# Performance, Scalability and High-availability of Enterprise Applications

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# Motivation



#### Motivation

There are applications for which it is critical to establish certain availability, consistency, performance etc.

- Banking
- Web mail
- KOS, CourseWare (to some degree)

#### Questions

- How can we define/measure such non-functional application requirements?
- What techniques/tools can we use to provide such applications?



# Core concepts



# **Understanding Core Concepts**

- Mission-critical application is an application that is essential to the survival of a business or an organization, i.e., failure or interruption of the application significantly impacts business operations.
- Important properties of such an application
  - How well can it be adapted to handle bigger amounts of work?
    - scalability
  - How well does it provide useful resources over time period?
    - availability
  - What is the rate of processing of the specified workload over the specified time period?
    - performance



# Scalability of an application

- Scalability is a property of an application which defines
  - how easily it can be expanded to satisfy increased demand for network, processing, database access, file-system resources etc.
  - how well it handles the increased amount of work
- There are 2 ways to scale an application
  - **vertically (scaling up)** expanding by adding processor units, main memory, storage or network interfaces to a node.
  - horizontally (scaling out) expanding by adding new nodes with identical functionality to existing ones.



# Vertical Scaling Example

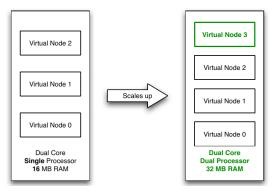


Figure: Virtualization Example – vertical scaling of hosting services by increasing number of processors, the amount of main memory to host more virtual servers.



## Horizontal Scaling Example

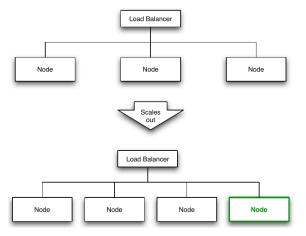


Figure: Clustering Example – horizontal scaling of SOA systems/web services by adding more servers nodes to a *load-balanced network*.



# High-availability of an application

- **Uptime (downtime)** is time during which application is running (not running).
- Availability is defined as the percentage of time an application provides its expected functionality  $A = (1 \frac{t_{unplanned\_downtime}}{t_{uptime}}) * 100$
- Note, that uptime and availability are different concepts.
- **High-availability** characterizes applications that are obliged to have availability close to 100%.



# Measuring availability

Availability	Downtime per year	Downtime per week	Downtime per day
90% ("one nine")	36.5 days	16.8 hours	2.4 hours
95%	18.25 days	8.4 hours	1.2 hours
97%	10.96 days	5.04 hours	43.2 minutes
98%	7.30 days	3.36 hours	28.8 minutes
99% ("two nines")	3.65 days	1.68 hours	14.4 minutes
99.9% ("three nines")	8.76 hours	10.1 minutes	1.44 minutes
99.99% ("four nines")	52.56 minutes	1.01 minutes	8.66 seconds
99.999% ("five nines")	5.26 minutes	6.05 seconds	864.3 milliseconds
99.9999% ("six nines")	31.5 seconds	604.8 milliseconds	86.4 milliseconds
99.99999% ("seven nines")	3.15 seconds	60.48 milliseconds	8.64 milliseconds

Table: Measuring Availability – vendors typically define availability as given number of "nines".



# SLA/OLA

#### Service Level Agreement (SLA)

defines obligations towards the (external) client in delivering and using an application

#### For example:

- minimal/target levels of availability
- timing of reaction (reply to client, fix; based on urgency; e.g. issue A, 1 hour reaction, 8 hours fix)
- maintenance windows
- performance and metrics for its evaluation
- billing
- consequences of not meeting obligations

#### Operational Level Agreement (OLA)

defines similar obligations towards the internal departments

# Techniques for Performance Optimization



# Caching

**Caching** is a technique for sharing data among multiple data consumers. It is useful for data that are expensive to compute or fetch or do not change often.

- implemented by index tables where key is used to retrieve cached entry (datum)
- query for datum using cache can lead to cache hit or cache miss
- Cache is transparent for its client



## Cache Types

#### application cache

• implicit vs. explicit application caching – with little/no participation of a programmer (e.g. Ehcache) vs. using caching API (e.g. Memcached)

#### web cache

- client side (browser) vs. server side caching
- web-accelerators operates on behalf of the server of origin (e.g. content distribution networks, Akamai)
- proxy caches serve requests to a group of client accessing same resources. Used for content filtering and reducing bandwidth usage (e.g. Apache)
- distributed cache implemented across multiple systems that serves requests for multiple customers and from multiple resources (e.g. distributed web cache Akamai, distributed application cache Memcached)



#### Cache Strategies

- Read-through Data are read through cache, if miss, data are read from storage and put into cache
- Write-through Data are written through cache, i.e., update occurs synchronously in cache and in data storage
- Write-behind Data are written into cache, update in storage occurs asynchronously after configured delay/when another update to the data occurs
- Write-allocate/No-Write-Allocate Writing data allocates (does not allocate) cache as well

#### Eviction

- LRU replace least recently used item
- FIFO (TTL) replace oldest item (regardless of access frequency)
- Random, Round Robin delete at random/computed position

#### Write-through with No-write Allocation

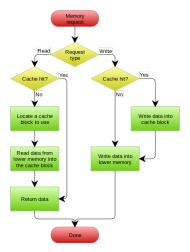


Figure: A write-through cache with no-write allocation taken from https://en.wikipedia.org/wiki/Cache\_(computing)



#### Write-behind Cache with Write Allocation



Figure: A write-behind cache with write allocation taken from https://en.wikipedia.org/wiki/Cache (computing)



#### **Pools**

- Thread pools
- Connection pools, FISH-985-rwlockdatabase show, how amount of connection affects performance (Resources, JDBC, JDBC Connection Pools, tasksPool, Pool Settings)
- Small poll and short Max Wait Time = PoolingException: In-use connections equal max-pool-size and expired max-wait-time. Cannot allocate more connections.



# Load Balancing

- Response time defines the amount of time it takes a system to process a request after it has received it
- Latency is often used to refer to the response time lowered by the processing time of the request on the server
- Throughput defines the number of transactions per second that an application can handle
- Load balancing is a technique for minimizing response time and maximizing throughput by delegating requests among multiple nodes
- Load balancer is responsible for routing requests to available nodes based on scheduling rules



# Load Balancing

- Distributes client requests or network load efficiently across multiple servers
- Hardware vs Software load balancers
- Load balancing strategies:

Round Robin distribute requests to servers sequentially
Least connections incoming requests are routed to servers with the
least load (factoring in server strength)

IP hash IP address of the request client determines target server



#### Round Robin Load Balancer

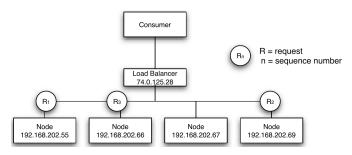


Figure: Load Balancer using the Round Robin algorithm.



## Persistent/Sticky Session

Stateful applications with server-side sessions require requests from one session to go to the same server.

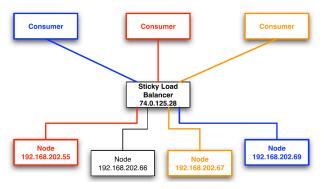


Figure: Sticky Load Balancer.



#### Common Features of Load Balancers

- asymmetric load distribution different loads are assigned to different nodes
- priority activation if the load gets too high, some standby nodes are activated
- dynamic configuration add/remove servers in server pool quickly at runtime
- content filtering modifies traffic on the way through
- firewall deciding whether traffic might pass through an interface or not based on security rules



## Clustering

- **Cluster** is group of computer systems that work together in a form that appears from the user perspective as a single system
- Load-balancing cluster (Active/Active) distributes load to redundant nodes, while all nodes are active at the same time offering full-service capabilities
- High-availability cluster (Active/Passive) improves service availability by redundant nodes eliminating single points of failures



# Load-Balancing Cluster

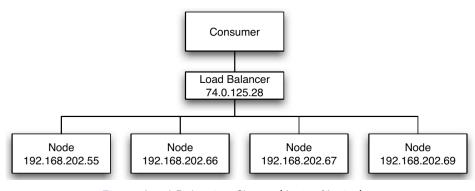


Figure: Load-Balancing Cluster (Active/Active)



# High-Availability Cluster

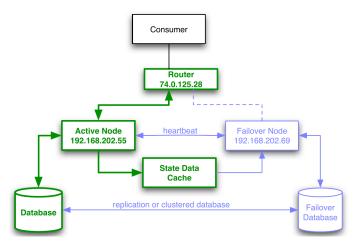


Figure: High-Availability Cluster (Active/Passive). It uses "heartbeat" to detect if nodes are ready and routing mechanism to switch traffic if a node fails.

## Principles to Achieve High Availability

- Elimination of single points of failure adding redundancy so failure of a component does not cause failure of the entire application
- Reliable crossover ability to switch to from failing node to new node without loosing
- Detection of failures as they occur failing node should maintain activity, not user's attention.



#### Docker + Kubernetes

- Docker allows simple and reproducible node setup
  - same image, central repository
  - containers are configured
  - docker-compose allows bind several services (Java server, nginx, PostgreSQL)
- Kubernetes provides cluster, runs services on multiple nodes
  - configuration
  - load balancing
  - monitoring, fault tolerance (self healing) restarts services
  - automatic cluster sizing



# **Cloud Computing**

- Keeping a reliable environment with well-configured high-availability is hard!
- Cloud Computing is a type of internet-based computing where applications are running on distributed resources owned and operated by a third-party like Amazon.
- Pay-as-you-go billing
- Service models within cloud computing :
  - Infrastructure as a Service (IaaS) use provided infrastructure virtual machines, servers, load balancers, network, e.g., Amazon EC2
  - Platform as a Service (PaaS) using provider's services, libraries, tools with control over deployed application execution runtime, database, web-server, development, e.g. Google AppEngine, MS Azure
  - Software as a Service (SaaS) using providers application with limited control over the application, e.g., Office 365, email

# On Premise vs IaaS vs PaaS vs SaaS

# Separation of Responsibilities

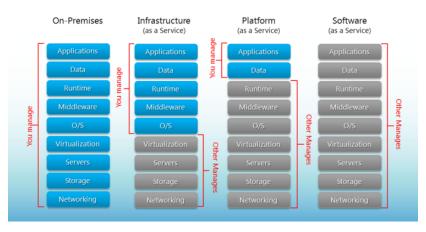


Figure: Cloud computing models. Source: http://robertgreiner.com/2014/03/windows-azure-iaas-paas-saas-overview/



## System performance testing

- Performance refers to application throughput with specified workload and period of time.
- Performance specifications are typically documented in SLA document
- Troubleshooting performance issues requires multiple types of testing such as
  - endurance testing identifies resource leaks under the continuous, expected load
  - load testing show application behavior under a specific load
  - spike testing shows application behaviour under dramatic changes in load
  - stress testing identifies the breaking point for the application under dramatic load changes for extended periods of time



# **Tools**



# Caching

- Java specification JSR 107 JCache
- Spring caching support older, it has its own set of cache-related annotations
- Application cache implementations Ehcache, Memcached

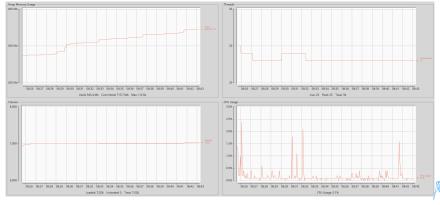
Spring	JSR-107	
@Cacheable	@CacheResult	
@CachePut	@CachePut	
@CacheEvict	@CacheRemove	
@CacheEvict(allEntries=true)	@CacheRemoveAll	
@CacheConfig	@CacheDefaults	

Table: Alternative annotations within Spring and JSR-107



#### **JConsole**

- GUI-based Java monitoring tool,
- JMX compliant,
- Allows connection to local or remote (if configured) processes,
- Part of the JDK.



# **JavaMelody**

- Very simple to implement (few lines in web.xml, few lines in pom.xml)
- Navigate to /monitoring and enjoy!
- LIVE DEMO (at least PDF)
  - Records data for last year, older data is sumarized, old data removed
  - memory, sessions, threads, CPU, disk space, network bandwidth, SQL traffic, JMX, timing statistics of requests...
  - actions: invalidate sessions, perform garbage collection, memory dump



#### Prometheus + Grafana

- Ready software, frequently used in production
- LIVE DEMO
- Prometheus collects and saves metrics
  - just provide data in the right format
- Grafana makes nice dashboards, graphs
  - make your own dashboard drag&drop
  - download some ready dashboard via "Import via grafana.com"



#### Tools for critical-mission applications

- Netbeans Profiler, IntelliJ IDEA Profiler
- JConsole, VisualVM, Java Flight Recorder
- Apache JMeter or Gatling (performance testing by scripts)
- Apache HTTP Server, nginx, IIS (caching, high availability, load balancing)
- EC2 Elastic Load Balancing



# **Demos**



# Demo - (J)Cache

- CDI + source, @CacheResult, @CacheRemoveAll
- Controlling Cache directly + source, @Inject javax.cache.Cache
- REST + source + demo, @CacheResult+@CacheKey, @CachePut+@CacheValue, @CacheRemove
- Sources: https://github.com/payara/Payara-Examples/tree/master/javaee/jcache



## Demo - Monitoring

#### Load testing

- SampleApplication with slow service
- JConsole, VisualVM
- Prometheus + Graphana
- Using wrk as a tester (try also JMeter)

#### JConsole, VisualVM

- Connect to server with JConsole, see what is going on there
- Connect to server with VisualVM, see what is going on there



#### The End

# Thank You



#### Resources

- 1 https://www.nginx.com/resources/glossary/ load-balancing/
- 1 https://aws.amazon.com/caching/
- https://docs.oracle.com/cd/E13924\_01/coh.340/ e13819/readthrough.htm
- https://docs.spring.io/spring/docs/current/ spring-framework-reference/integration.html# cache
- https://visualvm.github.io/documentation.html,
- 6 https://jmeter.apache.org/

