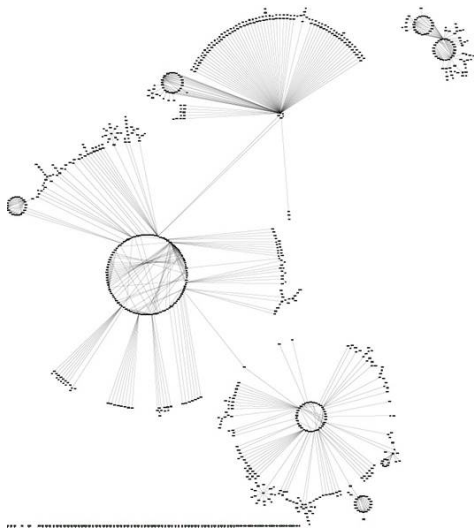


**Fruchterman-Reingold force-driven  
layout**

- A software product ... over 10.000.000 lines of code
- Over 400 modules ... red
- External symbols ... green
- Thick line ... the definition of a symbol
- Thin line ... a reference to a symbol
- 
- *Where should the developer start with a bug analysis?*



# Assembly Software - Recovered Architecture



## double-circular layout - yEd



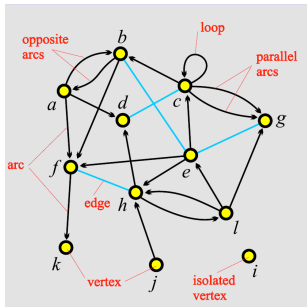
# Company Network of People - 3D Hyperbolic Tree Layout (Walrus)





# Graph <sup>[Weh13]</sup>

A **graph** is a set of vertices and a set of lines between pairs of vertices.



- **Actor** - **vertex**, **node**, point
- **Relation** - line, edge, arc, link, tie
  - **Edge** = undirected line,  $\{c, d\}$   
 $c$  and  $d$  are **end** vertices
  - **Arc** = directed line,  $(a, d)$   
 $a$  is the **initial** vertex, (source, start)  
 $d$  is the **terminal** vertex, (target, end)
  - Parallel (multiple) arcs/edges are only allowed in **multigraphs** with more than one relation (set of lines).
  - **Loop** (self-choice)

We focus on simple graphs!

A **simple** undirected graph has no loops and no parallel edges.

A simple directed graph has no parallel arcs.

# Network [EK10, New10, Weh13, Erc15]

## Network

A **network** consists of a graph and additional information on the vertices or the lines of the graph.

Formally, a network  $\mathcal{N} = (\mathcal{V}, \mathcal{L}, \mathcal{P}, \mathcal{W})$  consists of:

- A graph  $\mathcal{G} = (\mathcal{V}, \mathcal{L})$ , where
  - $\mathcal{V}$  is the set of vertices,
  - $\mathcal{A}$  is the set of arcs,
  - $\mathcal{E}$  is the set of edges, and
  - $\mathcal{L} = \mathcal{E} \cup \mathcal{A}$  is the set of lines.
- $\mathcal{P}$  vertex value functions / properties:  $p : \mathcal{V} \rightarrow A$
- $\mathcal{W}$  line value functions / weights:  $w : \mathcal{L} \rightarrow B$
- **Long range dependencies** vs. multidimensional space
- **Specific topological properties**
- **Large/Huge volumes** of **sparse** data records



# Asymptotic Notation [CLRS09, Erc15]

Let  $c, c_1, c_2 \in \mathbb{R}^{>0}$ ,  $n_0, n \in \mathbb{N}$ ,  $f, g \in \mathbb{N} \rightarrow \mathbb{R}^+$

Asymptotic upper bound (CZ horní asymptotický odhad)

$f(n) \in O(g(n))$ , if  $(\exists c > 0)(\exists n_0)(\forall n > n_0) : |f(n)| \leq |c \cdot g(n)|$

Asymptotic lower bound (CZ dolní asymptotický odhad)

$f(n) \in \Omega(g(n))$ , if  $(\exists c > 0)(\exists n_0)(\forall n > n_0) : |c \cdot g(n)| \leq |f(n)|$

Asymptotic tight bound (CZ optimální asymptotický odhad)

$f(n) \in \Theta(g(n))$ , if  $\Theta(g(n)) \stackrel{\text{def}}{=} O(g(n)) \cap \Omega(g(n))$   
 $(\exists c_1, c_2 > 0)(\exists n_0)(\forall n > n_0) : |c_1 \cdot g(n)| < |f(n)| < |c_2 \cdot g(n)|$



# NP-Completeness [CLRS09, Erc15]

## P and NP

- **P - Polynomial**. Problems that can be solved in polynomial time.
- **NP - Nondeterministic Polynomial**. A problem is in NP if you can in polynomial time by a *certifier* test whether a solution is correct without worrying about how hard it might be to find the solution.
  - Nondeterministic is a fancy way of talking about guessing a solution.
- $P \subseteq NP$  (??? P = NP ???)

## NP-complete and NP-hard

- **NPH - NP-hard**. An NPH problem is a problem which is as hard as any problem in NP
  - An NPH problem does not need to have a certificate.
- **NPC - NP-complete**. A problem is NPC if it is NP and is as hard as any problem in NP
  - A problem A is NPC if it is both NPH and in NP,  $NPC = NP \cap NPH$ .

# Complexity Classes Other Than NP [CLRS09, Erc15]

## Complexity classes harder than NP

- **PSPACE**. Problems that can be solved using a reasonable amount of memory
  - defined formally as a polynomial in the input size
  - without regard to how much time the solution takes.
- **EXPTIME**. Problems that can be solved in exponential time.
- **Undecidable**. For some problems, we can prove that there is no algorithm that always solves them, no matter how much time or space is allowed.



# Tree Search <sup>[BM08]</sup>

- A systematic procedure, or **algorithm**, that generates a sequence of rooted trees in  $G$ , starting with the trivial tree consisting of a single root vertex  $r$ , and terminating either with a spanning tree of the graph or with a nonspanning tree whose associated edge cut is empty, is called **tree-search** and the resulting tree is referred to as a **search tree** [BM08].
- **Depth-first search** is a tree-search in which the vertex added to the tree  $T$  at each stage is one which is a neighbor of as recent an addition to  $T$  as possible.
- The resulting spanning tree is called a **depth-first search tree** or **DFS-tree**.



# DFS-tree Search Edge Classification [BM08]

- There are two times associated with each vertex  $v \in G$  during the construction of its DFS-tree  $T$ :
  - the **discovery time**  $\tau_d(v)$  when  $v$  is incorporated into  $T$  and
  - the **finish time**  $\tau_f(v)$  when all the neighbors of  $v$  are found to be already in  $T$ .
- In particular,  $\tau_d(r) = 1$ ,  $\tau_f(v) = \tau_d(v) + 1$  for every leaf  $v$  of  $T$ , and  $\tau_f(r) = 2|V|$ .
- Based on Proposition 1 and Theorem 1 any edge  $e = uv$  in a graph  $G$  having a DFS-tree  $T$  with  $\tau_d(u) < \tau_d(v) < \tau_f(v) < \tau_f(u)$  can be oriented as  $\vec{e} = \vec{uv} = (u, v)$  and classified as:
  - **tree edge**, if  $e \in T$ , i.e. the vertex  $u$  is an ancestor of  $v$  in  $T$ ,
  - **back edge**, if  $e \notin T$ .



# Tree Search Times - Properties

## Proposition 1 (Proposition 6.5 [BM08], p.141)

Let  $u$  and  $v$  be two vertices of  $G$ , with  $\tau_d(u) < \tau_d(v)$ .

- a) If  $u$  and  $v$  are adjacent in  $G$ , then  $\tau_f(v) < \tau_f(u)$ .
- b)  $u$  is an ancestor of  $v$  in  $T$  if and only if  $\tau_f(v) < \tau_f(u)$ .

## Theorem 1 (Theorem 6.6 [BM08], p.142)

Let  $T$  be a DFS-tree of a graph  $G$ . Then every edge of  $G$  joins vertices which are related in  $T$ .

## Lemma 1 (Lemma 22.11 [CLRS09], p.614)

A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges.



# Tree Search Times - Properties

Proposition 2 (Proposition 1.5.6 [Die05], p.16)

*Every connected graph contains a normal spanning tree, with any specified vertex as its root.*



# Breadth-first Search [CLRS09, Erc15]

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## Algorithm 1 BFS

---

```

1: Input:  $G(V, E)$ , a source node  $s$ 
2: Output:  $d_v, \text{pred}[v], \forall v \in V$ 
3:    $\triangleright$  distance and place of a vertex in BFS
4:  $Q$  ... a queue
5: for all  $u \in V \setminus \{s\}$  do
6:    $d_u \leftarrow \infty$ 
7:    $\text{pred}[u] \leftarrow \perp$     $\triangleright$  undetermined value
8: end for
9:  $d_s \leftarrow 0$ 
10:  $\text{pred}[s] \leftarrow s$ 

```

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---

## BFS ... the main loop

---

```

11:  $Q \leftarrow s$ 
12: while  $Q \neq \emptyset$  do
13:    $u \leftarrow \text{dequeue}(Q)$ 
14:   for all  $(u, v) \in E$  do
15:     if  $d_v = \infty$  then
16:        $d_v \leftarrow d_u + 1$ 
17:        $\text{pred}[v] \leftarrow u$ 
18:        $\text{enqueue}(Q, v)$ 
19:     end if
20:   end for
21: end while

```

---

## Theorem 2 (Theorem 3.1 [Erc15], p.35)

*The time complexity of BFS algorithm is  $\Theta(N + M)$  for a graph of order  $N$  and size  $M$ .*

# Depth-first Search [CLRS09, Erc15]

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## Algorithm 2 DFS\_Forest

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```

1: Input:  $G(V, E)$ , directed or undirected
2: Output:  $\text{pred}[v]$ ,  $\text{firstVis}[v]$ ,  $\text{secVis}[v]$ ,
    $\forall v \in V$ 
3: int  $\text{time} \leftarrow 0$ ;  $\text{visited}[1 : n] \leftarrow 0$ 
4: for all  $u \in V$  do
5:    $\text{visited}[u] \leftarrow \text{false}$ 
6:    $\text{pred}[u] \leftarrow \perp$   $\triangleright$  undetermined value
7: end for
8: for all  $u \in V$  do
9:   if  $\neg \text{visited}[u]$  then
10:     $\text{DFS}(u)$ 
11:   end if
12: end for

```

---



---

## DFS procedure

---

```

13: procedure  $\text{DFS}(u)$ 
14:    $\text{visited}[u] \leftarrow \text{true}$ 
15:    $\text{time} \leftarrow \text{time} + 1$ 
16:    $\text{firstVis}[u] \leftarrow \text{time}$ 
17:   for all  $(u, v) \in E$  do
18:     if  $\neg \text{visited}[v]$  then
19:        $\text{pred}[v] \leftarrow u$ 
20:        $\text{DFS}(u)$ 
21:     end if
22:   end for
23:    $\text{time} \leftarrow \text{time} + 1$ 
24:    $\text{sectVis}[u] \leftarrow \text{time}$ 
25: end procedure

```

---

### Asymptotic complexity of the DFS algorithm

The time complexity is  $\Theta(N + M)$  for a graph of order  $N$  and size  $M$ .

# Dijkstra's Single Source Shortest Paths [CLRS09, Erc15]

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## Algorithm 3 Dijkstra\_SSSP

---

```

1: Input:  $G(V, E)$ , directed or undirected,
2: Input: positive weights  $l_e$  on edges,
3: Input: a source node  $s$ 
4: Output:  $d_v, \text{pred}[v], \forall v \in V$ 
5: for all  $u \in V \setminus \{s\}$  do
6:    $d_u \leftarrow \infty$ 
7:    $\text{pred}[u] \leftarrow \perp$   $\triangleright$  undetermined value
8: end for
9:  $d_s \leftarrow 0$ 
10:  $\text{pred}[s] \leftarrow s$ 

```

---



---

## SSSP ... the main loop

---

```

11:  $S \leftarrow [V]$   $\triangleright$  insert all vertices
12: while  $S \neq \emptyset$  do
13:    $u \leftarrow \min(S)$ 
14:    $S \leftarrow S \setminus \{u\}$ 
15:   for all  $(u, v) \in E$  do
16:     if  $d_v > d_u + l(u, v)$  then
17:        $d_v \leftarrow d_u + l(u, v)$ 
18:        $\text{pred}[v] \leftarrow u$ 
19:     end if
20:   end for
21: end while

```

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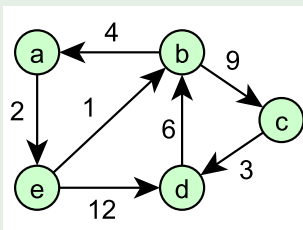
Theorem 3 (Theorem 5.1 [Erc15], p.84)

*The time complexity of the Dijkstra's\_SSSP is  $O(N^2)$  for a graph of order  $N$ .*

# Floyd-Warshall All Pairs Shortest Paths [CLRS09, Erc15]

- The approach
  - Dynamic programming approach
  - Comparing all possible paths between each pair of nodes in  $G$
  - Improving the shortest path between them at each step until the result is optimal.
- Distance matrix  $D[N, N]$  between nodes  $u$  and  $v$
- Matrix  $P[N, N]$  with the first node on the current shortest path from  $u$  to  $v$

## Example 1



# FW APSP Algorithm [CLRS09, Erc15]

## Algorithm 4 FW\_APSP

```

1: Input:  $G(V, E)$ ,
2: Input: weights  $w_e$  on edges,
3: no negative-weight cycles
4: Output:  $D[N, N]$ ,  $P[N, N]$ 
5: for all  $\{u, v\} \in V$  do
6:   if  $u = v$  then
7:      $D[u, v] \leftarrow 0$ ;  $P[u, v] \leftarrow \perp$ 
8:   else if  $(u, v) \in E$  then
9:      $D[u, v] \leftarrow w_{uv}$ ;  $P[u, v] \leftarrow v$ 
10:  else
11:     $D[u, v] \leftarrow \infty$ ;  $P[u, v] \leftarrow \perp$ 
12:  end if
13: end for

```

## APSP ... the main loop

```

14:  $S \leftarrow \emptyset$ 
15: while  $S \neq V$  do
16:   pick  $w$  from  $V \setminus S$            ▷ Select a pivot
17:   for all  $u \in V$  do
18:     for all  $v \in V$  do
19:       if  $D[u, w] + D[w, v] < D[u, v]$  then
20:          $D[u, v] \leftarrow D[u, w] + D[w, v]$ 
21:          $P[u, v] \leftarrow P[u, w]$ 
22:       end if
23:     end for
24:   end for
25:    $S \leftarrow S \cup \{w\}$ 
26: end while

```

Asymptotic complexity of the FW\_APSP algorithm

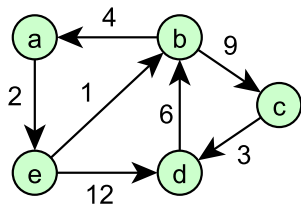
The time complexity is  $\Theta(N^3)$  for a graph of order  $N$ .

FW APSP Algorithm Example <sup>[Erc15]</sup>

$$D = \begin{bmatrix} 0 & \infty & \infty & \infty & 2 \\ 4 & 0 & 9 & \infty & \infty \\ \infty & \infty & 0 & 3 & \infty \\ \infty & 6 & \infty & 0 & \infty \\ \infty & 1 & \infty & 12 & 0 \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} 0 & 3 & \infty & 14 & 2 \\ 4 & 0 & 9 & 12 & 6 \\ \infty & 9 & 0 & 3 & \infty \\ 10 & 6 & 15 & 0 & \infty \\ 5 & 1 & 10 & 12 & 0 \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} 0 & 3 & 12 & 14 & 2 \\ 4 & 0 & 9 & 12 & 6 \\ 13 & 9 & 0 & 3 & 10 \\ 10 & 6 & 15 & 0 & 12 \\ 5 & 1 & 10 & 12 & 0 \end{bmatrix}$$



# Summary

- An introduction to complex networks
- Several practical application domains shown
- Software tools overview
- Demonstration of two issues
  - Network data volume
  - Network visualization
- Graph Terminology Reminder
- Graph Path Algorithms Reminder



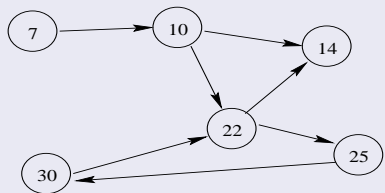


# Appendix



# Graph Representation <sup>[Bei95]</sup>

## Graph



## List

7: 10  
 10: 14, 22  
 14:  
 22: 14, 25  
 25: 30  
 30: 22

## Adjacency matrix (Table)

	7	10	14	22	25	30
7	.	1	.	.	.	.
10	.	.	1	1	.	.
14	.	.	.	.	.	.
22	.	.	1	.	1	.
25	.	.	.	.	.	1
30	.	.	.	1	.	.



# Graph (Formal Definitions) [Die05, BM08, Wil98]

- A **graph** is a pair  $G = (V, E)$  of sets such that  $E \subseteq [V]^2$ ,  $V \cap E = \emptyset$ , together with an **incidence function**  $\psi_G$  that associates with each edge of  $G$  an unordered pair of not necessarily distinct vertices of  $G$ .
- The number of vertices of a graph  $G$  is its **order**  
 $N = v(G) = |V| = |G|$ .
- A graph with vertex set  $V$  is said to be a **graph on**  $V$ .
- The vertex set of a graph  $G$  is referred to as  $V(G)$ , its edge set as  $E(G)$ , independently of any actual names of these two sets.
- We also write  $v \in G$  instead of  $v \in V(G)$ , similarly  $e \in G$ .
- The number of edges of a graph  $G$  is its **size** denoted by  
 $M = e(G) = |E| = ||G||$ .



# Graph Operations [Die05, BM08, Wi98]

- Let  $G = (V, E)$  and  $G' = (V', E')$  be two graphs.
- If  $V' \subseteq V$  and  $E' \subseteq E$ , then  $G'$  is a **subgraph** of  $G$ , written as  $G' \subseteq G$ .
- If  $G' \subseteq G$  and  $G'$  contains all the edges  $xy \in E$  with  $x, y \in V'$ , then  $G'$  is an **induced subgraph** of  $G$ ; i.e.  $V'$  **induces** or **spans**  $G'$  in  $G$  and  $G' := G[V']$ .
- $G' \subseteq G$  is a **spanning** subgraph of  $G$  if  $V'$  spans all of  $G$ , i.e. if  $V' = V$ .
- If  $U$  is any set of vertices, we write  $G - U$  for  $G[V \setminus U]$ .
- If  $U = \{v\}$  is a singleton, we write  $G - v$  rather than  $G - \{v\}$ .
- For a subset  $F \subseteq [V]^2$  we write  $G - F := (V, E \setminus F)$  and  $G + F := (V, E \cup F)$ ;  $G - \{e\}$  and  $G + \{e\}$  are abbreviated to  $G - e$  and  $G + e$ .



# Graph Maximality <sup>[Die05]</sup>

- A graph  $G$  is **edge-maximal** with a given graph property if  $G$  itself has the property but no graph  $G + uv$  does for non-adjacent vertices  $u, v \in G$ .
- When we call a graph **minimal** or **maximal** with some property but have not specified any particular ordering, we refer to the subgraph relation.
- We speak of minimal or maximal sets of vertices or edges if the reference is made to set inclusion.



# Graph Edges [Die05, BM08, Wi198]

- Let  $e$  be an edge and  $u$  and  $v$  are vertices such that  $\psi_G(e) = \{u, v\}$ .
- A vertex  $v$  is **incident** with an edge  $e$  if  $v \in e$ ; then  $e$  is an edge **at**  $v$ .
- The set of all the edges in  $E$  at a vertex  $v$  is denoted by  $E(v)$ .
- The two vertices  $v_1$  and  $v_2$  incident with an edge  $e = \{v_1, v_2\}$  are its **endvertices** or **ends**, and an edge **joins** its ends.
- An edge  $\{u, v\}$  might be written as  $uv$  (or  $vu$ ).
- If  $u \in U \subseteq V$  and  $w \in W \subseteq V$  then  $uw$  is an  $U - W$  **edge**.
- The set of all  $U - W$  edges in a set  $E$  is denoted by  $E(U, W)$ .
- Two vertices  $u, v \in G$  are **adjacent**, or **neighbors**, if  $uv \in G$ .
- Two edges  $e \neq f$  are **adjacent** if they have an end in common.
- If  $\{V_1, V_2\}$  is a partition of  $V$ , the set  $E(V_1, V_2)$  of all the edges of  $G$  **crossing** this partition is called a **cut**.



# Graph Neighborhood

[Die05, BM08, Wil98]

- Let  $G = (V, E)$  be a (non-empty) graph.
- The set of **neighbors** of a vertex  $v$  in  $G$  is denoted by  $N_G(v)$ , or briefly by  $N(v)$ .
- The **neighbors of**  $U$  for  $U \subseteq V$ , denoted by  $N(U)$ , is the set of the neighbors  $V \setminus U$  of vertices in  $U$ .
- The **degree** (or **valency**)  $d_G(v) = d(v)$  of a vertex  $v$  is the number  $|E(v)|$  of edges at  $v$ .
- Let  $r \geq 2$  be an integer.
- A graph  $G = (V, E)$  is called  **$r$ -partite** if  $V$  admits a partition into  $r$  classes such that every edge has its ends in different classes: vertices in the same partition class are not adjacent.
- If  $r = 2$  then such a graph is denoted as **bipartite**.
  - $V = V_1 \cup V_2, V_1 \cap V_2 = \emptyset$



# Graph Path [Die05, BM08, Wil98]

- A **path** is a non-empty graph  $P = (V, E)$  of the form  $V = \{v_0, v_1, \dots, v_k\}$ ,  $E = \{v_0v_1, v_1v_2, \dots, v_{k-1}v_k\}$ , where the  $v_i$  are all distinct.
- The vertices  $v_0$  and  $v_k$  are **linked** by  $P$  and are called its **ends**, the vertices  $v_1, \dots, v_{k-1}$  are the **inner** vertices of  $P$ .
- A path  $P$  can often be identified by its natural sequence of its vertices, i.e.  $P = v_0v_1 \dots v_k$  and called a path **from**  $v_0$  **to**  $v_k$  (or **between**  $v_0$  and  $v_k$ ).
- Given sets  $A, B$  of vertices, we call  $P = v_0v_1 \dots v_k$  an  **$A - B$  path** if  $V(P) \cap A = \{v_0\}$  and  $V(P) \cap B = \{v_k\}$ .
- We write  **$a - B$**  path rather than  $\{a\} - B$ , etc.
- If  $P = v_0 \dots v_{k-1}$  is a path and  $k \geq 3$ , then the graph  $C := P + v_{k-1}v_0$  is called a **cycle**.





# Graph Subpath [Die05, BM08, Wil98]

- For  $P = v_0v_1 \dots v_k$  and  $0 \leq i \leq j \leq k$  we write

$$Pv_i := v_0 \dots v_i, \text{ and} \quad (1)$$

$$v_iP := v_i \dots v_k, \text{ and} \quad (2)$$

$$v_iPv_j := v_i \dots v_j \quad (3)$$

and

$$\overset{\circ}{P} := v_1 \dots v_{k-1}, \text{ and} \quad (4)$$

$$P\overset{\circ}{v}_i := v_0 \dots v_{i-1}, \text{ and} \quad (5)$$

$$\overset{\circ}{v}_iP := v_{i+1} \dots v_k, \text{ and} \quad (6)$$

$$\overset{\circ}{v}_iP\overset{\circ}{v}_j := v_{i+1} \dots v_{j-1} \quad (7)$$

for the appropriate subpaths of  $P$ .

- A **concatenation** of three paths  $Px \cup xQy \cup yR$  is denoted as  $PxQyR$



# Graph Walk [Die05, BM08, Wi98]

- A **walk** in a graph  $G$  is a sequence  $W := v_0 e_1 v_1 \dots v_{\ell-1} e_{\ell} v_{\ell}$ , whose terms are alternately vertices and edges of  $G$ , such that  $v_{i-1}$  and  $v_i$  are the ends of  $e_i$ ,  $1 \leq i \leq \ell$ .
- If  $v_0 = x$  and  $v_{\ell} = y$ , we say that  $W$  **connects**  $x$  to  $y$  and refer to  $W$  as an  **$xy$ -walk**.
- The vertices  $x$  and  $y$  are called the **ends** of the walk,  $x$  being its **initial vertex** and  $y$  its **terminal vertex**, the vertices  $v_1, \dots, v_{\ell-1}$  are its **internal vertices**.
- The integer  $\ell$  (the number of edge terms) is the **length** of  $W$ .
- An  **$x$ -walk** is a walk with initial vertex  $x$ .
- If there is an  $xy$ -walk in a graph  $G$ , then is also an  $xy$ -path.
- The length of a shortest such  $xy$ -path is called the **distance** between  $x$  and  $y$  and denoted  $d_G(x, y)$ .
- The greatest distance between any two vertices in  $G$  is called the **diameter of  $G$** , denoted by  $diam(G) = \max_{u,v} d_G(u, v)$ .



# Graph Component [Die05, BM08, Wil98]

- A non-empty graph  $G$  is called **connected** if any two of its vertices are linked by a path in  $G$ , otherwise the graph is **disconnected**.
- If  $U \subseteq V(G)$  and  $G[U]$  is connected, we call  $U$  itself connected (in  $G$ ).
- A maximal connected subgraph of  $G$  is called a **component** of  $G$ .



# Graph Separator [Die05, BM08, Wil98]

- If  $A, B \subseteq V$  and  $X \subseteq V \cup E$  are such that every  $A - B$  path in  $G$  contains a vertex or an edge from  $X$ , we say that  $X$  **separates** the sets  $A$  and  $B$  in  $G$ .
- $X$  **separates**  $G$  if  $G - X$  is disconnected, that is, if  $X$  separates in  $G$  some two vertices that are not in  $X$ .
- A separating set of vertices is a **separator**.
- A vertex which separates two other vertices of the same component is a **cutvertex**, and an edge separating its ends is a **bridge**.
- The unordered pair  $\{A, B\}$  is a **separation** of  $G$  if  $A \cup B = V$  and  $G$  has no edge between  $A \setminus B$  and  $B \setminus A$ .
- The number  $|A \cap B|$  is the **order** of the separation  $\{A, B\}$ .



# Graph Block [Die05, BM08, Wil98]

- $G$  is  **$k$ -connected** (for  $k \in \mathbb{N}$ ) if  $|G| > k$  and  $G - X$  is connected for every set  $X \subseteq V$  with  $|X| < k$ .
- A maximal connected subgraph without a cutvertex is called a **block**.
- Thus, every block of a graph  $G$  is either a maximal 2-connected subgraph, or a bridge (with its ends), or an isolated vertex.
- By their maximality, different blocks of  $G$  overlap in at most one vertex, which is then a cutvertex of  $G$ .
- Every edge of  $G$  lies in a unique block, and  $G$  is the union of its blocks.
- Let  $A$  denote the set of cutvertices of  $G$ , and  $\mathcal{B}$  is set of its blocks.
- A bipartite graph on  $A \cup \mathcal{B}$  formed by the edges  $aB$  with  $a \in A \cap B$  and  $B \in \mathcal{B}$  is called a **block graph** of  $G$ .



# Graph Tree [Die05, BM08, WI98]

- An **acyclic graph** is a graph that does not contain any cycle.
- An acyclic graph is also called a **forest**.
- A connected forest is called a **tree**.
- The vertices of degree 1 in a tree are its **leaves**.
- One vertex of a tree can be selected as special; such a vertex is then called the **root** of this tree.
- A tree  $T$  with a fixed root  $r$  is a **rooted tree**.
- A **spanning tree** of a graph  $G$  is a minimal connected spanning subgraph  $T \subset G$ 
  - by the equivalence of (i) and (iii) of Theorem 4.

Proposition 3 (Proposition 3.1.2 [Die05], p.56)

*The block graph of a connected graph is a tree.*

# Tree Properties I

## Theorem 4 (Theorem 1.5.1 [Die05], p.14)

*The following assertions are equivalent for a graph  $T$ :*

- (i)  $T$  is a tree;
- (ii) Any two vertices of  $T$  are linked by a unique path in  $T$ ;
- (iii)  $T$  is minimally connected, i.e.  $T$  is connected but  $T - e$  is disconnected for every edge  $e \in T$ ;
- (iv)  $T$  is maximally acyclic, i.e.  $T$  contains no cycle but  $T + uv$  does, for any two non-adjacent vertices  $u, v \in T$ .

## Corollary 1 (Corollary 1.5.3 [Die05], p.14)

*A connected graph with  $N$  vertices is a tree if and only if it has  $N - 1$  edges.*

# Tree Properties II

## Corollary 2 (Corollary 1.5.2 [Die05], p.14)

*The vertices of a tree can always be enumerated, say as  $v_1, \dots, v_N$ , so that every  $v_i$  with  $i \geq 2$  has a unique neighbor in  $\{v_1, \dots, v_{i-1}\}$ .*





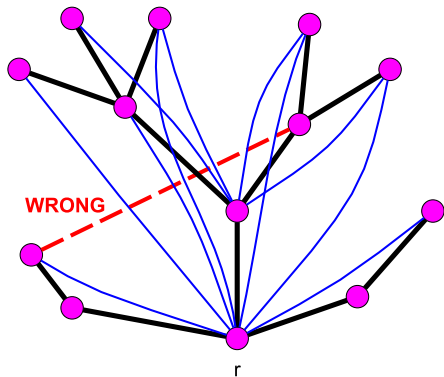
# Tree Order <sup>[Die05]</sup>

- We write  $uTv$  for the unique path in a tree  $T$  between two vertices  $u, v$ 
  - with regard to (ii) of Theorem 4.
- The **tree-order** associated with  $T$  and its root  $r$  defines a partial ordering on  $V(T)$  as  $u \leq v$  for  $u \in rTv$ .
- If  $u < v$  we say that
  - $u$  **lies below**  $v$  in  $T$ ,
  - $[v] := \{u \mid u \leq v\}$  is the **down-closure of**  $v$ , and
  - $[u] := \{v \mid u \leq v\}$  is the **up-closure of**  $u$ .
- The root  $r$  is the least element in the tree order.
- The leaves of  $T$  are the maximal elements of its tree order.
- The ends of any edge of  $T$  are comparable.
- The down-closure of every vertex is a **chain**, a set of pairwise comparable elements.
- The vertices at distance  $k$  from  $r$  have **height**  $k$  and form the  **$k$ th level of**  $T$ .



# Normal Spanning Tree <sup>[Die05]</sup>

- A rooted tree  $T$  contained in a graph  $G$  is called **normal in  $G$**  if the ends of every  $T$ -path in  $G$  are comparable in the tree-order of  $T$ .
- Normal spanning trees are also called **depth-first search trees**.



# Normal Spanning Tree - Properties

## Lemma 2 (Lemma 1.5.5 [Die05], p.15)

Let  $T$  be a normal tree in  $G$ :

- (i) Any two vertices  $u, v \in T$  are separated in  $G$  by the set  $[u] \cap [v]$ .
- (ii) If  $S \subseteq V(T) = V(G)$  and  $S$  is down-closed, then the components of  $G - S$  are spanned by the sets  $[u]$  with  $u$  minimal in  $T - S$ .

## Proposition 4 (Proposition 1.5.6 [Die05], p.16)

Every connected graph contains a normal spanning tree, with any vertex specified as its root.

## Example 2 (Rapid Spanning Tree Protocol (802.1w) by Cisco [Cis17])

- A network protocol that builds a logical loop-free topology.



# Digraph [Die05, BM08, Wil98]

- A **directed graph** (or **digraph**) is a pair  $(V, E)$  of disjoint sets (of **vertices** and **arcs**) together with two maps  $\text{init} : E \rightarrow V$  and  $\text{ter} : E \rightarrow V$  assigning to every arc  $e$  an **initial vertex**  $\text{init}(e)$  and a **terminal vertex**  $\text{ter}(e)$ .
- In some references, vertices of directed graphs are called **nodes**.
- The arc  $e$  is said to be **directed from**  $\text{init}(e)$  **to**  $\text{ter}(e)$ .
- Both maps  $\text{init}(e)$  and  $\text{ter}(e)$  are often combined into an **incidence function**  $\psi_D$  that associates with each arc of  $D$  an ordered pair of vertices of  $D$ ,  $\psi_D(e) = (u, v)$ .



# Digraph Arc

[Die05, BM08, Wil98]

- If  $a$  is an arc and  $\psi_D(a) = (u, v)$ , then the vertex  $u$  is also referenced as the **tail** of  $a$ , and the vertex  $v$  its **head**; they are the two **ends** of  $a$ , and we also say that  $u$  **dominates**  $v$ .
- If the orientation of an arc is irrelevant to the discussion, we refer to the arc as **edge** of the directed graph.
- If  $\text{init}(e) = \text{ter}(e)$ , the edge  $e$  is called a **loop**.
- Note that a directed graph may have several arcs between the same two vertices  $u, v$ .



# Graph Orientation [BM08, Wil98]

- A directed graph  $D$  is an **orientation** of an (undirected) graph  $G$  if  $V(D) = V(G)$  and  $E(D) = E(G)$  and if  $\{\text{init}(e), \text{ter}(e)\} = \{u, v\}$  for every  $e = uv \in G$ .
- Sometimes, it is necessary to distinguish an oriented version of a given graph from its (undirected) graph.
- We denote the (undirected) version as  $G = (V, E) = \overline{G}$  and the related graph orientation by  $\vec{G} = (V, \vec{E})$  where each oriented edge  $\vec{e} = (u, v) \in \vec{G}$  is mapped to the edge  $e = \{u, v\} \in G$ .
- We say that  $\overline{G} = G(\vec{G})$  is the **underlying graph** of  $\vec{G}$  [Wil98, BM08].
- A digraph  $D$  is **connected** if it cannot be expressed as the union of two digraphs, i.e. the underlying graph of  $D$  is a connected graph.

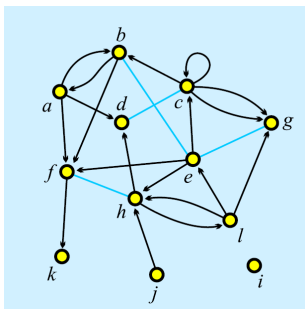


# Digraph Degree [Die05, BM08, Wil98]

- The **degree** of a vertex  $v$  in a digraph  $D$  is simply the degree of  $v$  in the underlying graph  $G(D)$  of  $D$ .
- The **indegree**  $d_D^-(v)$  of a vertex  $v \in D$  is the number of arcs with head  $v$ ,
- the **outdegree**  $d_D^+(v)$  of a vertex  $v \in D$  is the number of arcs with tail  $v$ .
- A vertex of indegree zero is called a **source**, one of outdegree zero a **sink**.



# Vertex Degree <sup>[Weh13]</sup>



- Degree** of vertex  $i$ ,  
 $deg(i) = d_i = k_i = \sum_{j=1}^N A_{ij}$   
 = the number of lines with  $i$  as end-vertex,  
 (end-vertex is both initial and terminal)
- Indegree** of vertex  $i$ ,  $indeg(i)$ ,  $deg^+(i)$   
 $= k_i^{in} = \sum_{j=1}^N A_{ij}$  the number of lines with  
 $v$  as terminal vertex
- Outdegree** of vertex  $j$ ,  $outdeg(j)$ ,  $deg^-(j)$   
 $= k_j^{out} = \sum_{i=1}^N A_{ij}$  the number of lines with  
 $j$  as initial vertex.

## Example 3

$$N = 12, M = 23, deg^+(e) = 3, deg^-(e) = 5, deg(e) = 6$$

$$\sum_{v \in \mathcal{V}} deg^+(v) = \sum_{v \in \mathcal{V}} deg^-(v) = |\mathcal{A}| + 2|\mathcal{E}|$$



# Digraph Walk [Die05, BM08, Wil98]

- A **directed walk** in a digraph  $D$  is an alternating sequence of vertices and arcs  $W := (v_0, a_1, v_1, \dots, v_{\ell-1}, a_\ell, v_\ell)$  such that  $v_{i-1}$  and  $v_i$  are the tail and head of  $a_i$ , respectively,  $1 \leq i \leq \ell$ .
- If  $x$  and  $y$  are the initial and terminal vertices of  $W$ , we refer to  $W$  as a directed  $(x, y)$ -**walk**.
- A **directed path** or **directed cycle** is an orientation of a path or cycle in which each vertex dominates its successor in the sequence.
- We say that a vertex  $y$  is **reachable** from a vertex  $x$  if there is a directed  $(x, y)$ -path.



# Digraph Strong Connectivity [Die05, BM08, WI98]

- In a digraph  $D$ , two vertices  $x$  and  $y$  are **strongly connected** if there is a directed  $(x, y)$ -walk and also a directed  $(y, x)$ -walk.
- Strong connection is an equivalence relation on the vertex set of a digraph.
- The subdigraphs of  $D$  induced by the equivalence classes with respect to this relation are called the **strong components** of  $D$ .
- The **condensation**  $C(D)$  of a digraph  $D$  is the digraph whose vertices correspond to the strong components of  $D$ , two vertices of  $C(D)$  being linked by an arc if and only if there is an arc in  $D$  linking the corresponding strong components and with the same orientation.
- The condensation of any digraph is acyclic.



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