Problem solving by search Finding the optimal sequence of states/decisions/actions

Tomáš Svoboda, Petr Pošík

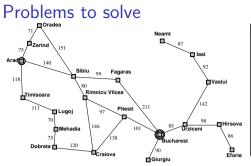
Vision for Robots and Autonomous Systems, Center for Machine Perception
Department of Cybernetics
Faculty of Electrical Engineering, Czech Technical University in Prague

February 26, 2024

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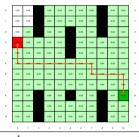
Notes

We will show that states/decisions/actions/control-commands are the same for deteriministic problems



12	1	2	15
11	6	5	8
7	10	9	4
	13	14	3







Notes -

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Understanding the problem is the key, DALL-E.



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Notes -

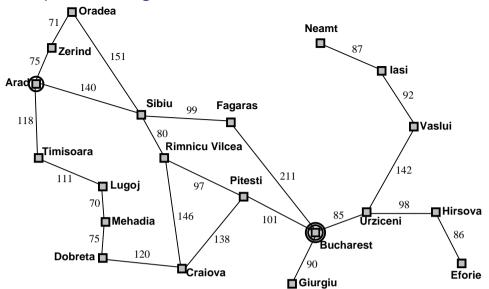
DALL-E creations after correctly explaing the problem itself.

Outline

- ► Search problem. What do you want to solve?
- ▶ State space graphs. How do you formalize/represent the problem? Problem abstraction.
- ▶ Search trees. Visualization of the algorithm run.
- ► Strategies: which tree branches to choose?
- ► Strategy/Algorithm properties. *Memory, time, . . .*
- ► Programming infrastructure.

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Example: Traveling in Romania



Notes

Ok, start with a simple one, almost everybody knows about the navigation - path planning problem. Waze, Garmin, ... Here, the problem can be transferred into a graph quite directly - a map is a kind of a graph, states are location in a city.

Can you think about more problems?

For example:

- Touring problems. Special case: Traveling salesperson problem each city must be visited exactly once.
- Planning robot movements mobile robot or manipulator.
- VLSI (chip) layout.
- •

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Goal:

be in Bucharest

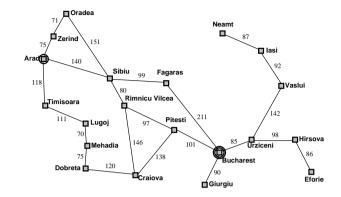
Problem formulation:

states: position in a city (cities) actions (decisions): select a roac

Solution

Sequence of cities (path)
(sequence of actions/decisions [2])
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Energy, time, tolls, . . .



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Notes -

Classical problem from the Book [2], we use it, too.

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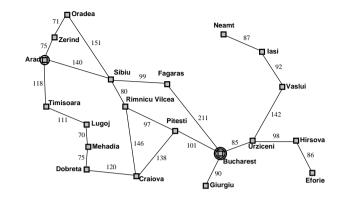
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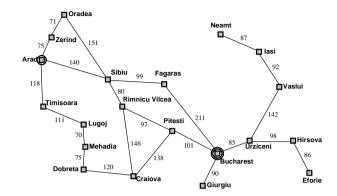
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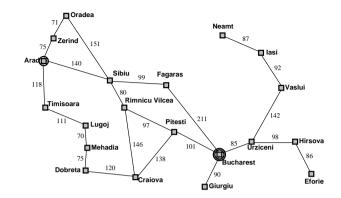
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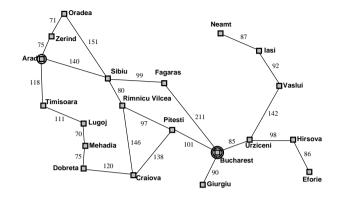
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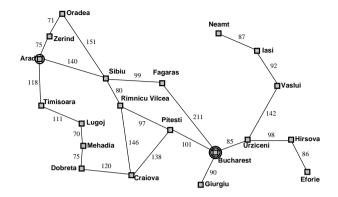
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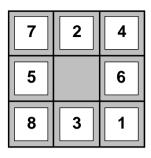


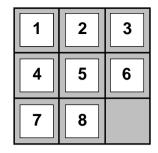
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Example: The 8-puzzle





Start State

Goal State

states? actions? solution? cost?

Notes -

Also known as n-1 puzzle.

- States: Location of each of the 8 tiles and the blank.
- Number of states: 9!
- Initial state: any state. (Note that any given goal state can be reached from exactly half of the initial states.)
- Actions: Movements of the blank space: Left, Right, Up, Down (or a subset of these)
- Solution / goal test: Check whether state matches the goal configuration.
- Path cost: nr. steps in the path (each step costs 1)

Toy problem (3.2.1) from [2].

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- ► State space (including Start/Initial state): position, board configuration,
- Actions: drive to. Up. Down. Left ...
- Transition model: Given state and action result state (and cost or reward)
- Goal test : Are we done?

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We will use the terminology throught the next 5-6 lectures; also for Markov (Sequential) Decision Processes, Reinforcement Learning.

Make a mental test: You are a robot, going from home to school. What would be states, actions, transition model, goal test?

Transition model can be also understood as a mapping between actions and results/outcome.

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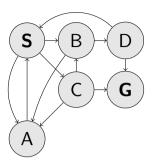
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Discrete State Space

State space graph: a representation of a search problem

- ▶ States $s \in S = \{S, A, B, C, D, G\}$ (finite set)
- Arcs represent actions a, for each state s, $a \in \mathcal{A}(s)$ (\mathcal{A} is also finite)
- ▶ State transition function s' = result(s, a)
- ▶ Start (initial) state $s_0 \in S$, $s_0 = S$.
- ▶ Goal set $S_G \subset S$.

Each state occurs only *once* in a state (search) space.



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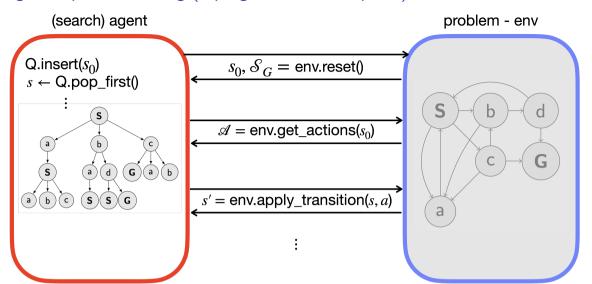
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Formalizing a real world problem – (creating) a state space graph – could be a problem in itself. I put creating into brackets as it may be also infinite.

Close connection to graph algorithms like Dijkstra, Floyd-Warshall.

- Graph algorithms assume complete info about the graphs the main input.
- For many real-world problems, the graph is not known in advance.
- The state space graph is revealed during the search. The graph serves as an abstraction mental model rather than as an actual data representation.
- Many real world problems have too many vertices, think about n-1 puzzle or chess, number of possible configurations is enormous.
- A solution can be actually quite shallow.

agent - problem dialog (a programmer's viewpoint)



Notes -

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Q: (_,**S**) visited: S S

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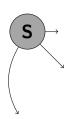
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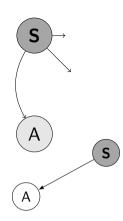
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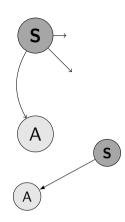
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Q: (S,A)

visited: S A

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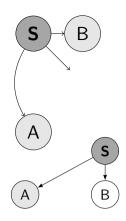
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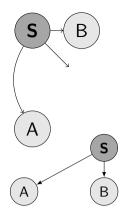
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Q: (S,A) (S,B) visited: S A B

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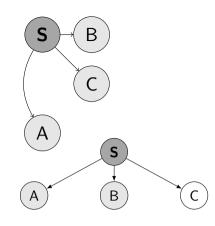
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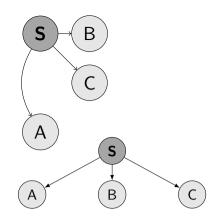
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Resolve duplicate s'

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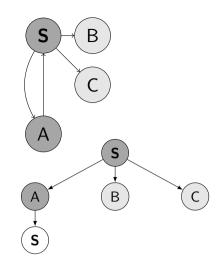
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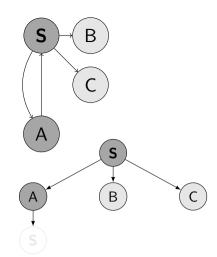
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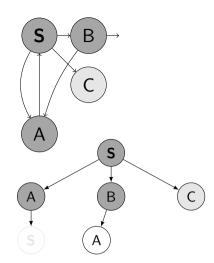
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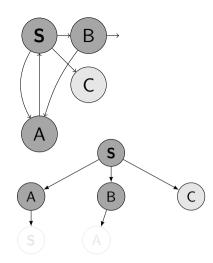
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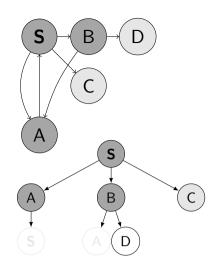
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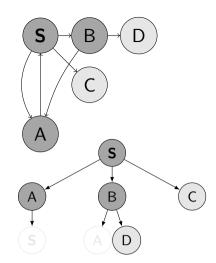
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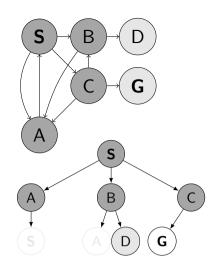
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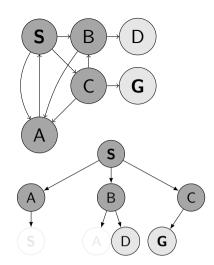
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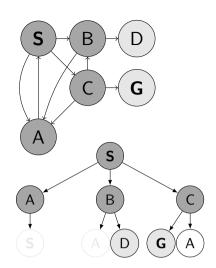
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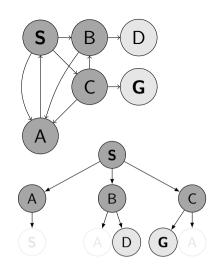
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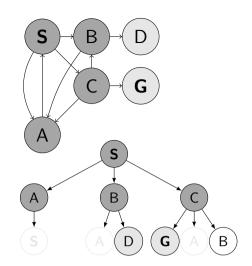
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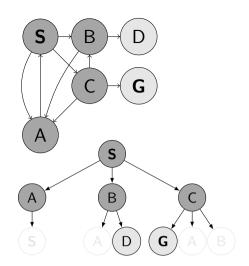
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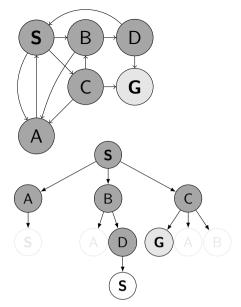
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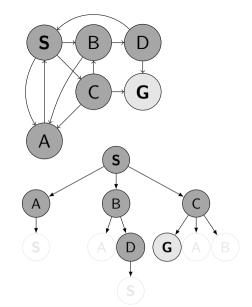
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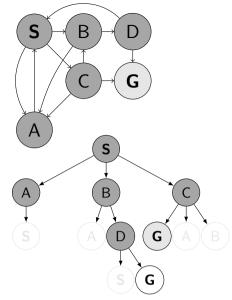
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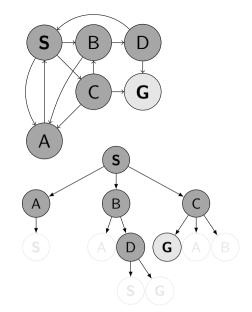
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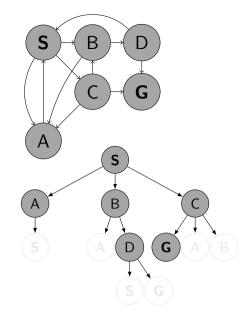
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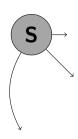


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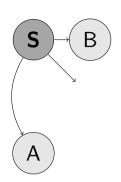


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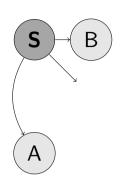


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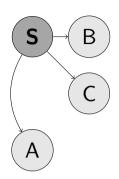


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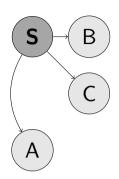


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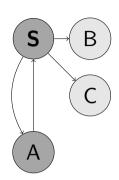


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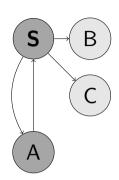


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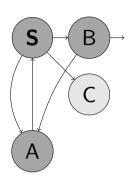


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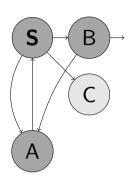


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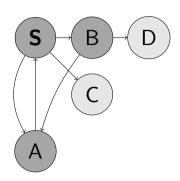


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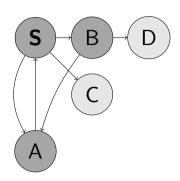


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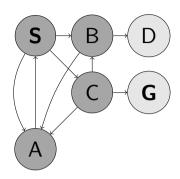


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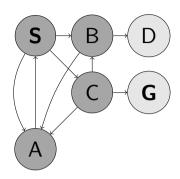


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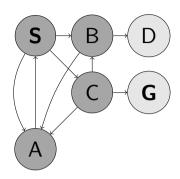


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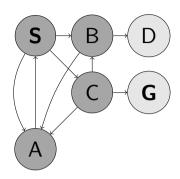


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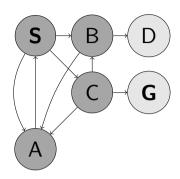


Find good names

Notes -

Few possible examples:

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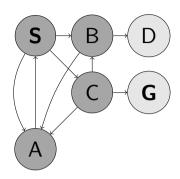


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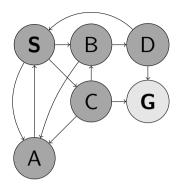


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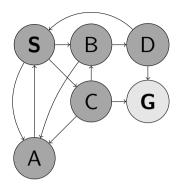


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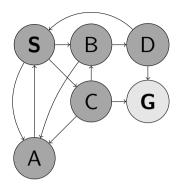


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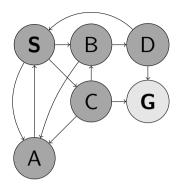


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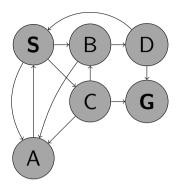


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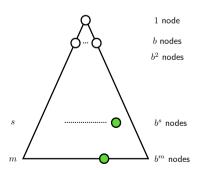
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How many nodes in a (search) tree? What are tree parameters?

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Draw a (symbolic-think about a triangle) sketch of a (search) tree. It may grow upwards or downwards. How would you characterize/parametrize *size* of a tree.

- Depth *d* of a node in the tree.
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- How many nodes in the whole tree?



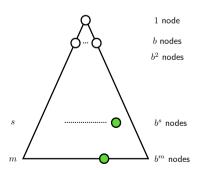
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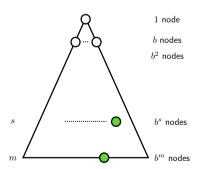
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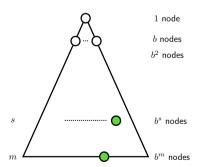
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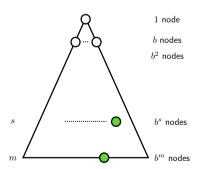
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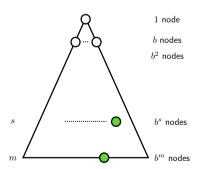
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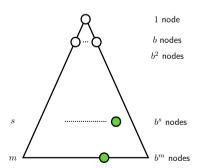
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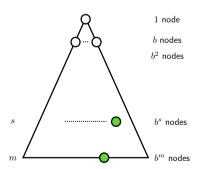
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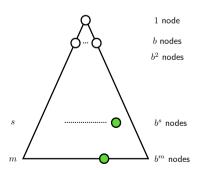
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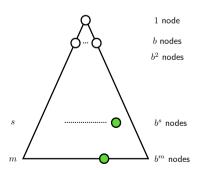
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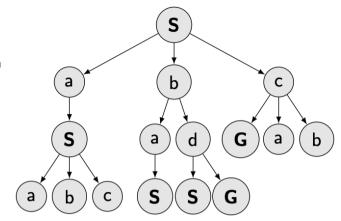
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Strategies

How to traverse/build a search tree?

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14 / 33

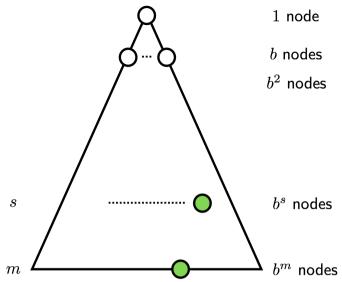
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14 / 33

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Complete?

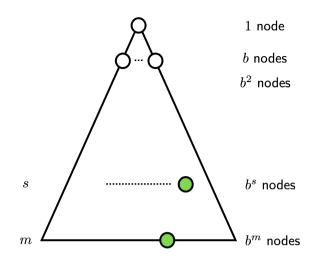
Optimal?

Time complexity?

- **A** O(bm)
- $B \mathcal{O}(b^m)$
- c olmb
- $D \mathcal{O}(b^s)$

Space complexity?

- $A \mathcal{O}(bm)$
- $\mathbf{B} \mathcal{O}(b^m)$
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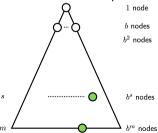


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Notes

- Time, can process the whole tree until s: b^s , well actually $b + b^2 + b^3 + \cdots + b^s$ but the last layer vastly dominates. Try some calculations for various b.
- Space, all the frontier: b^s
- Completness: Yes!
- Optimality, it does not miss the shallowest solution, hence if all the transition costs are 1: Yes!

Think about the Complexities in terms of |S| and |A| (Graph theory: vertices, edges)



Complete? Optimal?

Time complexity?

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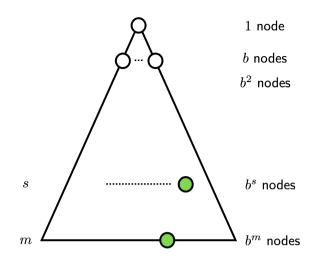
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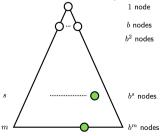


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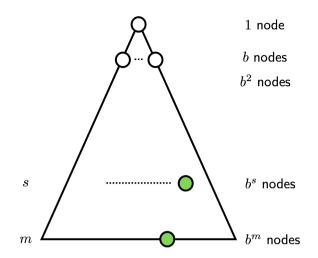
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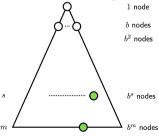
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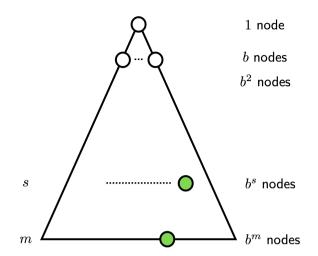
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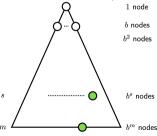
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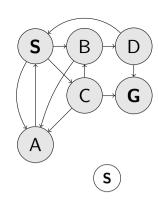
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1: function FORWARD_SEARCH
        Q.insert(_{-}, s_0) and mark s_0 as visited
 2:
        while Q not empty do
 3:
             p, s \leftarrow Q.pop()
 4:
             parent[s] \leftarrow p
 5:
             if s \in \mathcal{S}_G then return Success
 6:
             for all a \in \mathcal{A}(s) do
 7:
                 s' \leftarrow \text{result}(s, a)
 8:
                 if s' not visited then
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10:
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                 else
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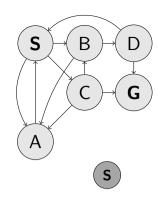
Q: (_,**S**) visited: **S**

Notes -

Do we need to resolve duplicates somehow? If not, why?

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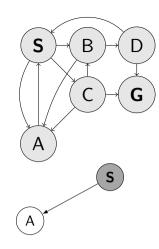
visited: S

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16 / 33

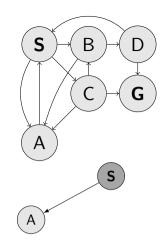
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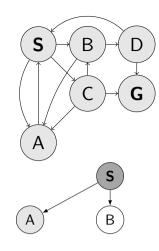
Q: (S,A) visited: **S** A

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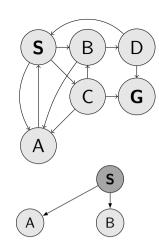


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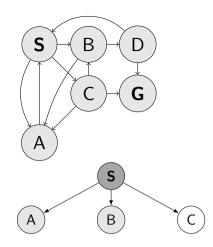


Q: (S,A) (S,B) visited: **S** A B

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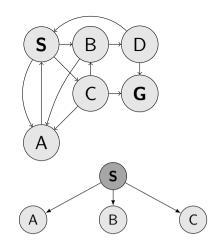


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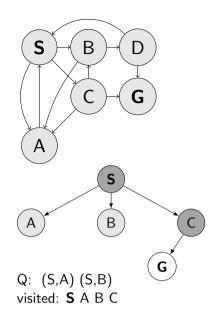
Q: (S,A) (S,B) (S,C) visited: **S** A B C

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Notes -

Do we need to resolve duplicates somehow? If not, why?

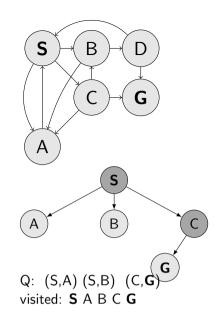
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1: function FORWARD_SEARCH
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 4:
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 5:
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 6:
             for all a \in \mathcal{A}(s) do
 7:
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11:
12:
                 else
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13:
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```



Notes -

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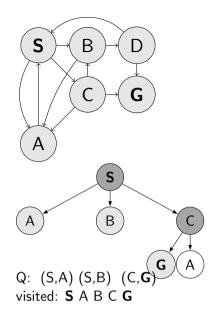
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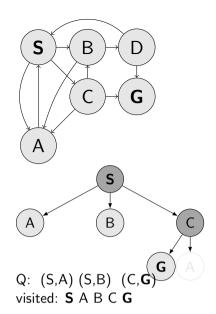


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Notes -

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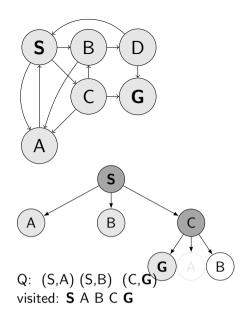
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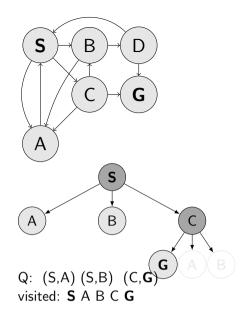


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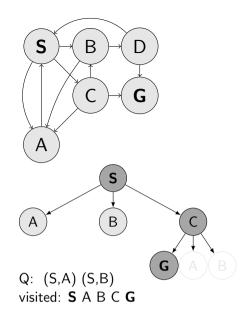
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Notes -

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Complete?

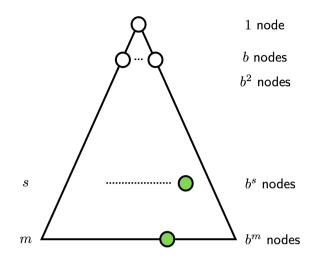
Optimal?

Time complexity?

- **A** O(bm)
- $\mathbf{B} \mathcal{O}(b^m)$
- $C \mathcal{O}(m^b)$
- $D \mathcal{O}(b^s)$

Space complexity?

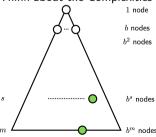
- A O(bm)
- $B O(b^m)$
- C O(mb
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Notes

- Time, can process the whole tree: b^m
- Space, only the path so far: bm (a path from root to leaf (m), plus siblings on the path are also on the frontier ($b \times m$))
- ullet Completness: m may be ∞ hence, not in general
- Optimality: No! It just takes the first solution found.

Think about the Complexities in terms of |S| and |A| (Graph theory: vertices, edges)



Complete? Optimal?

Time complexity?

 $A \mathcal{O}(bm)$

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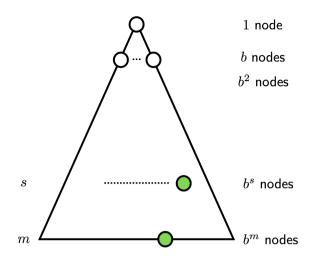
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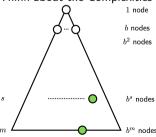


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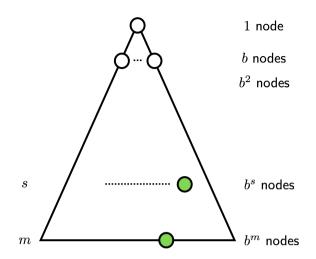
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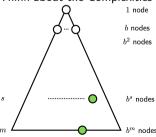
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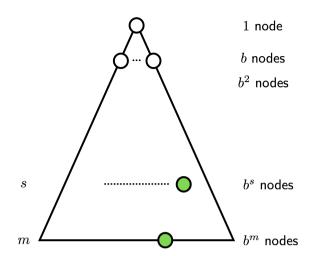
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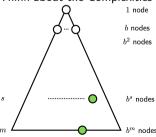


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- Start with maxdepth = 1
- Perform DFS with limited depth. Report success or failure
- ▶ If failure, forget everything, increase maxdepth and repeat DFS

Is it not a terrible waste to forget everything between steps?

Notes -

Really, how much do we repeat/waste? The "upper levels", close to the root, are repeated many times. However, in a tree, most nodes are the bottom levels and nr. nodes traversed is what counts. More specifically, for a solution at depth s, the nodes on the bottm level are generated only once, those on the next-to-bottom level 2x ... children of the root are generated $s \times .$ Compare the number of nodes generated ID-DFS vs. BFS:

$$N(\text{ID-DFS}) = (s)b + (s-1)b^2 + (s-2)b^3 + \dots + (1)b^s$$

 $N(\text{BFS}) = b + b^2 + b^3 + \dots + b^s$

Try some calculations for various s and b. For b = 10 and d = 5:

$$N(\text{ID-DFS}) = 50 + 400 + 3000 + 20000 + 100000 = 123450$$

$$N(\text{BFS}) = 10 + 100 + 10000 + 100000 = 111110$$

$$\begin{array}{c} & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$$

(Example from [2].) m

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1 node
b nodes
b nodes
b nodes
b nodes

Uniform Cost Search (Dijkstra), Q is priority queue 1-1: function FORWARD_SEARCH Q.insert($_{-}$, s_0 , 0) and mark s_0 as visited 2: while Q not empty do 3: $p, s, _ \leftarrow Q.pop_first()$ 4: $parent[s] \leftarrow p$ 5: if $s \in \mathcal{S}_G$ then return Success 6: **S**,0 for all $a \in \mathcal{A}(s)$ do 7: $s', c \leftarrow \text{result}(s, a)$ 8: ▷ c cost if s' not visited then 9: Mark s' as visited 10: Q.insert(s, s', cost_from_start) 11: else 12: Resolve duplicate s' 13: return Failure Q: $(_{-}, S, 0)$

Notes

visited: S

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- How is the cost_from_start computed?
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Notes

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Q: (S,A,3) (S,B,3) visited: **S** A B

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Q: (S,C,1) (S,A,3) (S,B,3) visited: **S** A B C

Notes

• Do we need to resolve duplicates somehow? If not, why?

Resolve duplicate s'

• How is the cost_from_start computed?

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13:

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Q: (S,A,3) (S,B,3) visited: **S** A B C

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Q: (S,A,3) (S,B,3) (C,**G**,6) visited: **S** A B C **G**

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Notes

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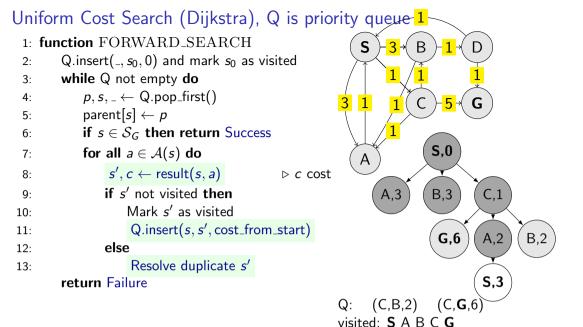
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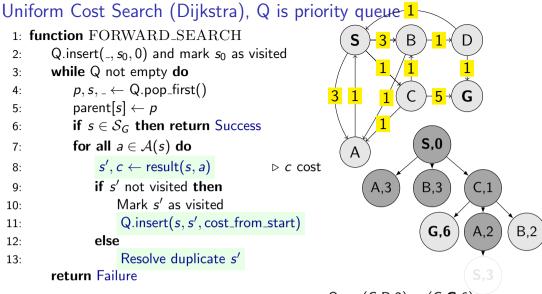
19/33

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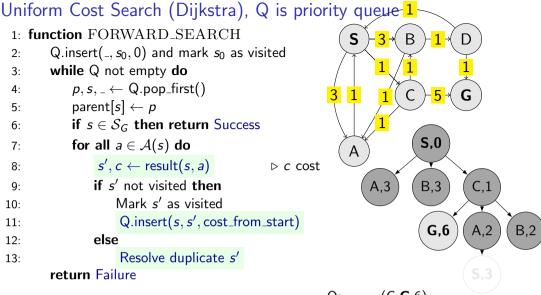
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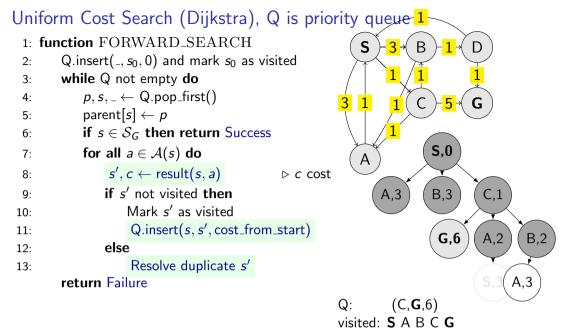
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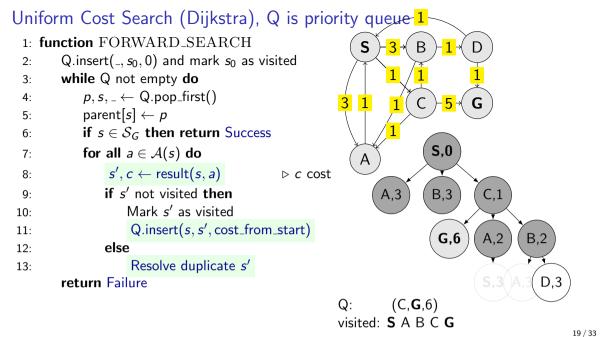
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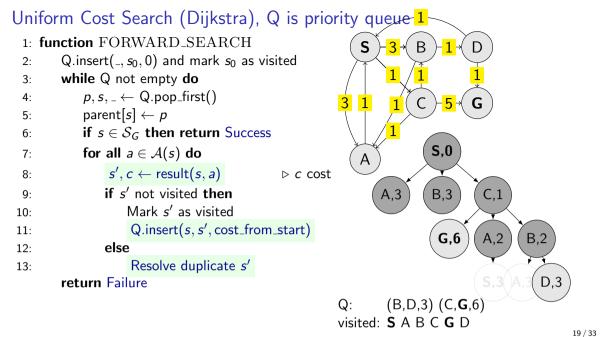
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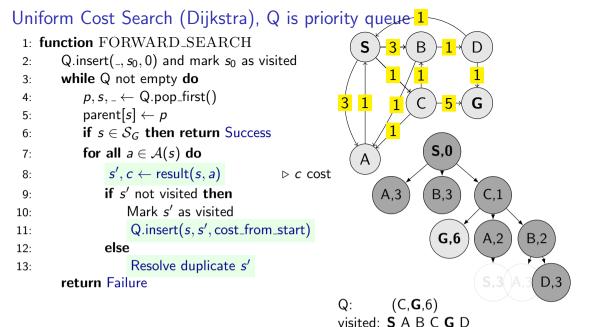
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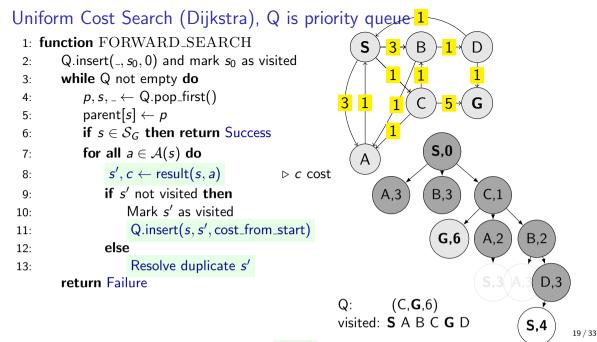
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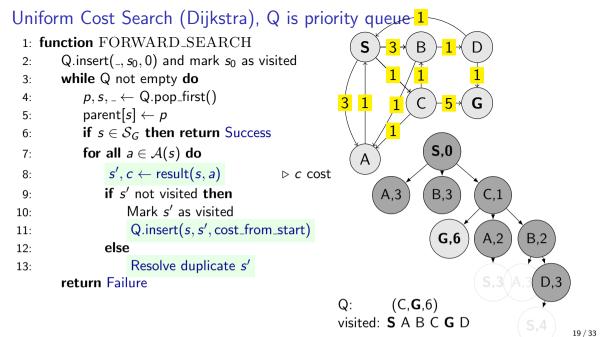


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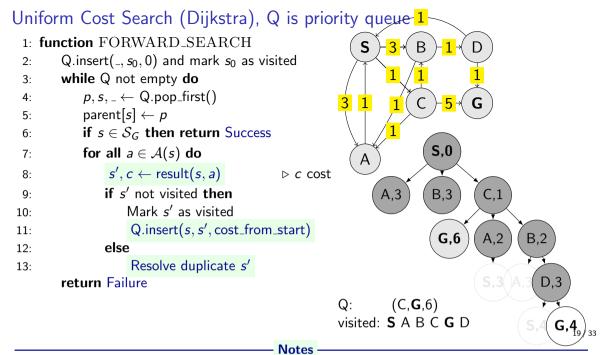


- Notes

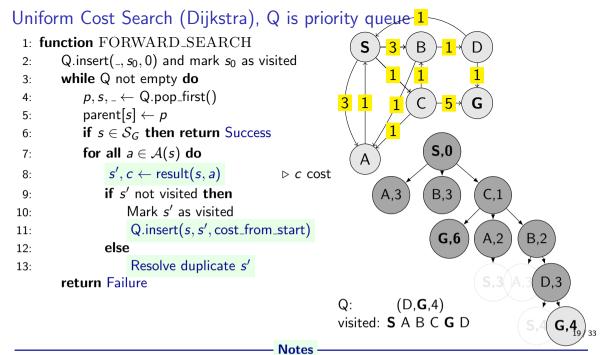
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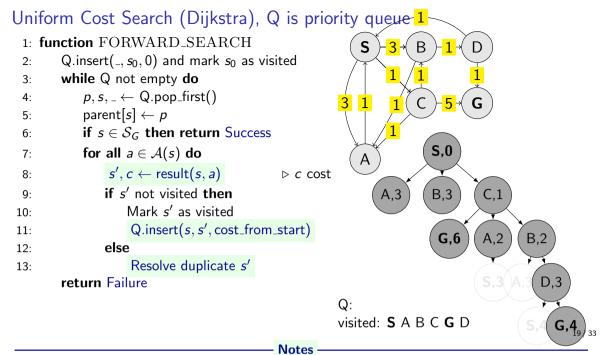
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UCS properties



Optimal?

Complexities?

Notes -

Parts of the (complete) search tree repeat, but with different costs

UCS properties



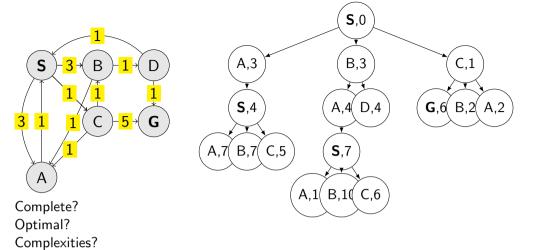
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Node selection, take argmin f(n). Search Node: $n = (p, s, cost_value)$

Selecting next node to explore (pop operation):

$$\mathtt{node} \leftarrow \operatorname*{argmin}_{n \in \mathbb{Q}} f(n)$$

What is f(n) for DFS, BFS, and UCS?

▶ DFS:
▶
$$f(n) = n.$$
cost_from_start
▶ $f(n) = n.$ depth
▶ UCS:
▶ $f(n) = -n.$ depth

The good: (one) frontier as a priority queue

(I.e., priority queue will work universally. Still, stack (LIFO) and queue (FIFO) are

(conceptually) the perfect data structures for DFS and BFS, respectively.)

The bad: All the f(n) correspond to the accumulated cost from start to n, cost_from_start

Notes -

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How far are we from the goal cost-to-go? — Heuristics

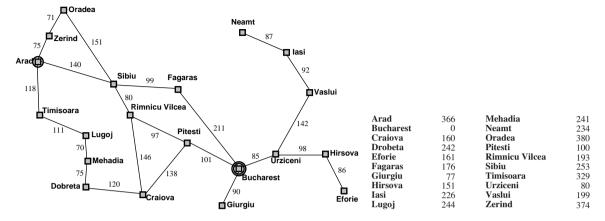
- ▶ A function that estimates how close a *state* is to the goal.
- Designed for a particular problem.
- \blacktriangleright h(s) it is function of the state (attribute of the search node)
- ▶ It is often shortened as h(n) heuristic value of node n.

Notes -

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What happens if h(s) = true cost?

Example of heuristics



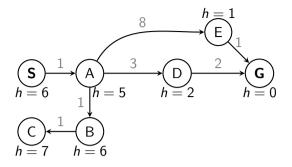
Notes

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Straight-line distance to Bucharest.

Illustration of *greedy* failing: Imagine going from lasi to Fagaras. Neamt will be chosen for expansion. This will add lasi back. lasi is closer to Fagaras than Vaslui is and will be expanded again. Infinite loop... (3.5.1. in [?])

Greedy, take the $n^* = \operatorname{argmin}_{n \in \mathbb{Q}} h(n)$



What is wrong (and nice) with the Greedy?

Notes

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Also called "Greedy best-first search" [2].

What will happen in this example:

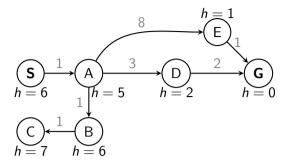
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- 3. Expand "E" (h = 1). Get "G".

Wrong:

- not optimal
- not complete (tree search version) (Can be shown on the Romania example go back.)
- (graph search version is complete only in finite state spaces)

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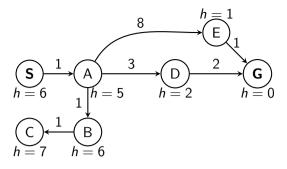
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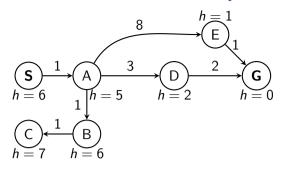
UCS orders by backward (path) cost g(n)Greedy uses heuristics (goal proximity) h(n)

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Notes -

Trace the search algorithm on the paper. Does it find the shortest path?

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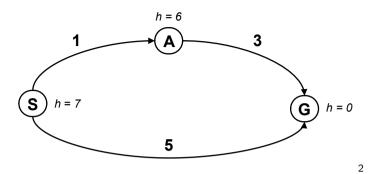
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Notes —

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Trace the search algorithm on the paper. Does it find the shortest path?

Is A* optimal?



What is the problem?

²Graph example: Dan Klein and Pieter Abbeel

Notes

Try to answer the question before going to the next slide.

1. S

$$- f(S) = g(S) + h(S) = 0 + 7 = 7$$

- expanding/poping this one and crossing out (removing from frontier)

2. $S \rightarrow A$

$$- f(A) = g(A) + h(A) = 1 + 6 = 7$$

3. $S \rightarrow G$

$$- f(G) = g(G) + h(G) = 5 + 0 = 5$$

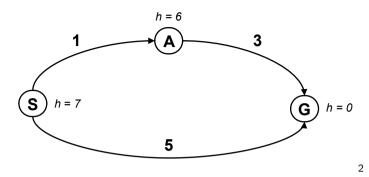
- This is now cheapest on the frontier. I pop/expand and I'm done.

Ooops! That's not cheapest! What went wrong?

What follows – keep for next slide. Problem with h(A) = 6. Overestimating the expense. (Same problem for h(S).)

Estimates need to be \leq actual costs.

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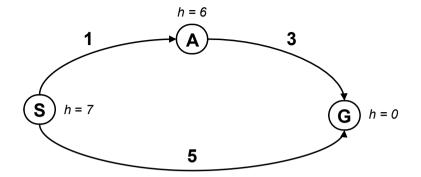
What is the right h(A)?

A: $0 \le h(A) \le 4$

B: $h(A) \leq 3$

C: $0 \le h(A) \le 3$

D: $0 \le h(A)$



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Notes

 $h(A) \le 3$ it means less than the actual cost of going from A to goal. Heuristic must not be overly pesimistic. B is correct.

Negative h(n) does not break the admissibility property but h(Goal) = 0 must be kept, always.

For a discussion, see, e.g.

https://stackoverflow.com/questions/30067813/are-heuristic-functions-that-produce-negative-values-inadmissible

Admissible heuristics

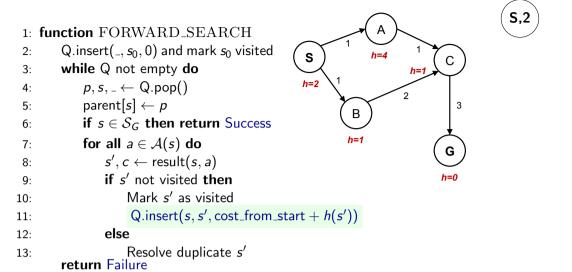
A heuristic function *h* is admissible if:

$$h(n) \le \cos(n.state, Goal_{nearest})$$

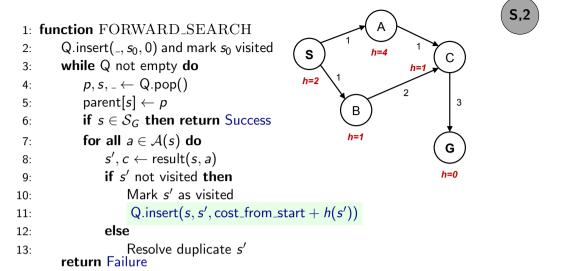
 $h(Goal) = 0$

Notes -

Even if negative heuristic value is allowed on the way to goal, does it make sense? How would you interpret h(n) = 0? Is it a meaningful minimum? Why?



Notes -



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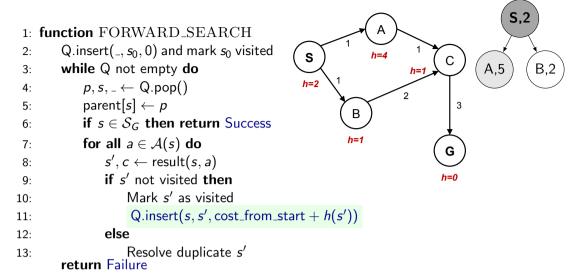
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                                                                                                   A,5
         while Q not empty do
 3:
                                                             h=2
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 4:
              parent[s] \leftarrow p
 5:
                                                                                              3
                                                                       В
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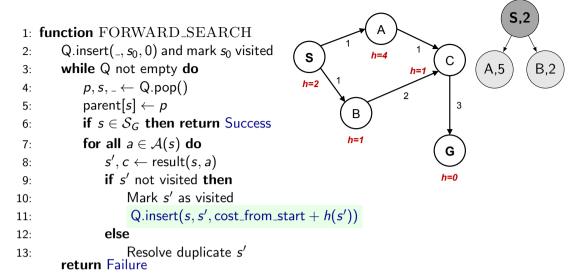
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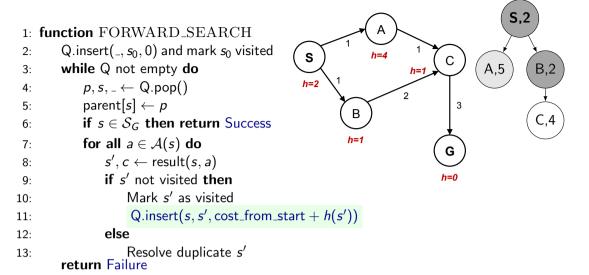
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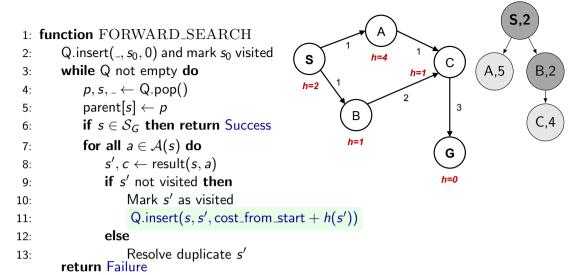
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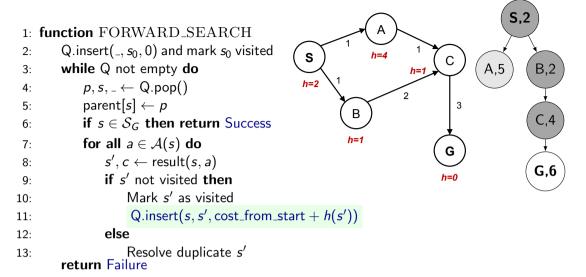
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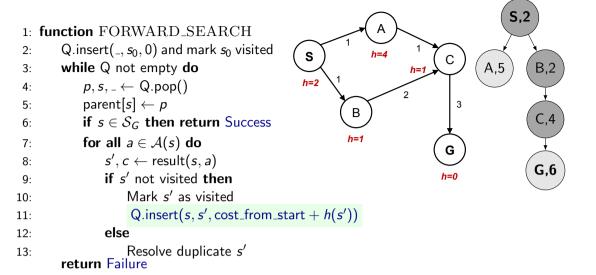
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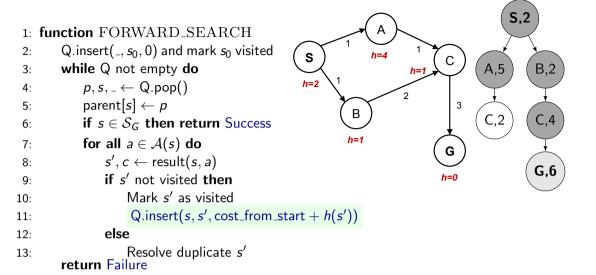
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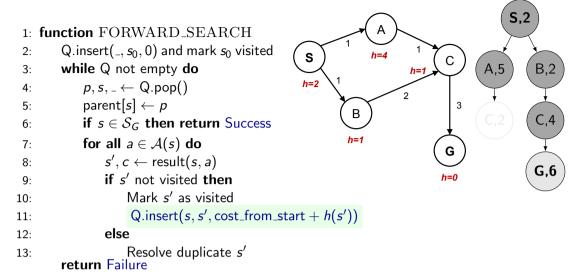
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What would be the proper h(A)?

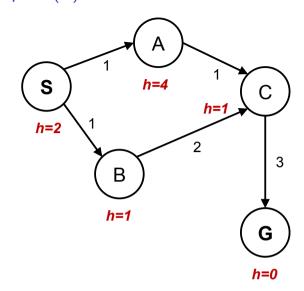
Consider other h(s) fixed.

A: h(A) = 1

B: h(A) = 2

C: $1 \le h(A) \le 2$

D: $0 \le h(A) \le 1$

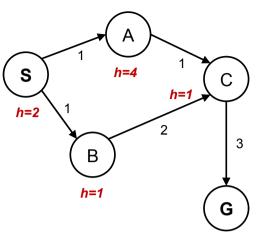


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Notes -

As it will be explained in the next slides: $h(A) \le c(A, C) + h(C) = 2$

$$h(S) \le c(S,A) + h(A)$$
 it means $h(A) \ge h(S) - c(A,S) = 1$



Admissible h

 $h(A) \leq \text{true cost } A \rightarrow G$

Consistent h

 $h(A) - h(C) \le \text{true cost } A \to C$

in general

 $h(p) - h(s) \le \text{true cost } p \to s \text{ for any pair:}$

parent *p* and its successor *s*

f(n) = g(n) + h(n) along a path never decreases

Notes

h=0

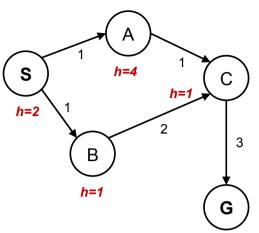
Our heuristic was admissible.

With *tree search* it would have worked. It would have expanded C and found the alternative, cheaper path. For graph search, the problem is the $A \to C \to G$ subgraph where the *consistent* heuristic condition is violated. The general condition means we have two constraints for (A) for this particular graph:

$$h(S) - h(A) \le c(S, A)$$

$$h(A) - h(C) \leq c(A, C)$$

Yes, all consistent heuristics are also admissible. Btw., it is not easy to invent a heuristics that is admissible but not consistent



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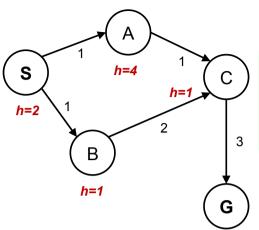
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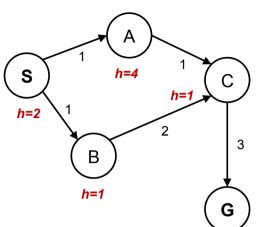
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 along a path never decreases!

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Summary

- ► Effectivness adding heuristic estimates of cost-to-go
- ▶ Not all heuristics are equally good (admissibility, consistence, informativeness)

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Notes -

References, further reading

Some figures from [2]. Chapter 2 in [1] provides a compact/dense intro into search algorithms.

[1] Steven M. LaValle.

Planning Algorithms.

Cambridge, 1st edition, 2006.

Online version available at: http://planning.cs.uiuc.edu.

[2] Stuart Russell and Peter Norvig.

Artificial Intelligence: A Modern Approach.

Prentice Hall, 4th edition, 2021.

http://aima.cs.berkeley.edu/.