Project 1 - Reinforcement Learning

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In your first assignment, you will implement an agent capable of playing a simplified version of the *blackjack* game (sometimes called *21-game*). The complete rules are in detail explained on Wikipedia [1]. However, in our project, we will restrict ourselves only to a simplified version.

The game is played with a standard deck of 52 cards, which is shuffled. Your goal is to score more than the dealer; however, you do not want to get over 21. In the beginning, you are given two cards and see one card that the dealer has. You can decide whether you draw one more card or stop playing. Once you stop playing, it is the dealer's turn. The dealer has to follow a fixed strategy — as long as the sum of his cards is less than 17, he has to draw a card. Dealer stops when this condition becomes false.

Face cards (Jack, Queen, and King) have a value of 10. Ace can be counted as 1 or 11.

At the end of the game, the player loses if the value of his cards exceeds 21. We call this situation *bust*. The player loses even if the dealer busts too. If the dealer busts and the player not, the player wins. If neither the player nor the dealer busts, the winner is determined by the value of the cards. The player with a higher sum of cards wins. Equal sums mean tie.

1 Implementation

We will use the *Gymnasium* [2] library (formerly Open AI Gym) as an environment for the game. You can find the environment implementation in file `blackjack.py`. File `carddeck.py` contains a model of card, card deck, and player hand. After each step, your agent will get an observation as an instance of `BlackjackObservation` class and a reward. In a terminal state, you get a reward of 1 for winning, -1 for losing, and 0 for a tie. In any other state, you get zero as a reward. You are not allowed to modify files `blackjack.py` and `carddeck.py`. The same holds for file `main.py` above the comment stating that you cannot modify the code.

In file `randomagent.py` you may find a dummy agent that makes decisions completely at random. File `dealeragent.py` contains an implementation of a fixed strategy identical to the dealer's strategy. You are encouraged to check those two files and reuse the code as you want. File `tdagent.py` should contain your implementation of a passive reinforcement learning agent that learns utility estimates using temporal difference. File `sarsaagent.py` should contain your implementation of SARSA. In file `evaluation.py`, you may find some ideas on how to compare various agents. You may modify the code as you want to; however, it is not a requirement. In files `tdagent.py` and `sarsaagent.py`, implement method `get_hypothesis`. This method will be used for testing your code.

2 Problem Specification

1. (3 points)
   Propose three possible nontrivial reasonable[1] ways how to define the state in the game.

[1] For example you cannot expect points for a representation with two states - sum of values of cards is ≤ 21 and > 21.

2. (3 points)
   For each state-space representation from 1, provide a rough estimate of the overall number of states.

   You cannot just guess a number; you have to justify it somehow (e.g., by calculation). You do not need to provide an exact number; however, your estimate should not be too far from the true count. If you are not sure how to calculate the number of states, you can write a program that counts them for you and submit the code together with your report.

3. (3 points)
   Pick one of the state space representations you proposed in 1. Use this representation from now on. Please, explain why you consider it the best one and answer the following questions. Does this representation capture all information that can be used for agent decisions? Or is there any simplification? If yes, will the simplification influence the result (final policy, utility values)? If yes, how much will the result be influenced? Can you use exact methods (value iteration/policy iteration) to solve the game? If yes, how? If not, why?

   Briefly explain your choice of discount factor and the number of games that you need to learn the Q-values. Hint you might or might not use: calculate the expected utility in an initial state and compare it with the goal you want to achieve after learning.

4. (2 points, file `tdagent.py`)
   Modify your implementation of a passive reinforcement learning agent that learns utility estimates using the temporal difference method. Take your implementation from the lab and make it work in the blackjack environment. Use a policy that is identical to the dealer's policy[2] and estimate the value of each state.

   It would be best if you had a working implementation of the agent after the following tutorial. Because you will be working on the implementation in the lab, there is some cooperation allowed. Therefore, the scoring for this point is low, and you will get points mostly for using the implementation you already have.

   If you are not sure what you should implement, you may want to read chapter 21.2.3 in AIMA book [3] or chapter 6.1 in book [4].

5. (7 points, file `sarsaagent.py`)
   Implement SARSA algorithm.

   SARSA implementation must be your own work. This means, for example, that if you cooperated in the lab on the implementation of passive reinforcement learning agent, you have to write the code again by yourself.

   If you are not sure what you should implement, you may want to read chapter 21.3.2 in AIMA book [3] or chapter 6.4 in book [4].

6. (7 points)
   Test your code and provide an experimental evaluation. Compare the random strategy (provided), the dealer strategy (provided), the result from 4 and the strategy learned by SARSA.

   In this question, you should present why your implementation gives valid results, learns, and is well tested.

   For example, you may answer the following questions. What is the agent's expected or average utility? How fast do algorithms implemented in 4 and 5 learn? Does the learned

[2] Draw a card if and only if the sum of your cards is less than 17.

utility contradict your intuition? What is the utility for drawing a card when you have club nine, diamond jack and spades two in your hand, and dealer has club four? What is the utility of the situation when you have diamond ace and spades five and dealer spades ace? Is it better to draw a card in this situation or not? Did your utility values estimate/$\widehat{Q}$ values converge? Did they converge to the true state values, i.e., U and Q? Does the strategy learned by SARSA follow the recommendation in the section *Blackjack strategy* of [1]?

3 Submission and Evaluation

- All students must work individually. Cooperation on anything else than the lab part of task 4 is strictly forbidden.
- Upload the results to `https://cw.felk.cvut.cz/brute/`.
- Strict deadline is Tuesday 24$^{\text{th}}$ March, 2026 11:59 pm.
- A penalty for late submission is 2.5 points for each day of delay.
- Submit all source code and a pdf report with answers to questions 1, 2 3 and 6.
- The solution must be compatible with Python version $\geq$ 3.12 [tested version is 3.12.2 on Windows].
- The project is worth 25 points in total.
- If it only seems to work, it is not enough. Also, make sure that all assumptions (on parameters and state space) needed for convergence are fulfilled and the parameter settings are reasonable. Failing this will cost you points unless you explicitly state that you violated the assumptions and explain why you can do that.
- Make sure that your implementation *learns* the Q-values. Do not include any pre-computed or hard-coded values or conditions in your code. Also be careful — some parameter setting can lead to a better initial policy but coverge to a worse one. In your evaluation, I may slightly change the game rules to find out whether the algorithm works properly.
- Be honest with your answers in the report.
- Should you have any questions, or you found a bug in code or project specification, feel free to email me and/or ask for a consultation.

References

[1] `https://en.wikipedia.org/wiki/Blackjack`

[2] `https://gymnasium.farama.org/index.html`

[3] Russell, Stuart and Norvig, Peter. *Artificial Intelligence. "A modern approach."* Prentice-Hall, Egnlewood Cliffs 25 (1995): 27.
`http://books.google.com/books?id=8jZBksh-bUMC`

[4] Sutton, Richard S., and Andrew G. Barto. *Reinforcement learning: An introduction.*, second edition, Cambridge: MIT press, 2018.
`http://www.incompleteideas.net/book/the-book-2nd.html`