

# Segmentation I

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2013-10-14

# Outline

Introduction

Individual pixel classification

Region-based algorithms

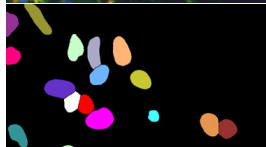
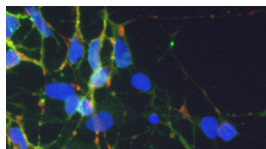
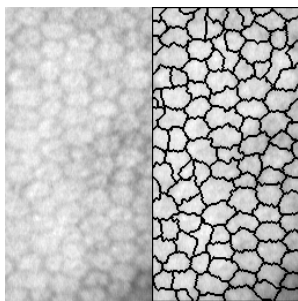
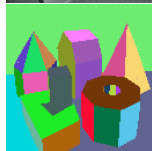
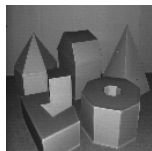
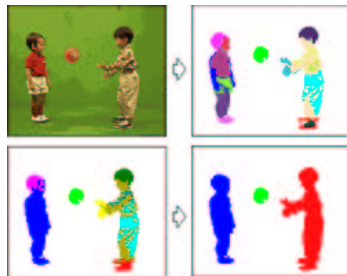
# Topic

Introduction

Individual pixel classification

Region-based algorithms

# What is image segmentation



## Segmentation definition

- ▶ ... image dependent
- ▶ Image:  $f : (\Omega \subseteq \mathbb{Z}^d) \rightarrow \mathbb{R}^m$ ,  
Segmentation:  $s : (\Omega \subseteq \mathbb{Z}^d) \rightarrow \mathcal{Y}$  with  $\mathcal{Y} = \{1, \dots, L\}$
- ▶ Divide image pixels into  $L$  classes
- ▶ usually  $L - 1$  objects and background
- ▶ usually objects are spatially compact (regularization)

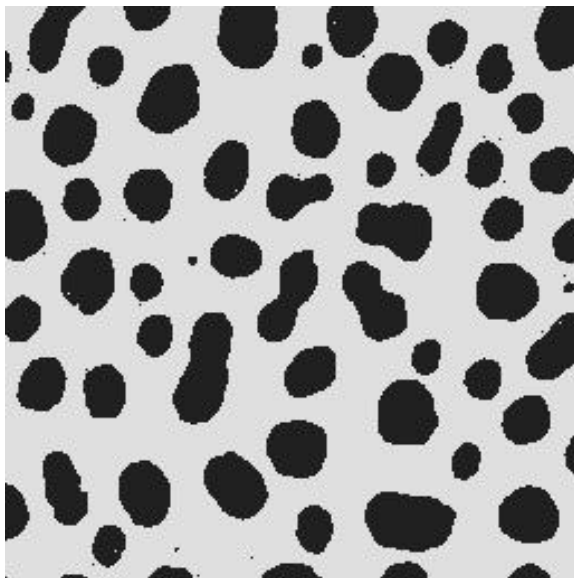
# Segmentation approaches

- ▶ local information
  - ▶ intensity, colour, texture, ...
- ▶ global information
  - ▶ edges, shape, position, mutual position, ...
- ▶ complete × partial segmentation (parts not classified)
- ▶ a priori information (e.g. shape, position, relative position)
- ▶ multilevel segmentation (semantic feedback)

## Segmentation difficulties

- ▶ Easy for humans  $\times$  not easy for computers
- ▶ Humans cannot explain how they do it
- ▶ Image dependent; methods not universally applicable

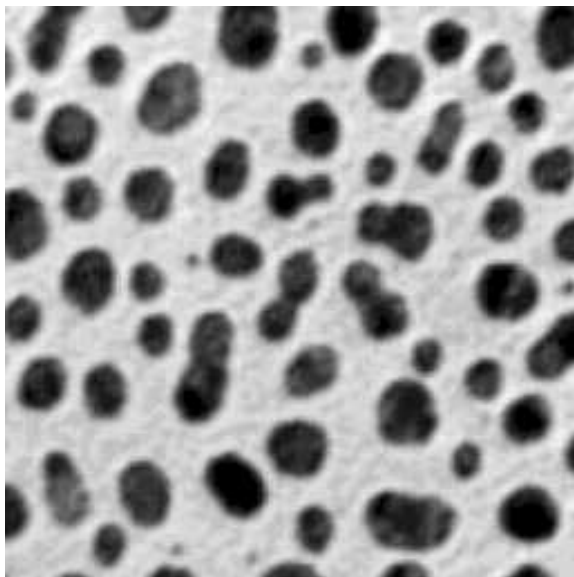
## Examples



Cells are black

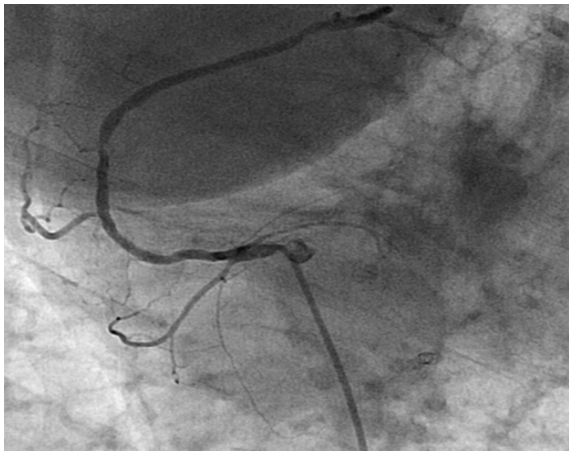


## Examples



Cells are homogeneous connected regions

## Examples



Arteries are dark and elongated, may have branches

# Examples



Nose?

# Taxonomy of segmentation methods

- ▶ individual pixel classification
- ▶ region-based methods — homogeneity, compactness
- ▶ edge-based methods
- ▶ active contours
- ▶ global methods (discrete optimization)

# Topic

Introduction

Individual pixel classification

Region-based algorithms

## Individual pixel classification

- ▶ Calculate a descriptor vector for each pixel
  - ▶ colour, texture, wavelets, statistics...
- ▶ Classify each pixel based on the descriptor
  - ▶ linear classifier, SVM, AdaBoost ...

# Thresholding

- ▶ For each pixel

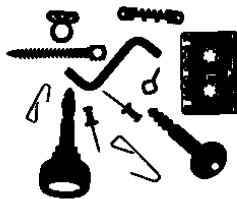
$$s(\mathbf{x}) = \begin{cases} 1 & \text{if } f(\mathbf{x}) \geq T \\ 0 & \text{if } f(\mathbf{x}) < T \end{cases}$$

- ▶ Simple and frequently used technique
- ▶ Easy in hardware, intrinsically parallel.
- ▶ Threshold might be difficult to find
- ▶ Only works for some images

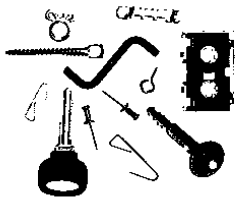
## Dependence on threshold



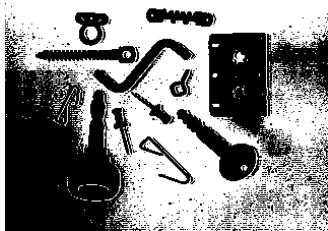
Original image.



Properly set threshold.



Threshold too low.



Threshold too high.



## Thresholding, modifications

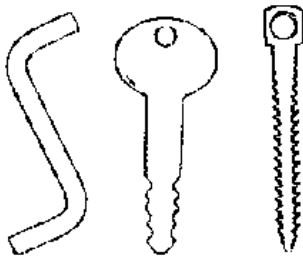
- ▶ Local adaptive threshold
- ▶ Band thresholding
- ▶ Multiple thresholds  $\rightarrow$  multiple classes
- ▶ Thresholding to suppress background

$$s(\mathbf{x}) = \begin{cases} f(\mathbf{x}) & \text{if } f(\mathbf{x}) \geq T \\ 0 & \text{if } f(\mathbf{x}) < T \end{cases}$$

## Band thresholding example



Original image.



Border regions detected.

# Threshold from a histogram

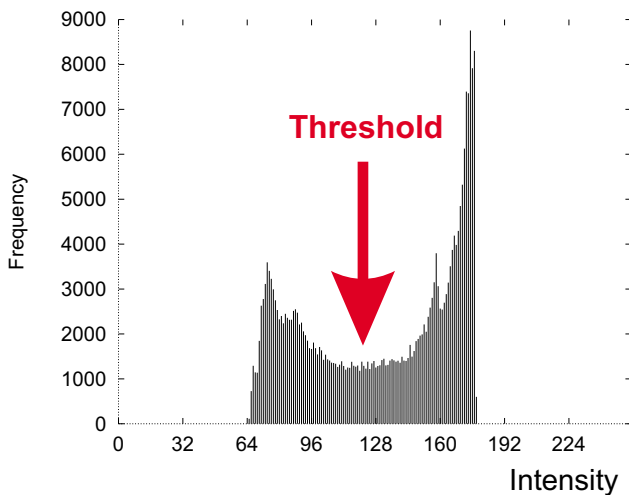
- ▶ Percentile method
  - ▶ object covering  $p$  percents  $\rightarrow$  set  $T$  to  $p$  (or  $1 - p$ ) percentile
- ▶ Histogram shape analysis
  - ▶ put  $T$  between modes (maxima)

## Histogram shape analysis



initial image

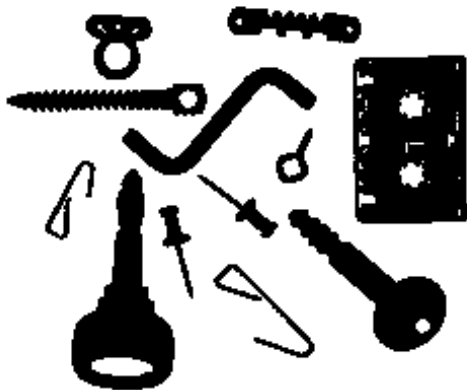
## Histogram shape analysis



histogram

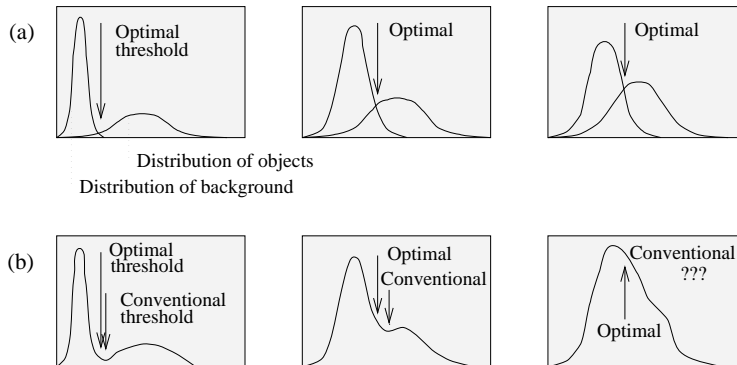
- ▶ histogram smoothing to avoid local minima — convolution

## Histogram shape analysis



thresholded

# Difficulty of finding an optimal threshold



## Gaussian model

- ▶ Distribution of each class is Gaussian

$$p(f_i|s_i) = \frac{1}{\sigma_{s_i}\sqrt{2\pi}} e^{-\frac{(f_i - \mu_{s_i})^2}{2\sigma_{s_i}^2}}$$

$$\text{with } f_i = f(\mathbf{x}_i), \quad s_i = s(\mathbf{x}_i)$$

- ▶ All pixels are independent

$$p(F|S) = \prod_{i \in \Omega} p(f_i|s_i)$$

$$\text{with } F = (f_i; i \in \Omega), \quad S = (s_i; i \in \Omega)$$

- ▶ Log-likelihood

$$\ell(F|S) = \log p(F|S) = \sum_{i \in \Omega} -\frac{(f_i - \mu_{s_i})^2}{2\sigma_{s_i}^2} - \log \sqrt{2\pi\sigma_{s_i}^2}$$



## Foreground/background means algorithm (1)

- ▶ Same variances  $\sigma_1 = \sigma_2$
- ▶ Maximum likelihood

$$\begin{aligned}(S^*, \mu_1^*, \mu_2^*) &= \arg \max_{S, \mu_1, \mu_2} p(F|S) \\ &= \arg \max_{S, \mu_1, \mu_2} \ell(F|S) \\ &= \arg \max_{S, \mu_1, \mu_2} - \sum_i \frac{(f_i - \mu_{s_i})^2}{2\sigma_{s_i}^2} - \log \sqrt{2\pi\sigma_{s_i}^2} \\ &= \arg \min_{S, \mu_1, \mu_2} \sum_i (f_i - \mu_{s_i})^2\end{aligned}$$

- ▶ Solve by alternate minimizations

## Foreground/background means algorithm (2)

1. Precalculate cumulative histograms
2. Estimate initial means  $\mu_1, \mu_2$
3. Set threshold

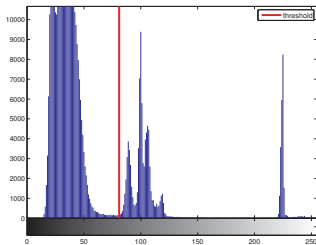
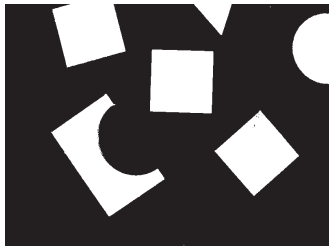
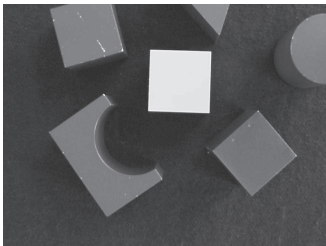
$$T = \frac{\mu_1 + \mu_2}{2}$$

and calculate pixel labels  $s_i$

4. Calculate new means  $\mu_1, \mu_2$
  5. Iterate 3–5 until convergence
- Very fast thanks to the cumulative histograms

# Foreground/background means algorithm

example



## $k$ -Means

- ▶ Popular unsupervised learning algorithm
- ▶ ML estimation, equal variances

$$(S^*, \boldsymbol{\mu}_1^*, \dots, \boldsymbol{\mu}_L^*) = \arg \min_{S, \boldsymbol{\mu}_1, \dots, \boldsymbol{\mu}_L} \sum_{i \in \Omega} \|\mathbf{f}_i - \boldsymbol{\mu}_{s_i}\|^2$$

- ▶  $L$  classes, vector features  $\mathbf{f}_i$

## $k$ -Means algorithm

1. Calculate class labels for all pixels

$$s_i = \arg \min_{j \in \mathcal{Y}} \|\mathbf{f}_i - \boldsymbol{\mu}_j\|^2$$

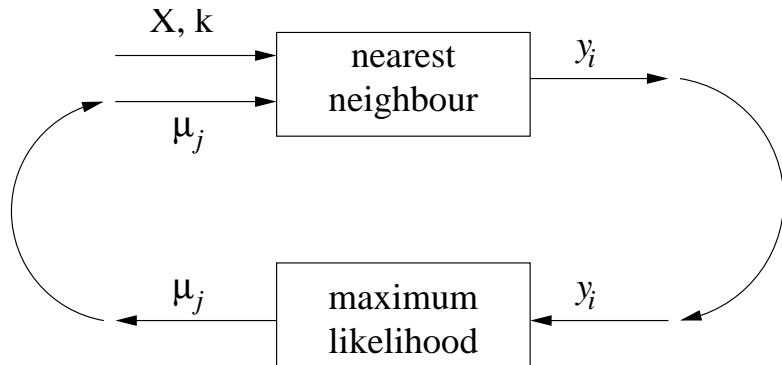
2. Calculate new means for all classes

$$\begin{aligned} \boldsymbol{\mu}_j &= \arg \min_{\boldsymbol{\mu}_j} \sum_{i \in \Omega} \|\mathbf{f}_i - \boldsymbol{\mu}_{s_i}\|^2 \\ &= \frac{1}{|\{i; s_i = j\}|} \sum_{s_i=j} \mathbf{f}_i \end{aligned}$$

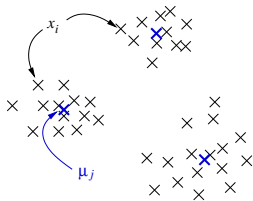
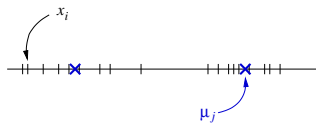
3. Repeat 1–2 until convergence

- ▶ Repeated random initializations

## $k$ -Means flowchart



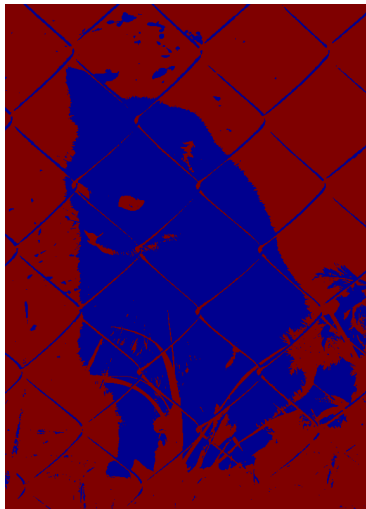
## $k$ -Means feature space



## $k$ -Means example



input



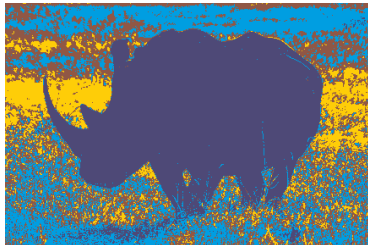
segmentation



## $k$ -Means example (2)



input



segmentation

## Bayes classification (simplified)

- ▶ A priori class probabilities  $P_s(1), P_s(2), \dots, P_s(L)$
- ▶ Maximize a posteriori probability

$$S^* = \arg \max_S p(S|F)$$

- ▶ Independent pixels

$$s_i^* = \arg \max_{s_i} P(s_i|f_i)$$

- ▶ Bayes formula

$$P(s_i|f_i) = \frac{p(f_i|s_i)P_s(i)}{p(f_i)}$$

- ▶ Normalization factor  $p(f_i)$ 
  - ▶ can be found by integration (marginalization)
  - ▶ sometimes not needed since independent of  $s_i$
- #ML estimation of parameters

## Two class Bayes decision

- ▶ Given  $P_S, \mu_1, \mu_2, \sigma_1, \sigma_2 \dots$
- ▶ Classify to class 1 iff

$$\begin{aligned} P(s_i = 1|f_i) &> P(s_i = 2|f_i) \\ \frac{p(f_i|s_i = 1)P_s(1)}{p(f_i)} &> \frac{p(f_i|s_i = 2)P_s(2)}{p(f_i)} \\ p(f_i|s_i = 1)P_s(1) &> p(f_i|s_i = 2)P_s(2) \end{aligned}$$

- ▶ For  $P_s(1) = P_s(2)$  equivalent to the ML estimate

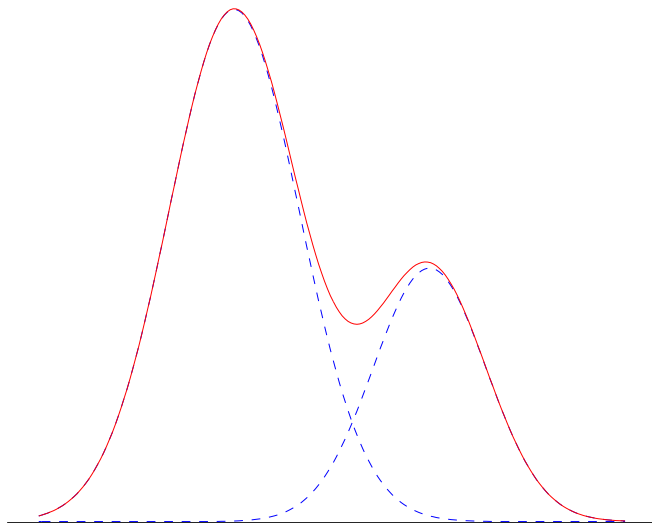
# Gaussian mixture model (GMM)

- ▶ Probability of observing an intensity  $f$

$$p(f) = \sum_{j=1}^L P_S(j) p(f|\mu_j, \sigma_j)$$

- ▶ class unknown
- ▶ weighted sum of Gaussians

## Gaussian mixture model example



## E-M algorithm (for GMM) — problem definition

► **Model:**

- Independent pixels
- Unknown classes  $s_i \in \{1, \dots, L\}$
- known intensities  $f_i$

$$p(f_i | s_i) = \frac{1}{\sigma_{s_i} \sqrt{2\pi}} e^{-\frac{(f_i - \mu_{s_i})^2}{2\sigma_{s_i}^2}}$$

► **Output:**

- find parameters  $\theta = \{\mu_1, \sigma_1, \dots, \mu_L, \sigma_L\}$
- and probabilities  $\alpha(i, j) = P(s_i = j)$

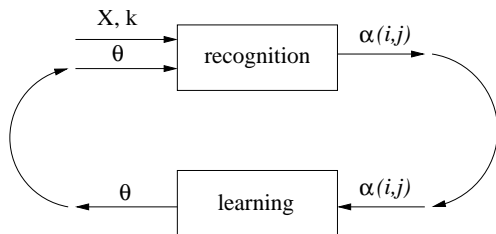
## E-M algorithm

Alternatively maximize likelihood

$$p(F|\theta) = \prod_{i \in \Omega} p(f_i|\theta)$$
$$p(f_i|\theta) = \sum_j \underbrace{P(s_i = j)}_{\alpha(i,j)} p(f_i|s_i, \theta)$$

1. **E-step:** maximize with respect to  $\alpha$
2. **M-step:** maximize with respect to  $\theta$
3. Repeat 1–2 until convergence

## E-M algorithm flowchart



- ▶ Similar to  $k$ -means with soft decisions
- ▶ A special case of a more general technique
- ▶ Can be extended to  $\mathbb{R}^d$
- ▶ Likelihood increases monotonously
- ▶ Only local convergence guaranteed



# E-M algorithm

explicit formulas

► **E-step:**

$$p(f_i | s_i = j) = \frac{1}{\sigma_{s_i} \sqrt{2\pi}} e^{-\frac{(f_i - \mu_{s_i})^2}{2\sigma_{s_i}^2}}$$

$$P(s_i = j | f_i) = \alpha(i, j) = \frac{P_S(j) p(f_i | s_i = j)}{\sum_k P_S(k) p(f_i | s_i = k)}$$

$$P_S(j) = \frac{1}{|\Omega|} \sum_{i \in \Omega} \alpha(i, j)$$

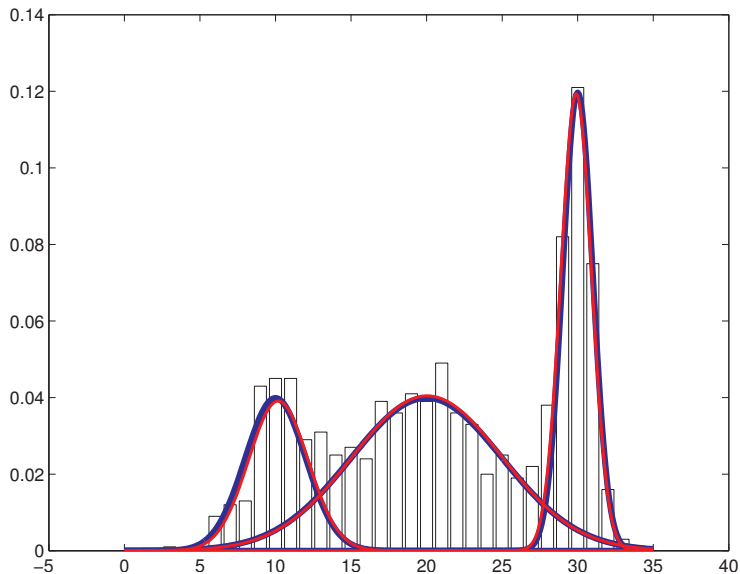
► **M-step:**

$$\mu_k = \frac{\sum_{i \in \Omega} \alpha(i, k) x_i}{\sum_{i \in \Omega} \alpha(i, k)}$$

$$\sigma_k^2 = \frac{\sum_{i \in \Omega} \alpha(i, k) (x_i - \mu_k)^2}{\sum_{i \in \Omega} \alpha(i, k)}$$

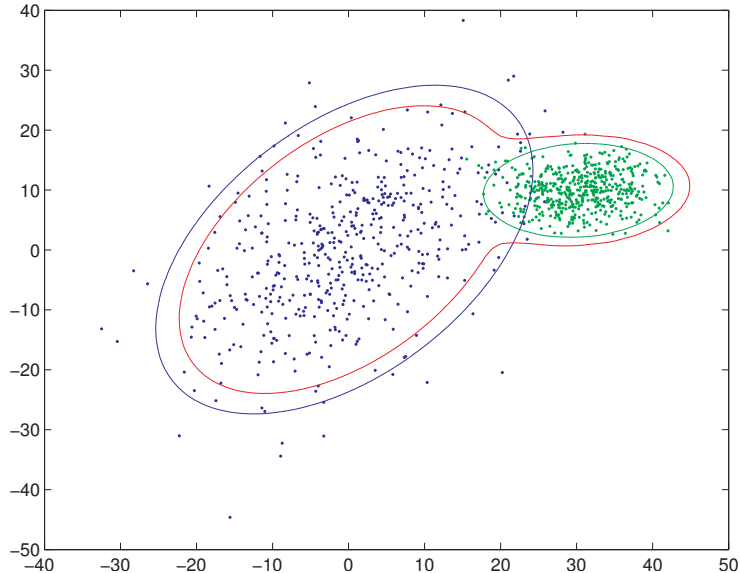
# E-M algorithm

## 1D example



# E-M algorithm

## 2D example

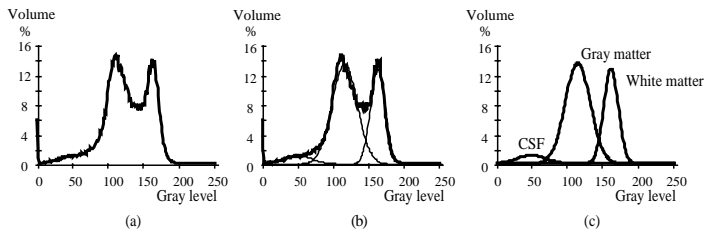


Confidence regions (95%) shown

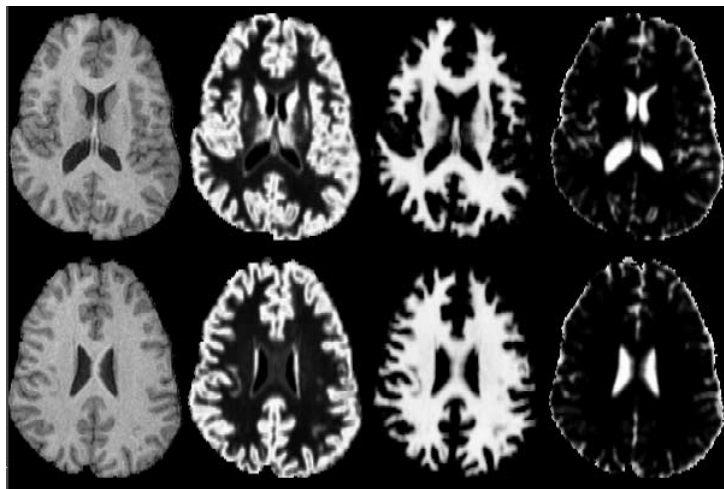
# Brain MRI segmentation

## Thresholding example

- ▶ **Input:** T1-weighted images
- ▶ **Desired classes:** white matter, grey matter, cerebro-spinal fluid (CSF), background



## Brain MRI segmentation results



# Topic

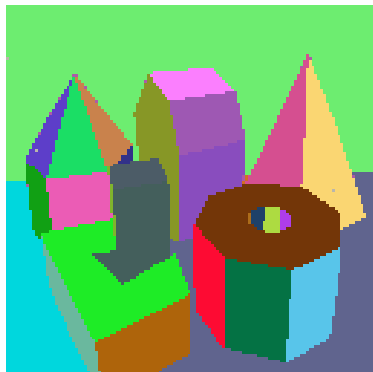
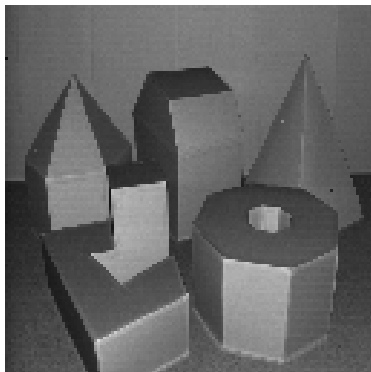
Introduction

Individual pixel classification

Region-based algorithms

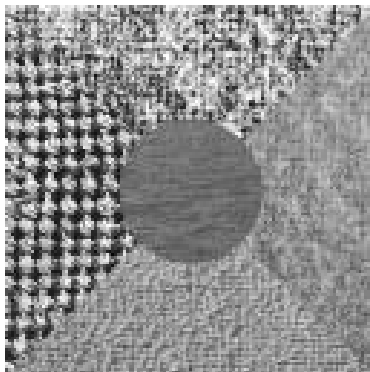
## Region-based segmentation

- ▶ find homogeneous regions
- ▶ with respect to texture, intensity, color...



## Region-based segmentation

- ▶ find homogeneous regions
- ▶ with respect to texture, intensity, color...





## Region-based approaches

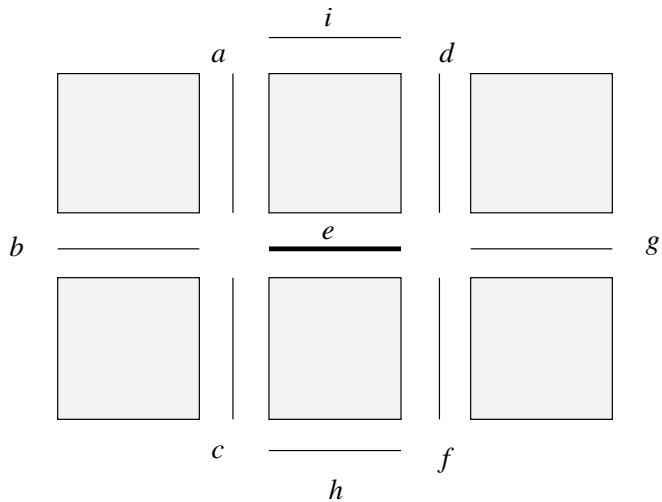
- ▶ region growing
- ▶ hierarchical image splitting
- ▶ watershed segmentation
- ▶ mean shift
- ▶ active contours (region based)
- ▶ graph-based algorithms
- ▶ ...

# Region merging

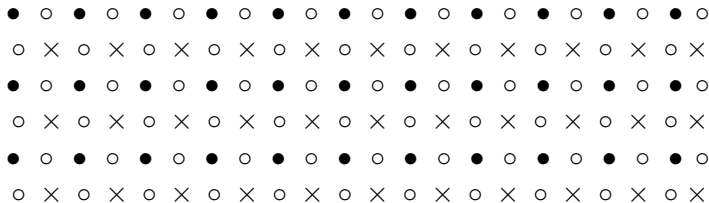
## edge relaxation

1. Initialization (*for speed*)
  - ▶ Neighboring pixels are *connected* if  $|f_i - f_j| < T_0$
  - ▶ Connected components  $\rightarrow$  initial regions
2. Weak edges:  $|f_i - f_j| < T$
3. Merge regions recursively if  $W \geq T_2 \min(l_1, l_2)$
4. Merge regions recursively if  $W \geq T_3 l$ 
  - ▶ Maintain list of regions and their boundaries

# Region merging — structures

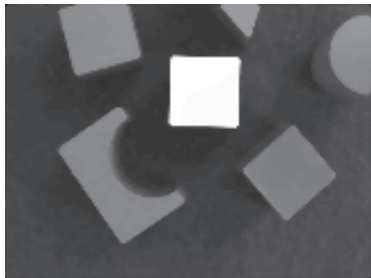


## Region merging — structures



×, image data; ○, edges; ●, unused.

## Region merging — example



## Removal of small regions

1. Find the smallest region  $R$ . If it is big enough  $\rightarrow$  finish.
2. Consider neighbors of  $R$  and merge with the most similar one.
3. Repeat 1–2.

## Removal of small regions — example



15 regions



7 regions

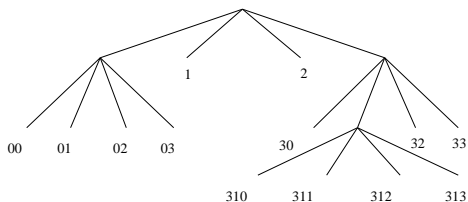
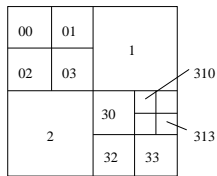
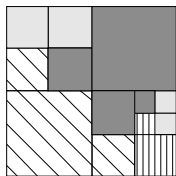
# Region splitting

1. Image = region
2. Split each inhomogeneous region
3. Repeat recursively
  - ▶ ▶ Fast ( $O(n \log n)$ )
  - ▶ Unnatural region boundaries



# Oct-tree splitting

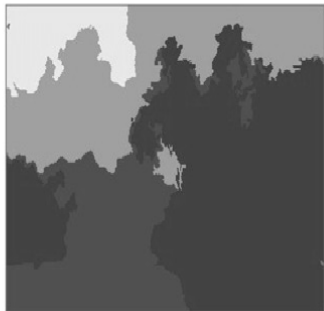
- Split each inhomogeneous square into four equal ones



# Split and merge

1. Region splitting
2. Region merging

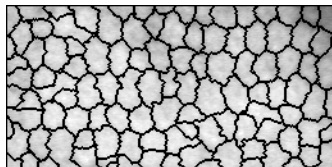
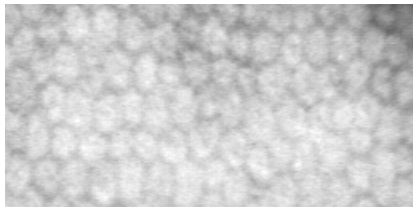
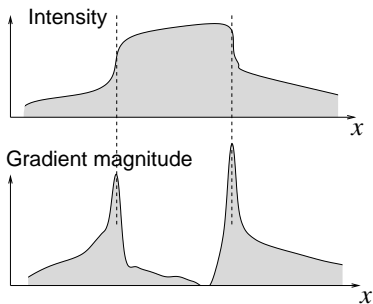
## Split and merge example



# Watershed segmentation

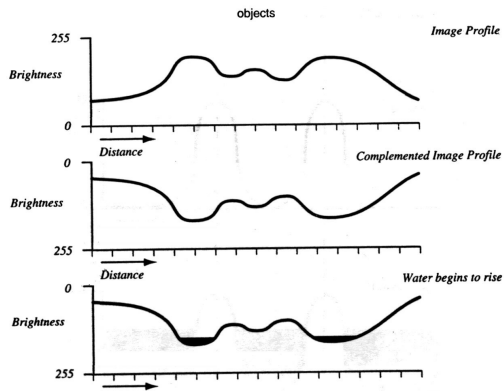
- ▶ Interpret image in 3D
  - ▶ or gradient of the image, or distance function . . .
- ▶ ‘flood’ the image to height  $T$
- ▶ each ‘drop’ falls into a ‘catchment basin’
- ▶ catchment basins define the segmentation
- ▶ basins meet as water rises → hierarchical segmentation

# Watershed segmentation — example



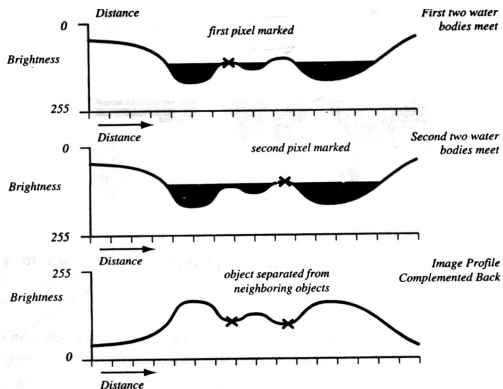
# Watershed algorithm

- ▶ Pump-in water from local minima, build dams when basins meet



# Watershed algorithm

- ▶ Pump-in water from local minima, build dams when basins meet

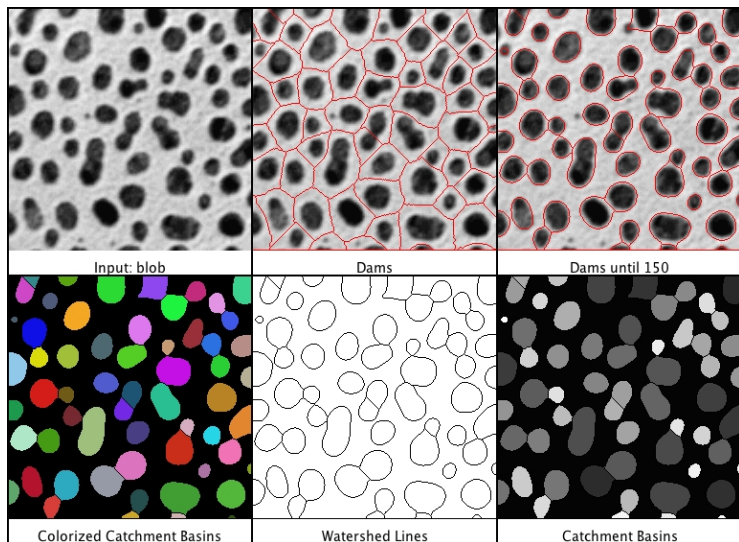


## Watershed algorithm (2)

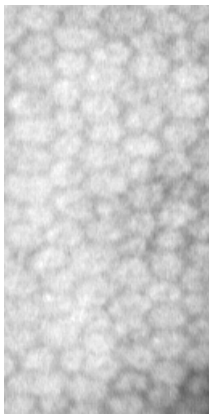
1. Insert local minima into a priority queue sorted by intensity
2. Repeatedly extract pixels from the queue
  - ▶ If adjacent to one region, add it to that region.
  - ▶ If adjacent to more regions, it is a boundary
  - ▶ Merge regions
  - ▶ Unprocessed neighbors are added to the queue.



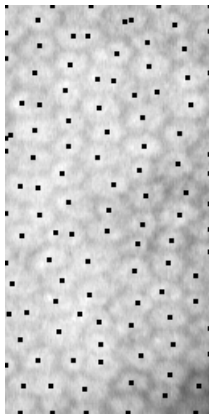
## Watershed algorithm — example



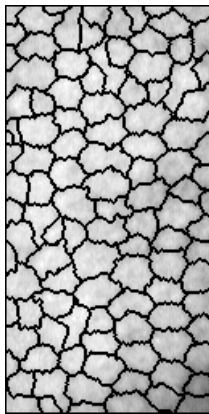
## Watershed with markers



original



markers



cell boundaries

# Mean shift

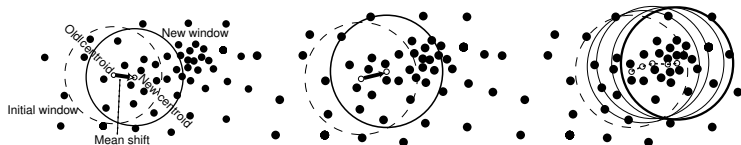
- ▶ Given samples
- ▶ Find global maxima of a probability density
- ▶ In segmentation - find regions corresponding to each maximum

## Mean shift segmentation overview

- ▶ No assumptions about probability distributions — rarely known
- ▶ Spatial-range domain  $(x, y, f(x, y))$  — normally  $f(x, y)$
- ▶ Find maxima in the  $(x, y, f)$  space — clusters close in space and range correspond to classes.

# Mean shift procedure

**Goal:** Find local maxima of the probability density (*density modes*) given by samples.



1. Start with a random region of interest.
2. Determine a centroid of the data.
3. Move the region to the location of the new centroid.
4. Repeat until convergence.

## Kernel estimation

$$K(\mathbf{x}) = c_k k(\|\mathbf{x}\|^2) \quad (\text{radial symmetry})$$

Epanechnikov kernel (other choices possible)

$$k(r) = \begin{cases} 1 - r & \text{for } r \leq 1 \\ 0 & \text{otherwise} \end{cases} \quad (\text{profile, } r = \|\mathbf{x}\|^2)$$

Kernel density estimator

$$\tilde{f}(\mathbf{x}) = \frac{1}{n h^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right)$$

## Mean shift procedure

At density maxima  $\nabla \tilde{f} = 0$

$$\begin{aligned}\tilde{f}(\mathbf{x}) &= \frac{1}{n h^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right) \\ \nabla \tilde{f}(\mathbf{x}) &= \frac{2 c_k}{n h^{(d+2)}} \sum_{i=1}^n (\mathbf{x} - \mathbf{x}_i) k'\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right) \\ &= \frac{2 c_k}{n h^{(d+2)}} \left(\sum_{i=1}^n g_i\right) \left(\mathbf{x} - \frac{\sum_{i=1}^n \mathbf{x}_i g_i}{\sum_{i=1}^n g_i}\right)\end{aligned}$$

for  $g(r) = -k'(r) > 0$ ,  $g_i = g(\|\mathbf{x} - \mathbf{x}_i\|/h)^2$

## Mean shift procedure

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for  $g(r) = -k'(r) > 0$ ,  $g_i = g(\|\mathbf{x} - \mathbf{x}_i\|/h)^2$



## Mean shift procedure (2)

Mean shift vector

$$m(\mathbf{x}) = \frac{\sum_{i=1}^n \mathbf{x}_i g_i}{\sum_{i=1}^n g_i} - \mathbf{x}$$
$$g_i = g(\|\mathbf{x} - \mathbf{x}_i\|/h)^2$$
$$g(r) = -k'(r)$$

Successive locations  $\mathbf{y}_j$  of the kernel:

$$\mathbf{y}_{j+1} = \frac{\sum_{i=1}^n \mathbf{x}_i g\left(\left\|\frac{\mathbf{y}_j - \mathbf{x}_i}{h}\right\|^2\right)}{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{y}_j - \mathbf{x}_i}{h}\right\|^2\right)}$$

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**Theorem:** If  $k$  is convex and monotonically decreasing, the sequence  $\{\mathbf{y}_j\}_{j=1,2,\dots}$  converges and  $\{\tilde{f}(\mathbf{y}_j)\}_{j=1,2,\dots}$  increases monotonically.

For Epanechnikov kernel  $\rightarrow$  convergence in finite number of steps.

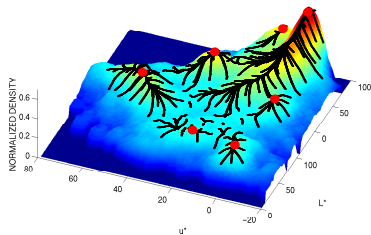
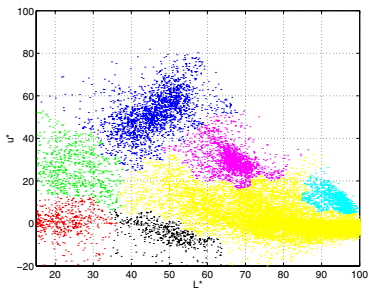
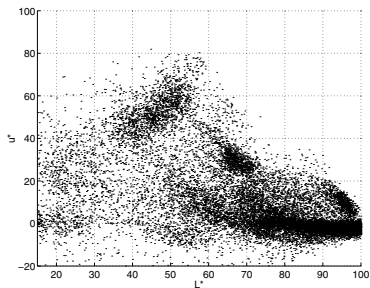
# Mean shift mode detection

Points from a *basin of attraction* converge to the same mode.

## **Algorithm:**

1. Using multiple initializations covering the entire feature space, identify modes (stationary points).
2. Using small random perturbation, retain only local maxima.

# Mean shift mode detection example



# Mean shift discontinuity preserving filtering

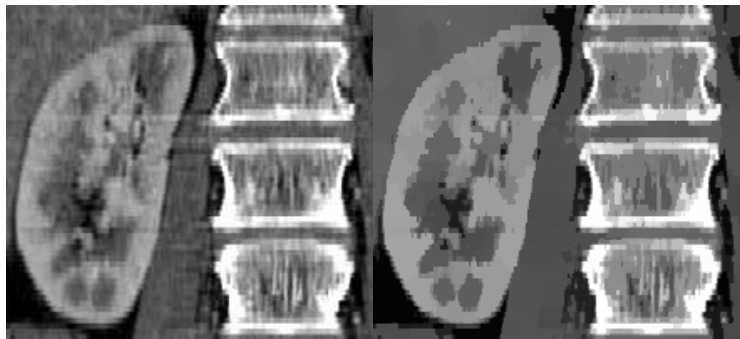
Combine spatial and range values

$$K(\mathbf{x}) = ([\mathbf{x}^s \ \mathbf{x}^r]) = \frac{c}{h_s^d h_r^p} k \left( \left\| \frac{\mathbf{x}^s}{h_s} \right\|^2 \right) k \left( \left\| \frac{\mathbf{x}^r}{h_r} \right\|^2 \right),$$

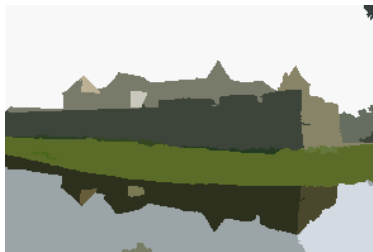
**Algorithm:**

1. For each image pixel  $\mathbf{x}_i$ , initialize  $\mathbf{y}_{i,1} = \mathbf{x}_i$ .
2. Iterate the mean shift procedure until convergence.
3. The filtered pixel values are defined as  $\mathbf{z}_i = (\mathbf{x}_i^s, \mathbf{y}_{i,\text{con}}^r)$ ; the value of the filtered pixel at the location  $\mathbf{x}_i^s$  is assigned the image value of the pixel of convergence  $\mathbf{y}_{i,\infty}^r$ .

## Mean shift discontinuity preserving filtering



## Mean shift filtering examples

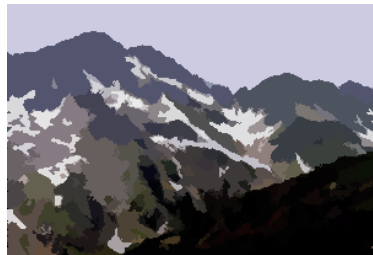


## Mean shift filtering examples





## Mean shift filtering examples



## Mean shift segmentation

1. Mean shift discontinuity preserving filtering
2. Determine the clusters  $\{C_p\}_{p=1,\dots,m}$  by grouping all  $\mathbf{z}_i$ , which are closer than  $h_s$  in the spatial domain and  $h_r$  in the range domain, i.e. merge the basins of attractions.
3. Assign class labels to clusters
4. If desired, eliminate regions smaller than  $P$  pixels.

## Mean shift segmentation examples



## Mean shift segmentation examples

