

GEOMETRIC SEARCHING PART 2: RANGE SEARCH

PETR FELKEL

FEL CTU PRAGUE

felkel@fel.cvut.cz

https://cw.felk.cvut.cz/doku.php/courses/a4m39vg/start

Based on [Berg] and [Mount]

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Range search

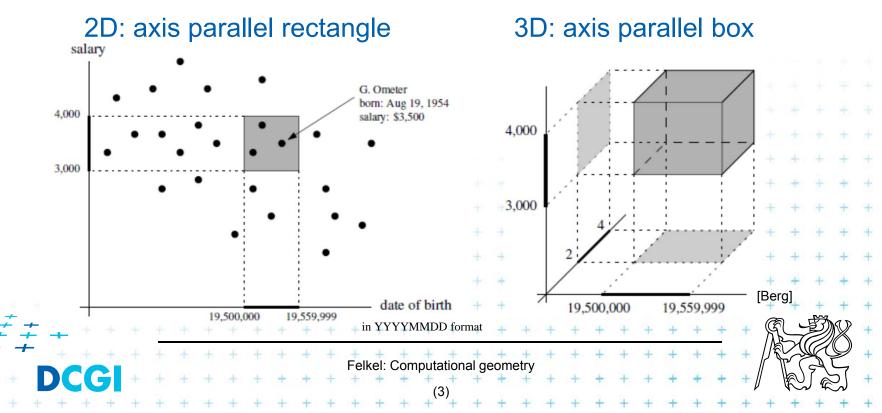
- Orthogonal range searching
- Canonical subsets
- 1D range tree
- 2D-nD Range tree
 - With fractional cascading (Layered tree)
- Kd-tree



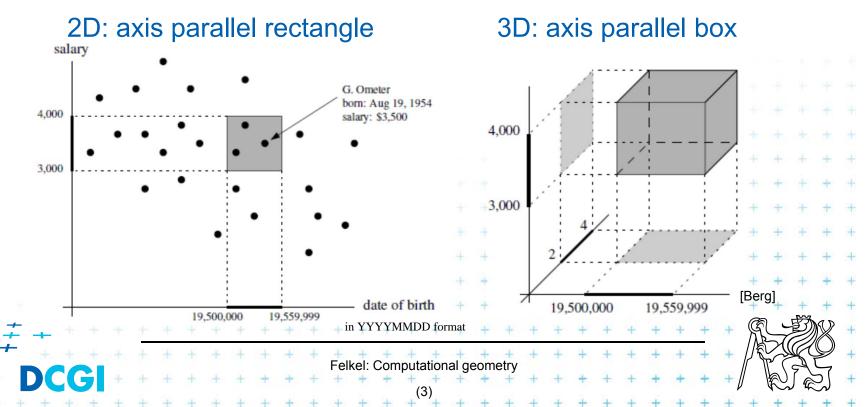


Given a set of points P, find the points in the region Q

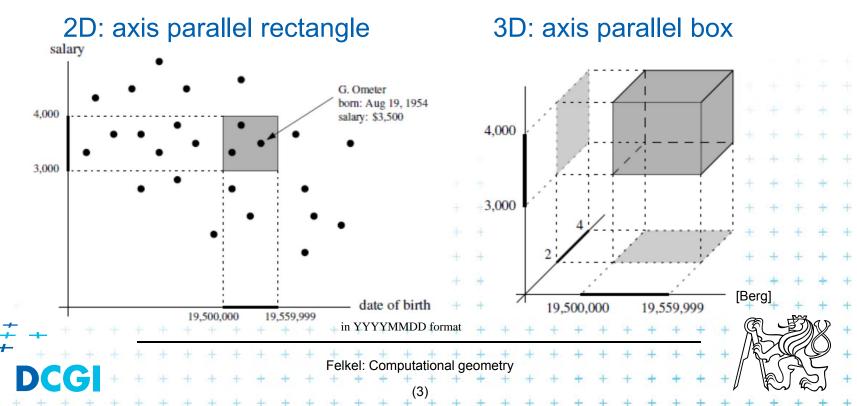
- Example: Databases (records->points)
 - Find the people with given range of salary, date of birth, kids, ...



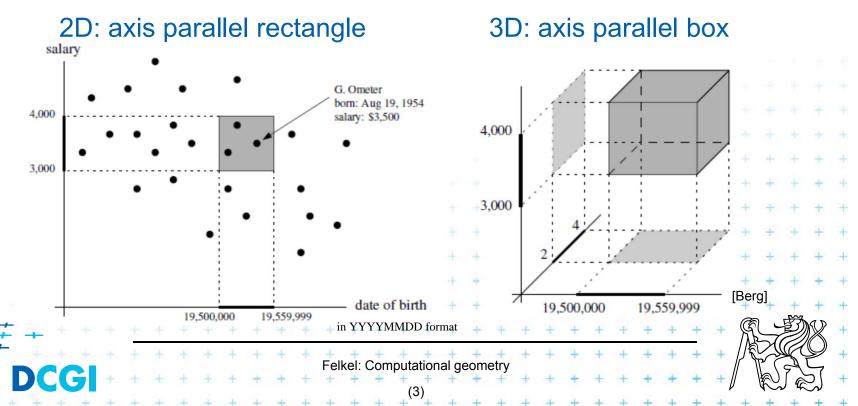
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 - Search space: a set of points P (somehow represented)
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- Given a set of points P, find the points in the region Q
 - Search space: a set of points P (somehow represented)
 - Query: intervals Q (axis parallel rectangle)
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- Given a set of points P, find the points in the region Q
 - Search space: a set of points P (somehow represented)
 - Query: intervals Q (axis parallel rectangle)
 - Answer: points contained in Q
- Example: Databases (records->points)
 - Find the people with given range of salary, date of birth, kids, ...



Query region = axis parallel rectangle

 nDimensional search can be decomposed into set of 1D searches (separable)





Other range searching variants

- Search space S: set of
 - line segments,
 - rectangles, ...
- Query region Q: any other searching region
 - disc,
 - polygon,
 - halfspace, ...
- Answer: subset of S laying in Q
- We concentrate on points in orthogonal ranges





How to represent the search space?

Basic idea:

- Not all possible combination can be in the output (not the whole power set potenční množina)
- => Represent only the "selectable" things
 (a well selected subset -> one of the canonical subsets)





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Example?

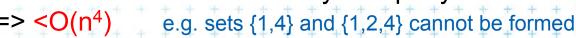




Subsets selectable by given range class

- The number of subsets that can be selected by simple ranges Q is limited
- It is usually much smaller than the power set of P
 - Power set = set of all possible subsets (potenční množina)
 - Power set of P where $P = \{1,2,3,4\}$ is $\{\{\}, \{1\}, \{2\}, \{3\}, \{4\}, \{1,2\}, \{1,3\}, \{1,4\}, \{2,3\}, \dots, \{2,3,4\}\}$... $O(2^n)$
 - Simple rectangular queries are limited
 - Q defined by max 4 points along 4 sides
 => O(n⁴) of O(2ⁿ) power set, but
 - left border must be smaller than the right border.
 - not all sets can be formed by

 query Q







Canonical subsets S_i

Search space S = (P, Q) represented as a collection of canonical subsets $\{S_1, S_2, ..., S_k\}$, each $S_i \subseteq S$,

- S_i may overlap each other (elements can be multiple times there)
- Any set can be represented as disjoint union disjunktní sjednocení of canonical subsets S_i each element knows from which subset it came
- Elements of disjoint union are ordered pairs (x, i) (every element x with index i of the subset S_i)

S_i may be selected in many ways

- from n singletons $\{p_i\}$... O(n)
- to power set of P ... $O(2^n)$
- Good DS balances between total number of canonical subsets and number of CS needed to answer the query





Disjoint union example

$$A = \{1,2,3\}$$

 $B = \{1,3,5\}$

$$A \cup B = \{1,2,3,5\}$$

 $A \sqcup B = \{1_a, 1_b, 2_a, 3_a, 3_b, 5_b\}$

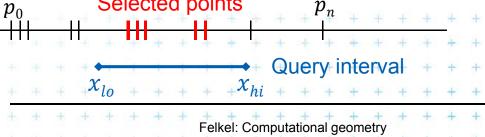
$$|A \cup B| \le |A| + |B|$$
$$|A \cup B| = |A| + |B|$$





1D range queries (interval queries)

- Query: Interval $[x_{lo}, x_{hi}]$
- Search space: Points $P = \{p_1, p_2, ..., p_n\}$ on the line
 - a) Binary search in an ordered array
 - Simple, but
 - not generalize to any higher dimensions
 - b) Balanced binary search tree
 - 1D range tree
 - maintains canonical subsets
 - generalize to higher dimensions

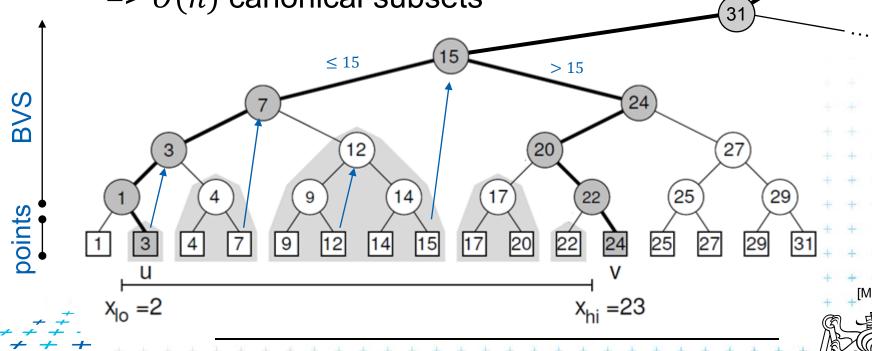




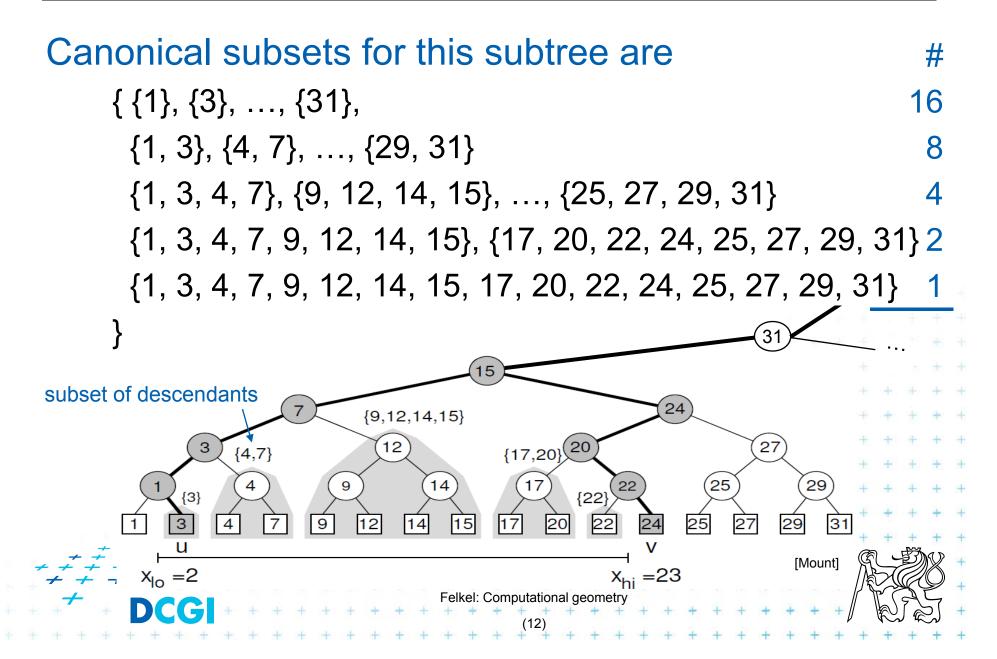
1D range tree definition

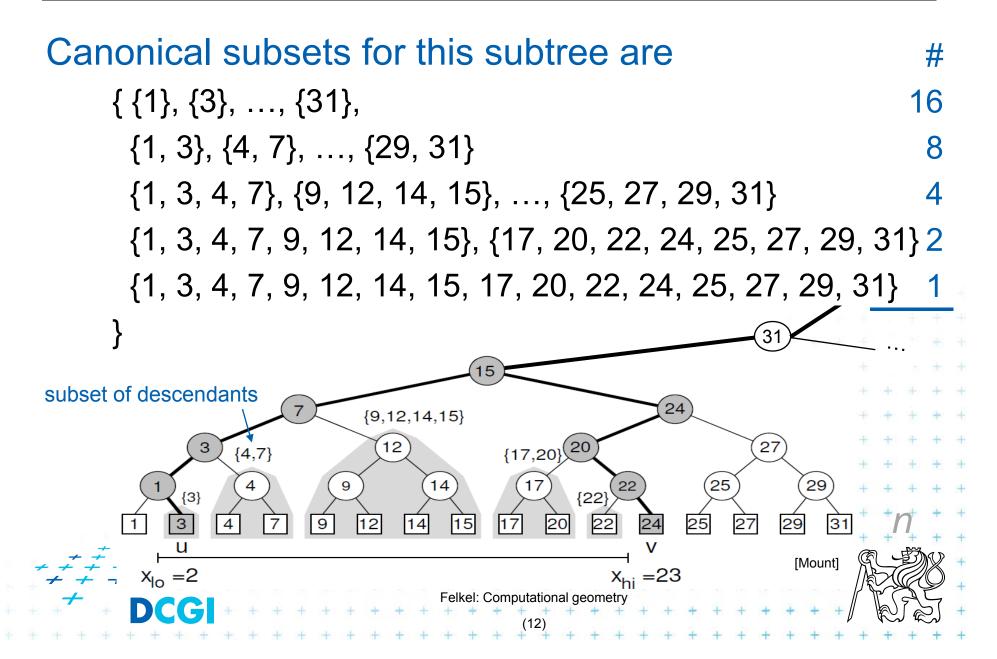
- Balanced binary search tree (with repeated keys)
 - leaves sorted points
 - inner node label the largest key in its left child

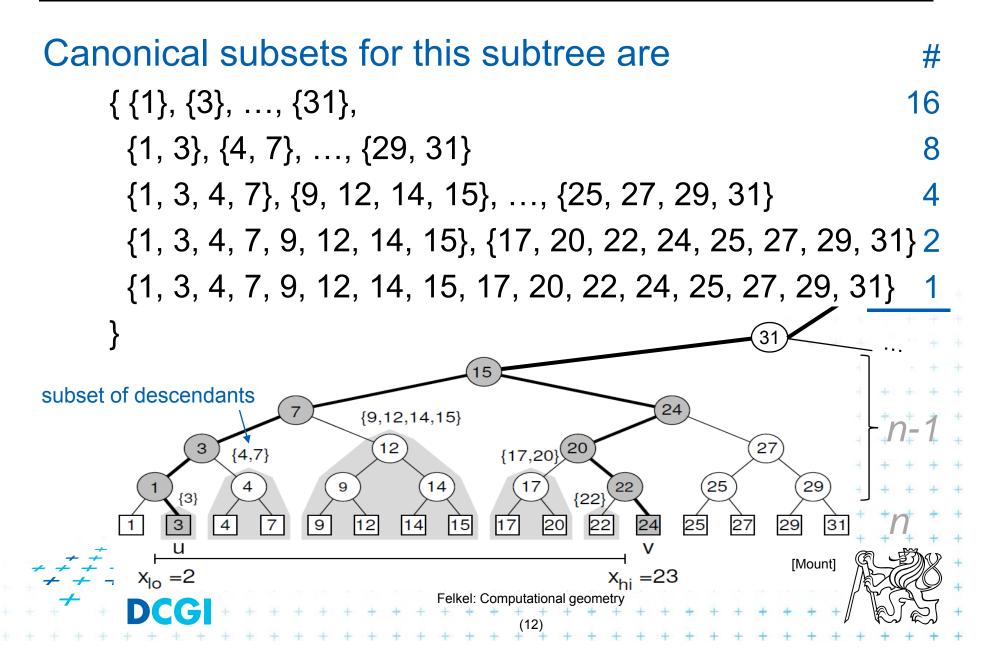
- Each node associate with subset of descendants => O(n) canonical subsets

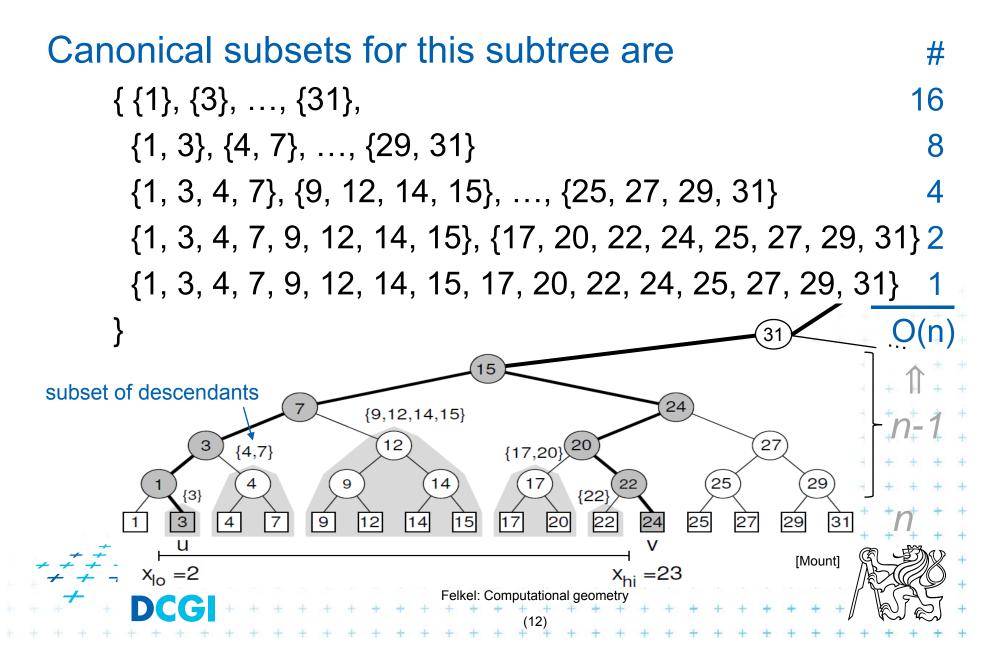


Felkel: Computational geometry



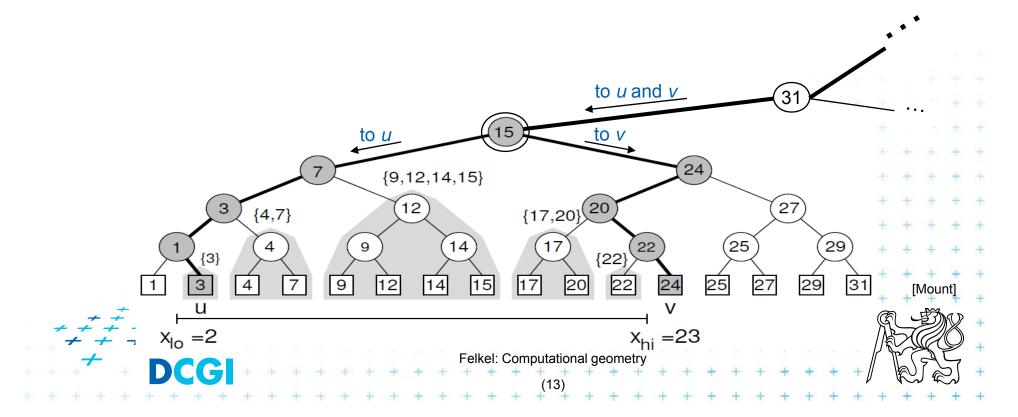






1D range tree search interval <2,23>

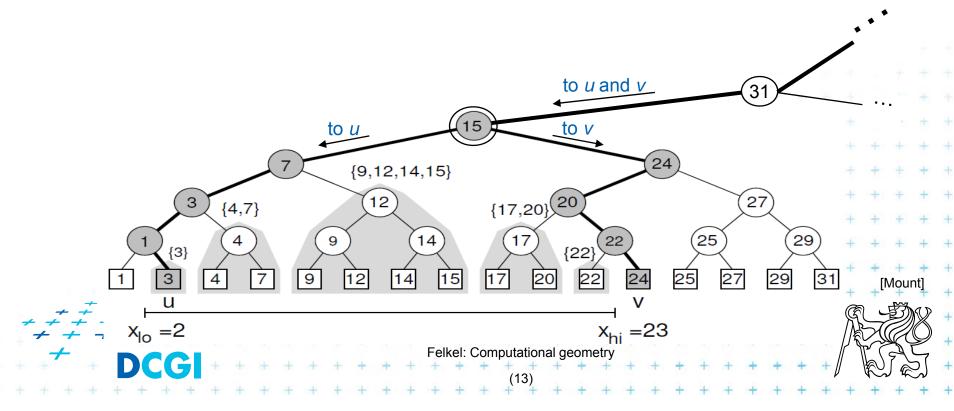
- query
 Canonical subsets for any range found in O(log *n*)
 - Search x_{lo} : Find leftmost leaf u with key $(u) \ge x_{lo} 2 -> 3$
 - Search x_{hi} : Find leftmost leaf v with key(v) $\geq x_{hi}$ 23 ->1/24



1D range tree search interval <2,23>

query

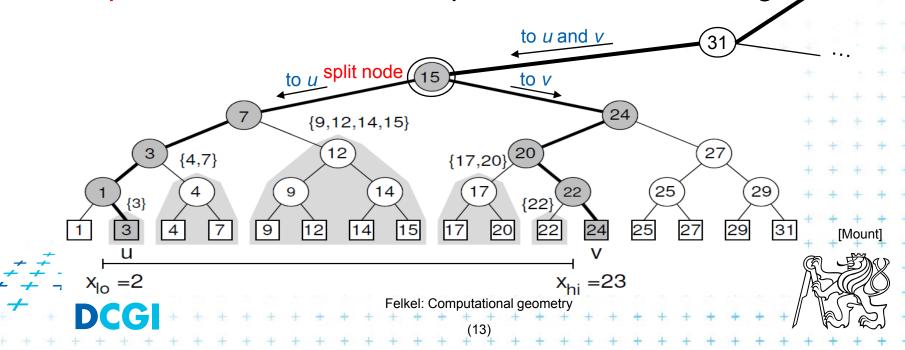
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 - Points between u and v lie within the range => report canon, subsets of maximal subtrees between u and v



1D range tree search interval <2,23>

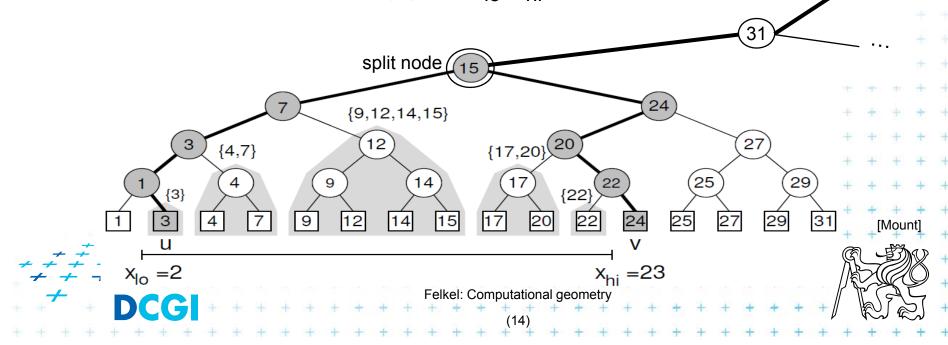
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- Canonical subsets for any rangé found in O(log n)
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 - Search x_{hi} : Find leftmost leaf v with key(v) $\geq x_{hi}$ 23 -> 24
 - Points between u and v lie within the range => report canon. subsets of maximal subtrees between u and v
 - Split node = node, where paths to u and v diverge



1D range tree search

- Reporting the subtrees (below the split node)
 - On the path to u whenever the path goes left, report the canonical subset (CS) associated to right child
 - On the path to v whenever the path goes right, report the canonical subset associated to left child
 - In the leaf u, if key(u) ∈ [x_{lo} : x_{hi}] then report CS of u
 - In the leaf v, if key(v) ∈ [x_{lo} : x_{hi}] then report CS of v



1D range tree search complexity

- Path lengths O(log n)
 - => O(log n) canonical subsets (subtrees)





Sum the total numbers of leaves stored in maximum subtree roots... O(log n) time

 $root(\mathfrak{T})$

split node

- Range reporting queries
 - Return all k points in given range
 - Traverse the canonical subtrees ... O(log n + k) time
- O(n) storage, $O(n \log n)$ preprocessing sort P



Find split node

FindSplitNode(T, [x:x'])

Input: Tree T and Query range [x:x'], $x \le x'$

Output: The node, where the paths to x and x' split

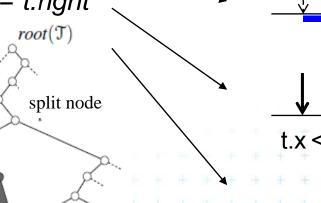
or the leaf, where both paths end

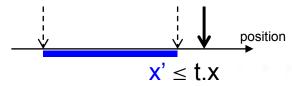
- 1. t = root(T)
- 2. while(t is not a leaf and $(x' \le t.x \text{ or } t.x < x)$) // t out of the range [x:x']

3. if
$$(x' \le t.x) t = t.left$$

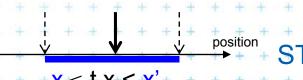
4. else t = t.right

5. return *t*













```
1dRangeQuery( t, [x:x'])
                 1d range tree t and Query range [x:x']
Input:
                 All points in t lying in the range
Output:
    t_{split} = FindSplitNode(t, x, x')
                                         // find point t with t_x \in [x:x']
    if(t_{split} is leaf) // e.g. Searching [16:17] or [16:16.5] both stops in the leaf 17 in the previous example
        check if the point in t_{split} must be reported // t_x \in [x:x']
     else // follow the path to x, reporting points in subtrees right of the path
5.
        t = t_{split}.left
        while (t is not a leaf)
6.
          if( x \leq t.x)
              ReportSubtree( t.right ) // any kind of tree traversal
9.
              t = t.left
10.
           else t = t.right
       check if the point in leaf t must be reported
11.
       // Symmetrically follow the path to x' reporting points left
12.
       t = t_{split}.right ... \sim
                                          Felkel: Computational geometry
```

Multidimensional range searching

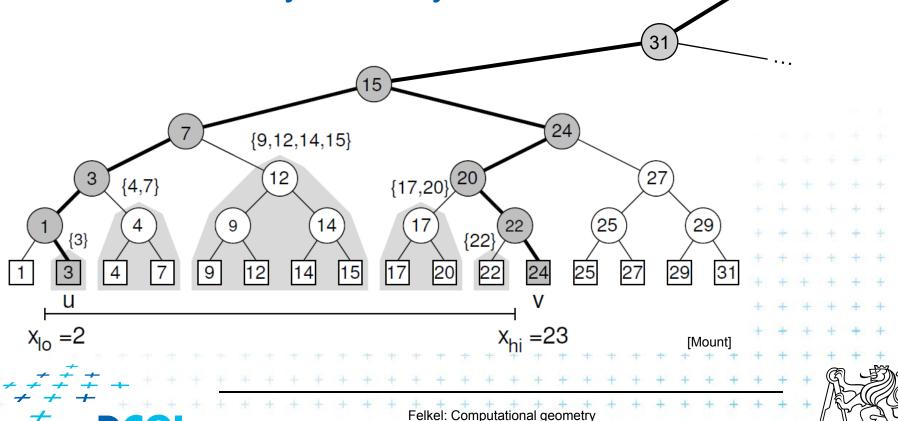
- Equal principle find the largest subtrees contained within the range
- Separate one *n*-dimensional search into *n* 1-dimensional searches
- Different tree organization
 - Orthogonal (Multilevel) range search tree
 e.g. nd range tree
 - Kd tree



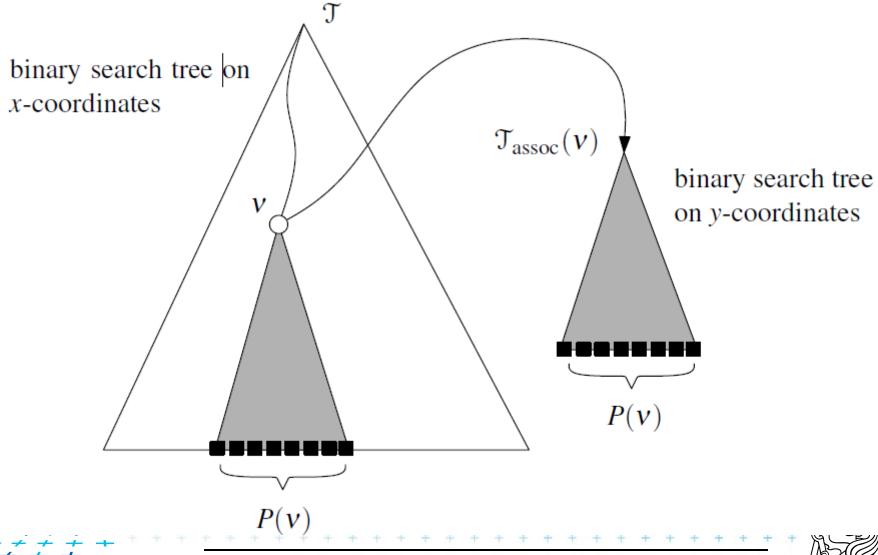


From 1D to 2D range tree

- Search points from [Q.x_{lo,} Q.x_{hi}] [Q.y_{lo,} Q.y_{hi}]
- 1d range tree: log n canonical subsets based on x
- Construct an y auxiliary tree for each such subset

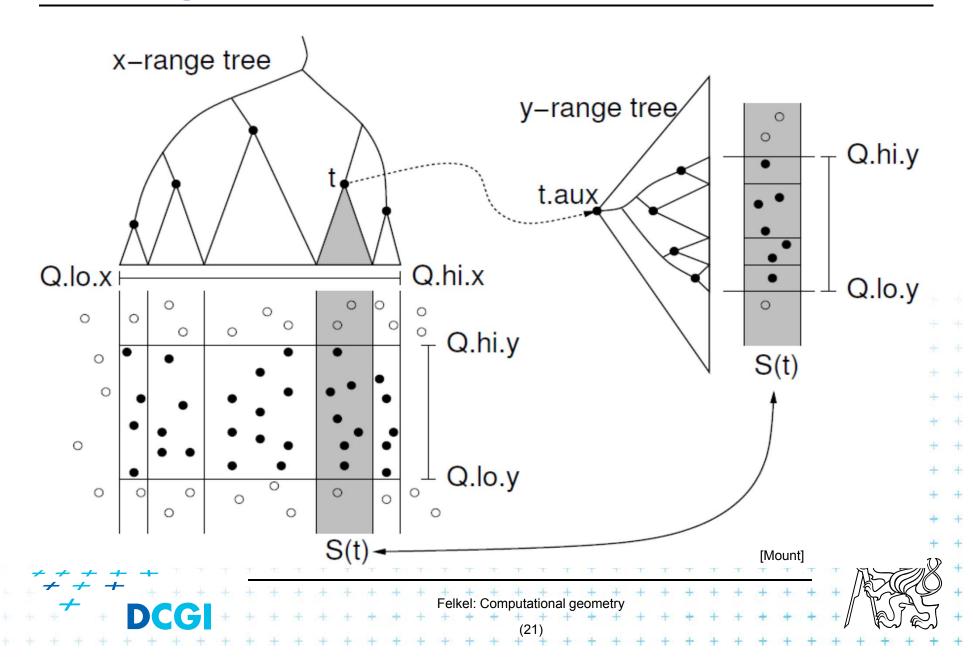


y-auxiliary tree for each canonical subset





2D range tree



```
2dRangeQuery( t, [x:x'] × [y:y'] )
                2d range tree t and Query ranges [x:x'] \times [y:y']
Input:
                All points in t laying in both ranges
Output:
    t_{\text{split}} = \text{FindSplitNode}(t, x, x') // find point t with t_x \in [x: x']
    if(t<sub>split</sub> is leaf)
       check if the point in t_{split} must be reported //t_x \in [x:x'], t_y \in [y:y']
    else // follow the path to x, calling 1dRangeQuery on y
       t = t<sub>split</sub>.left // path to the left
5.
       while (t is not a leaf)
       if( x \leq t.x)
             1dRangeQuerry( t<sub>assoc</sub>( t.right ), [y:y'] ) // check associated subtree
             t = t.left
10.
          else t = t.right
      check if the point in leaf t must be reported ... t_x \le x', t_y \in [y:y']
     // Similarly for the path to x' ... // path to the right
      t = t_{split}.right ...
```

2D range tree

- Search $O(\log^2 n + k) \dots \log n$ in x, $\log n$ in y
- Space $O(n \log n)$
 - O(n) the tree for x-coords
 - $O(n \log n)$ trees for y-coords
 - Point p is stored in all canonical subsets along the path from root to the leaf with p,
 - once for each x-tree level along the path
 - each canonical subset is stored in one auxiliary y-tree
 - $\log n$ levels of x-tree => $O(n \log n)$ space for y-trees
- Construction $O(n \log n)$
 - Sort points (by x and by y). Bottom up construction

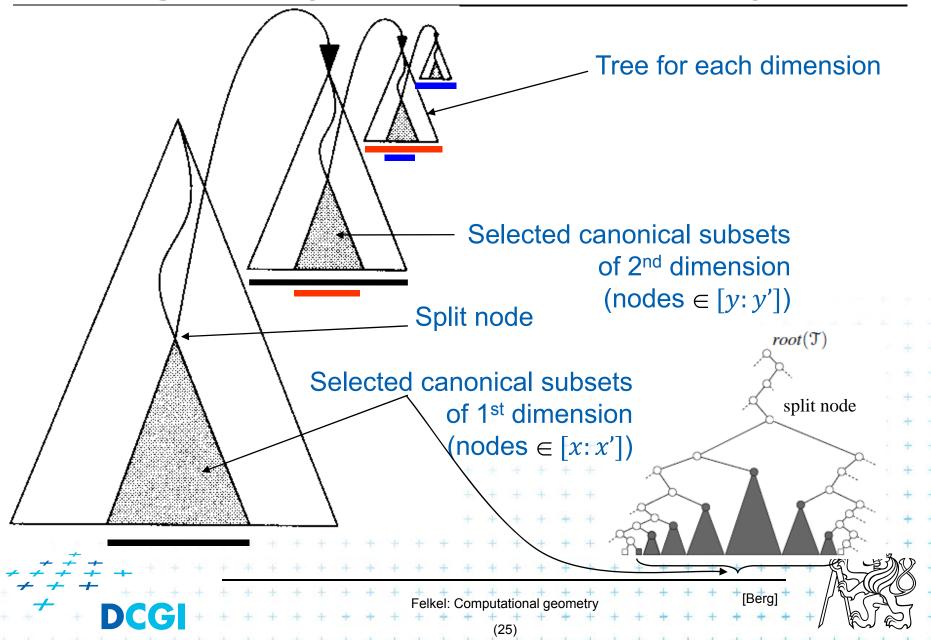




Canonical subsets

Canonical subsets for this subtree are # $\{ \{1\}, \{3\}, ..., \{31\}, ..., \{31\}, ..., \{31\}, ..., \{31\}, ..., [31], ..., [31$ 16 {1, 3}, {4, 7}, ..., {29, 31} $\{1, 3, 4, 7\}, \{9, 12, 14, 15\}, \dots, \{25, 27, 29, 31\}$ {1, 3, 4, 7, 9, 12, 14, 15}, {17, 20, 22, 24, 25, 27, 29, 31} 2 {1, 3, 4, 7, 9, 12, 14, 15, 17, 20, 22, 24, 25, 27, 29, 31} {9,12,14,15} {17,20} 27 $\{4,7\}$ Felkel: Computational geometry

nD range tree (multilevel search tree)



Fractional cascading - principle

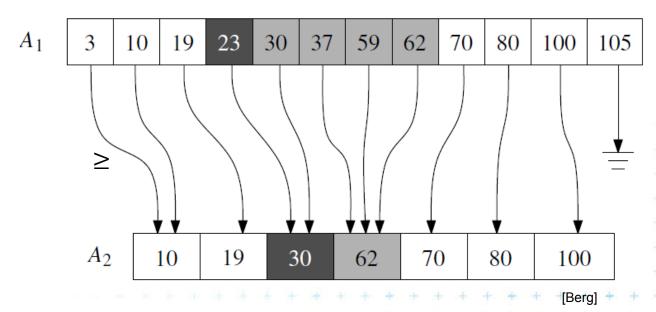
- Two sets S_1 , S_2 stored in sorted arrays A_1 , A_2
- $S_1 \supseteq S_2$ (S_2 is subset of S_1)
- Report objects in both arrays whose keys in [y: y']
- Naïve approach search twice independently
 - $O(\log n_1 + k_1)$ search in A_1 + report k_1 elements
 - $O(\log n_2 + k_2)$ search in A_2 + report k_2 elements
- Fractional cascading adds pointers from A_1 to A_2
 - $O(\log n_1 + k_1)$ search in A_1 + report k_1 elements
 - $O(1 + k_2)$ jump to A_2 + report k_2 elements
 - Saves the $O(\log n_2)$ search





Fractional cascading – principle for arrays

- Add pointers from A₁ to A₂
 - From element in array A_1 with a key y_i point to the element in A_2 with the smallest key *larger or equal* to y_i
- Example query with the range [20 : 65]

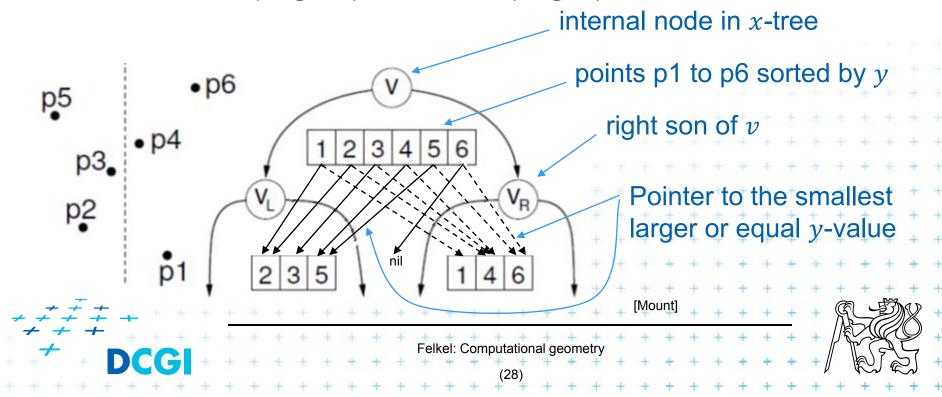






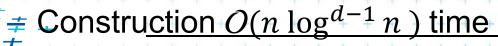
Fractional cascading in the 2D range tree

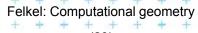
- How to save one $\log n$ during last dim. search?
 - Store canonical subsets in arrays sorted by y
 - Pointers to subsets for both child nodes v_L and v_R
 - O(1) search in lower levels => in two dimensional search $O(\log^2 n)$ time -> $O(\log n)$



Orthogonal range tree - summary

- Orthogonal range queries in plane
 - Counting queries $O(\log^2 n)$ time, or with fractional cascading $O(\log n)$ time
 - Reporting queries plus O(k) time, for k reported points
 - Space $O(n \log n)$
 - Construction $O(n \log n)$
- Orthogonal range queries in d-dimensions, $d \ge 2$
 - Counting queries $O(\log^d n)$ time, or with fractional cascading $O(\log^{d-1} n)$ time
 - Reporting queries plus O(k) time, for k reported points
 - Space $O(n \log^{d-1} n)$









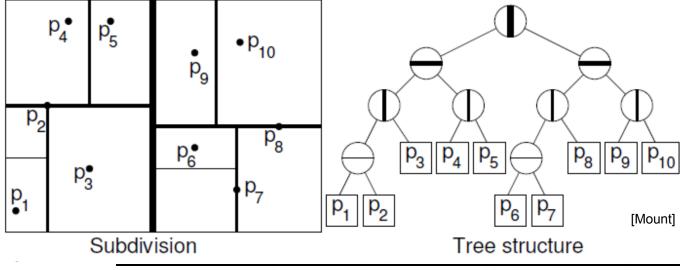
Kd-tree

- Easy to implement
- Good for different searching problems (counting queries, nearest neighbor,...) see DPG
- Designed by Jon Bentley as k-dimensional tree
 (2-dimensional kd-tree was a 2d tree, ...)
- Not the asymptotically best for orthogonal range search (=> range tree is better)
- Types of queries
 - Reporting points in range
 - Counting number of points in range



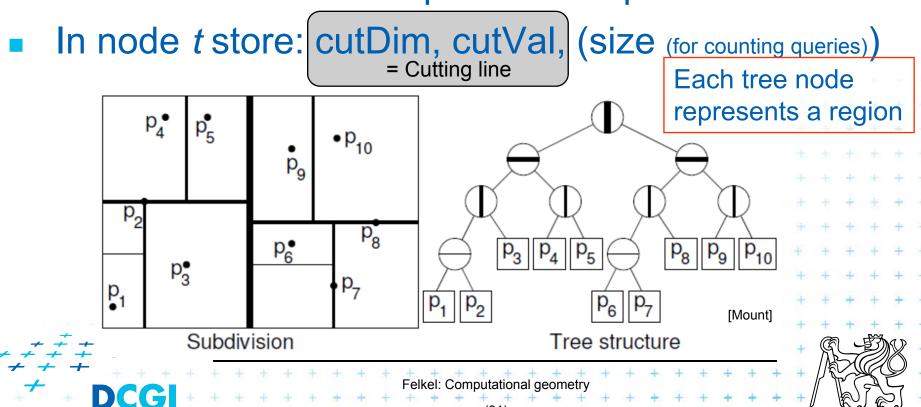


- Subdivide space according to different dimension (x-coord, then y-coord, ...)
- This subdivides space into rectangular cells
 => hierarchical decomposition of space
- In node t store: cutDim, cutVal, (size (for counting queries))
 = Cutting line

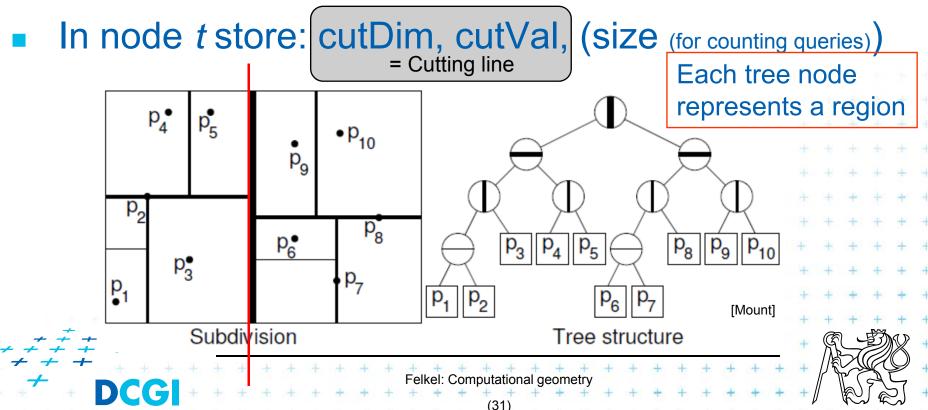


DCGI

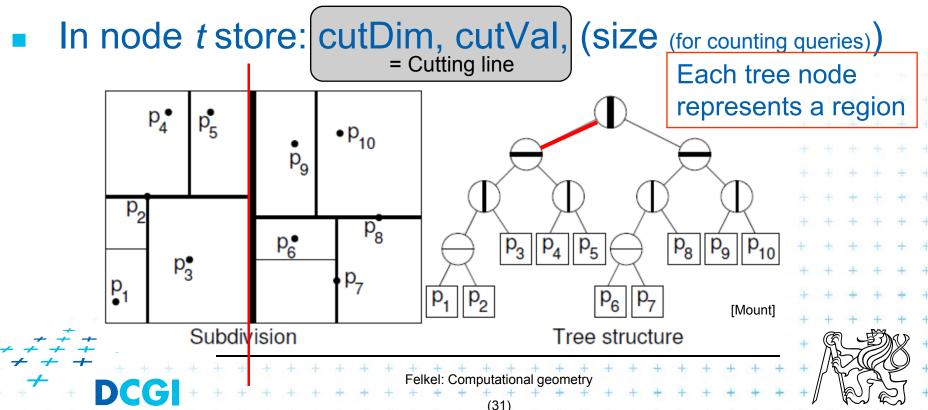
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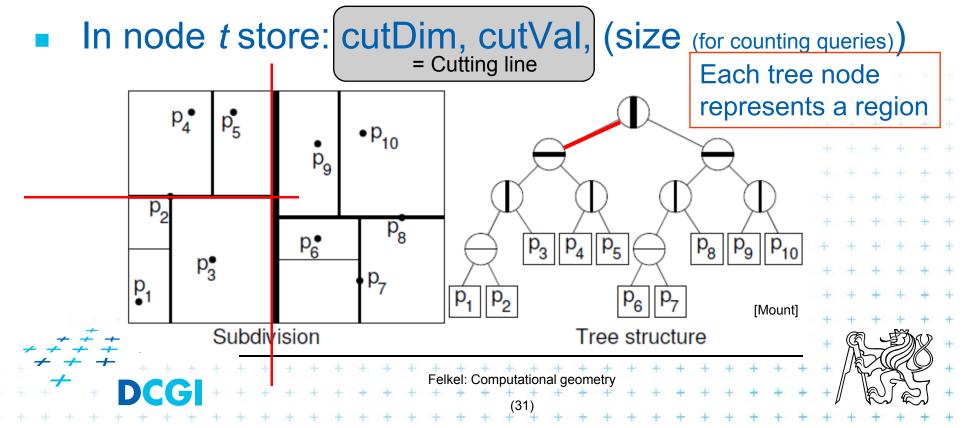
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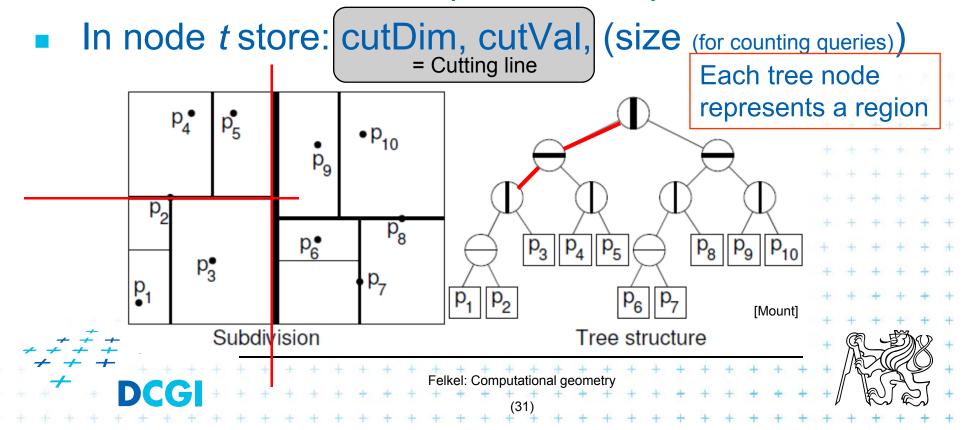
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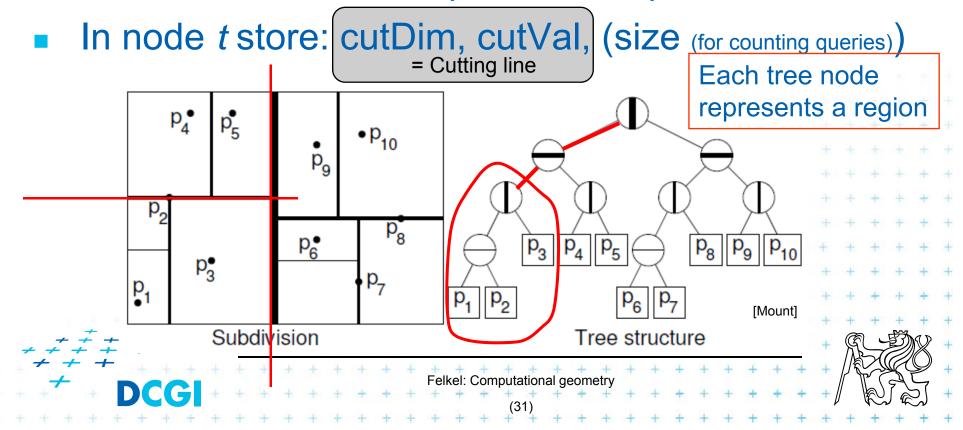
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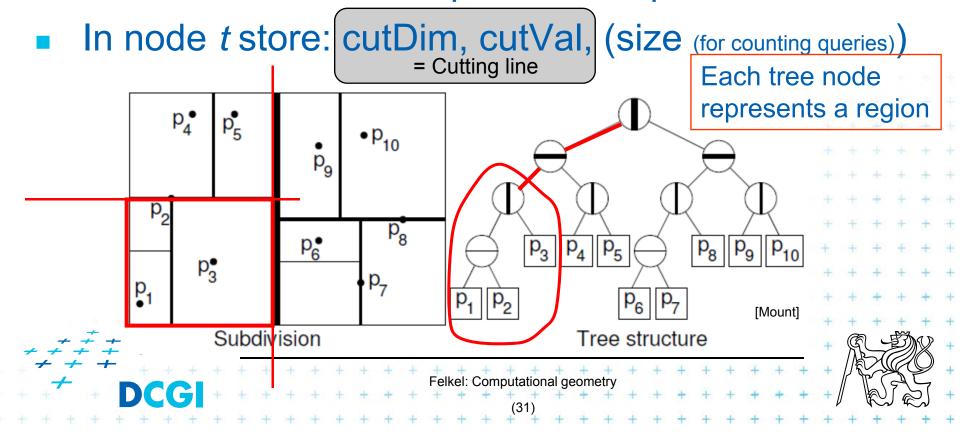
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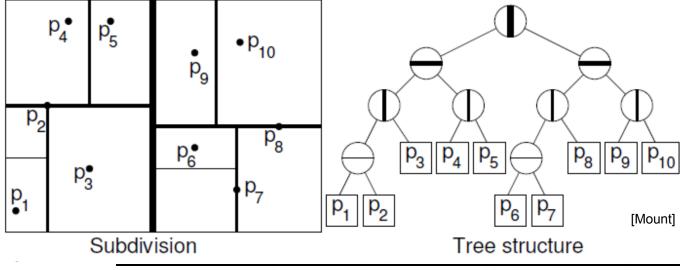
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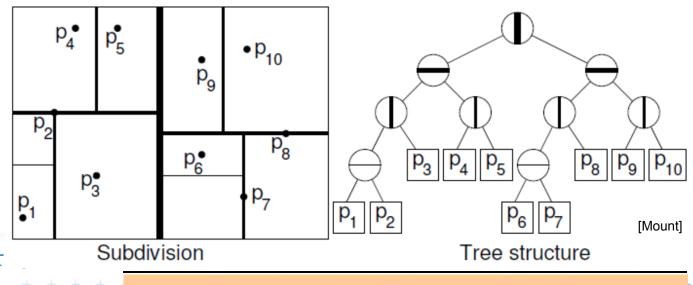


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DCGI

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DCGI

Where is a mistake in the figure?

- Which dimension to cut? (cutDim)
 - Cycle through dimensions (round robin)
 - Save storage cutDim is implicit ~ depth in the tree
 - May produce elongated cells (if uneven data distribution)



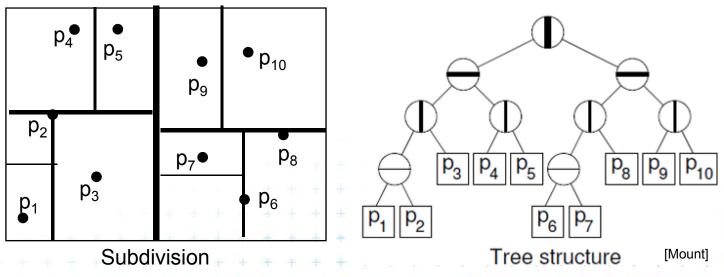
- Adaptive
- Called "Optimal kd-tree"
- Where to cut? (cutVal)
 - Median, or midpoint between upper and lower median
 -> O(n)
 - Presort coords of points in each dimension (x, y, ...) for O(1) median resp. O(d) for all d dimensions





- What about points on the cell boundary?
 - Boundary belongs to the left child

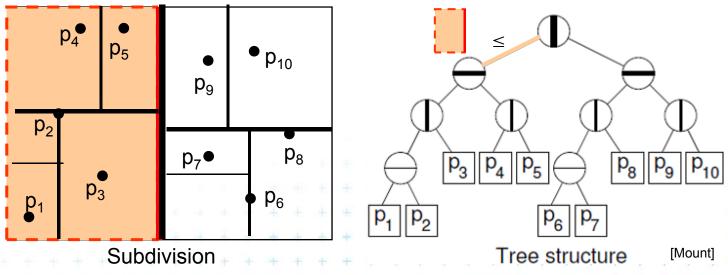
- Left: $p_{cutDim} \le cutVal$





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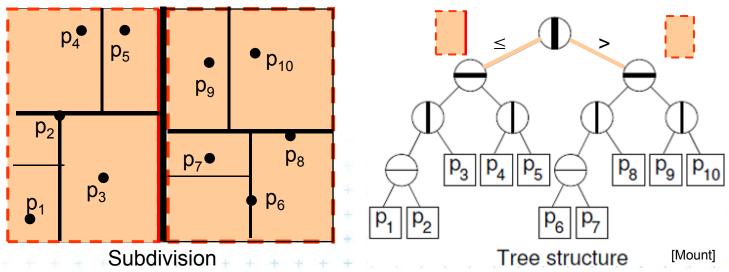
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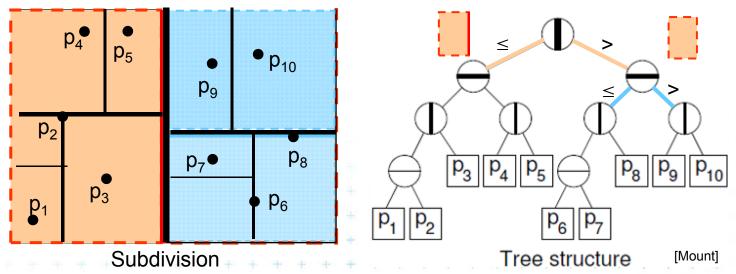
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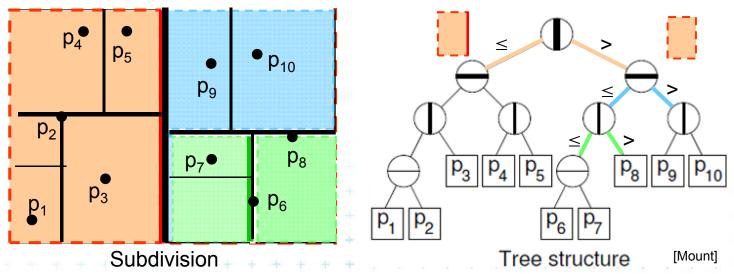
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- What about points on the cell boundary?
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- Left: $p_{cutDim} \le cutVal$





Kd-tree construction in 2-dimensions

```
BuildKdTree(P, depth)
```

Input: A set of points *P* and current *depth*.

Output: The root of a kD tree storing P.

- If (P contains only one point) [or small set of (10 to 20) points]
- 2. then return a leaf storing this point
- 3. **else if (***depth* is even)
- 4. **then** split P with a vertical line I through median x into two subsets P_1 and P_2 (left and right from median)
- else split P with a horiz. line I through median y into two subsets P_1 and P_2 (below and above the median)
- 6. $t_{\text{left}} = \text{BuildKdTree}(P_1, depth+1)$
- 7. $t_{\text{right}} = \text{BuildKdTree}(P_2, depth+1)$
- 8. create node t storing l, t_{left} and t_{right} children l l = cutDim, cutVal
- 9. return t

If median found in O(1) and array split in O(n) $T(n) = 2 T(n/2) + n => O(n \log n)$ construction



Kd-tree construction in 2-dimensions

BuildKdTree(*P*, *depth*)

Input: A set of points *P* and current *depth*.

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- 2. then return a leaf storing this point
- 3. **else if (***depth* is even)

Split according to (depth%max_dim) dimension

- 4. **then** split P with a vertical line I through median x into two subsets P_1 and P_2 (left and right from median)
- else split P with a horiz. line I through median y into two subsets P_1 and P_2 (below and above the median)
- 6. $t_{\text{left}} = \text{BuildKdTree}(P_1, depth+1)$
- 7. $t_{right} = BuildKdTree(P_2, depth+1)$
- 8. create node t storing l, t_{left} and t_{right} children l l = cutDim, cutValue
- 9. return t

If median found in O(1) and array split in O(n) $T(n) = 2 T(n/2) + n => O(n \log n)$ construction

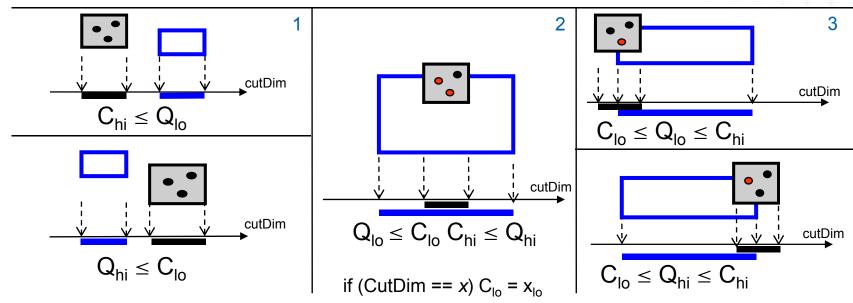


Kd-tree test variants

Test interval-interval

a) Compare rectang. array Q with rectangular cells C

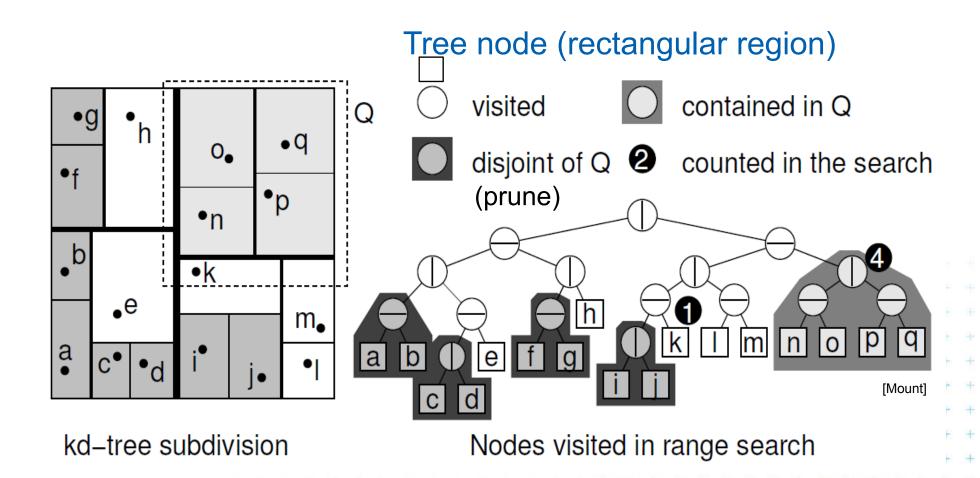
- Rectangle C: $[x_{lo}, x_{hi}, y_{lo}, y_{hi}]$ computed on the fly
- Test of kD node cell C against query Q (in one cutDim)
 - 1. if cell is disjoint with Q ... $C \cap Q = \emptyset$... stop
 - 2. If cell C completely inside Q ... $C \subseteq Q$... stop and report cell points
 - 3. else cell C overlaps Q ... recurse on both children
- Recursion stops on the largest subtree (in or out)



Kd-tree rangeCount (with rectangular cells)

```
int rangeCount(t, Q, C)
Input:
               The root t of kD tree, query range Q and t's cell C.
Output:
               Number of points at leaves below t that lie in the range.
    if (t is a leaf)
       if (t.point lies in Q) return 1 / / or loop this test for all points in leaf
                                          // visited, not counted
       else return 0
    else // (t is not a leaf)
       if (C \cap Q = \emptyset) return 0
                                            ... disjoint
5.
                                           C is fully contained in Q
       else if (C \subseteq Q) return t.size
       else
          split C along t's cutting value and dimension,
8.
          creating two rectangles C_1 and C_2.
          return rangeCount(t.left, Q, C<sub>1</sub>) + rangeCount(t.right, Q, C<sub>2</sub>)
9.
                                // (pictograms refer to the next
```

Kd-tree rangeCount example





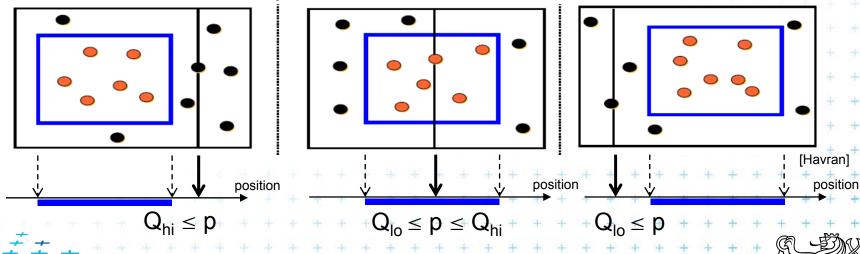


Kd-tree test variants

Test point-interval

b) Compare Q with cutting lines

- Line = Splitting value p in one of the dimensions
- Test of single position given by dimension against Q
 - 1. Line *p* is right from Q ... recurse on left child only (prune right child)
 - 2. Line *p* intersects Q ... recurse on both children
 - 3. Line *p* is left from Q ... recurse on right child only (prune left ch.)
- Recursion stops in leaves traverses the whole tree



Kd-tree rangeSearch (with cutting lines)

```
int rangeSearch(t, Q)
Input:
               The root t of (a subtree of a) kD tree and query range Q.
Output:
               Points at leaves below t that lie in the range.
    if (t is a leaf)
       if (t.point lies in Q) report t.point // or loop test for all points in leaf
       else return
    else (t is not a leaf)
       if (Q<sub>hi</sub> ≤ t.cutVal) rangeSearch(t.left, Q) // go left only
5.
       if (Q_{lo} > t.cutVal) rangeSearch(t.right, Q) // go right only
6.
       else
          rangeSearch(t.left, Q)
8.
          rangeSearch(t.right, Q)
9.
```



Kd-tree - summary

- Orthogonal range queries in the plane (in balanced 2d-tree)
 - Counting queries $O(\sqrt{n})$ time
 - Reporting queries $O(\sqrt{n} + k)$ time, where k = No. of reported points
 - Space O(n)
 - Preprocessing: Construction $O(n \log n)$ time (Proof: if presorted points to arrays in dimensions. Median in O(1) and split in O(n) per level, $\log n$ levels of the tree)
- For d≥2:
 - Construction $O(dn \log n)$, space O(dn), Search $O(dn^{(1-1/d)} + k)$





Proof \sqrt{n}

Každé sudé patro se testuje osa x.

- V patře 0 je jeden uzel a jde se do obou synů (v patře 1 se jde taky do obou)
- v patře 2 jsou 4 uzly, z nich jsou ale 2 bud úplně mimo, nebo úplně in
 stab jen 2
- v 4. patře stab 4 z 8, ...
- v i-tém patře stab 2ⁱ uzlů

Výška stromu je $\log n$

Proto tedy sčítám sudé členy z $0..\log n$ z 2^i . Je to exponenciála, proto dominuje poslední člen

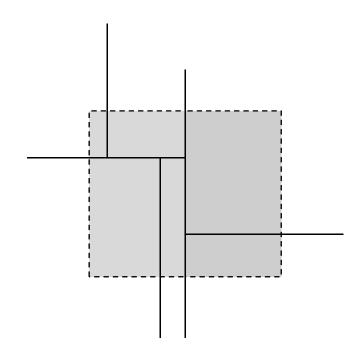
$$2^{\log n/2} = 2^{\log(\sqrt{n})} = \sqrt{n}$$





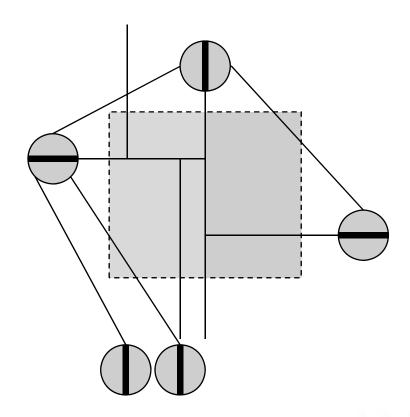






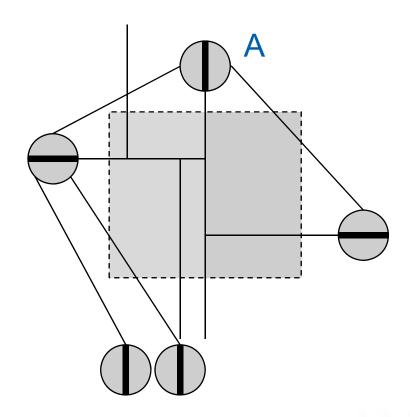






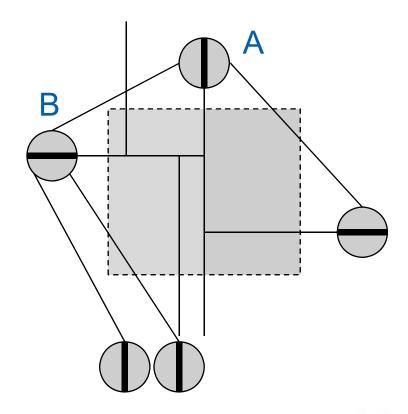






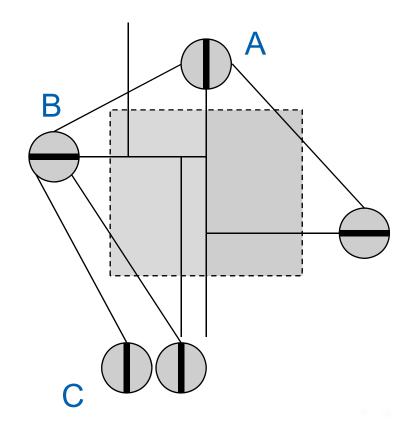






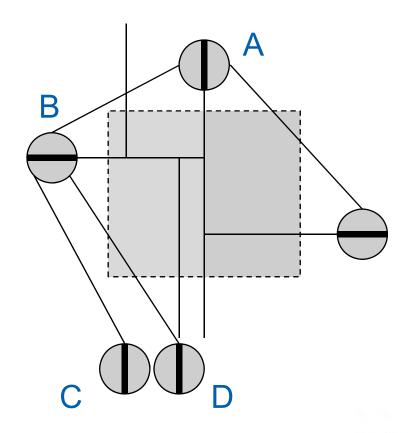






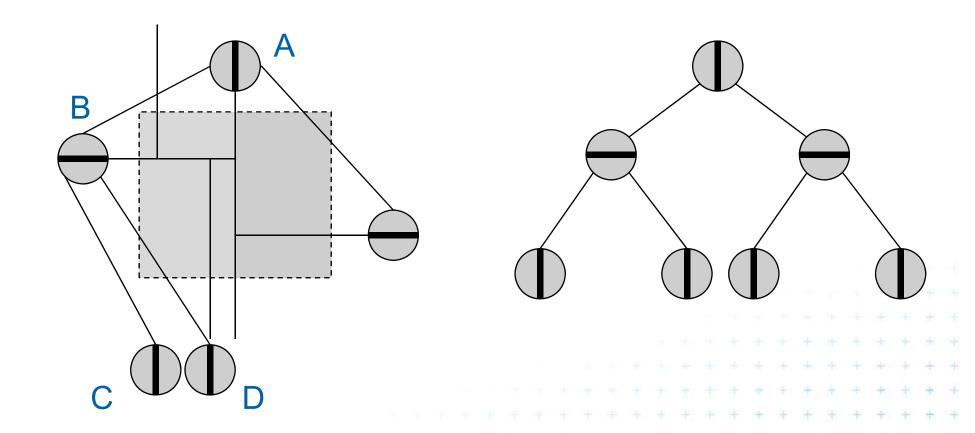






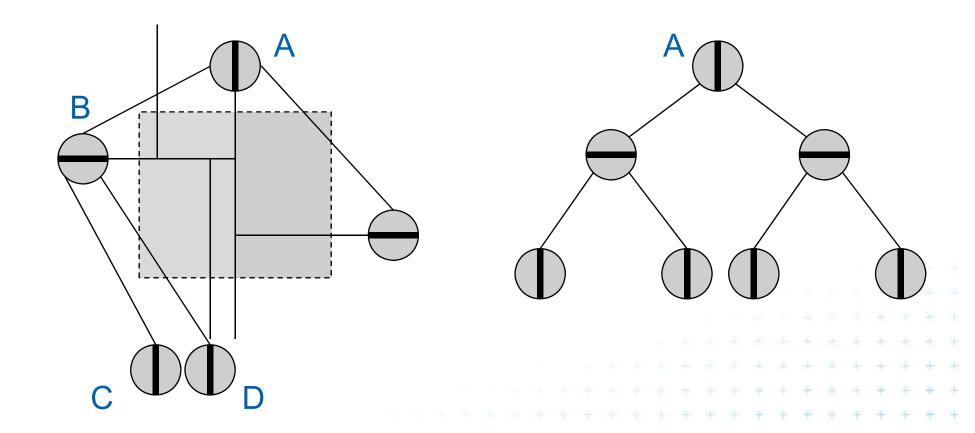






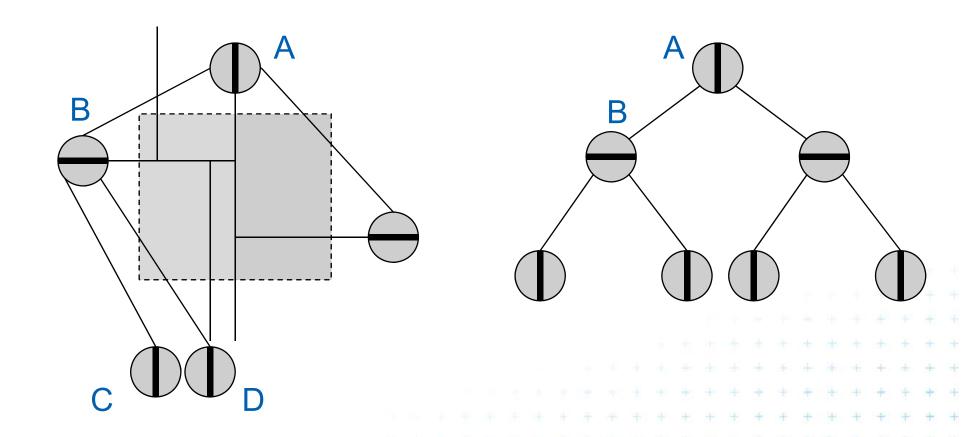






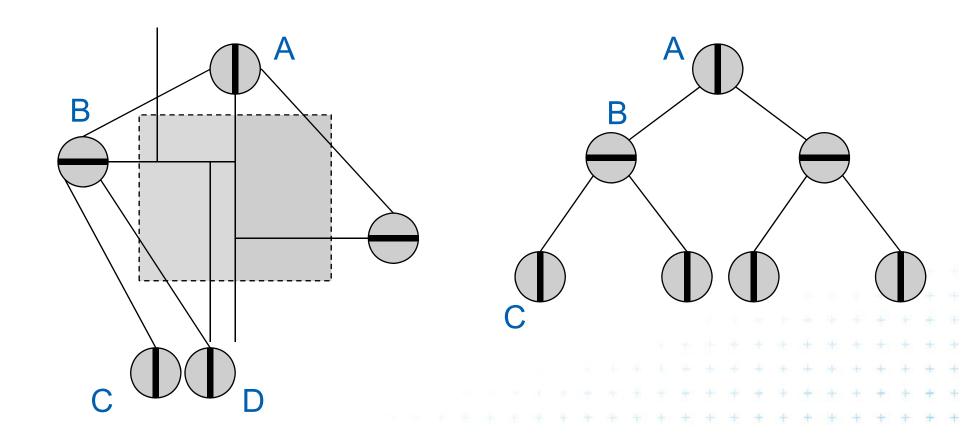






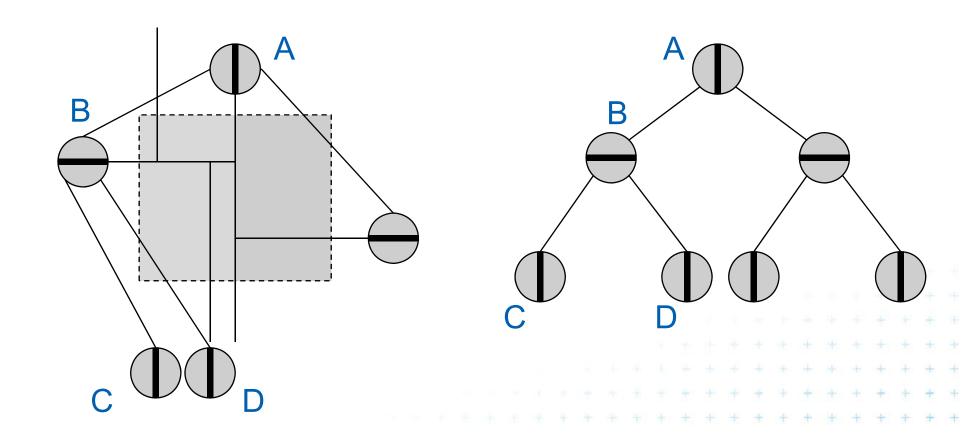






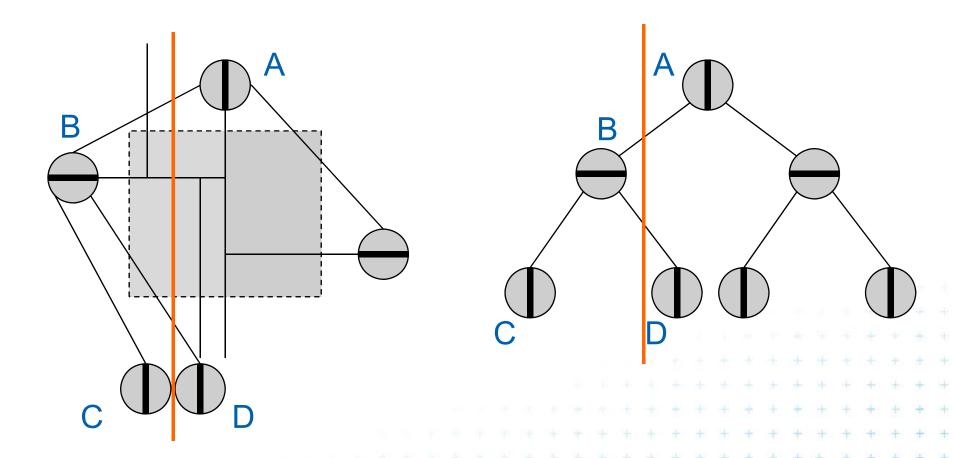






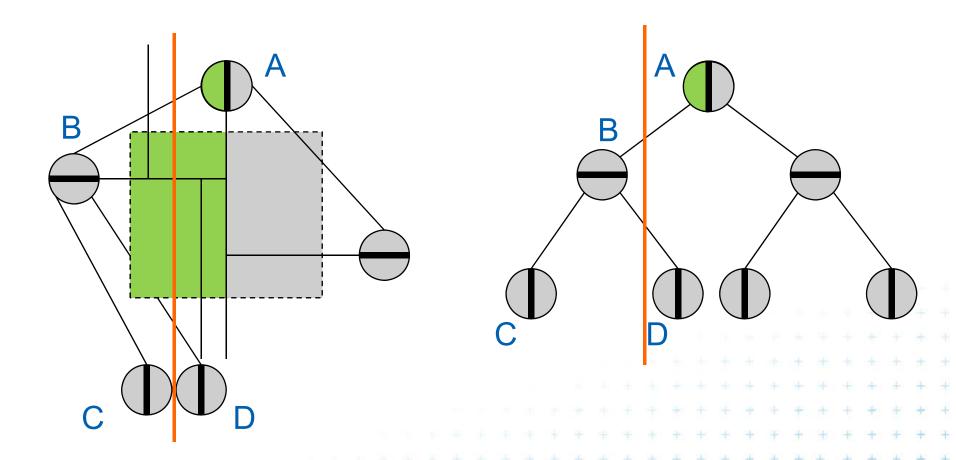






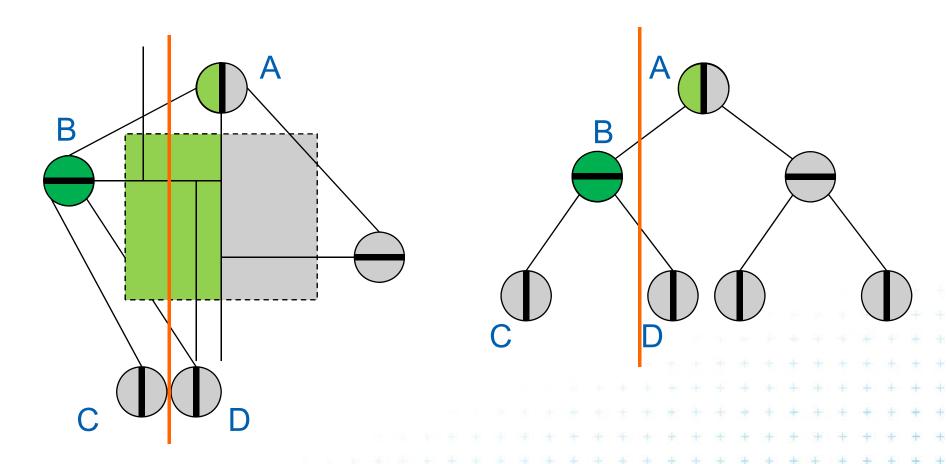






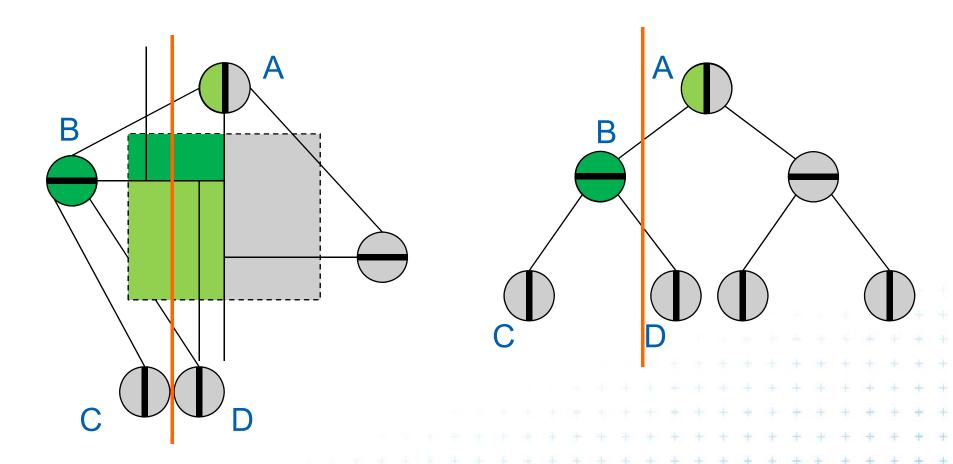






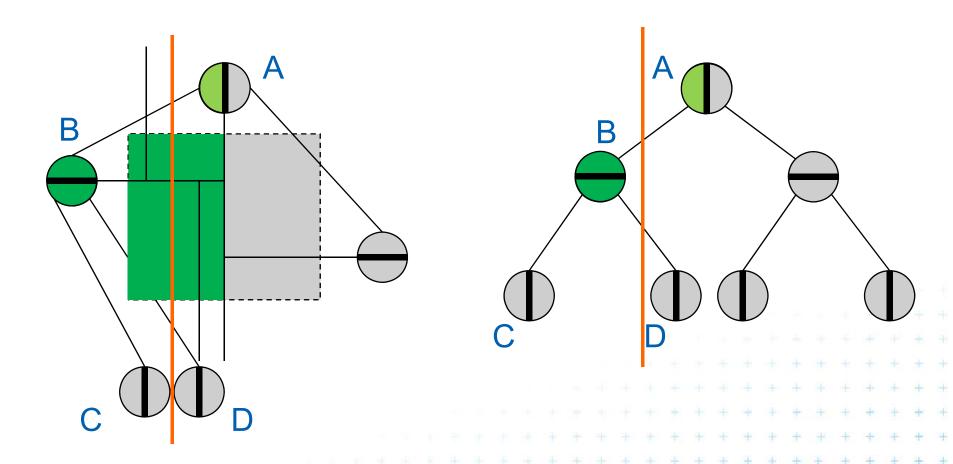






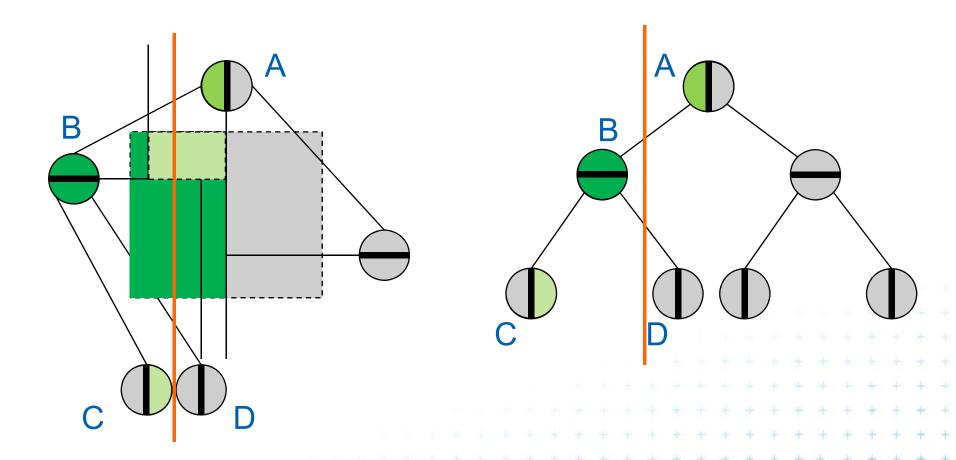






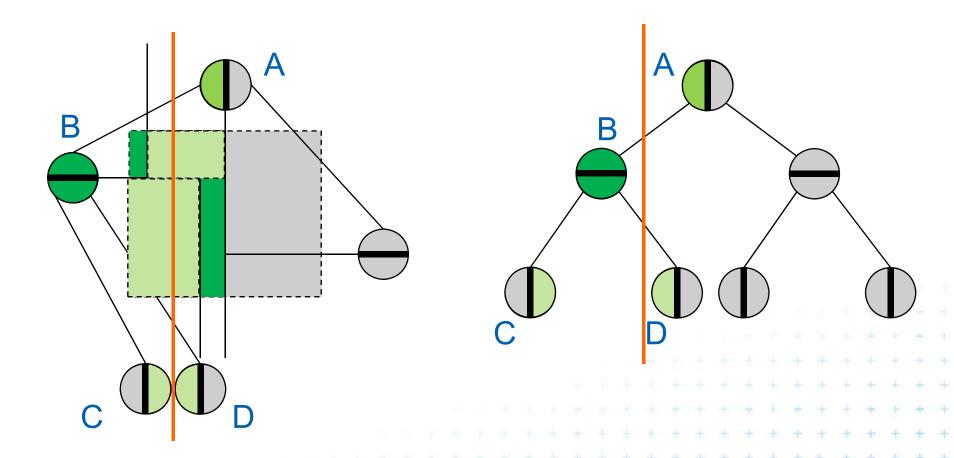






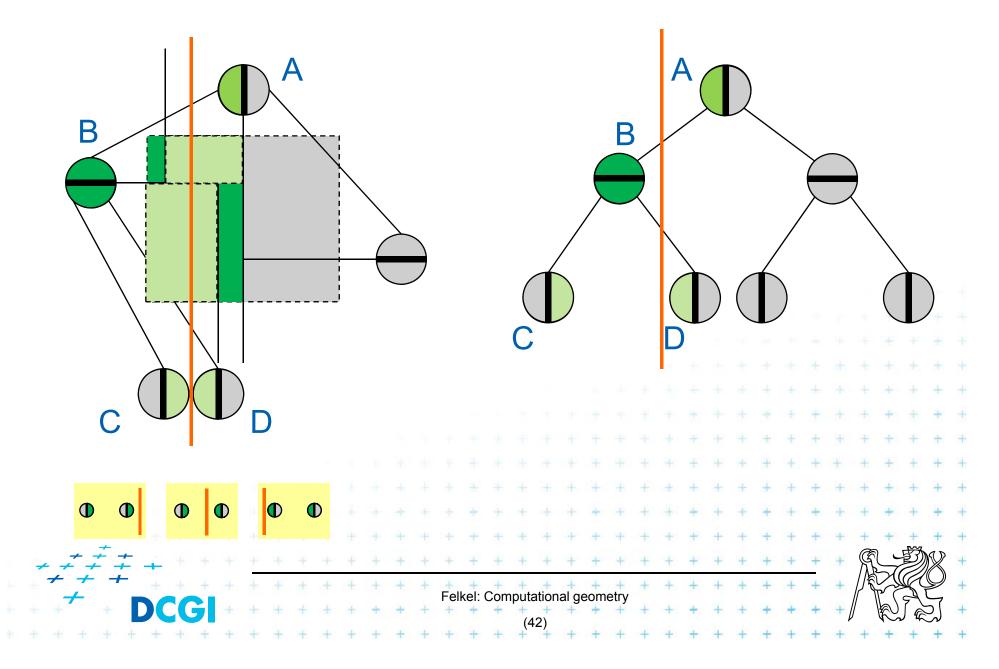


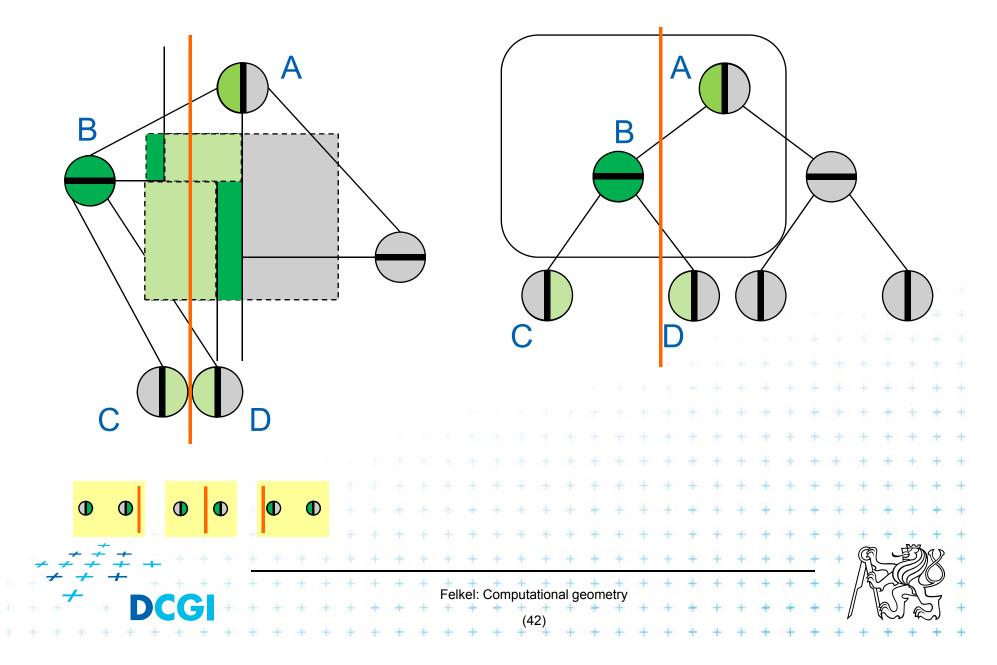


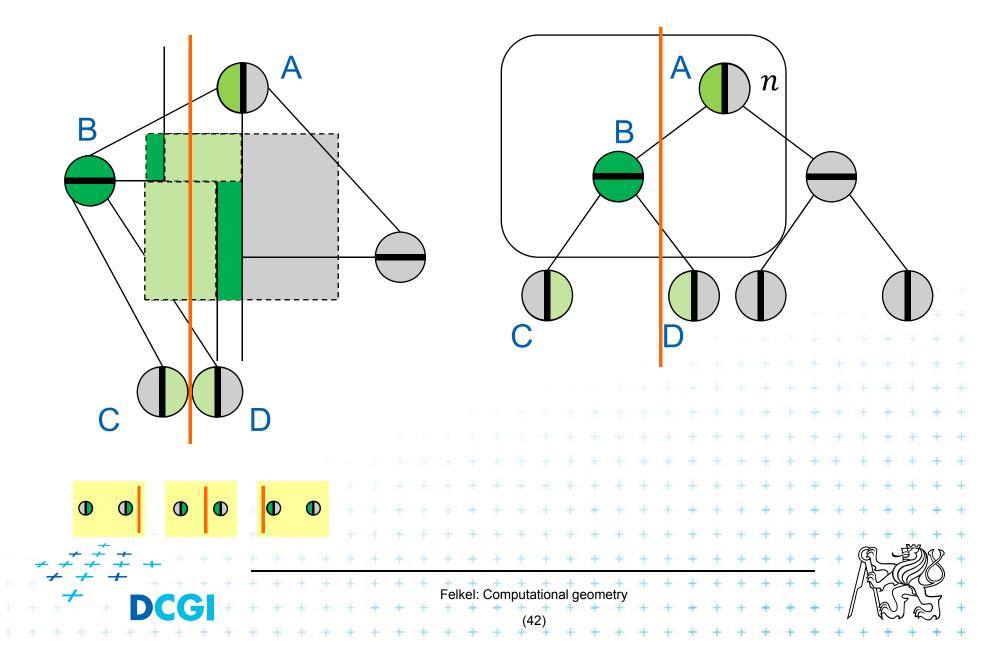


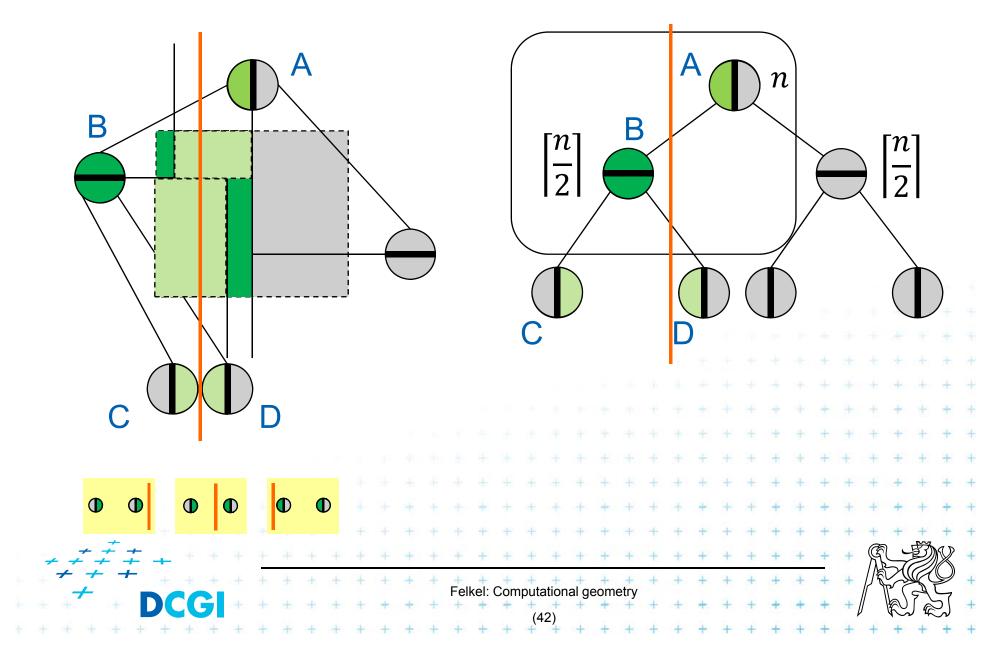


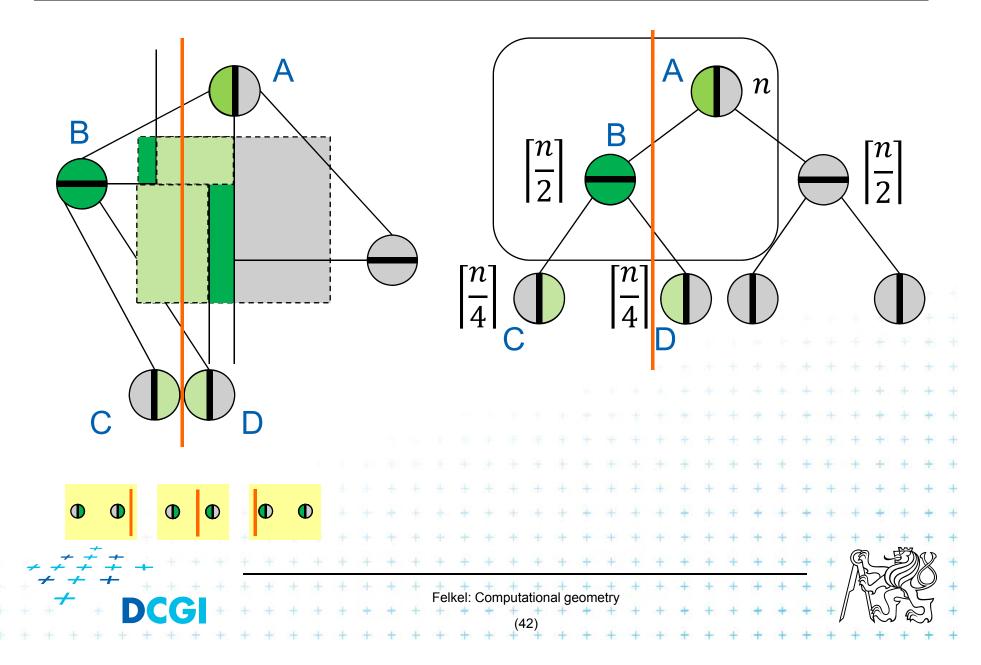


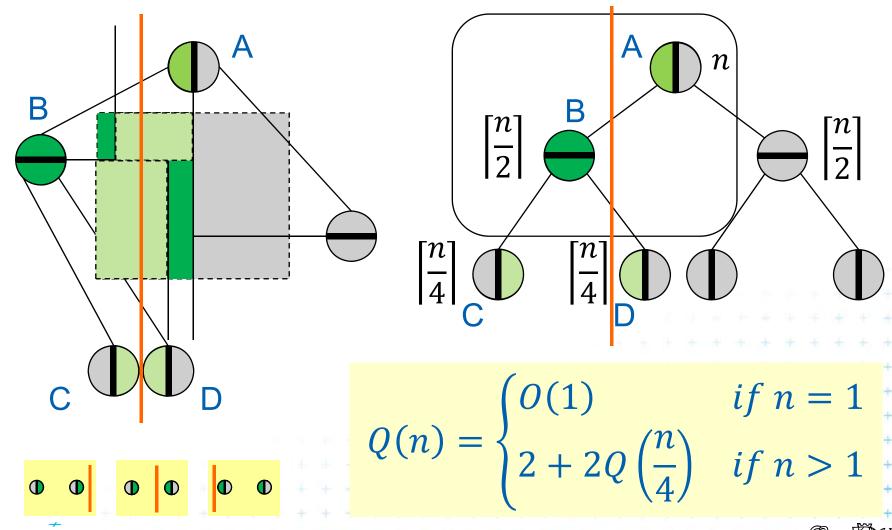
















$$Q(n) = \begin{cases} O(1) & \text{if } n = 1\\ 2 + 2Q\left(\frac{n}{4}\right) & \text{if } n > 1 \end{cases}$$

The master method

$$T(n) = f(n) + aT\left(\frac{n}{b}\right)$$

$$f(n) = 2$$

$$a = 2$$

$$b=4$$

$$n^{\log_b a} = n^{\log_4 2} = \sqrt{n}$$

if
$$n^{\log_b a} > f(n) \Rightarrow T(n) = \Theta(n^{\log_b a})$$

if $\sqrt{n} > 2 \Rightarrow T(n) = \Theta(\sqrt{n})$





 $Q(n) = O(\sqrt{n})$ for single line = vertical query line intersects $O(\sqrt{n})$ regions

The same for rectangular (2D) query range $Q(n) = O(\sqrt{n})$

In higher dimensions

- Binary tree with n leaves $\Rightarrow O(n)$ storage
- Construction $O(n \log n)$ assuming d be constant
- Query visits the nodes of intersected regions
- Time bounded by $O(n^{1-1/d}) + k$



Orthogonal range tree (RT)

- DS highly tuned for orthogonal range queries
- Reporting query times in the plane

2d tree	versus	2d range tree (+frac.c.)
$O(\sqrt{n}+k)$ time	>	$O(\log n + k)$ time
O(n) space	\	$O(n \log n)$ space

n = number of points

k = number of reported points





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