

Curvature-Constrained Data Collection Planning  
Dubins Traveling Salesman Problem with Neighborhoods (DTSPN)  
and  
Dubins Orienteering Problem with Neighborhoods (DOPN)

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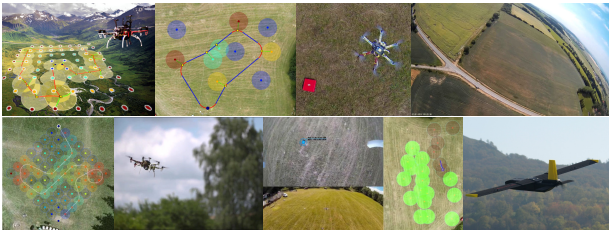
Lecture 07

B4M36UIR – Artificial Intelligence in Robotics



Motivation – Surveillance Missions with Aerial Vehicles

- Provide **curvature-constrained** path to collect the most valuable measurements with shortest possible path/time or under limited travel budget.



- Formulated as routing problems with Dubins vehicle:
  - Dubins Traveling Salesman Problem with Neighborhoods;
  - Dubins Orienteering Problem with Neighborhoods.



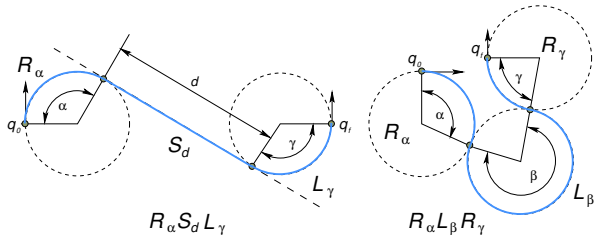
Parametrization of Dubins Maneuvers

- Parametrization of each trajectory phase connecting  $q_0$  with  $q_f$ :

$$\{L_\alpha R_\beta L_\gamma, R_\alpha L_\beta R_\gamma, L_\alpha S_d L_\gamma, L_\alpha S_d R_\gamma, R_\alpha S_d L_\gamma, R_\alpha S_d R_\gamma\}$$

for  $\alpha \in [0, 2\pi)$ ,  $\beta \in (\pi, 2\pi)$ ,  $d \geq 0$ .

Notice the prescribed orientation at  $q_0$  and  $q_f$ .



Overview of the Lecture

- Part 1 – Curvature-Constrained Data Collection Planning
  - Dubins Vehicle and Dubins Planning
  - Dubins Touring Problem (DTP)
  - Dubins Traveling Salesman Problem
  - Dubins Traveling Salesman Problem with Neighborhoods
  - Dubins Orienteering Problem
  - Dubins Orienteering Problem with Neighborhoods
  - Planning in 3D – Examples and Motivations



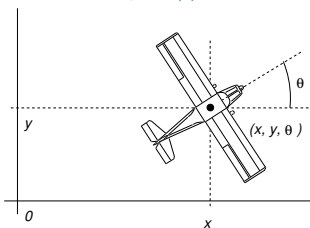
Dubins Vehicle

- Non-holonomic vehicle such as car-like or aircraft can be modeled as Dubins vehicle:
  - Constant forward velocity;
  - Limited minimal turning radius  $\rho$ ;
  - Vehicle state is represented by a triplet  $q = (x, y, \theta)$ , where
  - Position is  $(x, y) \in \mathbb{R}^2$ , vehicle heading is  $\theta \in \mathbb{S}^1$ , and thus  $q \in SE(2)$ .

The vehicle motion can be described by the equation

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = v \begin{bmatrix} \cos \theta \\ \sin \theta \\ \frac{u}{\rho} \end{bmatrix}, \quad |u| \leq 1,$$

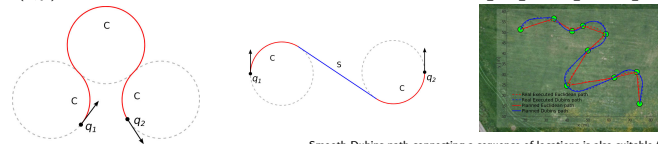
where  $u$  is the control input.



Multi-goal Dubins Path

- Minimal turning radius  $\rho$  and constant forward velocity  $v$ .
- State of Dubins vehicle is  $q = (x, y, \theta)$ ,  $q \in SE(2)$ ,  $(x, y) \in \mathbb{R}^2$  and  $\theta \in \mathbb{S}^1$ .

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = v \begin{bmatrix} \cos \theta \\ \sin \theta \\ \frac{u}{\rho} \end{bmatrix}$$



Smooth Dubins path connecting a sequence of locations is also suitable for multi-rotor aerial vehicle.

- Optimal path connecting  $q_1 \in SE(2)$  and  $q_2 \in SE(2)$  consists only of straight line arcs and arcs with the maximal curvature, i.e., two types of maneuvers CCC and CSC and the solution can be found analytically.

(Dubins, 1957)

- In **multi-goal Dubins path planning**, we need to solve the underlying TSP.



Part I

Part 1 – Curvature-Constrained Data Collection Planning



Optimal Maneuvers for Dubins Vehicle

- For two states  $q_1 \in SE(2)$  and  $q_2 \in SE(2)$  in the environment **without obstacles**  $\mathcal{W} = \mathbb{R}^2$ , the optimal path connecting  $q_1$  with  $q_2$  can be characterized as one of two main types

- CCC type: LRL, RLR;
- CSC type: LSL, LSR, RSL, RSR;

where S – straight line arc, C – circular arc oriented to left (L) or right (R).

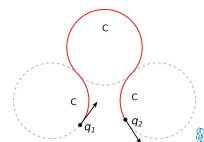
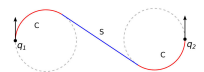
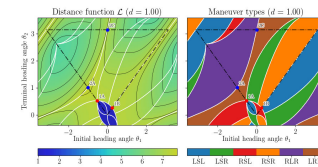
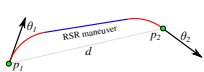
L. E. Dubins (1957) – American Journal of Mathematics

- The optimal paths are called **Dubins maneuvers**.
  - Constant velocity:  $v(t) = v$  and minimum turning radius  $\rho$ .
  - Six types of trajectories connecting any configuration in  $SE(2)$ . (Without obstacles)
  - The control  $u$  is according to C and S type one of three possible values  $u \in \{-1, 0, 1\}$ .



Difficulty of Dubins Vehicle in the Solution of the TSP

- For the minimal turning radius  $\rho$ , the **optimal path** connecting  $q_1 \in SE(2)$  and  $q_2 \in SE(2)$  can be found analytically.
- L. E. Dubins (1957) – American Journal of Mathematics
- Two types of optimal Dubins maneuvers: CSC and CCC.
- The length of the optimal maneuver  $\mathcal{L}$  has a closed-form solution.
  - It is **piecewise-continuous function**; Can be computed in less than  $0.5 \mu s$
  - (continuous for  $\|(p_1, p_2)\| > 4\rho$ ).



Dubins Vehicle and Dubins Planning DTP DTSP DTSPN DOP DOPN Planning in 3D

### Dubins Traveling Salesman Problem (DTSP)

- Determine (closed) shortest Dubins path visiting each  $p_i \in \mathbb{R}^2$  of the given set of  $n$  locations  $P = \{p_1, \dots, p_n\}$ .
- Permutation  $\Sigma = (\sigma_1, \dots, \sigma_n)$  of visits (sequencing).
  - Combinatorial optimization**
- Headings  $\Theta = \{\theta_{\sigma_1}, \theta_{\sigma_2}, \dots, \theta_{\sigma_n}\}$ ,  $\theta_i \in [0, 2\pi)$ , for  $p_{\sigma_i} \in P$ .
  - Continuous optimization**
- DTSP** is an optimization problem over all possible **sequences**  $\Sigma$  and **headings**  $\Theta$  at the states  $(q_{\sigma_1}, q_{\sigma_2}, \dots, q_{\sigma_n})$  such that  $q_{\sigma_i} = (p_{\sigma_i}, \theta_{\sigma_i})$ ,  $p_{\sigma_i} \in P$

$$\text{minimize}_{\Sigma, \Theta} \sum_{i=1}^{n-1} \mathcal{L}(q_{\sigma_i}, q_{\sigma_{i+1}}) + \mathcal{L}(q_{\sigma_n}, q_{\sigma_1}) \quad \text{where}$$

$$\text{subject to } q_i = (p_i, \theta_i) \quad i = 1, \dots, n,$$

$\mathcal{L}(q_{\sigma_i}, q_{\sigma_j})$  is the length of Dubins path between  $q_{\sigma_i}$  and  $q_{\sigma_j}$ .

The continuous domain of the heading angles is similar to the regions in the TSPN-like problem formulations.

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### Planning with Dubins Vehicle – Summary

- The optimal path connecting two configurations can be found analytically.
  - E.g., for UAVs that usually operates in environment without obstacles.*
- Dubins maneuvers can also be used in randomized-sampling based motion planners, such as RRT, in the control based sampling.
- The Dubins vehicle model can be considered in the multi-goal path planning such as surveillance, inspection or monitoring missions to periodically visits given target locations (areas).
- Dubins Touring Problem (DTP)**
  - Given a sequence of locations, what is the shortest path visting the locations, i.e., what are the headings of the vehicle at the locations.
- Dubins Traveling Salesman Problem (DTSP)**
  - Given a set of locations, what is the shortest Dubins path that visits each location exactly once and returns to the origin location.
- Dubins Orienteering Problem (DOP)**
  - Given a set of locations, each with associated reward, what is Dubins path visting the most rewarding locations and not exceeding the given travel budget.

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### Example of Heading Sampling – Uniform vs. Informed

**Uniform sampling**

$N = 224$ ,  $T_{cpu} = 128$  ms  
 $\mathcal{L} = 19.8$ ,  $\mathcal{L}_U = 13.8$

**Informed sampling**

$N = 128$ ,  $T_{cpu} = 76$  ms  
 $\mathcal{L} = 14.4$ ,  $\mathcal{L}_U = 14.2$

- $N$  is the total number of samples, for example 32 samples per waypoint for uniform sampling.
- $\mathcal{L}$  is the length of the tour (blue) and  $\mathcal{L}_U$  is the lower bound path (red) determined as a solution of the **Dubins Interval Problem (DIP)**.

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### Challenges of the Dubins Traveling Salesman Problem

- The key difficulty of the DTSP is that the path length mutually depends on
  - Order of the visits to the locations;
  - Headings at the target locations.

*We need the sequence to determine headings, but headings may influence the sequence.*
- The Dubins TSP is **sequence dependent problem**.
- Two fundamental approaches can be found in literature.
  - Decoupled** approach based on a given sequence of the locations, e.g., found by a solution of the Euclidean TSP.
  - Sampling-based** approach with sampling of the headings at the locations into discrete sets of values and considering the problem as the variant of the **Generalized TSP**.

Besides, further approaches are

- Genetic and memetic techniques (evolutionary algorithms);
- Unsupervised learning based approaches.

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### Dubins Touring Problem – DTP

- For a sequence of the  $n$  waypoint locations  $P = (p_1, \dots, p_n)$ ,  $p_i \in \mathbb{R}^2$ , the **Dubins Touring Problem (DTP)** stands to determine the **optimal headings**  $T = \{\theta_1, \dots, \theta_n\}$  at the waypoints  $q_i$  such that

$$\text{minimize}_T \quad \mathcal{L}(T, P) = \sum_{i=1}^{n-1} \mathcal{L}(q_i, q_{i+1}) + \mathcal{L}(q_n, q_1)$$

$$\text{subject to } q_i = (p_i, \theta_i), \quad \theta_i \in [0, 2\pi), \quad p_i \in P,$$

where  $\mathcal{L}(q_i, q_j)$  is the length of Dubins maneuver connecting  $q_i$  with  $q_j$ .

- The DTP is a **continuous optimization problem**.
- The term  $\mathcal{L}(q_n, q_1)$  is for possibly closed tour that can be for example requested in the TSP with Dubins vehicle (Dubins TSP - DTSP).
- On the other, the DTP can also be utilized for open paths such as solutions of the OP with Dubins vehicle.*
- In some cases, it may be suitable to relax the heading at the first/last location in finding closed tours, and thus solving the DTSP.

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### Dubins Interval Problem (DIP)

- Dubins Interval Problem (DIP)** is a generalization of Dubins maneuvers to the shortest path connecting two points  $p_i$  and  $p_j$ .
- In the DIP, the leaving interval  $\Theta_i$  at  $p_i$  and the arrival interval  $\Theta_j$  at  $p_j$  are consider (not a single heading value).
- The optimal solution can be found analytically.
  - Manyam et al. (2015)*

$\theta_i^{max}$ ,  $\theta_i^{min}$ ,  $\theta_j^{max}$ ,  $\theta_j^{min}$

- Solution of the DIP is a tight lower bound for the DTP.
- Solution of the DIP is not a feasible solution of the DTP.
- Notice, for  $\Theta_i = \Theta_j = (0, 2\pi)$  the optimal maneuver for DIP is a straight line segment.*

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### Existing Approaches to the DTSP(N)

- Heuristic (decoupled & evolutionary) approaches**
  - Savla et al., 2005
  - Ma and Castanon, 2006
  - Macharet et al., 2011
  - Macharet et al., 2012
  - Ny et al., 2012
  - Yu and Hang, 2012
  - Macharet et al., 2013
  - Zhant et al., 2014
  - Macharet and Campost, 2014
  - Vařa and Faigl, 2015
  - Isaiah and Shima, 2015
- Sampling-based approaches**
  - Obermeyer, 2009
  - Oberlin et al., 2010
  - Macharet et al., 2016
- Convex optimization**
  - (Only if the locations are far enough)
  - Goac et al., 2013
- Lower bound for the DTSP**
  - Dubins Interval Problem (DIP)
  - Manyam et al., 2016
- DIP-based inform sampling**
  - Vařa and Faigl, 2017
- Lower bound for the DTSPN**
  - Using Generalized DIP (GDIP)
  - Vařa and Faigl, 2018, 2020

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### Sampling-based Solution of the DTP

- For a closed sequence of the waypoint locations  $P = (p_1, \dots, p_n)$ .
- We can sample possible heading values at each location  $i$  into a discrete set of  $k$  headings  $\Theta^i = \{\theta_1^i, \dots, \theta_k^i\}$ , and create a graph of all possible Dubins maneuvers.

for all combinations

- For a set of heading samples, the optimal solution can be found by a forward search of the graph in  $O(nk^3)$ .
- The problem is to determine the most suitable heading samples.**

For open sequence we do not need to evaluate all possible initial headings, and the complexity is  $O(nk^2)$ .

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### Lower Bound of the DTP

- For a discrete set of heading intervals  $\mathcal{H} = \{H_1, \dots, H_n\}$ , where  $H_i = \{\Theta_1^i, \Theta_2^i, \dots, \Theta_k^i\}$ , a similar graph as for the DTP can be constructed with the edge cost determined by the solution of the associated DIP.

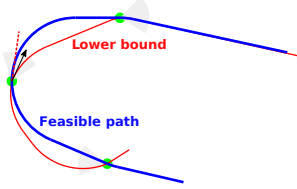
for all combinations

- The forward search of the graph with dense samples provides a **tight lower bound on the optimal solution cost of the DTP**.
- Manyam and Rathinam, 2015*

### Lower Bound and Feasible Solution of the DTP

- The arrival and departure angles may not be the same.

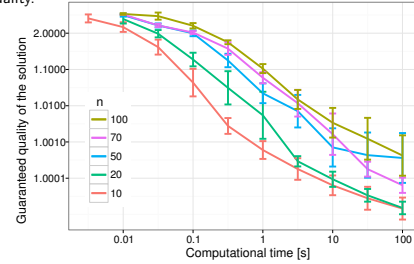
The lower bound solution is not a feasible solution of the DTP.



- DTP solution – use any particular heading of each interval in the lower bound solution.

### The DIP-based Sampling of Headings in the DTP

- Using heading intervals for a sequence of waypoints and a solution of the DIP, we can determine **lower bound** of the DTP using the forward search graph as for the DTP.
- The ratio between the lower bound and feasible solution of the DTP provides an estimation of the solution quality.



Váňa and Faigl (2016)

### Iteratively-Refined Informed Sampling (IRIS) of Headings in the Solution of the DTP

- Iterative refinement of the heading intervals  $\mathcal{H}$  up to the angular resolution  $\epsilon_{req}$ .
- The angular resolution is gradually increased for the most promising intervals.
- refineDTP** – divide the intervals of the lower bound solution.
- solveDTP** – solve the DTP using the heading from the refined intervals.

```

Algorithm 1: Iterative Informed Sampling-based DTP Algorithm
Input: P – Target locations to be visited
Input:  $\epsilon_{req}$  – Requested angular resolution
Input:  $\alpha_{req}$  – Requested quality of the solution
Output: T – A tour visiting the targets
 $\epsilon \leftarrow 2\pi$  // initial angular resolution;
 $\mathcal{H} \leftarrow \text{createIntervals}(P, \epsilon)$  // initial intervals;
 $\mathcal{L}_L \leftarrow 0$  // init lower bound;
 $\mathcal{L}_U \leftarrow \infty$  // init upper bound;
while  $\epsilon > \epsilon_{req}$  and  $\mathcal{L}_U/\mathcal{L}_L > \alpha_{req}$  do
     $\epsilon \leftarrow \epsilon/2$ ;
     $(\mathcal{H}, \mathcal{L}_L) \leftarrow \text{refineDTP}(P, \epsilon, \mathcal{H})$ ;
     $(T, \mathcal{L}_U) \leftarrow \text{solveDTP}(P, \mathcal{H})$ ;
end
return T;
    
```

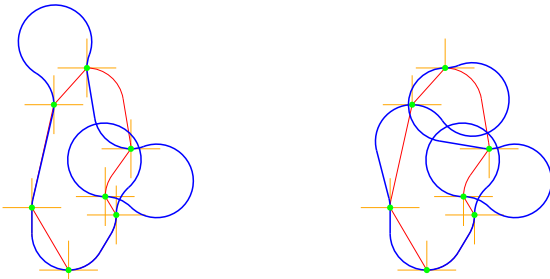
- It simultaneously provides **feasible** and **lower bound** solutions of the DTP.

The lower bound provides a tight estimation of the solution quality.

- The first solution is provided very quickly – **any-time algorithm**.

Faigl, J., Váňa, P., Saska, M., Bácsa, T., and Spurný, V.: On solution of the Dubins touring problem, ECMR, 2017.

### Uniform vs Informed Sampling



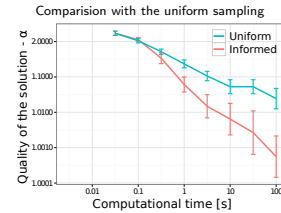
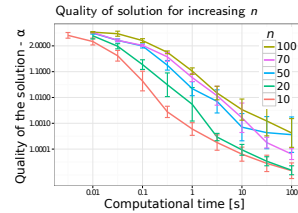
$\epsilon = 2\pi/4, N = 28, T_{CPU} = 8$  ms  
 $\mathcal{L} = 27.9, \mathcal{L}_U = 13.2$

$\epsilon = 2\pi/4, N = 21, T_{CPU} = 8$  ms  
 $\mathcal{L} = 29.9, \mathcal{L}_U = 13.2$

### Results and Comparison with Uniform Sampling

- Random instances of the DTSP with a sequence of visits to the targets determined as a solution of the Euclidean TSP.
- The waypoints placed in a squared bounding box with the side  $s = (\rho\sqrt{n})/d$  for the  $\rho = 1$  and density  $d = 0.5$ .

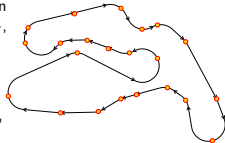
Density of target locations influence the solution!



- The informed sampling-based approach provides solutions up to 0.01% from the optima.
- A solution of the DTP is a fundamental building block for **routing problems with Dubins vehicle**.

### Dubins Traveling Salesman Problem (DTSP)

- Determine a closed shortest Dubins path visiting each location  $p_i \in P$  of the given set of  $n$  locations  $P = \{p_1, \dots, p_n\}$ ,  $p_i \in \mathbb{R}^2$ .
- Permutation  $\Sigma = (\sigma_1, \dots, \sigma_n)$  of visits. *Sequencing part of the problem*
- Headings  $\Theta = \{\theta_{\sigma_1}, \theta_{\sigma_2}, \dots, \theta_{\sigma_n}\}$  for  $p_{\sigma_i} \in P$ . *Continuous optimization*



- DTSP is an optimization problem over all possible **permutations**  $\Sigma$  and **headings**  $\Theta$  in the states  $(q_{\sigma_1}, q_{\sigma_2}, \dots, q_{\sigma_n})$  such that  $q_{\sigma_i} = (p_{\sigma_i}, \theta_{\sigma_i})$

$$\text{minimize}_{\Sigma, \Theta} \sum_{i=1}^{n-1} \mathcal{L}(q_{\sigma_i}, q_{\sigma_{i+1}}) + \mathcal{L}(q_{\sigma_n}, q_{\sigma_1})$$

$$\text{subject to } q_i = (p_i, \theta_i) \quad i = 1, \dots, n.$$

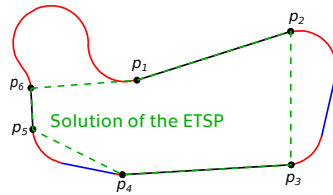
where  $\mathcal{L}(q_{\sigma_i}, q_{\sigma_j})$  is the length of Dubins path between  $q_{\sigma_i}$  and  $q_{\sigma_j}$ .

### Decoupled Solution of the DTSP – Alternating Algorithm

**Alternating Algorithm (AA)** provides a solution of the DTSP for an **even** number of targets  $n$ .

Savla, K., Frazzoli, E., Bullo, F.: On the point-to-point and traveling salesperson problems for Dubins' vehicle, IEEE American Control Conference, 2005.

- Solve the related Euclidean TSP. *Relaxed motion constraints.*
- Establish headings for even edges using straight line segments.
- Determine optimal maneuvers for odd edges using the analytical form for Dubins maneuvers. *Headings are known.*

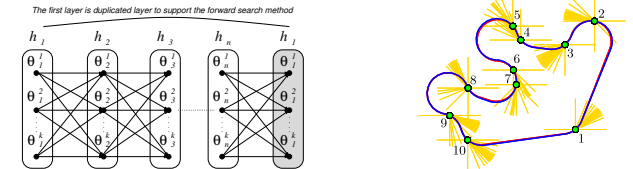


Courtesy of P. Váňa

### DTSP with the Given Sequence of the Visits to the Targets

- If the sequence of the visits  $\Sigma$  to the target locations is given.
- the problem is to determine the optimal heading at each location.
- and the problem becomes the **Dubins Touring Problem (DTP)**.
- Let for each location  $g_i \in G$  sample possible heading to  $k$  values, i.e., for each  $g_i$  the set of headings be  $h_i = \{\theta_1^i, \dots, \theta_k^i\}$ .
- Since  $\Sigma$  is given, we can construct a graph connecting two consecutive locations in the sequence by all possible headings.
- For such a graph and particular headings  $\{h_1, \dots, h_n\}$ , we can find an optimal headings and thus, **the optimal solution of the DTP**.

### DTSP as a Solution of the DTP



- The edge cost corresponds to the length of Dubins maneuver.
- Better solution of the DTP can be found for more samples, which will also improve the DTSP but only for the given sequence.

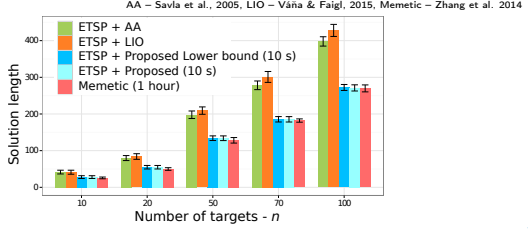
Two questions arise for a practical solution of the DTP.

- How to sample the headings? More samples makes finding solution more demanding. *We need to sample the headings in a "smart" way, i.e., guided sampling using lower bound of the DTP?*
- What is the solution quality? Is there a tight lower bound?

Yes, the lower bound can be computed as a solution of the Dubins Interval Problem (DIP).

### DTP Solver in Solution of the DTSP

- The solution of the DTP can be used to solve DTSP for the given sequence of the waypoints. *E.g., determined as a solution of the Euclidean TSP as in the Alternating Algorithm.*
- Comparison with the Alternating Algorithm (AA), Local Iterative Optimization (LIO), and Memetic algorithm.



### Dubins Traveling Salesman Problem with Neighborhoods

- In surveillance planning, it may be required to visit a set of target regions  $G = \{R_1, \dots, R_n\}$  by Dubins vehicle.
- Then, for each target region  $R_i$ , we have to determine a particular point of the visit  $p_i \in R_i$  and DTSP becomes the **Dubins Traveling Salesman Problem with Neighborhoods (DTSPN)**. *In addition to  $\Sigma$  and headings  $\Theta$ , waypoint locations  $P$  have to be determined.*
- DTSPN is an optimization problem over all permutations  $\Sigma$ , headings  $\Theta = \{\theta_{\sigma_1}, \dots, \theta_{\sigma_n}\}$  and points  $P = (p_{\sigma_1}, \dots, p_{\sigma_n})$  for the states  $(q_{\sigma_1}, \dots, q_{\sigma_n})$  such that  $q_{\sigma_i} = (p_{\sigma_i}, \theta_{\sigma_i})$  and  $p_{\sigma_i} \in R_{\sigma_i}$ :

$$\begin{aligned} & \text{minimize}_{\Sigma, \Theta, P} \sum_{i=1}^{n-1} \mathcal{L}(q_{\sigma_i}, q_{\sigma_{i+1}}) + \mathcal{L}(q_{\sigma_n}, q_{\sigma_1}) \\ & \text{subject to } q_i = (p_i, \theta_i), p_i \in R_i, i = 1, \dots, n. \end{aligned}$$

- $\mathcal{L}(q_{\sigma_i}, q_{\sigma_j})$  is the length of the shortest possible Dubins maneuver connecting the states  $q_{\sigma_i}$  and  $q_{\sigma_j}$ .

### DTSPN – Decoupled with Local Iterative Optimization (LIO)

- Instead of sampling into a discrete set of waypoint locations each with sampled possible headings, we can perform local optimization, e.g., hill-climbing technique.
- At each waypoint location  $p_i$ , the heading can be  $\theta_i \in [0, 2\pi)$ .
- A waypoint location  $p_i$  can be parametrized as a point on the boundary of the respective region  $R_i$ , i.e., as a parameter  $\alpha_i \in [0, 1]$  measuring a normalized distance on the boundary of  $R_i$ .
- The multi-variable optimization is treated independently for each particular variable  $\theta_i$  and  $\alpha_i$  iteratively.

```

Algorithm 2: Local Iterative Optimization (LIO) for the DTSPN
Data: Input sequence of the goal regions
       $G = (R_{\sigma_1}, \dots, R_{\sigma_n})$ , for the permutation  $\Sigma$ 
Result: Waypoints  $(q_{\sigma_1}, \dots, q_{\sigma_n})$ ,  $q_i = (p_i, \theta_i)$ ,  $p_i \in \delta R_i$ 
initialization() // random assignment of  $q_i \in \delta R_i$ ;
while global solution is improving do
  for every  $R_i \in G$  do
     $\theta_i := \text{optimizeHeadingLocally}(\theta_i)$ ;
     $\alpha_i := \text{optimizePositionLocally}(\alpha_i)$ ;
     $q_i := \text{checkLocalMinima}(\alpha_i, \theta_i)$ ;
  end
end
    
```

Vána, P. and Faigl, J.: *On the Dubins Traveling Salesman Problem with Neighborhoods*, IROS, 2015, pp. 4029–4034.

### DTSP – Sampling-based Approach

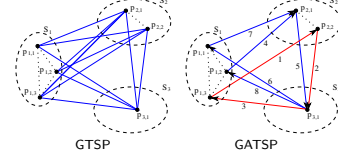
- Sampled heading values can be directly utilized to find the sequence as a solution of the **Generalized Traveling Salesman Problem (GTSP)**.

*Notice For Dubins vehicle, it is the Generalized Asymmetric TSP (GATSP).*

The problem is to determine a shortest tour in a graph that visits all specified subsets of the graph's vertices.

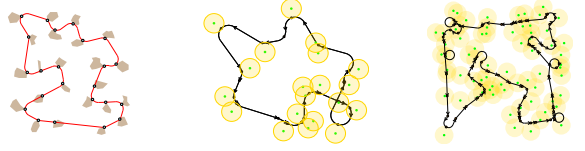
*The TSP is a special case of the GTSP when each subset to be visited consists just a single vertex.*

- GATSP  $\rightarrow$  ATSP; *Noon and Bean (1991)*
- ATSP can be solved by LKH;
- ATSP  $\rightarrow$  TSP, which can be solved optimally, e.g., by Concorde.



### DTSPN – Approches and Examples of Solution

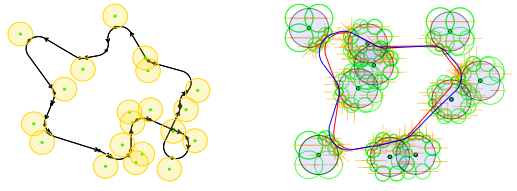
- Decoupled approach** for which a sequence of visits to the regions can be found as the solution of the ETSP(N).
- Sampling-based approach** and formulation as the GATSP.
  - Clusters of sampled waypoint locations each with sampled possible heading values.
- Decoupled solution of the sequence of visits and **sampling waypoint locations and sampling heading angles** for each such location sample.
- Soft-computing** techniques such as memetic algorithms.
- Unsupervised learning** techniques. *Vána and Faigl (IROS 2015), Faigl and Vána (ICANN 2016, IJCNN 2017)*



- Similarly to the lower bound of the DTSP based on the **Dubins Interval Problem (DIP)** a lower bound for the DTSPN can be computed using the **Generalized DIP (GDIP)**.

### Lower Bound for the DTSP with Neighborhoods Generalized Dubins Interval Problem

- In the DTSPN, we need to determine the **headings** and also the **waypoint locations**.
- The **Dubins Interval Problem (DIP)** is not sufficient to provide tight lower bound.

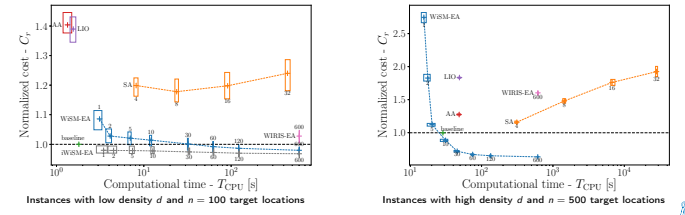


- Generalized Dubins Interval Problem (GDIP)** can be utilized for the DTSPN similarly as the DIP for the DTSP.

Vána and Faigl: *Optimal Solution of the Generalized Dubins Interval Problem*, RSS 2018, best student paper finalist.

### DTSP – Evolutionary Approach with Surrogate Model

- Use standard genetic operators with tournament selection and OX1 crossover method.
- The population is evaluated using learned surrogate model based on multi-layer perceptron.
- The surrogate model estimates solution cost of candidate sequences (instances of the DTP).
- Massive speedup of the evaluation yields improved solutions and scalability.

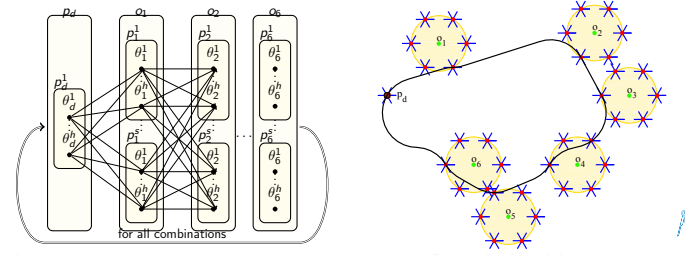


Instances with low density  $d$  and  $n = 100$  target locations. Instances with high density  $d$  and  $n = 500$  target locations.

Drchal, J., Vána, P., and Faigl, J.: *WISM: Windowing Surrogate Model for Evaluation of Curvature-Constrained Tours with Dubins vehicle*, IEEE Transactions on Cybernetics, 52(2):1302–1311, 2022.

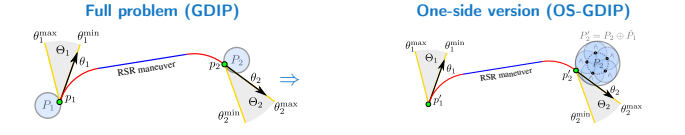
### DTSPN – Decoupled Sampling-based Approach

- Determine a sequence of visits to the  $n$  target regions as the solution of the ETSP.
- Sample possible waypoint locations and for each such a location sample possible heading values, e.g.,  $s$  locations per each region and  $h$  heading per each location.
- Construct a search graph and determine a solution in  $O(nsh^3)$ .
- An example of the search graph for  $n = 6$ ,  $s = 6$ , and  $h = 8$ .



### Generalized Dubins Interval Problem (GDIP)

- Determine the shortest Dubins maneuver connecting  $P_i$  and  $P_j$  given the angle intervals  $\theta_i \in [\theta_i^{min}, \theta_i^{max}]$  and  $\theta_j \in [\theta_j^{min}, \theta_j^{max}]$ .



**Optimal solution** – Closed-form expressions for (1–6) and convex optimization (7).

Problem	Average computational time	Time [μs]	Ratio
1) S type			
2) CS type			
3) C <sub>ψ</sub> type			
4) CSC type			
5) cE <sub>ψ</sub> type			
6) cE <sub>ψ</sub> C type			
7) cC <sub>ψ</sub> type			
Dubins maneuver	0.4	1.0	
DIP	1.1	3.0	
GDIP	5.4	14.5	

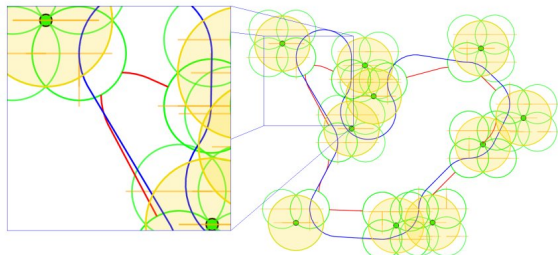
<https://github.com/conroby/gdip>

Vána, P. and Faigl, J.: *Optimal Solution of the Generalized Dubins Interval Problem Finding the Shortest Curvature-constrained Path Through a Set of Regions*, Autonomous Robots, 44(7):1359–1376, 2020.

### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

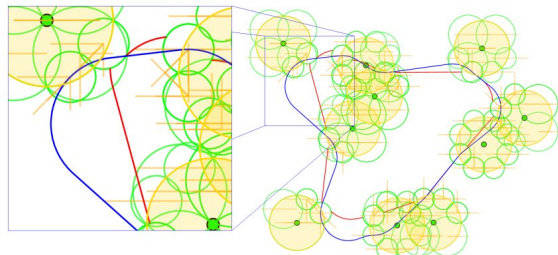
Resolution: 4 Gap: 69.3 % Time: 0.079 s



### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

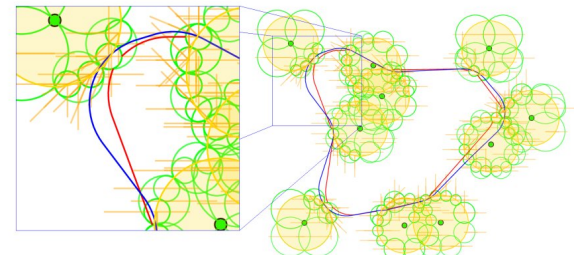
Resolution: 8 Gap: 39.4 % Time: 0.211 s



### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

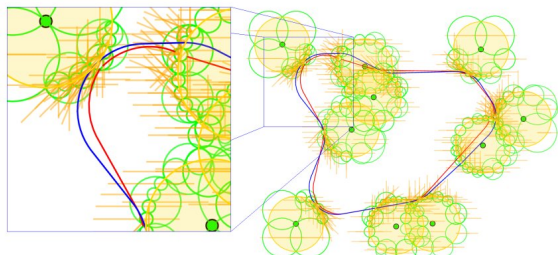
Resolution: 16 Gap: 19.9 % Time: 0.552 s



### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

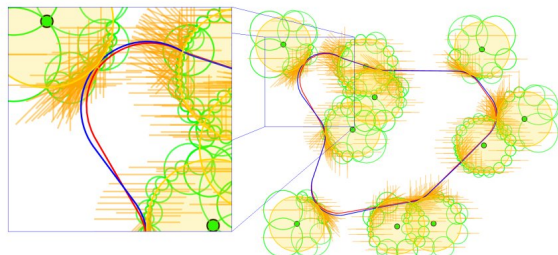
Resolution: 32 Gap: 10.7 % Time: 1.292 s



### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

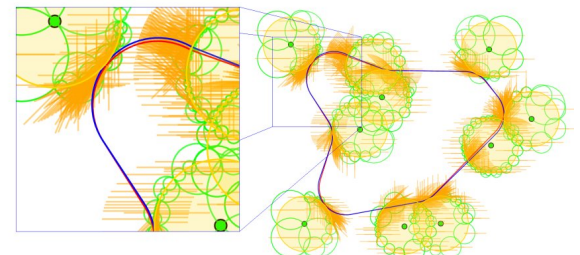
Resolution: 64 Gap: 5.3 % Time: 3.183 s



### GDIP-based Informed Sampling for the DTSPN

- Iterative refinement of the neighborhood samples and heading samples.

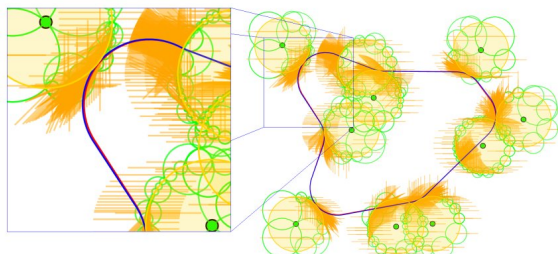
Resolution: 128 Gap: 2.6 % Time: 8.994 s



### GDIP-based Informed Sampling for the DTSPN

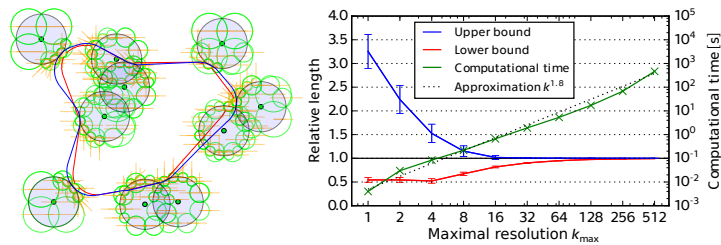
- Iterative refinement of the neighborhood samples and heading samples.

Resolution: 256 Gap: 1.3 % Time: 33.474 s



### DTSPN – Convergence to the Optimal Solution

- For a given sequence of visits to the target regions (locations).

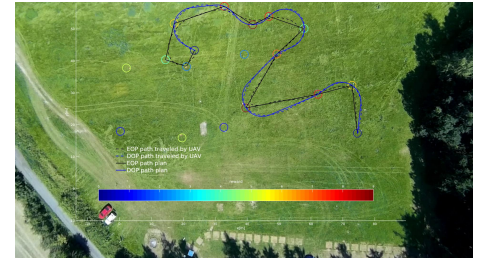


- The algorithm scales linearly with the number of locations.
- Complexity of the algorithm is approximately  $O(nk^{1.8})$ .

<https://github.com/comrob/gdip>

### Data Collection / Surveillance Planning with Travel Budget

- Visit the most important targets because of limited travel budget.
- The problem can be formulated as the **Orienteering Problem** with Dubins vehicle, a.k.a. **Dubins Orienteering Problem (DOP)**. Robert Pěnička, Jan Faigl, Petr Váňa and Martin Saska, RA-L 2017



Dubins Vehicle and Dubins Planning DTP DTSP DTSPN DOP DOPN Planning in 3D

## Dubins Orienteering Problem

- Curvature-constrained data collection path respecting the Dubins vehicle model with the minimal turning radius  $\rho$  and constant forward velocity  $v$ .
- The path is a sequence of waypoints  $q_i \in SE(2)$ ,  $q_i = (s_i, \theta_i)$ ,  $s_i \in \mathbb{R}^2$ ,  $\theta_i \in \mathbb{S}^1$ .
- In addition to  $S_k, k, \Sigma$  (OP) determine headings  $\Theta = (\theta_{\sigma_1}, \dots, \theta_{\sigma_k})$  such that

$$\text{maximize}_{k, S_k, \Sigma} R = \sum_{i=1}^k r_{\sigma_i}$$

$$\text{subject to} \sum_{i=2}^k \mathcal{L}(q_{\sigma_{i-1}}, q_{\sigma_i}) \leq T_{\max},$$

$$q_{\sigma_i} = (s_{\sigma_i}, \theta_{\sigma_i}), s_{\sigma_i} \in S, \theta_{\sigma_i} \in \mathbb{S}^1$$

$$s_{\sigma_1} = s_1, s_{\sigma_k} = s_n$$

The problem combines discrete combinatorial optimization (OP) with the continuous optimization for determining the vehicle headings.

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## Variable Neighborhood Search (VNS)

- Variable Neighborhood Search (VNS)** is a general metaheuristic for combinatorial optimization (routing problems).
- The VNS is based on **shake** and **local search** procedures.
  - Shake** procedure aims to escape from local optima by changing the solution within the neighborhoods  $N_1, \dots, N_{max}$ .
  - Local search** procedure searches fully specific neighborhoods of the solution using  $l_{max}$  predefined operators.

Hansen, P. and Mladenović, N. (2001). *Variable neighborhood search: Principles and applications*. European Journal of Operational Research.

The neighborhoods are particular operators.

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## Variable Neighborhood Search (VNS) for the DOP

- The solution is the first  $k$  locations of the sequence of all target locations satisfying  $T_{\max}$ .
- It is an improving heuristics, i.e., an initial solution has to be provided.
- A set of predefined neighborhoods are explored to find a better solution.
- Shake** – explores the configuration space and escape from a local minima using
  - Insert** – moves one random element;
  - Exchange** – exchanges two random elements.
- Local Search** – optimizes the solution using
  - Path insert** – moves a random sub-sequence;
  - Path exchange** – exchanges two random sub-sequences.
- Randomized VNS** – examines only  $n^2$  changes in the *Local Search* procedure in each iteration.

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## Evolution of the VNS Solution to the DOP

Initial solution

$T_{CPU} = 10.9$  s,  
 $L = 79.6$ ,  $R = 960$

4710th iteration  
(4th improvement)

$T_{CPU} = 144.8$  s,  
 $L = 79.7$ ,  $R = 990$

4790th iteration  
(12th improvement)

$T_{CPU} = 147.3$  s,  
 $L = 79.3$ ,  $R = 1008$

5560th iteration  
(16th improvement)

$T_{CPU} = 170.0$  s,  
 $L = 79.1$ ,  $R = 1050$

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## Possible Solutions of the Dubins Orienteering Problem

- Solve the Euclidean OP (EOP) and then determine Dubins path.
 

The final path may exceed the budget and the vehicle can miss the locations because of motion control.
- Directly solve the **Dubins Orienteering Problem (DOP)** such as
  - Sample possible heading values and use Variable Neighborhood Search (VNS); Penička, R., Faigl, J., Vaňa, P., and Saska, M.: *Dubins Orienteering Problem*, IEEE Robotics and Automation Letters, 2(2):1210–1217, 2017.
  - Unsupervised learning based on Self-Organizing Maps (SOM); Faigl, J.: *Self-organizing map for orienteering problem with dubins vehicle*, Advances in Self-Organizing Maps, Learning Vector Quantization, Clustering and Data Visualization, 2017, pp. 125–132.

The VNS-based approach provides better solutions than the SOM-based solution, but it tends to be more demanding.

Dubins Vehicle and Dubins Planning DTP DTSP DTSPN DOP DOPN Planning in 3D

## Dubins Orienteering Problem with Neighborhoods

- Curvature-constrained path respecting the Dubins vehicle model.
- Each waypoint consists of location  $p \in \mathbb{R}^2$  and the heading  $\theta \in \mathbb{S}^1$ .
- In addition to  $S_k, k, \Sigma$  determine locations  $P_k = (p_{\sigma_1}, \dots, p_{\sigma_k})$  and headings  $\Theta = (\theta_{\sigma_1}, \dots, \theta_{\sigma_k})$  such that

$$\text{maximize}_{k, S_k, \Sigma} R = \sum_{i=1}^k r_{\sigma_i}$$

$$\text{subject to} \sum_{i=2}^k \mathcal{L}(q_{\sigma_{i-1}}, q_{\sigma_i}) \leq T_{\max},$$

$$q_{\sigma_i} = (p_{\sigma_i}, \theta_{\sigma_i}), p_{\sigma_i} \in \mathbb{R}^2, \theta_{\sigma_i} \in \mathbb{S}^1$$

$$\|p_{\sigma_i}, s_{\sigma_j}\| \leq \delta, s_{\sigma_j} \in S_k$$

$$p_{\sigma_1} = s_1, p_{\sigma_k} = s_n$$

We need to solve the continuous optimization for determining the vehicle heading at each waypoint and the waypoint locations  $P_k = \{p_{\sigma_1}, \dots, p_{\sigma_k}\}$ ,  $p_{\sigma_i} \in \mathbb{R}^2$ .

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## Variable Neighborhoods Search (VNS) for the DOPN

```

Algorithm 3: VNS based method for the DOPN
Input : S – Set of the target locations
Input : T_max – Maximal allowed budget
Input : o – Initial number of position waypoints for each target
Input : m – Initial number of heading values for each waypoints
Input : r_i – Local waypoint improvement ratio
Input : l_max – Maximal neighborhood number
Output : P – Found data collecting path
S_i = getReachableLocations(S, T_max)
P ← createInitialPath(S, T_max)
// greedy
while Stopping condition is not met do
  l = 1
  while l ≤ l_max do
    P' ← shake(P, l)
    P'' ← localSearch(P', l, n)
    if L_i(P'') ≤ T_max and
    [(R(P'') > R(P)) or (R(P'') == (P) and
    [L_i(P'') < L_i(P) or L_i(P'') < L_i(P)] then
      P ← P''
      l ← l + 1
    else
      l ← l + 1
  end
end
    
```

The particular  $l$  for the individual operators of the **shake** procedure are:

- Waypoint Shake** ( $l = 1$ );
- Path Move** ( $l = 2$ );
- Path Exchange** ( $l = 3$ ).

The **local search** procedure consists of three operators and the particular  $l$  for the individual operators of the **local search** procedure are:

- Waypoint Improvement** ( $l = 1$ );
- One Point Move** ( $l = 2$ );
- One Point Exchange** ( $l = 3$ ).

Penička, R., Faigl, J., Saska, M., and Vaňa, P.: *Data collection planning with non-zero sensing distance for a budget and curvature constrained unmanned aerial vehicle*, Autonomous Robots, 43(8):1937–1956, 2019.

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## VNS for DOPN – Example of the Shake Operators

### Path Move

### Path Exchange

Dubins Vehicle and Dubins Planning DTP DTSP DTSPN DOP DOPN Planning in 3D

## DOPN – Example of Solution and Practical Deployment

- VNS-based solution of the DOPN.

Penička, R., Faigl, J., Vaňa, P., and Saska, M.: *Dubins Orienteering Problem with Neighborhoods*, International Conference on Unmanned Aircraft Systems (ICUAS), 2017, pp. 1555–1562.

<http://ars.feik.cvut.cz/jint17dopn>

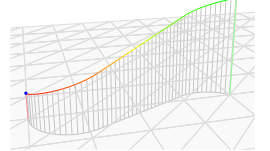
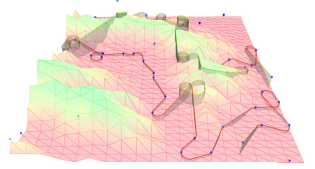
### 3D Data Collection Planning with Dubins Airplane Model

■ **Dubins Airplane model** describes the vehicle state  $q = (\rho, \theta, \psi)$ ,  $\rho \in \mathbb{R}^3$  and  $\theta, \psi \in \mathbb{S}^1$  as

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \\ \dot{\theta} \end{bmatrix} = v \begin{bmatrix} \cos \theta \cdot \cos \psi \\ \sin \theta \cdot \cos \psi \\ u_0 \cdot \rho^{-1} \\ \dot{\theta} \end{bmatrix}$$

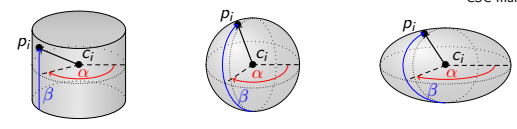
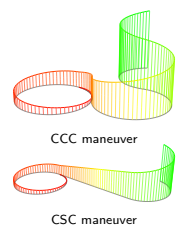
H. Chitsaz and S. M. LaValle: *Time-optimal paths for a Dubins airplane*, IEEE Conference on Decision and Control, 2007, pp. 2379-2384.

- Constant forward velocity  $v$ , the minimal turning radius  $\rho$ , and limited pitch angle, i.e.,  $\psi \in [\psi_{min}, \psi_{max}]$ .
- $u_0$  controls the vehicle heading,  $|u_0| \leq 1$ , and  $v$  is the forward velocity.
- Generation of the 3D trajectory is based on the 2D Dubins maneuver.
- If altitude changes are too high, additional helix segments are inserted.



### The DTSPN in 3D

- Using the same principles as for the DTSPN in 2D, we can generalize the approaches for 3D planning using the Dubins Airplane model instead of simple Dubins vehicle.
- The regions can be generalized to 3D and the problem can be addressed by decoupled or sampling-based approaches, i.e., using GATSP formulation.
- In the case of LIO, we need a parametrization of the possible waypoint location, such as point on the object boundary.

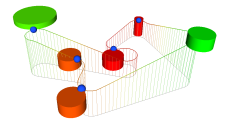
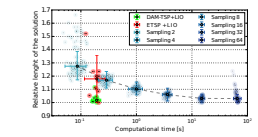


### Solutions of the 3D-DTSPN

```

Algorithm 4: LIO-based Solver for 3D-DTSPN
Data: Regions R
Result: Solution represented by Q and Σ
Σ ← getInitialSequence(R);
Q ← getInitialSolution(R, Σ);
while !terminal condition do
    Q ← optimizeHeadings(Q, R, Σ);
    Q ← optimizeAlpha(Q, R, Σ);
    Q ← optimizeBeta(Q, R, Σ);
end
return Q, Σ;
    
```

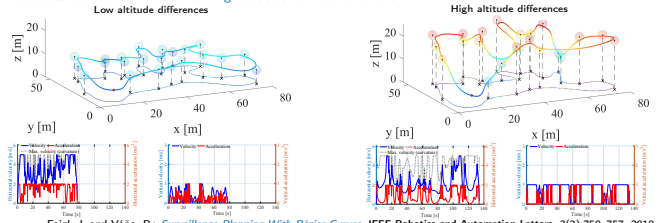
- Solutions based on LIO (ETSP+LIO), TSP with the travel cost according to Dubins Airplane Model (DAM-TSP+LIO), and sampling-based approach with transformation of the GTSP to the ATSP solved by LKH.



Váňa, P., Faigl, J., Sláma, J., and Peňicka, R.: *Data collection planning with Dubins airplane model and limited travel budget* European Conference on Mobile Robots (ECMR), 2017.

### 3D Surveillance Planning

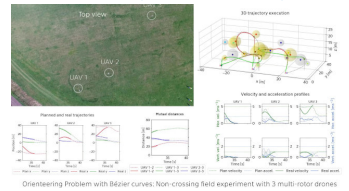
- Parametrization of smooth 3D multi-goal trajectory as a sequence of Bézier curves.
- Unsupervised learning for the TSPN can be generalized for such trajectories.
- During the solution of the sequencing part of the problem, we can determine a velocity profile along the curve and compute the so-called *Travel Time Estimation* (TTE).
- Bézier curves better fit the limits of the multi-rotor UAVs that are limited by the maximal accelerations and velocities rather than minimal turning radius as for Dubins vehicle.



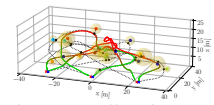
Faigl, J. and Váňa, P.: *Surveillance Planning With Bézier Curves*, IEEE Robotics and Automation Letters, 3(2):750-757, 2018.

### Multi-Vehicle Multi-Goal Planning with Limited Travel Budget – Curvature-Constrained Team Orienteering Problem (with Neighborhoods)

- Operational time of multi-rotor aerial vehicles is limited and only a subset of locations can be visited.
- Planning multi-goal trajectories as a sequence of Bézier curves.



- Targets are missed in a case of colliding trajectories, because of local collision avoidance and optimal trajectory following.
- There is a practical need to include coordination in multi-vehicle multi-goal trajectory planning.



Faigl, J., Váňa, P., and Peňicka, R.: *Multi-Vehicle Close Enough Orienteering Problem with Bézier Curves for Multi-Rotor Aerial Vehicles* ICRA 2019, pp. 3039-3044.

### Summary

- Data collection planning with curvature-constrained paths/trajectories
  - The **Traveling Salesman Problem (TSP)** and **Orienteering Problem (OP)** with Dubins Vehicle, i.e., **DTSP** and **DOP**.
  - It is a combination of the combinatorial and continuous (determining optimal headings) optimization.
  - The continuous part can be solved using **Dubins Touring Problem (DTP)**.
  - Using a solution of the **Dubins Interval Problem (DIP)** we can establish **tight lower bound** of the DTP and DTSP with a particular sequence of visits.
  - The problems can be further extended to **DTSP with Neighborhoods (DTSPN)** and **OP with Neighborhoods (DOPN)**, and its **Close Enough** variants.
- The key ideas of the presented problems and approaches are as follows.
  - Consider proper assumptions that fits the original problem being solved.
    - Suitability of the vehicle model, requirements on the solution quality, and benefit of optimal or computationally demanding solutions.
  - Employing lower bound based on "a bit different problem" such as the **DIP** and **GDIP**, to find high quality solutions, even using decoupled approaches.
  - Challenging problems with continuous optimization can be addressed by decoupled and sampling-based approaches.
    - Be aware that the optimal solutions found for discretized problems, e.g., using ILP or combinatorial solvers, are not optimal solutions of the original (continuous) problem!

### Topics Discussed

- Dubins vehicles and planning – Dubins maneuvers
- **Dubins Interval Problem (DIP)** (Lower bound estimation to the DTP, DTSP)
- **Dubins Touring Problem (DTP)**
- Dubins Traveling Salesman Problem (DTSP) and Dubins Traveling Salesman with Neighborhoods (DTSPN)
  - Decoupled approaches – Alternating Algorithm
  - Sampling-based approaches – GATSP
- **Generalized Dubins Interval Problem (GDIP)** (Lower bound estimation to the DTSPN)
- Dubins Orienteering Problem (OP) and Dubins Orienteering Problem with Neighborhoods (DOPN)
- Data collection and surveillance planning in 3D
- **Next: Sampling-based motion planning**

### Summary of the Lecture