

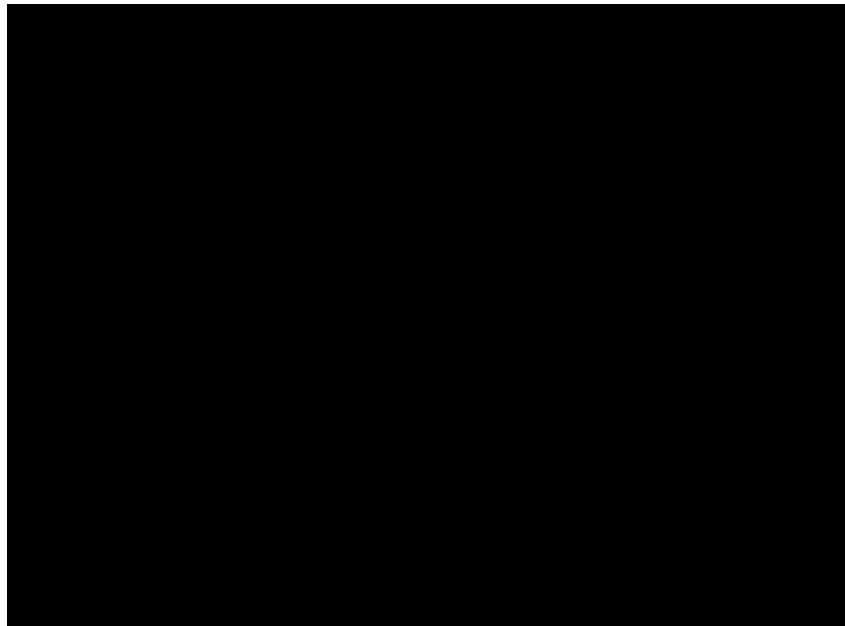
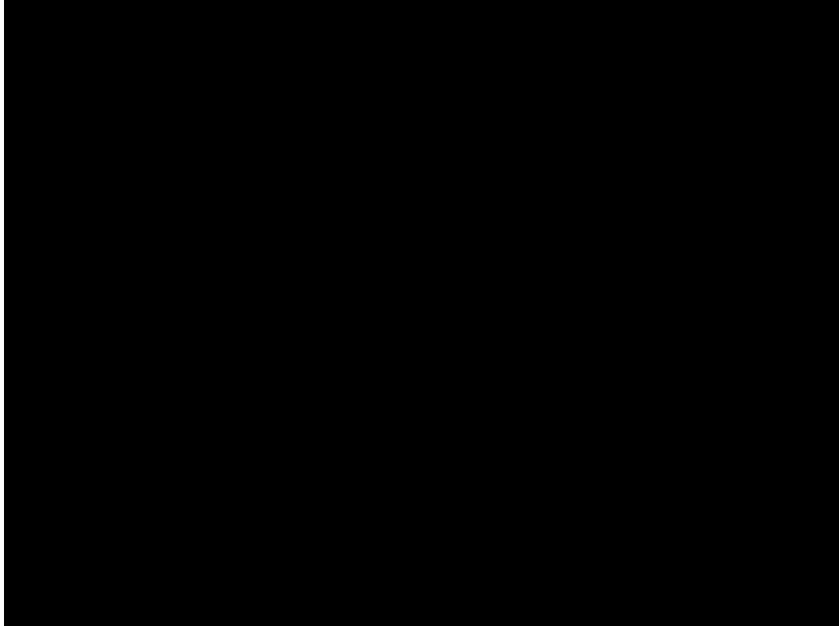
# **Humanoid robots - Physical human-robot interaction I**

**Doc. Mgr. Matěj Hoffmann, Ph.D.**

# Outline

- Human safety
- Safety of machines and robots - legislation and standards
- Types of physical human robot collaboration
  - Safety-rated monitored stop
  - Hand-guiding
  - Speed and separation monitoring
  - Power and force limiting
- Speed and separation monitoring

# When is a robot safe?



# When is a robot safe? Legislation and standards

# Robot safety - European legislation

## European directives on product safety

- All products put into circulation in the EU (manufactured, sold, imported, operated, etc.), have to fulfill applicable EU directives
  - Example: Machinery Directive (2006/42/EG), Low Voltage Directive (2006/95/EC), EMC-Directive (2004/108/EG)
    - Containing very general requirements for products
    - Conversion into national law (e.g. "Produktsicherheitsgesetz" in Germany)
- Reference to a list of "harmonized standards"
  - Detailed safety requirements
  - Application voluntarily but recommended
  - Presumption of conformity: If all harmonized standards of a directive are fulfilled it is presumed that the directive itself is fulfilled
- If all requirements from EU directives are fulfilled, a CE mark can be applied



# Robot safety - Czech legislation

## Legislativa pro výrobce strojů

- Zákon č. 22/1997 Sb. ve znění zákona č. 91/2016 Sb. o technických požadavcích na výrobky
- Nařízení vlády č. 170/1997 Sb., č. 176/2008 Sb. (směrnice 2006/42/ES) ve znění nařízení vlády č. 229/2012 Sb. kterým se stanoví technické požadavky na strojní zařízení
- Nařízení vlády č. 117/2016 Sb. – EMC (zákon č.90/2016 Sb. – upřesňuje posuzování shody)
- Nařízení vlády č. 118/2016 Sb. – nízké napětí
- Nařízení vlády č. 116/2016 Sb. – výbušné prostředí

## Legislativa pro provozovatele

- Nařízení vlády č.378/2001 Sb. kterým se stanoví bližší požadavky na bezpečný provoz a používání strojů, technických zařízení, přístrojů a nářadí
- Zákon č.262/2006 Sb., zákoník práce
- Nařízení vlády č.361/2007 Sb., podmínky ochrany zdraví při práci ve znění Nařízení vlády č.32/2016 Sb.
- Zákon č.309/2006 Sb., další požadavky bezpečnosti a ochrany zdraví při práci ve znění zákona č.189/2008 Sb.

# “Harmonized” safety standards

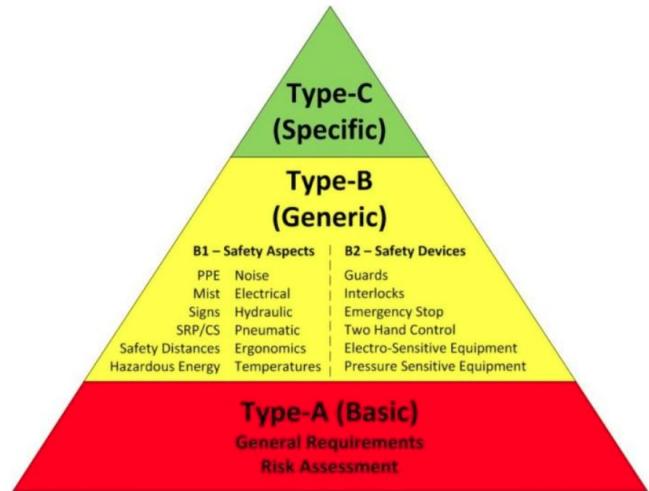
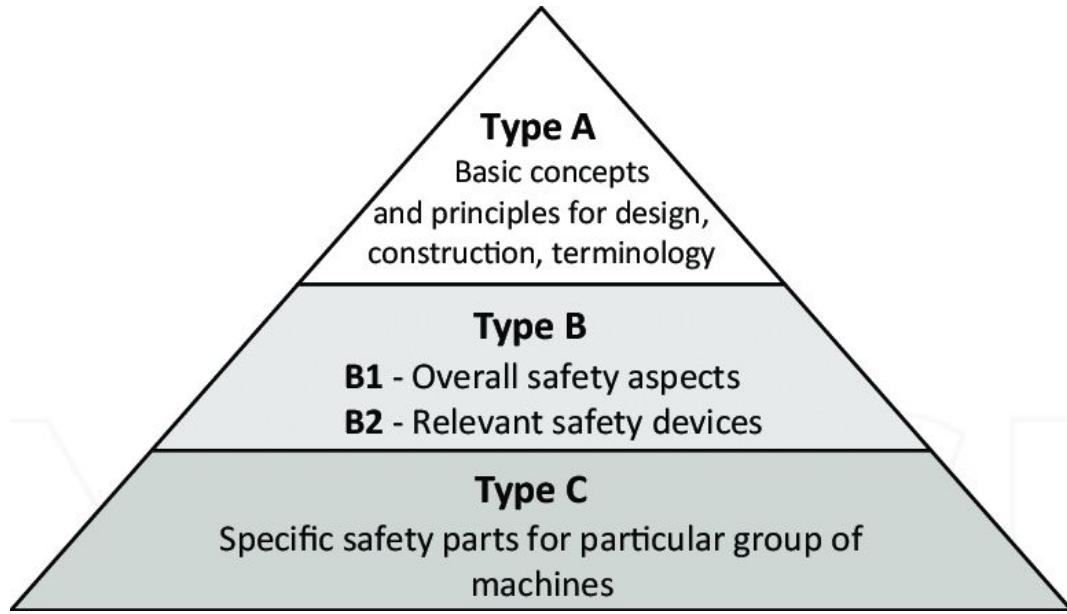


Figure 1: Structural Organization of Standards



SICK, Selecting Safety Standards for Machine Safeguarding Requirements  
<https://cdn.sick.com/media/content/h94/h35/9692994994206.pdf>

Pacaiova, H. (2018). *Machinery safety requirements as an effective tools for operational safety management*. IntechOpen.

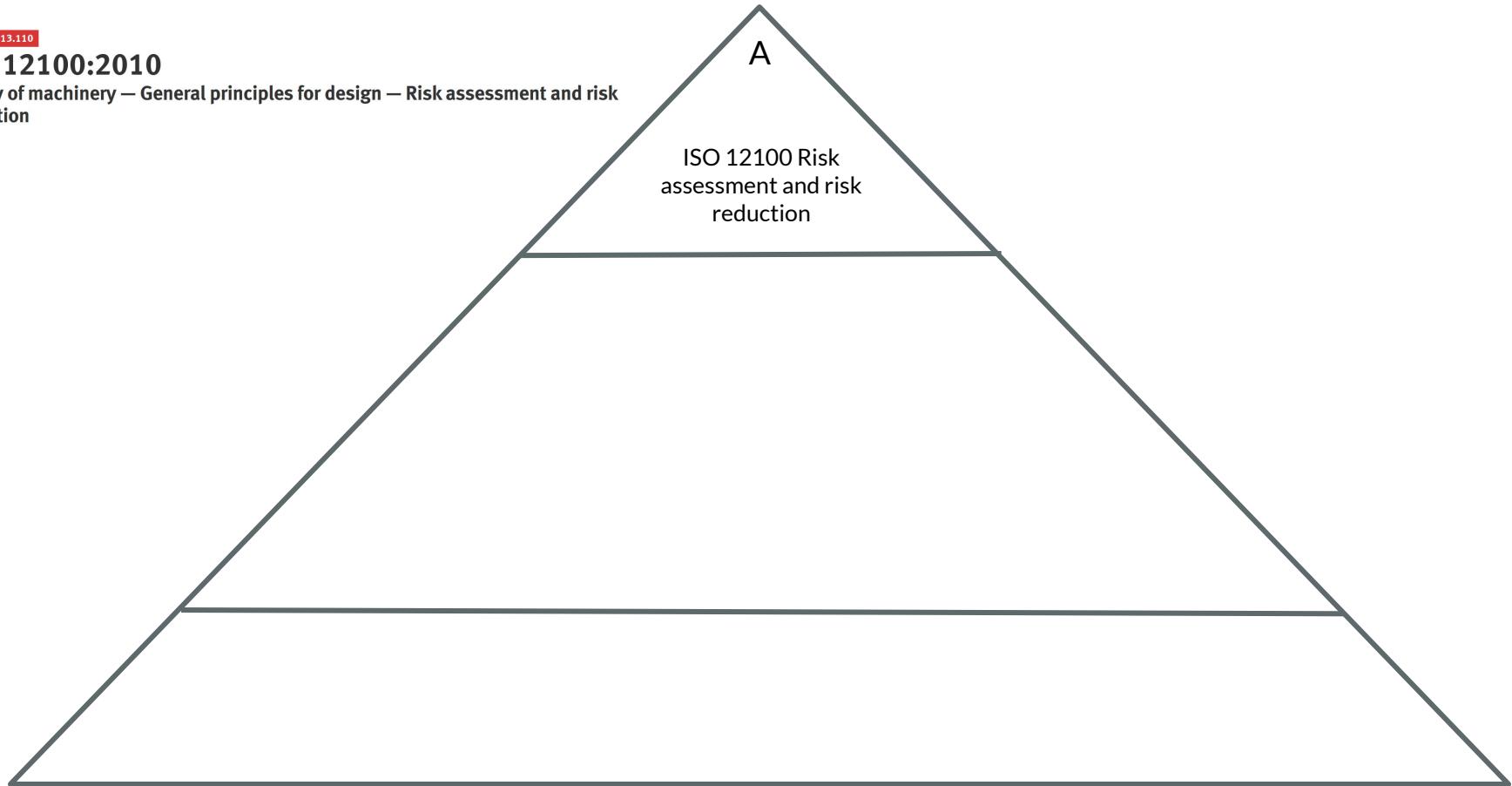
ICS &gt; 13 &gt; 13.110

# ISO 12100:2010

Safety of machinery — General principles for design — Risk assessment and risk reduction

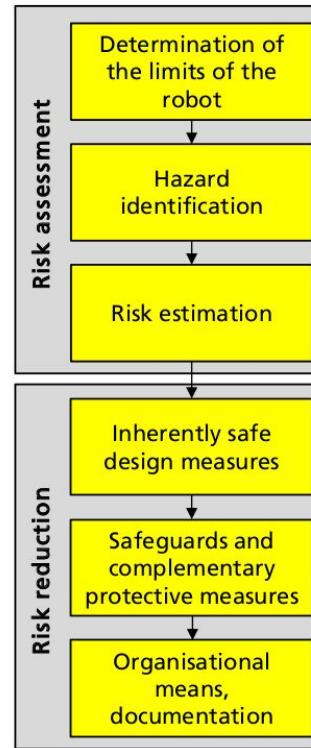
A

ISO 12100 Risk  
assessment and risk  
reduction



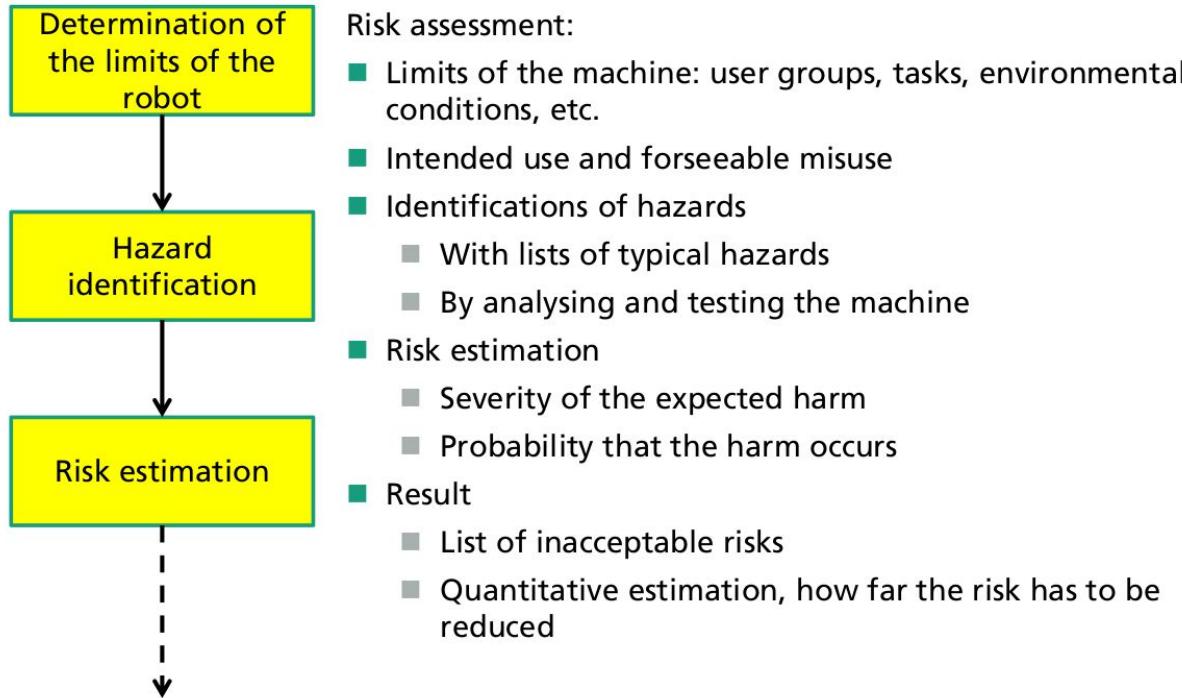
# ISO 12100: Risk assessment and risk reduction

- ISO 12100 – Safety of machinery – General principles for design – Risk assessment and risk reduction
  - General requirements for machines (e.g. emergency stop buttons, start-up, ...)
  - Obligation to perform a risk assessment to identify unacceptable risks
  - Reduction of unacceptable risks until the residual risk is acceptable
- Manufacturer has to decide what an acceptable risk is
  - With respect to the current state of the art (e.g. available safeguards)
  - With respect to similar products on the market



Slide from: Theo Jacobs,  
Fraunhofer IPA, Safety standards  
and risk assessment for robots,  
2016

# Process of risk assessment and risk reduction in ISO 12100



Slide from: Theo Jacobs,  
Fraunhofer IPA, Safety standards  
and risk assessment for robots,  
2016

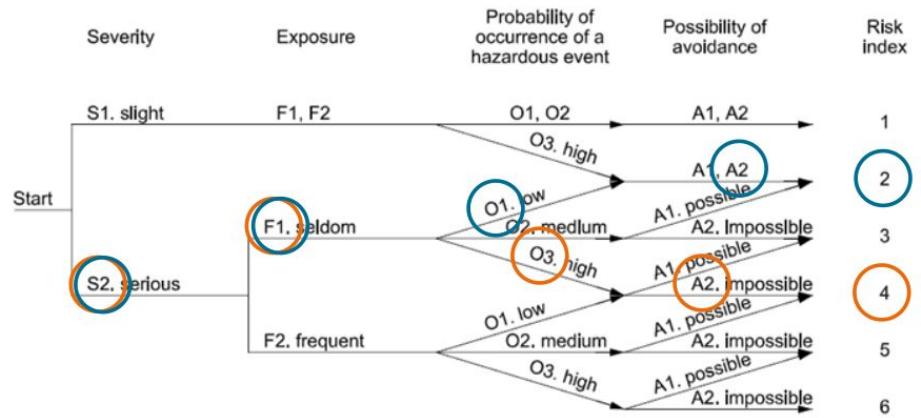
# Using checklists for hazard identification

No	Type or group	Examples of hazards	
		Origin <sup>a</sup>	Potential consequences <sup>b</sup>
1	Mechanical hazards	<ul style="list-style-type: none"><li>— acceleration, deceleration;</li><li>— angular parts;</li><li>— approach of a moving element to a fixed part;</li><li>— cutting parts;</li><li>— elastic elements;</li><li>— falling objects;</li><li>— gravity;</li><li>— height from the ground;</li><li>— high pressure;</li><li>— instability;</li><li>— kinetic energy;</li><li>— machinery mobility;</li><li>— moving elements;</li><li>— rotating elements;</li><li>— rough, slippery surface;</li><li>— sharp edges;</li><li>— stored energy;</li><li>— vacuum.</li></ul>	<ul style="list-style-type: none"><li>— being run over;</li><li>— being thrown;</li><li>— crushing;</li><li>— cutting or severing;</li><li>— drawing-in or trapping;</li><li>— entanglement;</li><li>— friction or abrasion;</li><li>— impact;</li><li>— injection;</li><li>— shearing;</li><li>— slipping, tripping and falling;</li><li>— stabbing or puncture;</li><li>— suffocation.</li></ul>
2	Electrical hazards	<ul style="list-style-type: none"><li>— arc;</li><li>— electromagnetic phenomena;</li><li>— electrostatic phenomena;</li><li>— live parts;</li><li>— not enough distance to live parts under high voltage;</li><li>— overload;</li><li>— parts which have become live under fault conditions;</li><li>— short-circuit;</li><li>— thermal radiation.</li></ul>	<ul style="list-style-type: none"><li>— burn;</li><li>— chemical effects;</li><li>— effects on medical implants;</li><li>— electrocution;</li><li>— falling, being thrown;</li><li>— fire;</li><li>— projection of molten particles;</li><li>— shock.</li></ul>

Examples from ISO 12100, type-C standards usually have additional tables

© ISO 12100

# Use of risk graphs and risk matrices for risk estimation



## Key

S1	slight injury (usually reversible)	O1	low (very unlikely)
S2	serious injury (usually irreversible)	O2	medium (likely to occur sometime)
F1	seldom / short duration	O3	frequent (likely to occur frequently)
F2	frequent / long duration	A1	possible (person can notice and has time to evade)
		A2	Impossible

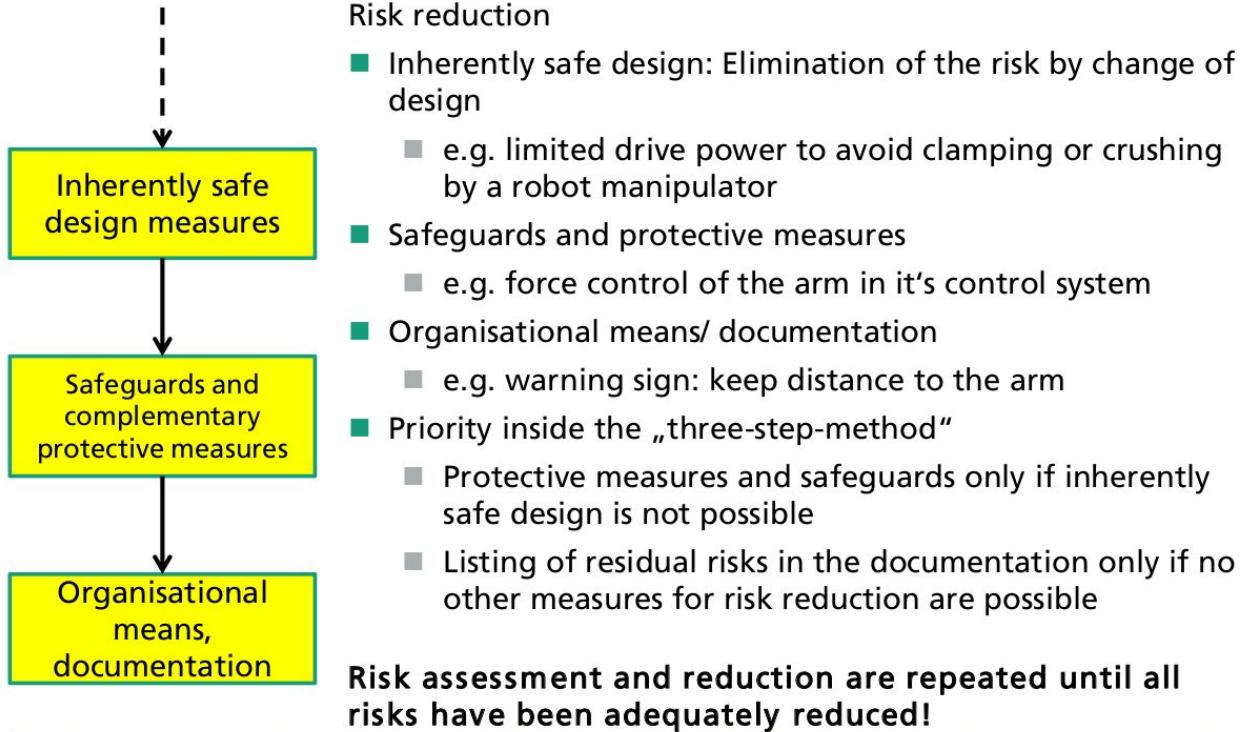
Examples from ISO/TR 14121-2 – Individual risk graphs and matrices may be used

Risk Index calculation						
	O1		O2		O3	
	A1	A2	A1	A2	A1	A2
S1	F1	1		2		
S2	F1	2		3		4
	F2	3	4	5		6

© ISO 12100

Slide from: Theo Jacobs,  
Fraunhofer IPA, Safety standards  
and risk assessment for robots,  
2016

# Process of risk assessment and risk reduction in ISO 12100

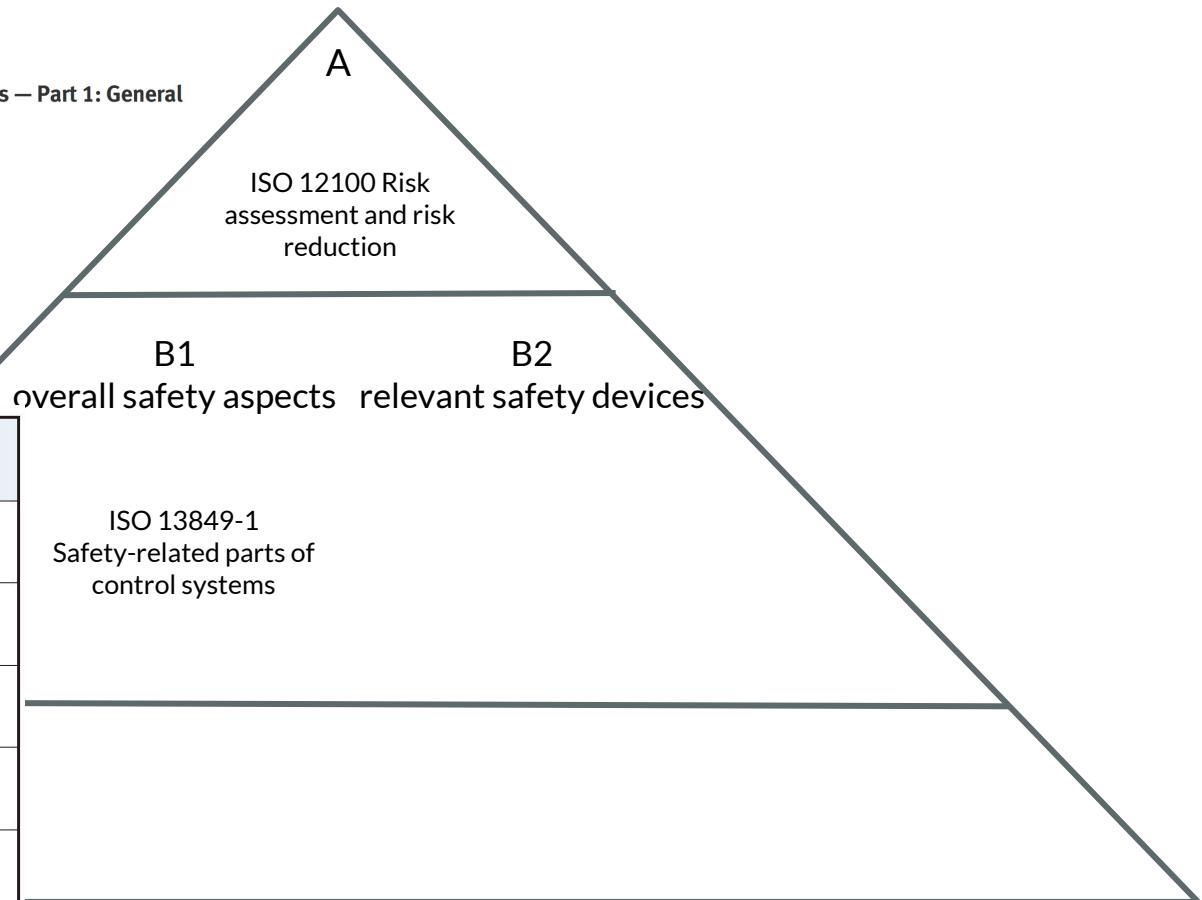


Slide from: Theo Jacobs,  
Fraunhofer IPA, Safety standards  
and risk assessment for robots,  
2016

# ISO 13849-1:2015

Safety of machinery – Safety-related parts of control systems – Part 1: General principles for design

[https://www.keyence.eu/  
ss/products/safetyknowl  
edge/performance/level/](https://www.keyence.eu/ss/products/safetyknowledge/performance/level/)



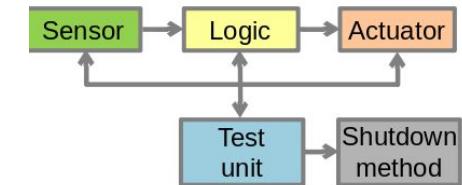
# ISO 13849 – Safety categories

- Categories B, 1: Single channel system
  - Requirement: Use of well-tried safety principles and proven components
  - Single failure can lead to an accident
- Category 2: Single channel system with test equipment
  - Cyclic testing of the safety function
  - High probability that a failure is detected before the safety function is executed the next time
- Category 3: Two channel system
  - Sensors and all parts of the control system exist twice
  - A single failure is detected before a hazard occurs
- Category 4: Highly reliable two channel system
  - A single failure is detected before a hazard occurs
  - Additional protection against undetected failures and common-cause-failures

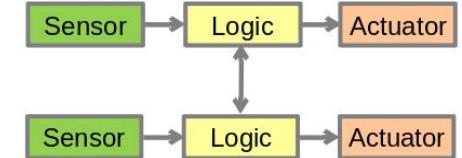
## Category B and Category 1



## Category 2

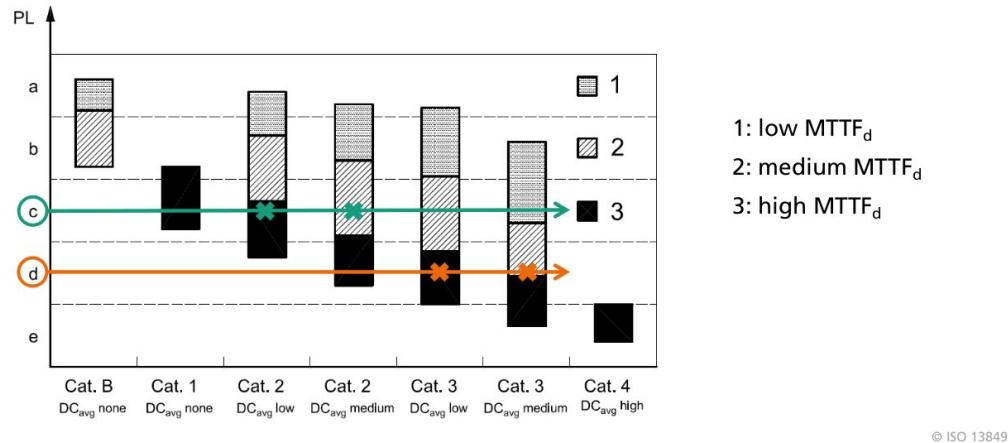


## Category 3 and Category 4



# Requirements for the safety-related part of the control system according to ISO 13849-1

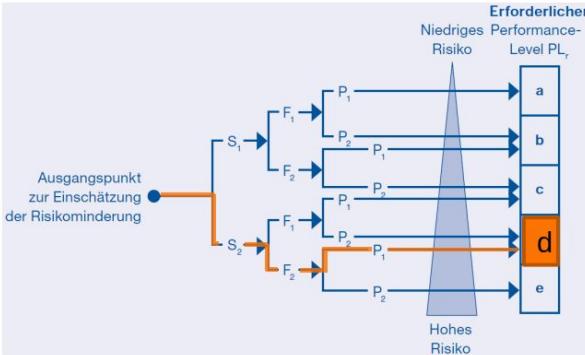
- Based on the required performance level the control system needs to comply with a certain safety category, depending on
  - MTTF<sub>d</sub>: Meantime to the first dangerous failure
  - DC: diagnostic coverage – Ratio of errors that can be detected by a test equipment or a redundant channel in comparison to the total number of



25

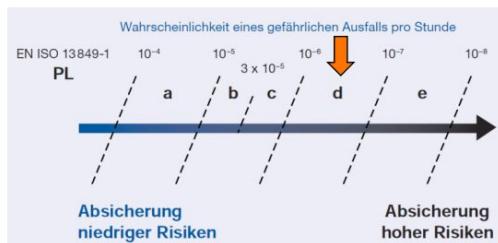
© Fraunhofer IPA 2015

## ISO 13849-1: Performance Level



Industrial robots:  
S2 – F2 – P1 = performance level d

PL d  
Probability  
of failure:

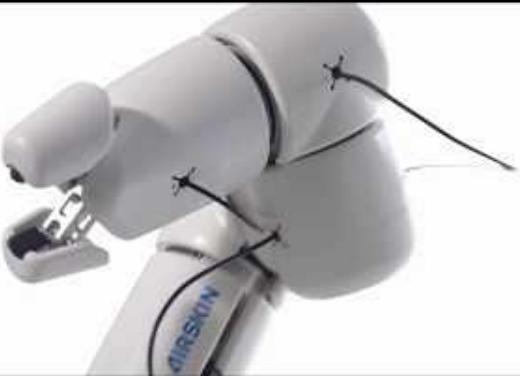


### Risk parameters

- S Severity of injury
  - S1 - Minor (usually reversible)
  - S2 - Serious (usually irreversible / death)
- F Frequency and/or duration of exposure to hazard
  - F1 - Rare to infrequent and/or short duration of exposure
  - F2 - Frequent to continuous and/or long duration of exposure
- P Possibility of avoiding the hazard or limiting the damage
  - P1 - Possible under certain conditions
  - P2 - Scarcely possible

Slide from Daniel  
Braun, KUKA, iiwa  
safety system  
introduction, 2016

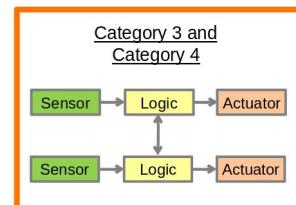
# Case study: Airskin



Dual channel OSSD safety device  
AIRSKIN is a PLe / Cat. 3 safety device which is easily connected via its 6 wires to any safe I/O of any robot controller.

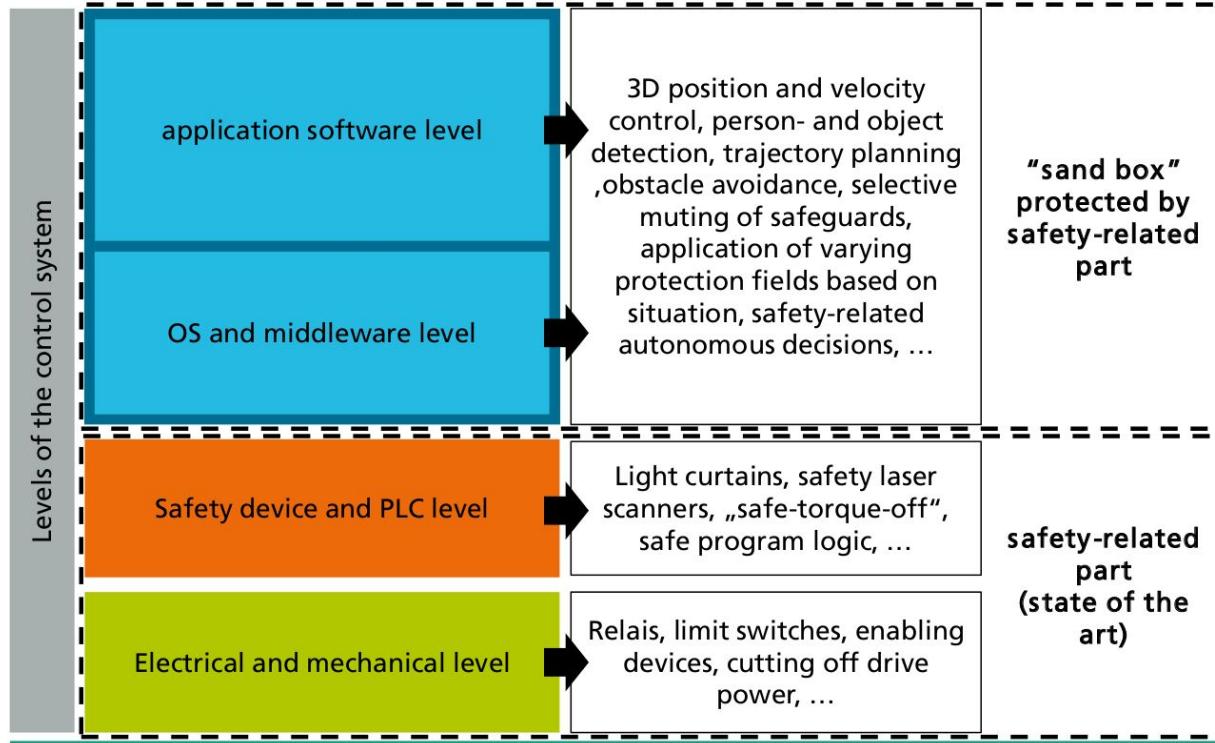
Performance Level (PL)	Probability of Dangerous Failure per Hour (PFHd) 1/h
a	$\geq 10^{-5}$ and $< 10^{-4}$ $\langle 0.001\% \text{ to } 0.01\% \rangle$
b	$\geq 3 \times 10^{-6}$ and $< 10^{-5}$ $\langle 0.0003\% \text{ to } 0.001\% \rangle$
c	$\geq 10^{-6}$ and $< 3 \times 10^{-6}$ $\langle 0.0001\% \text{ to } 0.0003\% \rangle$
d	$\geq 10^{-7}$ and $< 10^{-6}$ $\langle 0.00001\% \text{ to } 0.0001\% \rangle$
e	$\geq 10^{-8}$ and $< 10^{-7}$ $\langle 0.000001\% \text{ to } 0.00001\% \rangle$

<https://www.kenyence.eu/ss/products/safety/knowledge/performance/level/>



- Category 3: Two channel system
  - Sensors and all parts of the control system exist twice
  - A single failure is detected before a hazard occurs

# Safe software: Boundaries of the safety-related control system



**ISO 10218-1:2011**

Robots and robotic devices — Safety requirements for industrial robots — Part 1:  
Robots

- requirements and guidelines for **inherent safe design**, **protective measures** and information for use of industrial robots
- describes **basic hazards associated with robots** and provides requirements to eliminate, or adequately reduce, the risks associated with these hazards

"Safety-related parts of control systems must be designed to meet the requirements of PL "d" with structure category 3 as described in ISO 13849-1:2006"

A

ISO 12100 Risk assessment and risk reduction

B1

overall safety aspects relevant safety devices

B2

ISO 13849-1  
Safety-related parts of control systems

C

ISO 10218-1 Robots and robotic devices - Part 1: Robots

ISO 10218-1 Robots and robotic devices - Part 2: Robot systems and integration

**ISO 10218-2:2011**

Robots and robotic devices — Safety requirements for industrial robots — Part 2:  
Robot systems and integration

- specifies **safety requirements for the integration** of industrial robots and **industrial robot systems** as defined in ISO 10218-1, and industrial robot cell(s). The integration includes the following:
  - the design, manufacturing, installation, operation, maintenance and decommissioning of the industrial robot system or cell;
  - ...

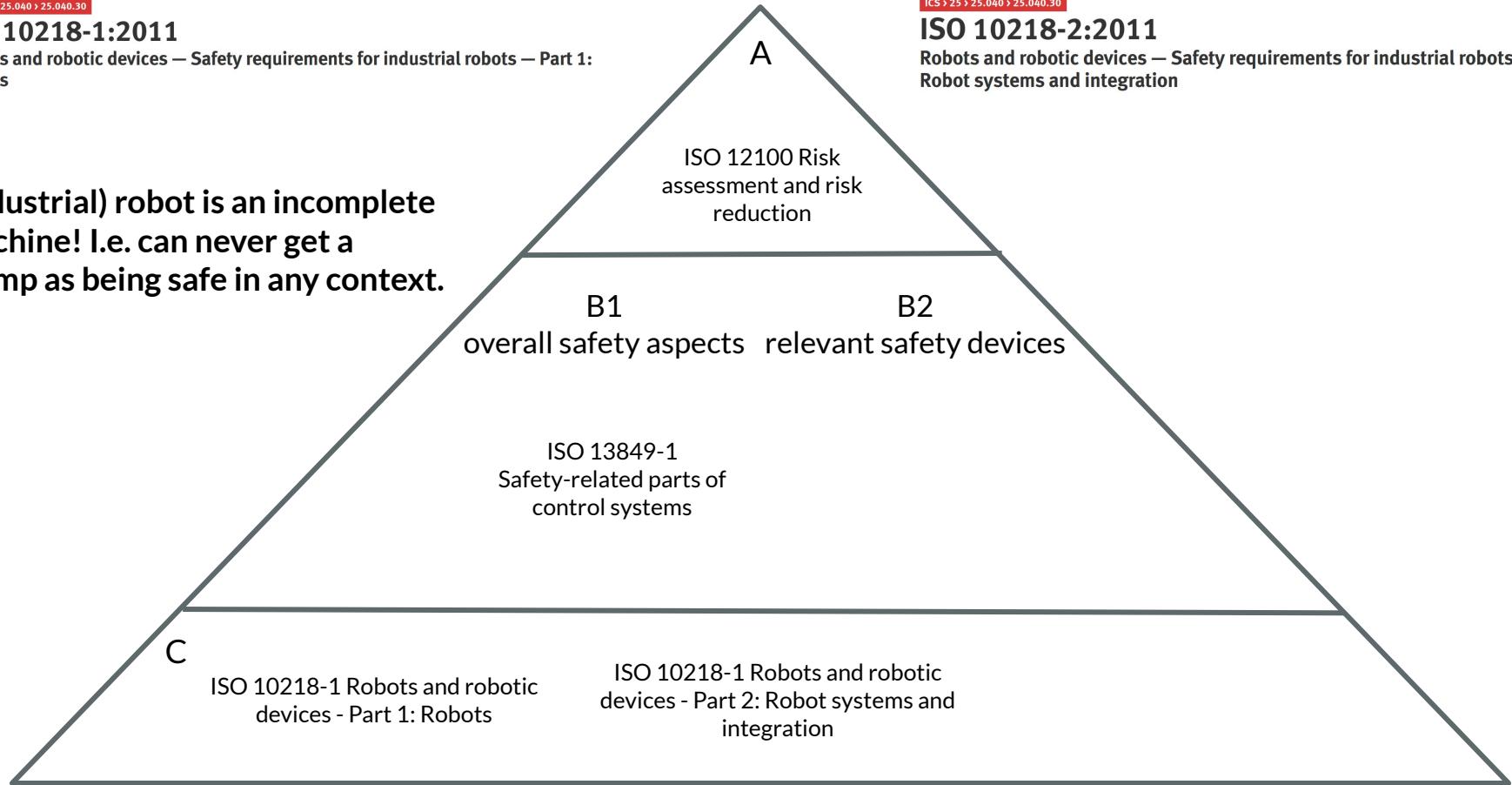
## ISO 10218-1:2011

Robots and robotic devices — Safety requirements for industrial robots — Part 1:  
Robots

(Industrial) robot is an incomplete  
machine! I.e. can never get a  
stamp as being safe in any context.

## ISO 10218-2:2011

Robots and robotic devices — Safety requirements for industrial robots — Part 2:  
Robot systems and integration



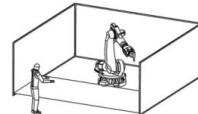
# Robot safety-rated features

- Safe velocity monitoring
- Safe workspaces and protected spaces
- Safe collision detection
- Safe force monitoring
- Safe detection of incorrect loads
- Safe motion direction monitoring
- ...

# ISO 10218-2 – Types of human-robot-collaboration

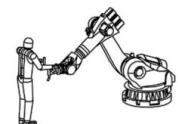
## 1. Safety-rated monitored stop

- Robot in normal automatic mode
- Robot stops when human enters the workspace and resumes automatically after leaving



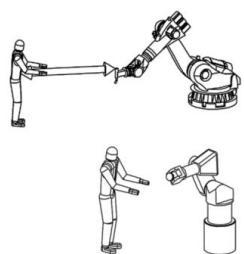
## 2. Hand guided operation

- Robot operates at low speed
- Operation only with enabling switch



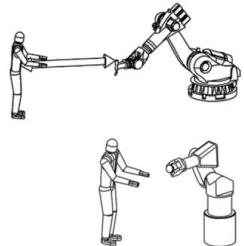
## 3. Speed and separation monitoring

- Robot operates autonomously at low speed
- Robot stops when distance to human gets too small

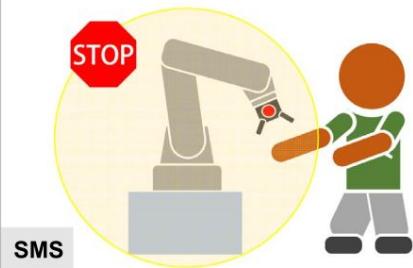


## 4. Power and force limiting

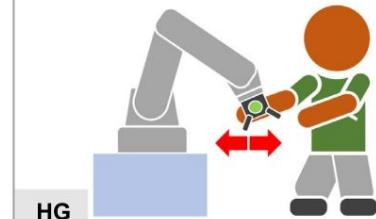
- Restriction of force and power of the robot
- Contact between human and robot allowed



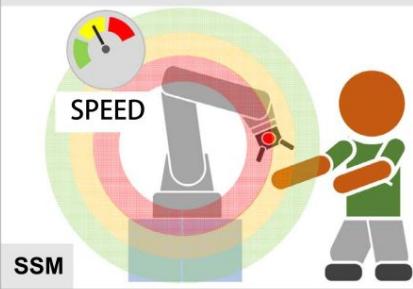
## LEVEL 1 - Safety-rated monitored stop



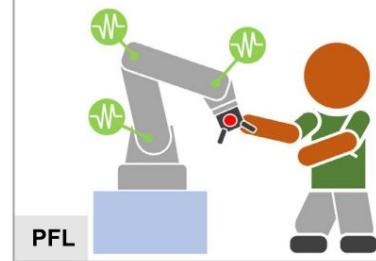
## LEVEL 2 - Hand guiding



## LEVEL 3 - Speed and separation monitoring



## LEVEL 4 – Power and force limiting



ICS > 25 > 25.040 > 25.040.30

# ISO/TS 15066:2016

## Robots and robotic devices — Collaborative robots

specifies safety requirements for collaborative industrial robot systems and the work environment, and supplements the requirements and guidance on collaborative industrial robot operation given in ISO 10218-1 and ISO 10218-2.

A

ISO 12100 Risk assessment and risk reduction

B1

overall safety aspects relevant safety devices

B2

ISO 13849-1  
Safety-related parts of control systems

C

ISO 10218-1 Robots and robotic devices - Part 1: Robots

ISO 10218-1 Robots and robotic devices - Part 2: Robot systems and integration

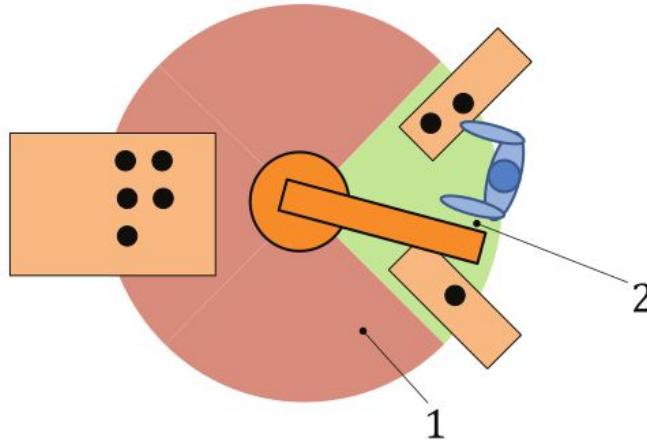
**ISO/TS 15066:2016**  
**Robots and robotic devices —**  
**Collaborative robots**

Robots and robotic devices — Collaborative robots

*Robots et dispositifs robotiques — Robots coopératifs*

# Collaborative workspace

ISO/TS 15066:2016(E)



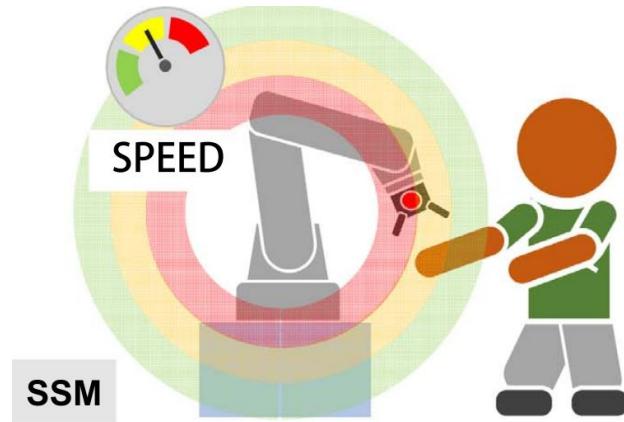
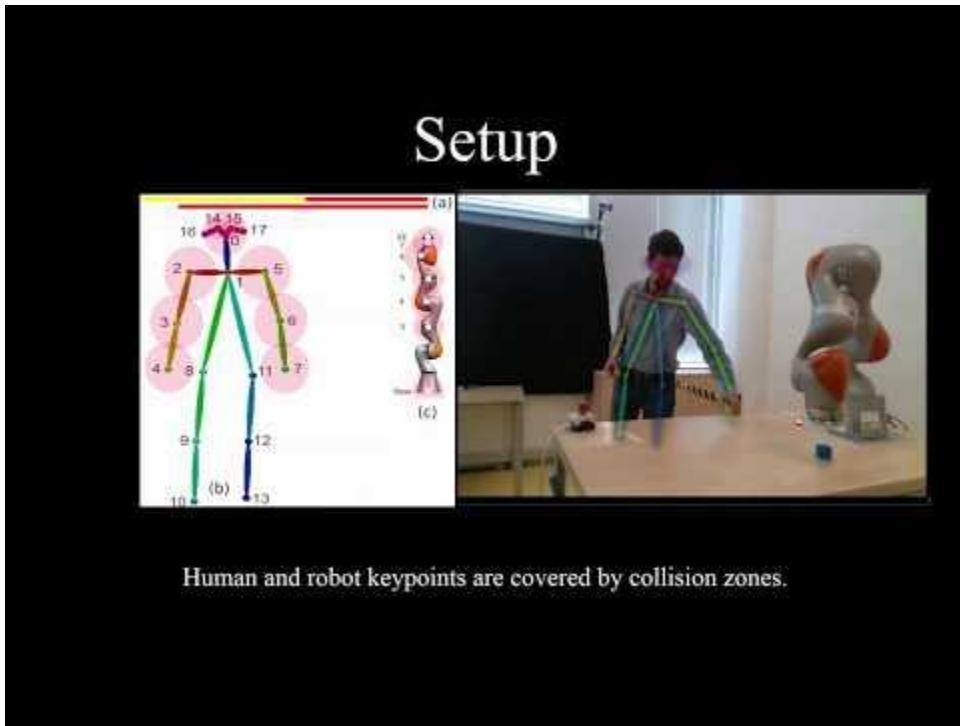
collaborative workspace - space within the operating space where the robot system (including the workpiece) and a human can perform tasks concurrently during production operation

## Key

- 1 operating space
- 2 collaborative workspace

Figure 1 — Example of a collaborative workspace

# Speed and separation monitoring



Villani et al. (2018)

Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Perception for interaction

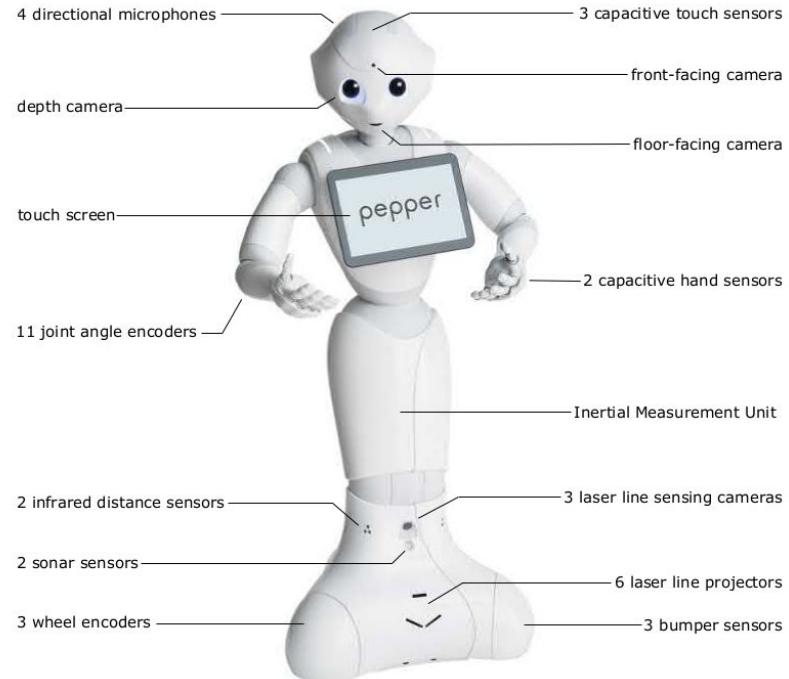


## ■ exteroceptive sensing

- laser scanners, proximity sensors (magnetic, ultrasound, ...)
- cameras (single, stereo, catadioptric, event-based, ...), Vicon system



pHRI

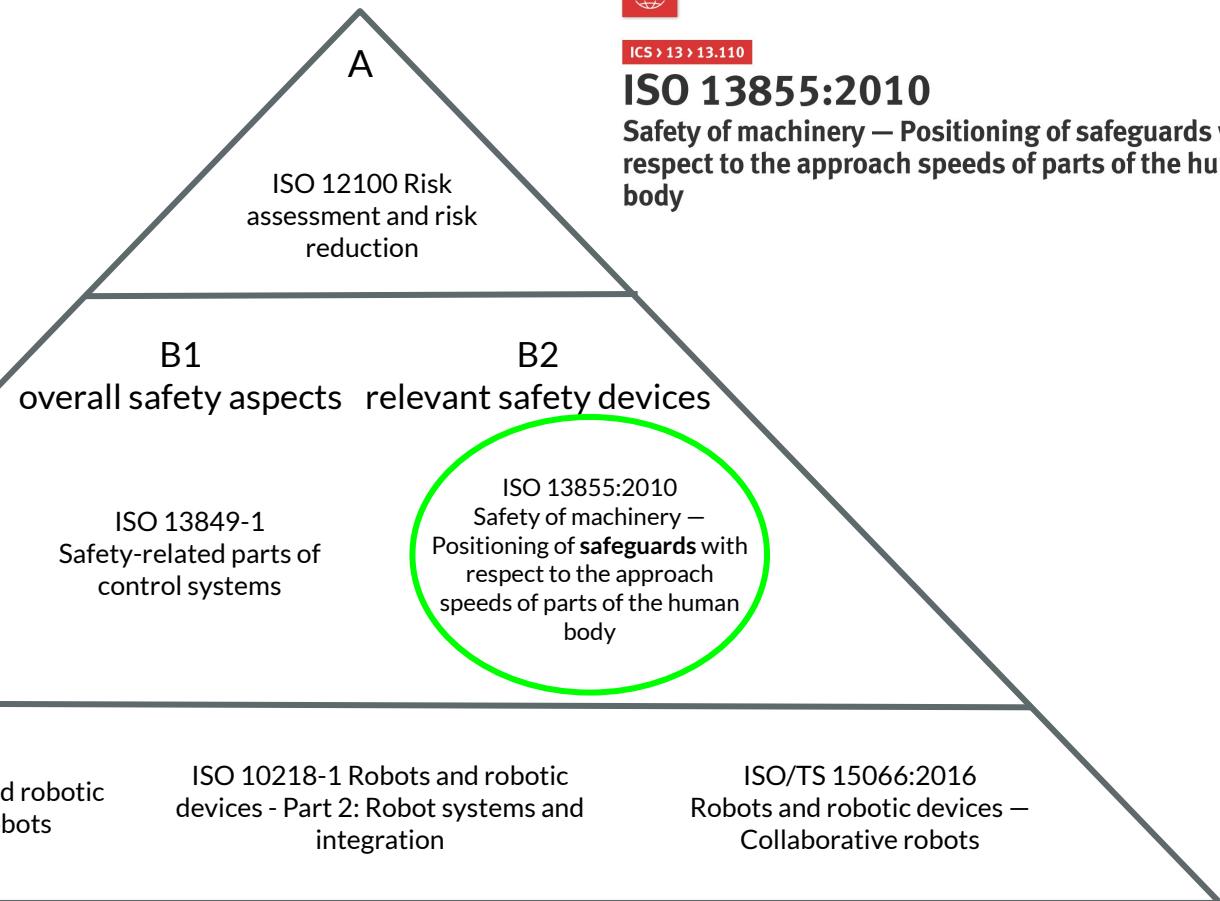
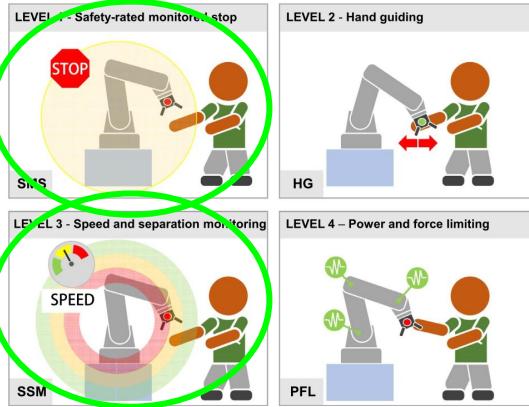


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Physical HRI - Lecture slides by Alessandro de Luca  
[http://www.diag.uniroma1.it/deluca/pHRI\\_elective/pHRI\\_Introduction.pdf](http://www.diag.uniroma1.it/deluca/pHRI_elective/pHRI_Introduction.pdf)

# ISO 13855:2010

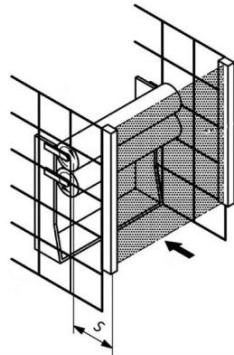
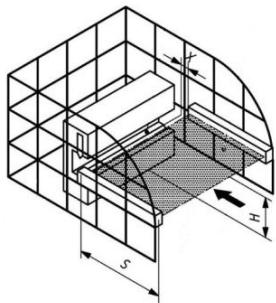
Safety of machinery – Positioning of safeguards with respect to the approach speeds of parts of the human body



# Safety distances for safeguards

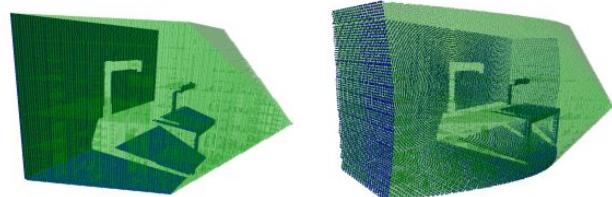
ISO 13855 – Safety of machinery – Positioning of safeguards with respect to the approach speeds of parts of the human body

- Hazardous movement needs to stop before a person can reach the hazard zone
- Formulae to calculate safety distances:  $S = (K*T) + C$ 
  - K ... approach speed of the human, usually 1.6 m/s
  - T ... Stopping time of the machine
  - C ... Additional safety margins (e.g. length of an arm, if the arm itself cannot be detected)



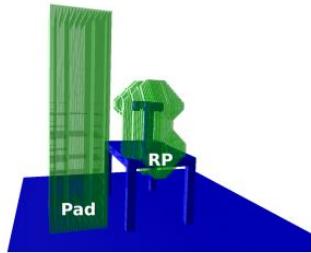
© ISO 13855

# Measuring and designing collaborative workspace coverage by diverse sensors



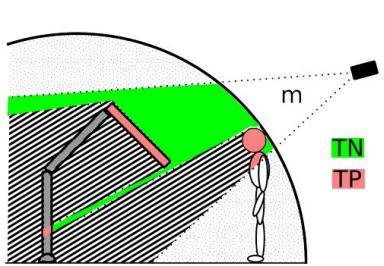
(a) RGB-D camera (without noise)

(b) LIDAR sensor (without noise)

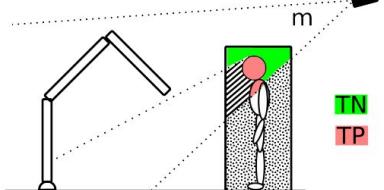


(c) Pressure pad (Pad) and robot proximity cover (RP).

Fig. 3: Visualization of occupancy based representations. The space monitored by the sensors is in green, while obstacles are in blue.



(a) Schema for the semi-spherical robot-centered region of interest.



(b) Schema for the human bounding box region of interest.

Fig. 2: Two regions of interest with a single sensor—a camera and its perception space  $m$ . We distinguish four types of the space in the region of interest: occupied (red), free (green), unknown monitored free space (striped), and unknown monitored occupied space (grey) and not monitored free space in the region of interest (dotted). Note that the occupied space is merely for illustration purposes this large. In practice, only the surfaces of the captured objects are registered.

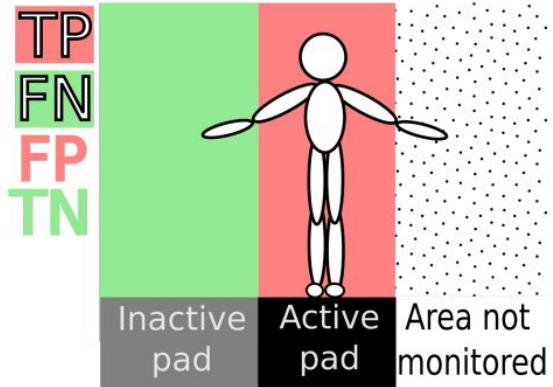


Fig. 4: Example of the coverage metric representation for a monitored volume with two pads. The active pad designates all the volume above it as occupied. Only the voxels occupied by the person are truly occupied (TP, white on red), the voxels not occupied by the person are falsely marked as occupied (FP, red). The volume above an inactive pad is considered empty. The majority of the voxels are truly empty (TN, green), but the human arm voxels are falsely considered as empty space (FN, white on green). Last, there is also space not monitored by the pads containing empty space (dotted) and occupied space (white on dots).

Rozlivek, J.; Svarny, P. & Hoffmann, M. (2023), Perirobot space representation for HRI: measuring and designing collaborative workspace coverage by diverse sensors, in 'Intelligent Robots and Systems (IROS), IEEE/RSJ International Conference on', pp. 5958-5965.

# Measuring and designing collaborative workspace coverage by diverse sensors

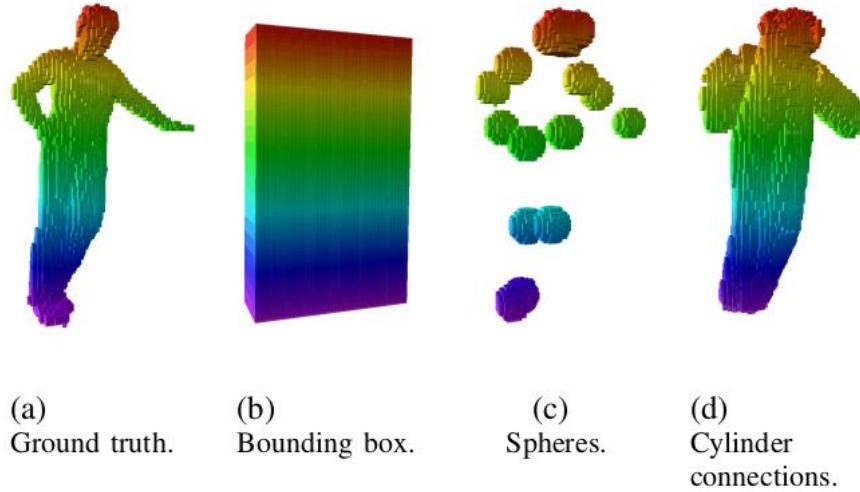
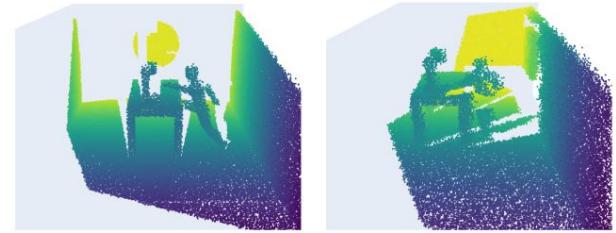
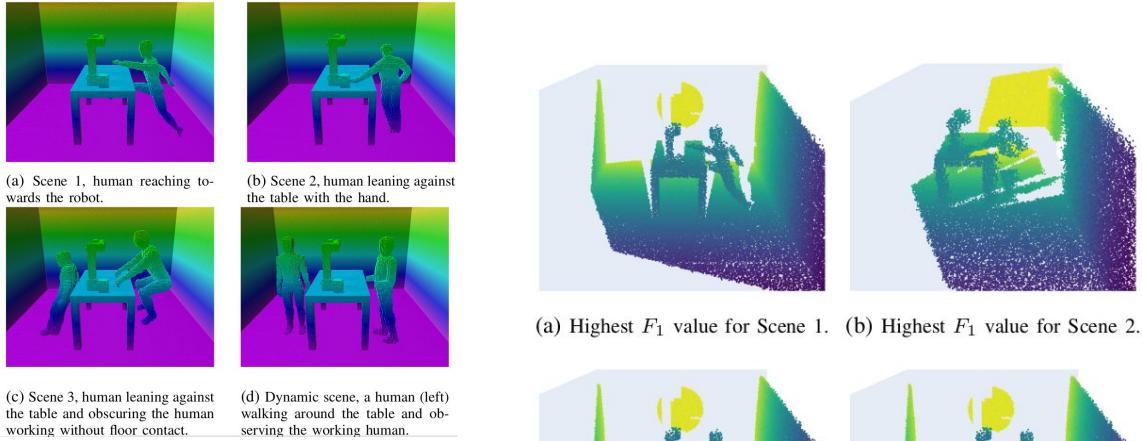
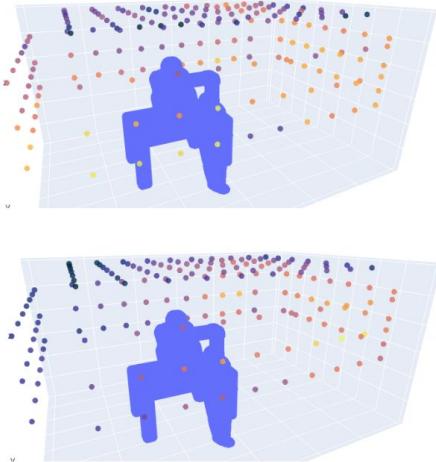


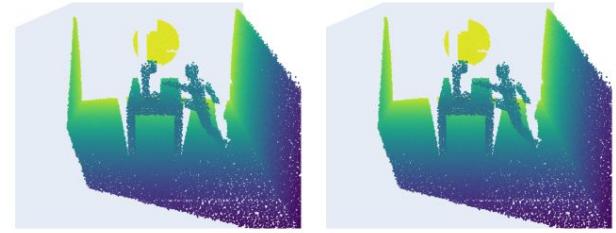
Fig. 5: Ground truth and human models for the used keypoint representations. Height is color-coded in the simulation output.

Rozlivek, J.; Svarny, P. & Hoffmann, M. (2023), Perirobot space representation for HRI: measuring and designing collaborative workspace coverage by diverse sensors, in 'Intelligent Robots and Systems (IROS), IEEE/RSJ International Conference on', pp. 5958-5965.

# Measuring and designing collaborative workspace coverage by diverse sensors



(a) Highest  $F_1$  value for Scene 1. (b) Highest  $F_1$  value for Scene 2.

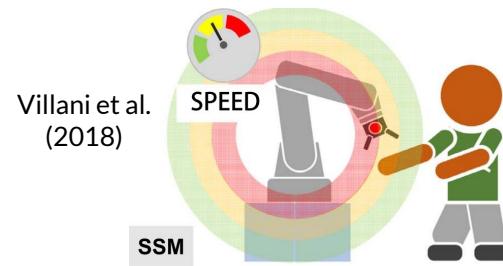


(c) Highest  $\kappa$  value for Scene 1. (d) Highest  $\kappa$  value for Scene 2.

Fig. 8: Point clouds for the RGB-D camera poses with the highest metrics value for 'robot' space; x-axis coordinate is color-coded for better visibility.

Rozlivek, J.; Svarny, P. & Hoffmann, M. (2023), Perirobot space representation for HRI: measuring and designing collaborative workspace coverage by diverse sensors, in 'Intelligent Robots and Systems (IROS), IEEE/RSJ International Conference on', pp. 5958-5965.

# Speed and separation monitoring



Villani et al.  
(2018)

## ISO/TS 15066

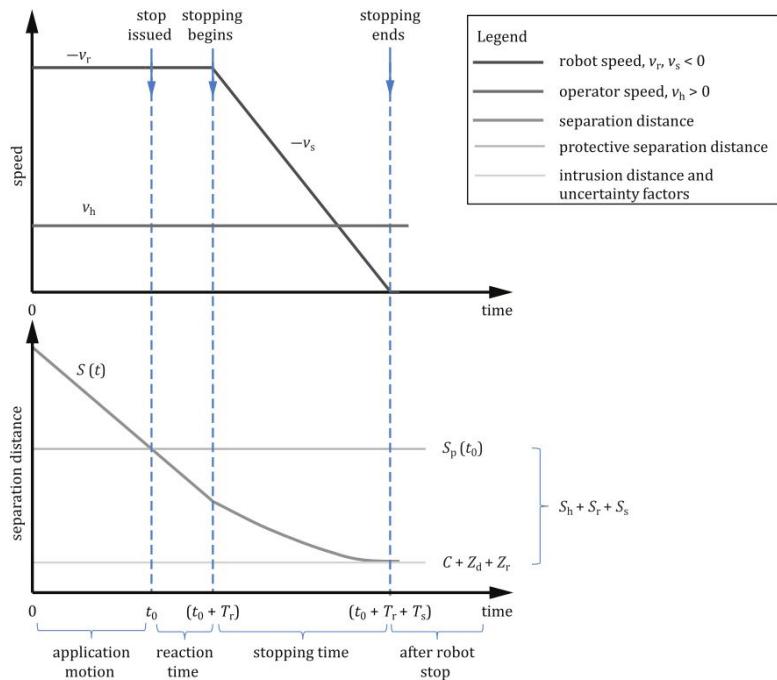


Figure 3 — Graphical representation of the contributions to the protective separation distance between an operator and a robot

The protective separation distance,  $S_p$ , can be described by Formula (1):

$$S_p(t_0) = S_h + S_r + S_s + C + Z_d + Z_r \quad (1)$$

where

- $S_p(t_0)$  is the protective separation distance at time  $t_0$ ;
- $t_0$  is the present or current time;
- $S_h$  is the contribution to the protective separation distance attributable to the operator's change in location;
- $S_r$  is the contribution to the protective separation distance attributable to the robot system's reaction time;
- $S_s$  is the contribution to the protective separation distance due to the robot system's stopping distance;
- $C$  is the intrusion distance, as defined in ISO 13855; this is the distance that a part of the body can intrude into the sensing field before it is detected;
- $Z_d$  is the position uncertainty of the operator in the collaborative workspace, as measured by the presence sensing device resulting from the sensing system measurement tolerance;
- $Z_r$  is the position uncertainty of the robot system, resulting from the accuracy of the robot position measurement system.

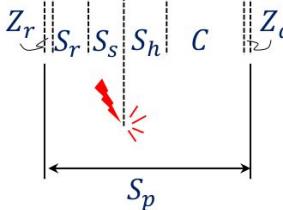
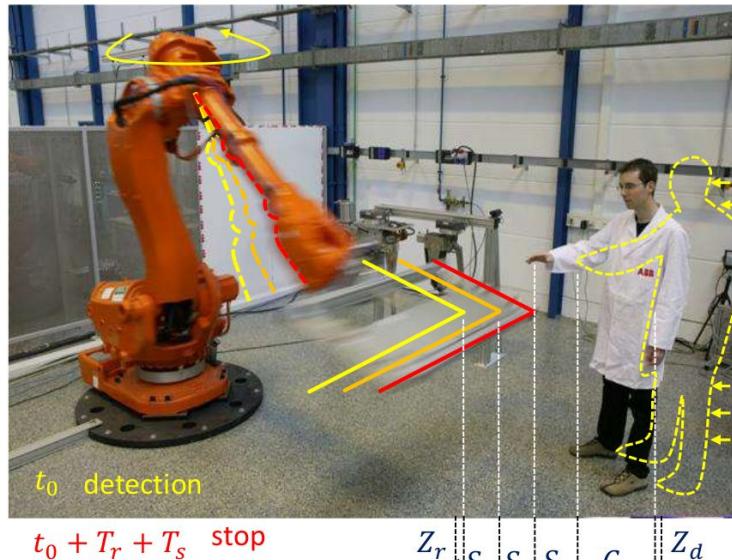
$T_r$  - reaction time of the robot system

- including time required for detection of operator position, processing of this signal, activation of a robot stop
- excluding the time it takes the robot to come to a stop

$T_s$  - stopping time of the robot

- from the activation of the stop command until the robot has halted;
- $T_s$  is not a constant but a function of robot configuration, planned motion, speed, end effector, and load

# Protective separation distance ( $S_p$ )



$$S_p(t_0) = S_h + S_r + S_s + C + Z_d + Z_r$$

$S_h$  - contribution to  $S_p$  due to operator's change in location

$$S_h = \int_{t_0}^{t_0+T_r+T_s} v_h(t) dt$$

$S_r$  - contribution to  $S_p$  due to robot system's reaction time

$$S_r = \int_{t_0}^{t_0+T_r} v_r(t) dt$$

$S_s$  - contribution to  $S_p$  while the robot is stopping;  $v_s$  robot speed in the course of stopping

$$S_s = \int_{t_0+T_r}^{t_0+T_r+T_s} v_s(t) dt$$

$C$  Intrusion distance (ISO 13855)

$Z_d$  uncertainties  
 $Z_r$

$$S_p(t_0) = S_h + S_r + S_s + C + Z_d + Z_r \quad (6)$$

with

- $S_h$  contribution to the  $S_p(t_0)$  attributable to the operators change in location;
- $S_r$  contribution to the  $S_p(t_0)$  attributable to the robot systems reaction time;
- $S_s$  contribution to the  $S_p(t_0)$  due to the robot systems stopping distance;
- $C$  distance that a part of the body can intrude into the sensing field before it is detected;
- $Z_d$  position uncertainty of the operator in the collaborative workspace, as measured by the presence sensing device resulting from the sensing system measurement tolerance;
- $Z_r$  position uncertainty of the robot system from the accuracy of the robot position measurement.

$S_h = (t_r + t_s) \cdot v_h$ , where  $v_h$  is the default human walking speed (1.6 m/s) [2],  $t_r$  is the time it took the robot

to react to a issued stop status (0.1 s), and  $t_s$  the time it took the robot to stop its movement: 0.43 s, thus  $1.6 \cdot (0.1 + 0.43) = 0.85$  m;

$$t_r \cdot v_{\max} = 0.1 \cdot 1 = 0.1 \text{ m};$$

$$t_s \cdot v_r = 0.43 \cdot 0.5 = 0.22 \text{ m};$$

$C$  the setup did not allow the operator to enter the workspace without being detected: 0 m;

$Z_d$  see the  $h_{\text{compen}}$  values from Subsection III-F: 0 m;

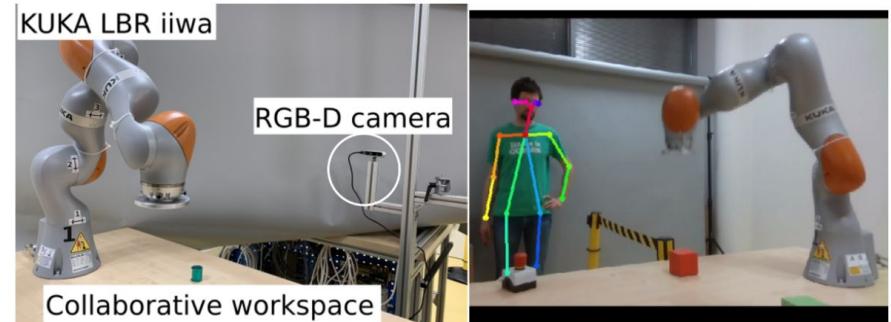
$Z_r$  the LBR iiwa's repeatability value: 0.0001 m.

The time  $t_s$  was determined based on measured calculation times (0.005 s) and the maximal deceleration of the robot which was set to 1.5 rad /s<sup>2</sup>.

Using these values, we can calculate the  $S_p$  as in Eq. 7.

$$S_p(t_0) = 0.85 + 0.1 + 0.22 + 0.0001 = 1.17 \text{ m} \quad (7)$$

## Case study



Scenario 1



robot base, not robot  
links considered => full  
robot reach needs to be  
added:

$$S_p = 1.17 + 0.8 = 1.97 \text{ m}$$

Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Human keypoint detection

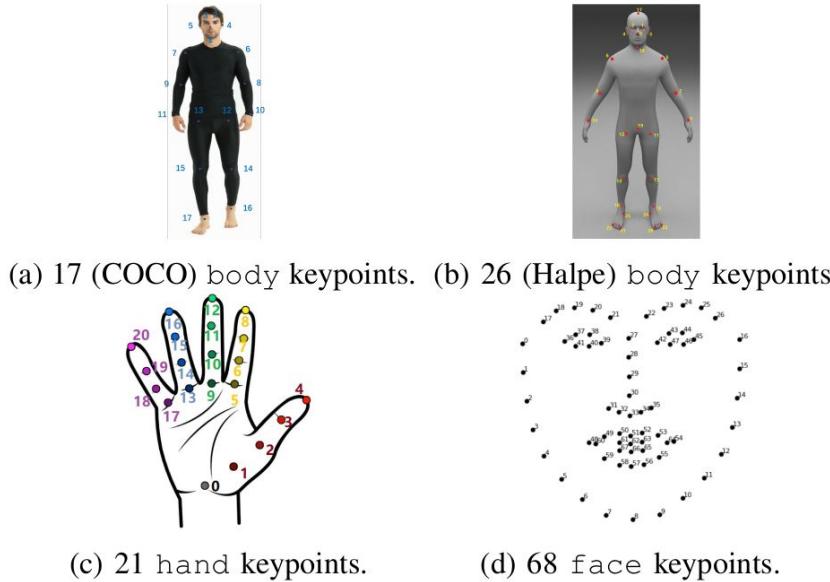
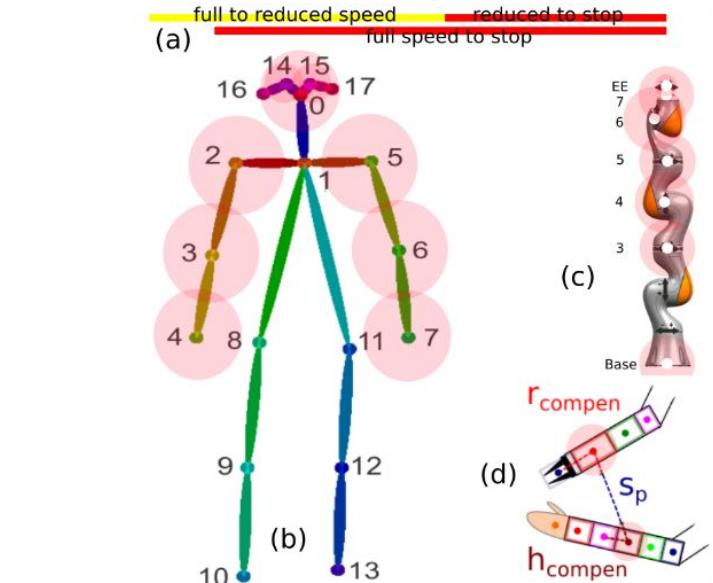


Fig. 2: Selected human body keypoint standards.<sup>1</sup>

Docekal, J.; Rozlivek, J.; Matas, J. & Hoffmann, M. (2022), Human keypoint detection for close proximity human-robot interaction, in 'IEEE-RAS International Conference on Humanoid Robots (Humanoids 2022)', pp. 450-457.



Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Shop floor design 1 - traditional

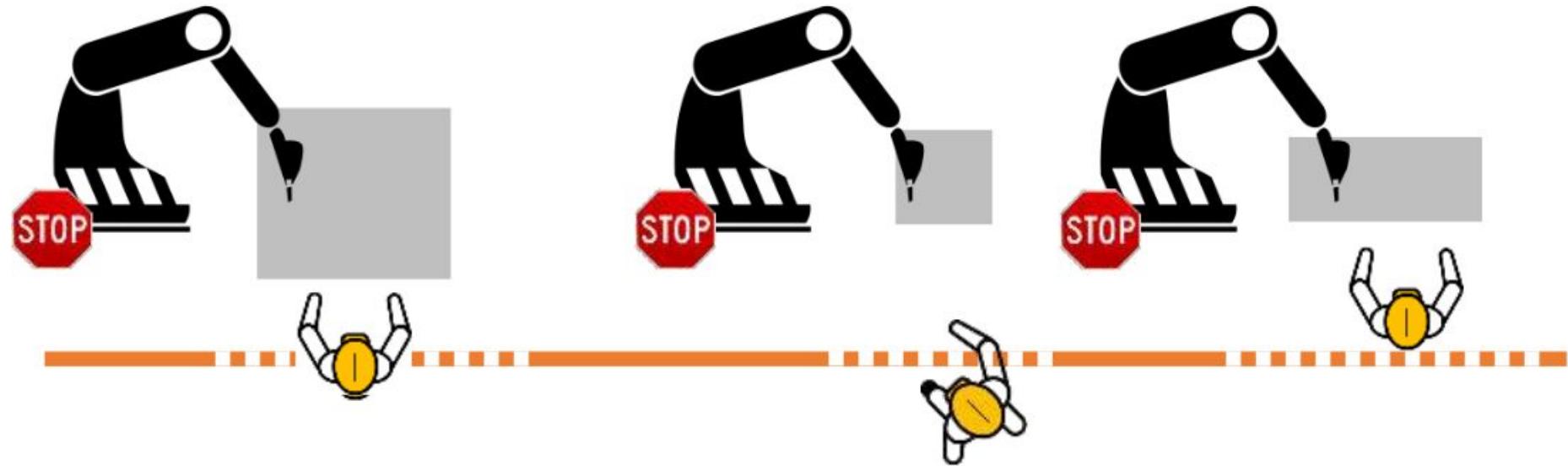
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Slide from F. Vicentini: Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.

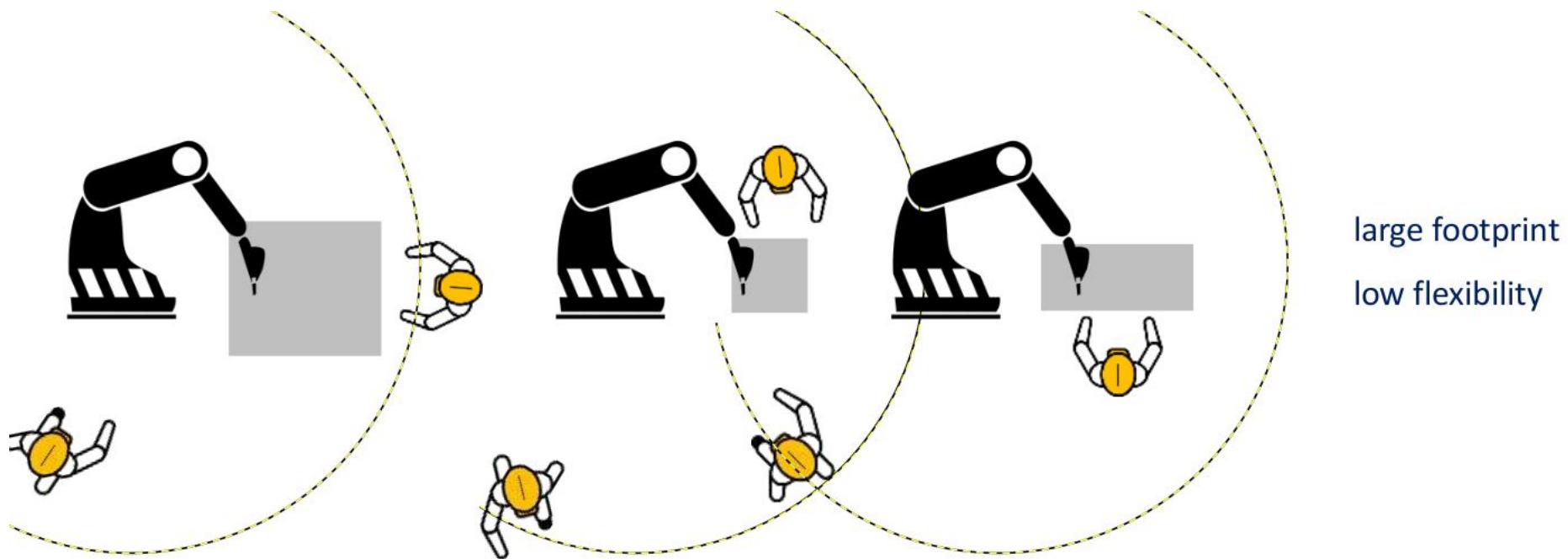
# Shop floor design 2 - safety-rated monitored stop

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Slide from F. Vicentini: Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.

# Shop floor design 3a



Slide from F. Vicentini: Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.

$$S_p(t_0) = S_h + S_r + S_s + C + Z_d + Z_r \quad (6)$$

with

- $S_h$  contribution to the  $S_p(t_0)$  attributable to the operators change in location;
- $S_r$  contribution to the  $S_p(t_0)$  attributable to the robot systems reaction time;
- $S_s$  contribution to the  $S_p(t_0)$  due to the robot systems stopping distance;
- $C$  distance that a part of the body can intrude into the sensing field before it is detected;
- $Z_d$  position uncertainty of the operator in the collaborative workspace, as measured by the presence sensing device resulting from the sensing system measurement tolerance;
- $Z_r$  position uncertainty of the robot system from the accuracy of the robot position measurement.

$S_h = (t_r + t_s) \cdot v_h$ , where  $v_h$  is the default human walking speed (1.6 m/s) [2],  $t_r$  is the time it took the robot

to react to a issued stop status (0.1 s), and  $t_s$  the time it took the robot to stop its movement: 0.43 s, thus  $1.6 \cdot (0.1 + 0.43) = 0.85$  m;

$$t_r \cdot v_{\max} = 0.1 \cdot 1 = 0.1 \text{ m};$$

$$t_s \cdot v_r = 0.43 \cdot 0.5 = 0.22 \text{ m};$$

$C$  the setup did not allow the operator to enter the workspace without being detected: 0 m;

$Z_d$  see the  $h_{\text{compen}}$  values from Subsection III-F: 0 m;

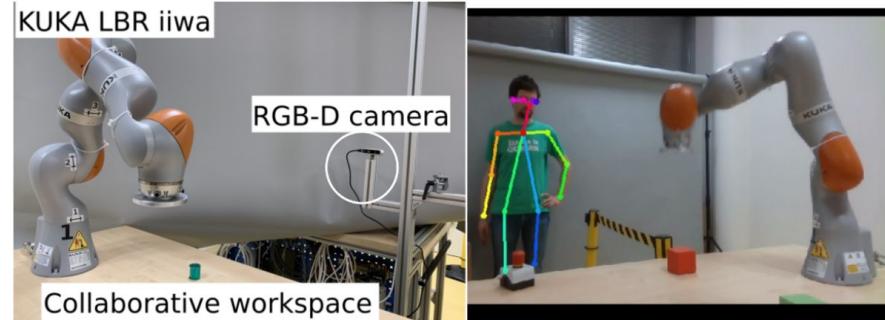
$Z_r$  the LBR iiwa's repeatability value: 0.0001 m.

The time  $t_s$  was determined based on measured calculation times (0.005 s) and the maximal deceleration of the robot which was set to 1.5 rad /s<sup>2</sup>.

Using these values, we can calculate the  $S_p$  as in Eq. 7.

$$S_p(t_0) = 0.85 + 0.1 + 0.22 + 0.0001 = 1.17 \text{ m} \quad (7)$$

## Case study



Scenario 1

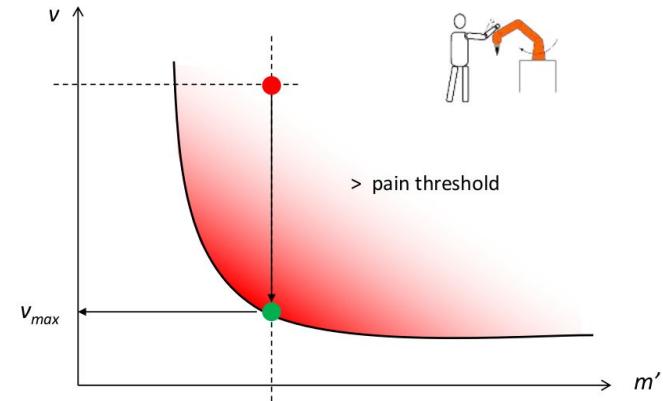
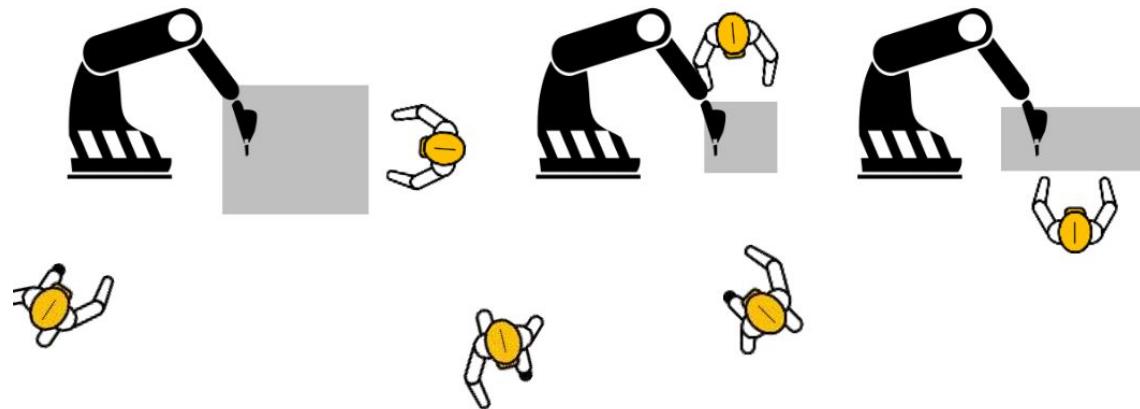


robot base, not robot  
links considered => full  
robot reach needs to be  
added:

$$S_p = 1.17 + 0.8 = 1.97 \text{ m}$$

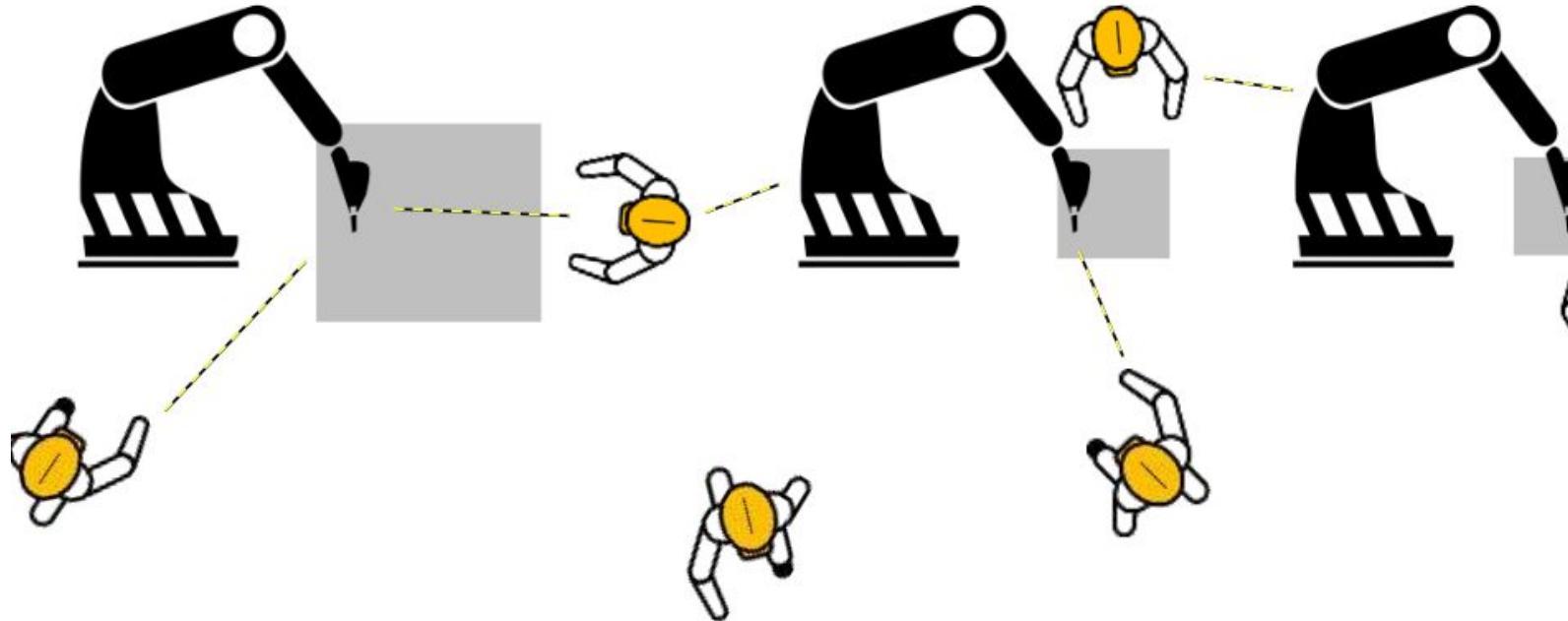
Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Shop floor design 3b - PFL collaborative mode



Slide from F. Vicentini: Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.

# Shop floor design 3c - SSM collaborative mode



Slide from F. Vicentini: Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.

$$S_p(t_0) = S_h + S_r + S_s + C + Z_d + Z_r \quad (6)$$

with

- $S_h$  contribution to the  $S_p(t_0)$  attributable to the operators change in location;
- $S_r$  contribution to the  $S_p(t_0)$  attributable to the robot systems reaction time;
- $S_s$  contribution to the  $S_p(t_0)$  due to the robot systems stopping distance;
- $C$  distance that a part of the body can intrude into the sensing field before it is detected;
- $Z_d$  position uncertainty of the operator in the collaborative workspace, as measured by the presence sensing device resulting from the sensing system measurement tolerance;
- $Z_r$  position uncertainty of the robot system from the accuracy of the robot position measurement.

$S_h = (t_r + t_s) \cdot v_h$ , where  $v_h$  is the default human walking speed (1.6 m/s) [2],  $t_r$  is the time it took the robot

to react to a issued stop status (0.1 s), and  $t_s$  the time it took the robot to stop its movement: 0.43 s, thus  $1.6 \cdot (0.1 + 0.43) = 0.85$  m;

$$t_r \cdot v_{\max} = 0.1 \cdot 1 = 0.1 \text{ m};$$

$$t_s \cdot v_r = 0.43 \cdot 0.5 = 0.22 \text{ m};$$

$C$  the setup did not allow the operator to enter the workspace without being detected: 0 m;

$Z_d$  see the  $h_{\text{compen}}$  values from Subsection III-F: 0 m;

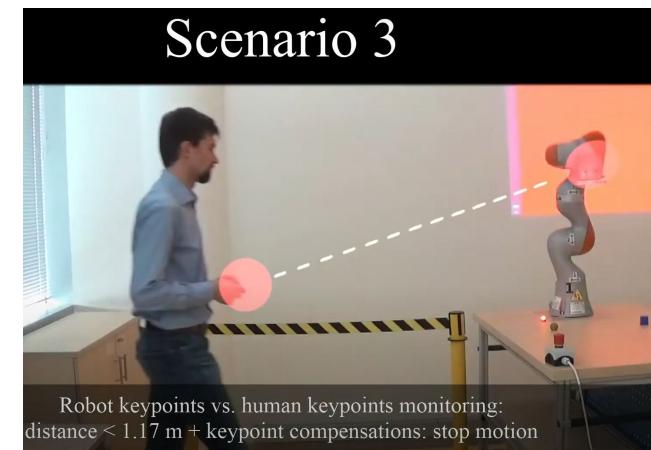
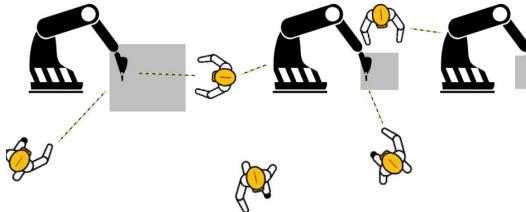
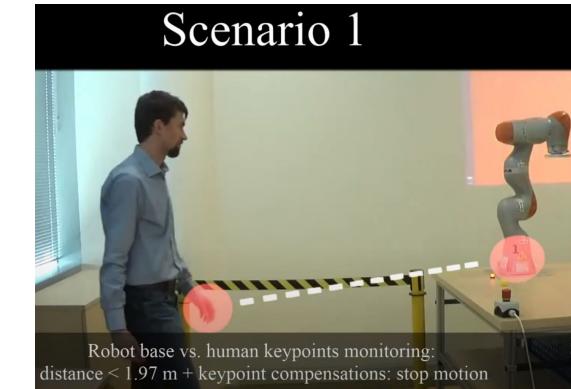
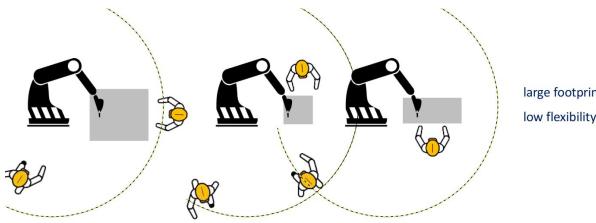
$Z_r$  the LBR iiwa's repeatability value: 0.0001 m.

The time  $t_s$  was determined based on measured calculation times (0.005 s) and the maximal deceleration of the robot which was set to  $1.5 \text{ rad/s}^2$ .

Using these values, we can calculate the  $S_p$  as in Eq. 7.

$$S_p(t_0) = 0.85 + 0.1 + 0.22 + 0.0001 = 1.17 \text{ m} \quad (7)$$

## Case study



Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Close proximity human keypoint detection

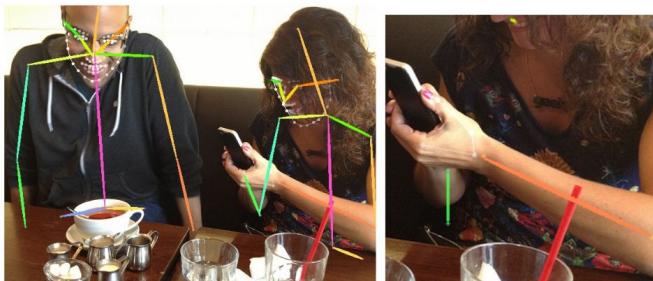
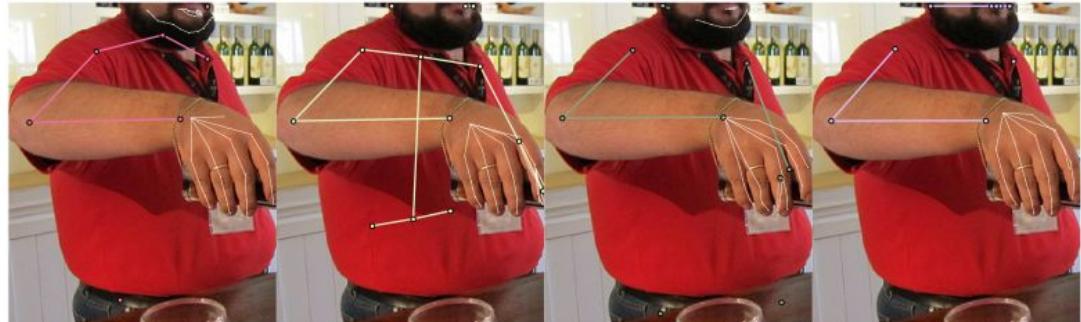


Fig. 1: Human keypoints detected by the AlphaPose detector. An image from the Halpe dataset (left), a close proximity simulated image by cropping, from the HiCP dataset (right). Note the missing keypoints on the neck and shoulder in the proximity image (yellow and green segments on the left).



(a) AlphaPose. (b) OpenPose. (c) MMPose. (d) Detectron2 + MediaPipe.

Fig. 5: Detected human keypoints on an image from the *Headless* subset (head not visible), of the HiCP Dataset.

Docekal, J.; Rozlivek, J.; Matas, J. & Hoffmann, M. (2022), Human keypoint detection for close proximity human-robot interaction, in 'IEEE-RAS International Conference on Humanoid Robots (Humanoids 2022)', pp. 450-457.

# Close proximity human keypoint detection

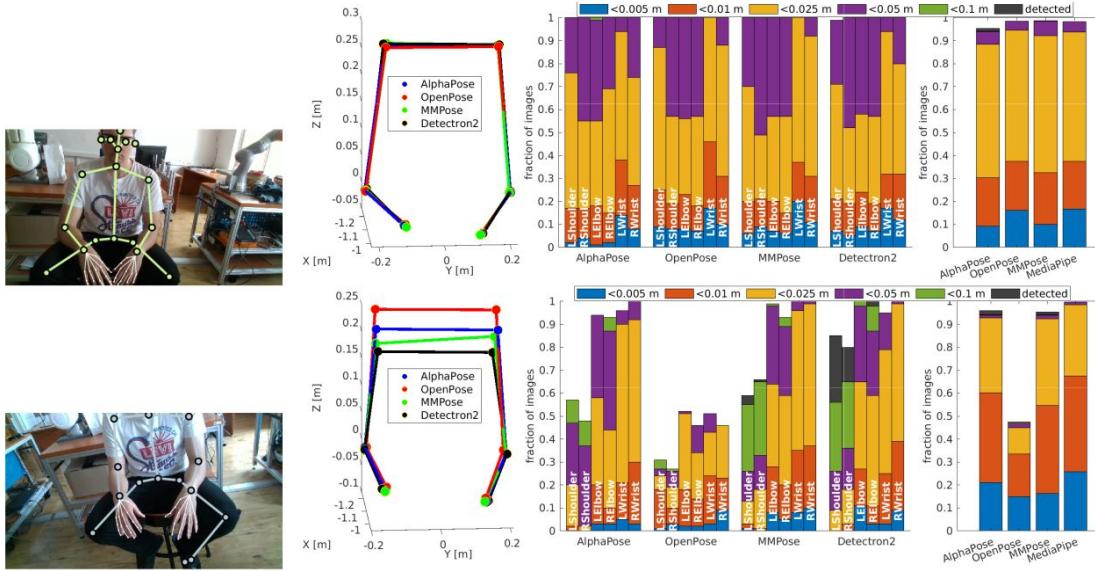


Fig. 6: The upper-view (top) and lower-view (bottom) experiments. From left to right: an image with AlphaPose detections; median keypoint positions skeletons; the fraction of images where upper body keypoints were detected with confidence  $> 0.1$  within the specified color-coded distance from their median positions; the fraction of images (mean over the keypoints) where the hand keypoints are detected within the specific distance from their median positions.

Docekal, J.; Rozlivek, J.; Matas, J. & Hoffmann, M. (2022), Human keypoint detection for close proximity human-robot interaction, in 'IEEE-RAS International Conference on Humanoid Robots (Humanoids 2022)', pp. 450-457.

# Close proximity human keypoint detection

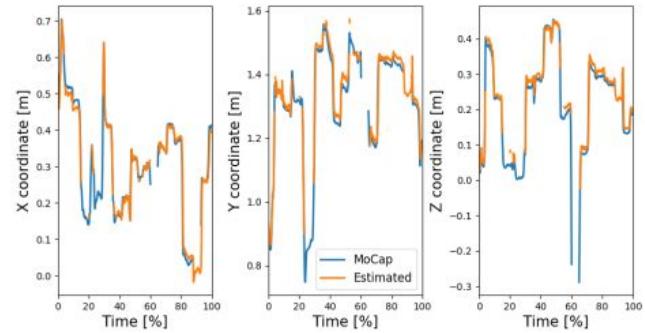
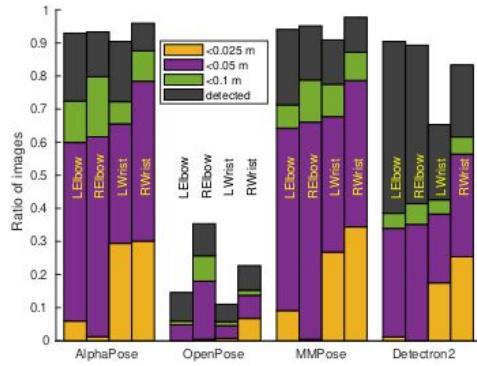


Fig. 7: The MoCap Experiment. A human pose with MoCap markers – small balls on the body (left); Fraction of images where upper body keypoints were detected with confidence  $> 0.1$  within the specified distance from their MoCap correspondences (center); Position of the right wrist keypoint as estimated by the RGB-D camera (red curve) and the MoCap system (blue curve), on the right.

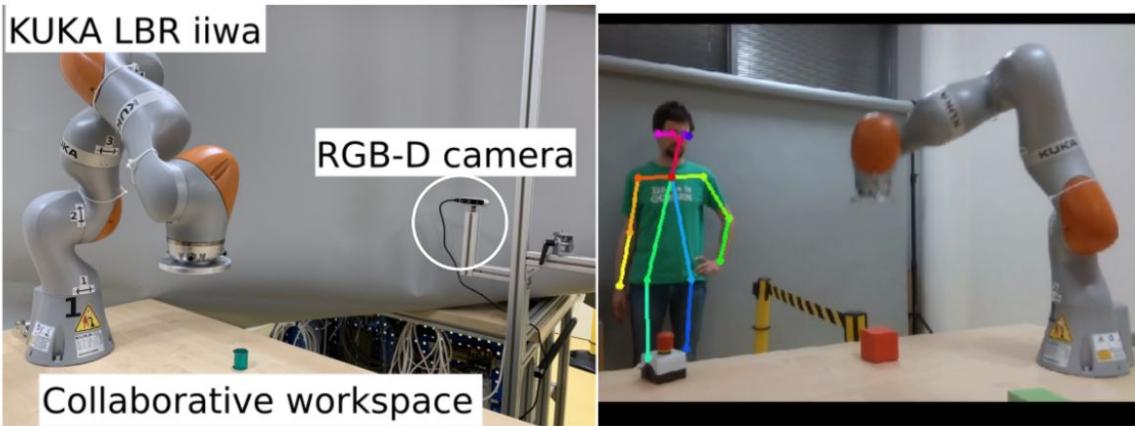
Docekal, J.; Rozlivek, J.; Matas, J. & Hoffmann, M. (2022), Human keypoint detection for close proximity human-robot interaction, in 'IEEE-RAS International Conference on Humanoid Robots (Humanoids 2022)', pp. 450-457.

# Close proximity human keypoint detection



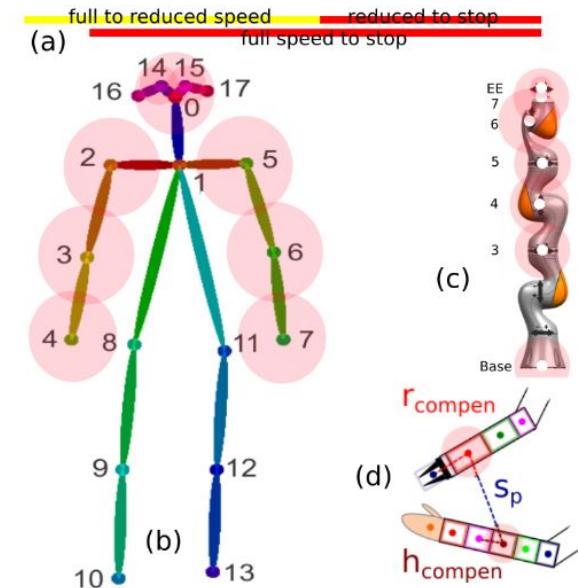
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KUKA LBR iiwa



Could we do the risk assessment and deploy this application?

- Intel Realsense performance level (PL)?
- OpenPose performance level?



Svarny, P.; Tesar, M.; Behrens, J. K. & Hoffmann, M. (2019), Safe physical HRI: Toward a unified treatment of speed and separation monitoring together with power and force limiting, in 'Intelligent Robots and Systems (IROS), 2019 IEEE/RSJ International Conference on', IEEE, pp. 7574-7581.

# Problems with deployment of AI / deep learning

- Good solutions working 99.9% of the time are not good enough here.

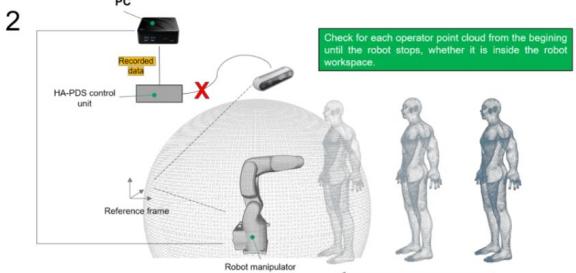
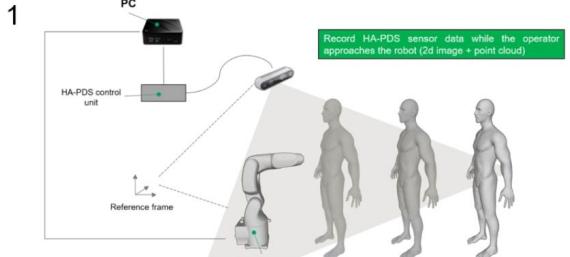


Figure 2: Method to test the HA-PDS for maintaining a safe distance to humans.

Vision-AI-based proximity detection system for industrial applications,  
<https://covrfilestorage.blob.core.windows.net/documents/casestories/Case%20story%20Tekniker.pdf>

**CobotSense**  
Intelligent 3D safety sensor for cobot applications

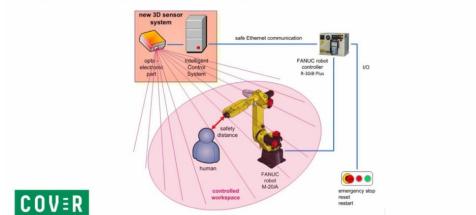


Figure 1: Sensor system and its connection to the robot.



Figure 2: Testing scenario with slow movement of an operator towards a robot arm.

Intelligent 3D safety sensor for cobot applications,  
[https://covrfilestorage.blob.core.windows.net/documents/casestories/CobotSense%20-%20COVR%20Case%20Story%20\(Public\).pdf](https://covrfilestorage.blob.core.windows.net/documents/casestories/CobotSense%20-%20COVR%20Case%20Story%20(Public).pdf)

<https://www.safearoundrobots.com/toolkit/casestories>

Table 3

Available commercial cobots (extended from [67–71]).

Manufacturers, robot models and specifications	Manufacturers, robot models and specifications
<b>ABB (Switzerland)</b>    YuMi - IRB 14000 DOFs: 7+7 Payload: 0.5 kg Reach: 559 mm Repeatability: $\pm 0.02$ mm Weight: 38 kg Velocity: 1500 mm/s 	<b>ABB (Switzerland)</b>    Roberta 1 / Roberta 2 / Roberta 3 DOFs: 6 Payload: 4 kg    8 kg    12 kg Reach: 600 mm    800 mm    1200 mm Repeatability: $\pm 0.1$ mm Weight: 14.5 kg    19.5 kg    30.5 kg Velocity Joints: 110°/s 
<b>FANUC (Japan)</b>    CR-35iA DOFs: 6 Payload: 35 kg Reach: 1813 mm Repeatability: $\pm 0.04$ mm Weight: 990 kg Velocity: 750 mm/s 	<b>FANUC (Japan)</b>    CR4iA / CR-7iA / CR-7iA/L DOFs: 6 Payload: 4 kg    7 kg    7 kg Reach: 550 mm    717 mm    911 mm Repeatability: $\pm 0.02$ mm    $\pm 0.02$ mm    $\pm 0.03$ mm Weight: 48 kg    53 kg    55 kg Velocity: 1000 mm/s 
<b>Rethink Robotics (Boston-USA)</b>    Baxter / Sawyer DOFs: Baxter 7+7    Sawyer 7 Payload: 2.2 kg per arm    4 kg Reach: 1210 mm per arm    1260 mm Repeatability: $\pm 0.1$ mm Weight: 75 kg    19 kg Velocity: 1500 mm/s 	<b>UNIVERSAL ROBOT (Denmark)</b>    UR 3 / 5 / 10 DOFs: 6 Payload: 3 kg    5 kg    10 kg Reach: 500 mm    850 mm    1300 mm Repeatability: $\pm 0.1$ mm Weight: 11 kg    18.4 kg    28.9 kg Velocity: 1000 mm/s 
<b>MABI Robotics (Switzerland)</b>    SPEEDY 6 / 10 / 12 DOFs: 6 Payload: 10 kg    12 kg Reach: 800 mm    1384.5 mm    1250 mm Repeatability: $\pm 0.1$ mm Weight: 28 kg    28 kg    35 kg Velocity Joints: 145 → 275°/s    120 → 180°/s    75 → 275°/s 	<b>KUKA (Germany)</b>    LBR iiWA DOFs: 7 Payload: 7 kg    14 kg Reach: 800 mm    820 mm Repeatability: $\pm 0.1$ mm    $\pm 0.15$ mm Weight: 22 kg    30 kg Velocity Joints: 90 → 180°/s    70 → 180°/s 
<b>Techman Robot (Taiwan)</b>    TMS-900 - 700 DOFs: 6 Payload: 4 kg    6 kg Reach: 900 mm    700 mm Repeatability: $\pm 0.05$ mm Weight: 22.5 kg    22 kg Velocity Joints: 180 → 225°/s 	<b>Productive Robotics (Carpinteria-USA)</b>    OBT DOFs: 7 Payload: 5 kg Reach: 1000 mm Repeatability: $\pm 0.1$ mm Weight: 24 kg Velocity: 2000 mm/s 
<b>Yaskawa (Japan)</b>    Motoman HC10 DOFs: 6 Payload: 10 kg Reach: 1200 mm Repeatability: $\pm 0.1$ mm Weight: 45 kg Velocity Joints: 130 → 250°/s 	<b>AUBO Robotics (China)</b>    AUBO-i5 DOFs: 6 Payload: 5 kg Reach: 880 mm Repeatability: $\pm 0.05$ mm Weight: 24 kg Velocity: 2800 mm/s 
<b>FRANKA EMika (Germany)</b>    FRANKA ARM DOFs: 7 Payload: 3 kg Reach: 855 mm Repeatability: $\pm 0.1$ mm Weight: 18 kg Velocity Joints: 2000 mm/s 	<b>Precise Automation (Fremont-USA)</b>    PP100 - Cartesian DOFs: 3 Payload: 1 kg Reach: X 635 mm - Y 300 mm - Z 225 mm Repeatability: $\pm 0.1$ mm Weight: 20 kg Velocity: 1500 mm/s 
<b>Kawasaki Robotics (Japan)</b>    duAro - Dual-Arm SCARA Robot DOFs: 4+4 Payload: 2 kg Reach: 760 mm Repeatability: $\pm 0.05$ mm Weight: 200 kg Velocity: N/A 	<b>BOSCH (Germany)</b>    APAS DOFs: 6 Payload: 2 kg Reach: 911 mm Repeatability: $\pm 0.03$ mm Weight: 230 kg Velocity: 500 mm/s 

# Examples of cobots

in our lab



KUKA LBR iiwa 7R800



UR10e + Airskin

Villani, V., Pini, F., Leali, F., & Secchi, C. (2018). Survey on human–robot collaboration in industrial settings: Safety, intuitive interfaces and applications. *Mechatronics*, 55, 248–266.

# Safe design and SSM

What role does safe design play in SSM?

- Not so big - robot stops before contact!
- Breaking distance may depend on robot mass...
- No need for cobot then?
- Robot behavior (Cartesian trajectory, joint trajectory, velocities, breaking time and distance...) needs to be safety-rated.

## Safe design

- Lightweight
  - high-strength metals, or composite materials for the robot links
- Tendon-based robots
  - Remote direct drives - actuators in robot base.
  - Low reduction ratios -> back-driveability.
- Elastic actuation
  - Series Elastic Actuation (SEA)
  - Variable Stiffness Actuation (VSA)
  - Variable Impedance Actuations (VIA) - stiffness & damping

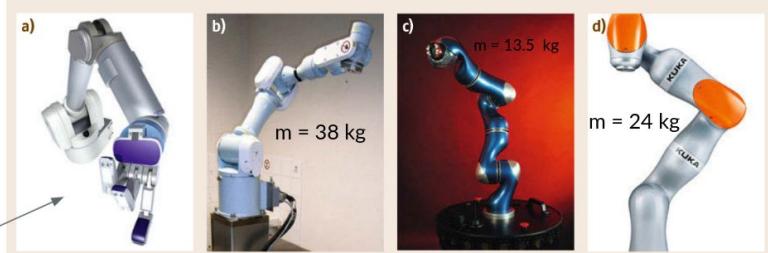


Fig. 69.10 (a) Barrett arm (after [69.58]), (b) Mitsubishi PA10 arm, (c) DLR lightweight robot III (after [69.59]), (d) KUKA LBR iiwa (after [69.60]) (courtesy of Barret Technology Inc., DLR, KUKA)

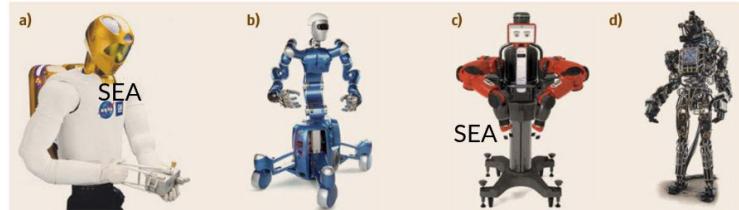


Fig. 69.11 (a) NASA Robonaut 2, (b) DLR Rollin' Justin, (c) Rethink Robotics Baxter and (d) Boston Dynamics Atlas (courtesy of NASA, DLR, Rethink Robotics Inc., Boston Dynamics)

Haddadin, S., & Croft, E. (2016). Physical human–robot interaction. In *Springer handbook of robotics* (pp. 1835–1874). Springer, Cham.

# Conclusion – How to design a safe robot

1. Start to worry about safety as early as possible in the robot design process!
2. Look for a type-C standard the defines basic safety requirements for your robot type
3. Perform risk assessment and risk reduction according to ISO 12100 (iterative process!)
  1. Specify the use limits of your robot and think of foreseeable misuse
  2. Identify risks that are not tolerable
  3. Reduce risks according to the three-step-method
4. Look for additional (usually type-B) standards that you need to comply with when using certain safety measures or design features
5. Design and verify safety-related part of the control system
6. Apply the CE mark, sell your product and get rich!

Slide from: Theo Jacobs, Fraunhofer IPA,  
Safety standards and risk assessment for  
robots, 2016

**“my application is safe  
because I use a *collaborative robot*”**

*No, this is a dangerous shortcut.  
Please, **do** risk assessment*

*Collaborative solutions require **different mindsets**:*

- *design your layout,*
- *prepare your environment,*
- *anticipate errors and misuses.*

**Combine** safeguarding and protective measures

**Do not force** collaboration when unnecessary

**“any moving part is hazardous, so guards must be installed.  
Please **stop** this unsafe machine.”**

*No, this is preemptive technology rejection.  
Please **review** risk assessment.*

*Collaborative solutions require **different mindsets**:*

- *Understand new machines,*
- *Be aware of advantages and downsides*
- *anticipate errors and misuses.*

**Train** about safeguarding and protective measures

**Do not deny** collaboration when necessary



# Next

- Modeling of impacts.
- What does impact force depend on?
  - Velocity of colliding bodies.
  - Contact type - quasi-static / transient.
  - Contact area and material properties (stiffness/damping).
  - Robot effective mass.
  - Robot reaction.
- Power and force limiting mode of collaboration.
- Interaction control
  - Collision detection, isolation, reaction...
  - Impedance / admittance control, force control...

# Resources and further reading

- Books / book sections
  - Haddadin, S., & Croft, E. (2016). Physical human–robot interaction. In *Springer Handbook of Robotics* (pp. 1835–1874). Springer, Cham.
- Online resources
  - Theo Jacobs, Safety standards and risk assessment for robots,  
<https://www.ipa.fraunhofer.de/en/expertise/robot-and-assistive-systems/service-robot-technologies/safe-human-robot-interaction.html>
  - project COVR: <https://www.safearoundrobots.com/>
  - Federico Vicentini - presentations
    - Safety of collaborative robotics. Overview and critical issues. 2019.  
[https://www.etui.org/sites/default/files/ez\\_import/2019\\_ETUI\\_vicentini\\_collaborative%20robotics.pdf](https://www.etui.org/sites/default/files/ez_import/2019_ETUI_vicentini_collaborative%20robotics.pdf)
    - Safety of collaborative robotics. Speed and separation monitoring @ IROS 2018.
  - Alessandro de Luca
    - Physical HRI - Lecture slides by Alessandro de Luca: <http://www.diag.uniroma1.it/deluca/pHRI.php>.
      - Speed and separation monitoring: #4 pHRI\_CoexistenceCollaboration.pdf (84 slides, April 8, 2022) -  
[http://www.diag.uniroma1.it/deluca/pHRI\\_elective/pHRI\\_CoexistenceCollaboration.pdf](http://www.diag.uniroma1.it/deluca/pHRI_elective/pHRI_CoexistenceCollaboration.pdf)
    - Youtube playlist: <https://www.youtube.com/playlist?list=PLvAUmlzqq6oaRtwX9l9sjDhcNMXNCGSN0>
    - Talks on youtube. E.g., [https://youtu.be/L\\_QI9P2-ybY](https://youtu.be/L_QI9P2-ybY)
- Articles
  - Vicentini, F. (2021). Collaborative robotics: a survey. *Journal of Mechanical Design*, 143(4).
  - Villani, V., Pini, F., Leali, F., & Secchi, C. (2018). Survey on human–robot collaboration in industrial settings: Safety, intuitive interfaces and applications. *Mechatronics*, 55, 248–266.
- Other resources
  - Filip Pelikán, SICK, Bezpečný stroj, 2018