

# Planning for Artificial Intelligence



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Classical Planning and State-space Search

# Classical Planning Representation

(revision)

# STRIPS Planning Task

- A **planning task** in **STRIPS** is a quadruple  $(P,A,I,G)$ , where
  - **P** is a finite set of **atoms** (or facts or propositions)
  - **A** is a finite set of **actions**, where each action  $a \in A$  is a triple  $(\text{pre}(a), \text{del}(a), \text{add}(a))$ , all subsets of  $P$ , where
    - $\text{pre}(a)$  is a **precondition** of  $a$
    - $\text{del}(a)$  is a set of **delete effects** of  $a$
    - $\text{add}(a)$  is a set of **add effects** of  $a$
  - $I \subseteq P$  is an **initial state**
  - $G \subseteq P$  is a **goal**

## STRIPS Planning Task cont.

- **States** are **collections of atoms**, i.e.,  $S \subseteq 2^P$
- An action  $a$  is **applicable** in a state  $s$  iff  $\text{pre}(a) \subseteq s$ 
  - (otherwise  $a$  is **inapplicable** in  $s$ )
- A state  $s'$  is the **result** of application of an applicable action  $a$  in a state  $s$  iff  $s' = (s \setminus \text{del}(a)) \cup \text{add}(a)$

# SAS Planning Task

- A **planning task** in **SAS** is quadruple  $(V, A, I, G)$ , where
  - **V** is a set of **variables**, where each variable  $v \in V$  has its own domain  $\text{dom}(v)$
  - **A** is a set of **actions**, where each action  $a \in A$  is a pair  $(\text{pre}(a), \text{eff}(a))$ , both partial assignments over  $V$ , where
    - $\text{pre}(a)$  is a **precondition** of  $a$
    - $\text{eff}(a)$  stands for **effects** of  $a$
  - **I** is an **initial state** (a complete assignment over  $V$ )
  - **G** is a **goal** (partial assignment over  $V$ )

## SAS Planning Task cont.

- Let  $q[v]$  denote the value of a variable  $v$  in a (partial) assignment  $q$
- **States** are complete assignments over  $V$
- An action  $a$  is **applicable** in a state  $s$  iff  **$\text{pre}(a)[v]=s[v]$**  whenever  $\text{pre}(a)[v]$  is specified
  - (otherwise  $a$  is **inapplicable** in  $s$ )
- A state  $s'$  is the **result** of application of an applicable action  $a$  in a state  $s$  iff  **$s'[v]=\text{eff}(a)[v]$**  whenever  $\text{eff}(a)[v]$  is specified or  **$s'[v]=s[v]$**  otherwise

# Solution Plans

- Let  $\gamma(s,a)=s'$  iff  $s'$  is the result of application of an action  $a$  in a state  $s$  ( $a$  is applicable in  $s$ )
  - $\gamma(s,a)$  is undefined iff  $a$  is inapplicable in  $s$
- Let  $\gamma^*$  be defined recursively
  - $\gamma^*(s,\langle\rangle)=s$
  - $\gamma^*(s,\langle a_1,a_2,\dots,a_n\rangle)=\gamma^*(\gamma(s,a_1),\langle a_2,\dots,a_n\rangle)$
- We say that  $\pi$ , a sequence of actions over  $A$ , is a **solution plan** (or a **plan**) of the planning task iff  $\gamma^*(I,\pi) \models G$  ( $G \subseteq \gamma^*(I,\pi)$  for STRIPS)

# STRIPS vs SAS

- Is SAS more expressive than STRIPS ?



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- Is SAS more expressive than STRIPS ?
  - No, they are equally expressive
- Why ?

# STRIPS vs SAS

- Is SAS more expressive than STRIPS ?
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- Why ?
  - STRIPS  $\rightarrow$  SAS
    - Each atom (proposition) can be converted to a state variable with domain {true,false}
  - SAS  $\rightarrow$  STRIPS
    - Each possible variable assignment can be converted to an atom (proposition)
  - Converting actions from STRIPS to SAS (and vice versa)  $\rightarrow$  To think about at home

# Convention

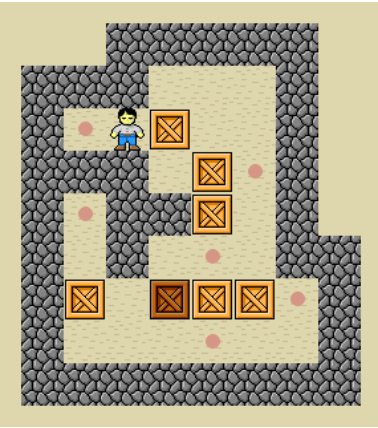
- By an **atom** or a **fact** we mean
  - A **proposition** (STRIPS representation)
  - A **variable assignment** (SAS representation)
- An **action** is in literature often denoted as an **operator**
  - We assume that actions are **well defined** (add and del effects are disjoint)
- By **lifted** representation we mean the one using **free variables**
  - STRIPS or SAS representation is then obtained by **grounding**, i.e., substituting free variables by specific objects
- Some concepts (and algorithms) will be presented in STRIPS while some other concepts (and algorithms) in SAS

# States, Mutexes, Invariants

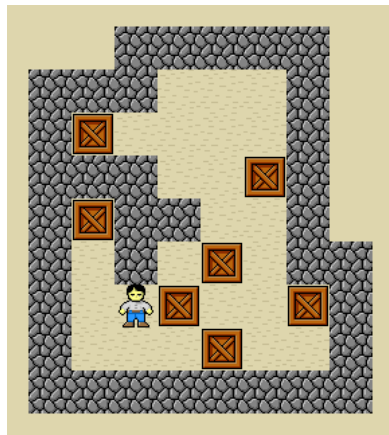
# States

- The set of states  $\mathbf{S}$  is derived from the propositions (STRIPS) or state variables (SAS) of a given planning task
- A state  $s_g \in S$  is a **goal state** iff  $s_g \models G$
- A state  $s' \in S$  is **reachable** from a state  $s \in S$  iff  $\exists \pi \in A^*: \gamma^*(s, \pi) = s'$
- A state  $s' \in S$  is **unreachable** from a state  $s \in S$  iff  $\nexists \pi \in A^*: \gamma^*(s, \pi) = s'$ 
  - By denoting a state (un)reachable without mentioning from which state we mean (un)reachable from the initial state  $I$
- A state  $s \in S$  is a **dead-end state** iff  $\nexists \pi \in A^*: \gamma^*(s, \pi) \models G$

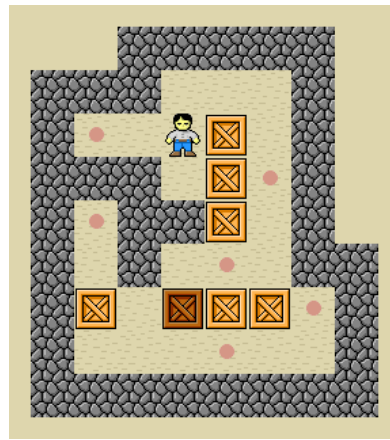
# Sokoban Example



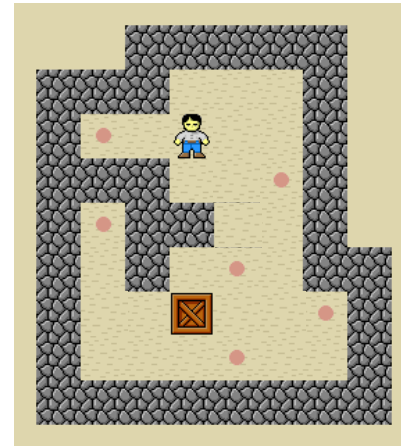
Initial state



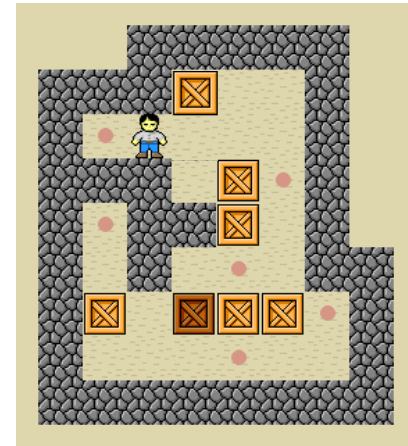
Goal state



Reachable  
state



Unreachable  
state



Dead-end  
state

# State Invariants

- An **invariant** is a **property** of an object which **remains unchanged, after operations** of certain type are applied to the object
- We say that a state  $s$  **has a property**  $p$  iff  $p$  holds in  $s$
- We say that a state  $s$  **has an invariant**  $p$  iff each reachable state  $s'$  from  $s$  has a property  $p$
- We say that a planning task **has an invariant**  $p$  iff the initial state  $I$  has the invariant  $p$

# Mutual Exclusivity (Mutex)

- We say that atoms (or facts)  $p$  and  $q$  are **mutually exclusive** (or **mutex**) in a given planning task iff for each reachable state  $s$ ,  $\{p, q\} \not\subseteq s$
- We say that a set of atoms  $\{p_1, p_2, \dots, p_n\}$  forms a **mutex group** in a given planning task iff for each reachable state  $s$ ,  $|\{p_1, p_2, \dots, p_n\} \cap s| \leq 1$
- We say that a set of atoms  $\{p_1, p_2, \dots, p_n\}$  forms a **facts alternating mutex (FAM) group** in a given planning task iff for each reachable state  $s$ ,  $|\{p_1, p_2, \dots, p_n\} \cap s| = 1$
- Any relation between mutex group and FAM group ?
- Any relation between mutexes and mutex group ?



# Mutexes, Dead-ends and Invariants

- Is a property of being a dead-end state an invariant ?
- Is mutex an invariant ?
- Is a mutex (or FAM) group an invariant ?
  
- Some other examples of invariants (even domain-specific) → To think about at home

# Planning – what we can look for

- 1) Deciding plan (non)existence
- 2) Finding any (satisficing) plan (if it exists)
- 3) Finding an optimal plan (if it exists)

.....

- The tasks are **very different** and techniques addressing them are often **disjoint**

# Optimal plans

- Optimizing for **plan length**: A plan  $\pi$  of some planning task is **optimal** iff for each plan  $\pi'$  of the same planning task it is the case that  $|\pi| \leq |\pi'|$
- **Action cost** is a function  $c:A \rightarrow \mathbb{N}_0$
- Optimizing for **total action cost**: A plan  $\pi$  of some planning task is **optimal** iff for each plan  $\pi'$  of the same planning task it is the case that  $\sum_{a \in \pi} c(a) \leq \sum_{a' \in \pi'} c(a')$

# Complexity

- Deciding plan existence in classical planning is **PSPACE-complete**
  - With plans of polynomial length it is **NP-hard**
- Some classes of planning tasks can be easy (in **P**)
- Sometimes there are differences in complexity for satisficing (any plan) and optimal planning
  - For BlocksWorld, finding **any plan** is in **P** while finding an **optimal plan** is **NP-hard**

# Towards Solving Planning Tasks

# How to address Planning Tasks ?

- **State-space search**
  - The most widespread
- Symbolic search
  - Representing sets of states by Binary Decision Diagrams
- Translate the problem to a different formalism
  - Boolean Satisfiability (SAT)
  - Constraint Satisfaction Problem (CSP)
- Plan-space search
- .....

# State-space search

- Search direction
  - **Progressive** (from the initial to the goal state)
  - Regressive (from the goal to the initial situation)
  - Bidirectional
- Uniformed (blind) vs **informed (heuristic)**
- Systematic vs Local
- Additional knowledge (e.g. symmetry pruning, invariants etc.)

# Progressive search

$s := I$

$\pi := \diamond$

**while**  $s \neq G$  **do**

    non-deterministically select  $a \in A$  s.t.  $a$  is applicable in  $s$

**if** no such  $a$  exists **then return** no solution

$s := \gamma(s, a)$

$\pi := \pi.a$

**return**  $\pi$



# Regressive search (in STRIPS)

$s := G$

$\pi := \langle \rangle$

**while**  $|s| \neq \emptyset$  **do**

    non-deterministically select  $a \in A$  s.t.  $s \cap \text{add}(a) \neq \emptyset$  and  $s \cap \text{del}(a) = \emptyset$

**if** no such  $a$  exists **then return** no solution

$s := (s \setminus \text{del}(a)) \cup \text{add}(a)$

$\pi := a.\pi$

**return**  $\pi$

# Progressive search

$s := I$

$\pi := \diamond$

**while**  $s \neq G$  **do**

**non-deterministically select**  $a \in A$  s.t.  $a$  is applicable in  $s$

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$\pi := \pi.a$

**return**  $\pi$

# Uninformed (blind) search

- **Depth-first search**
  - Successor nodes are pushed into a **stack**
  - Memory efficient
  - Does not guarantee optimality
- **Breadth-first search**
  - Successor nodes are pushed into a (priority) **queue**
  - Memory consuming
  - Guarantees optimality
- Iterative deepening

# Heuristic Function

- Let  $S$  be a set of states for a given planning task  $\Pi$ . A **heuristic function** (or **heuristics**) for  $\Pi$  is a function  $h:S \rightarrow \mathbb{N}_0 \cup \{\infty\}$
- The value  $h(s)$  **estimates** distance from  $s$  to the nearest goal state
- $h(s)$  is called **heuristic estimate** or **heuristic value** for  $s$
- A **perfect** (or optimal) **heuristic**, denoted as  $h^*$ , maps each state to the length (or cost) of the optimal plan to the nearest goal state
  - If  $h^*(s)=\infty$  then no goal state is reachable from  $s$  ( $s$  is a dead-end state)

# Properties of Heuristic Function

- Heuristic function  $h$  for  $\Pi$  (over  $S$ ) is
  - **safe** if for each  $s \in S$  s.t.  $h(s) = \infty$  it holds that  $s$  is a dead-end state ( $h^*(s) = \infty$ )
  - **goal aware** if  $h(s_G) = 0$  for each goal state  $s_G$
  - **admissible** if for each  $s \in S$  it holds that  $h(s) \leq h^*(s)$
  - **consistent** if goal aware and for each  $s, s' \in S$  s.t.  $s'$  is a successor of  $s$  it holds that  $h(s) \leq h(s') + \text{cost}(s, s')$
- Relationships ?

# Practical remarks

- Heuristic function should be **safe** and **goal aware**
- Heuristic function has to be **admissible** for **optimal planning**
- **Informativeness** of heuristic function
  - shape of its landscape (e.g. monotonic, local minima)
- **Complexity/hardness** of computation of heuristic values
- Complexity of **implementation** of heuristic function
- Often “it works well in practice” (for some classes of domains) is the only analysis we do have

# Terminology

- A **search node** is a pair  $n=(s,\pi_s)$ , where  $s$  is a state and  $\pi_s$  is a sequence of actions from  $I$  to  $s$
- A  $n'=(s',\pi_{s'})$  is a **successor node** of a node  $n=(s,\pi_s)$  iff there is an action  $a$  s.t.  $s'=\gamma(s,a)$  and  $\pi_{s'}=\pi_s.a$
- A **search-space** is composed from search nodes and edges, where a (directed) edge from  $n$  to  $n'$  exists only if  $n'$  is a successor node of  $n$
- The **g value** of a node  $n=(s,\pi_s)$ , denoted as  **$g(n)$**  is the length (or cost) of  $\pi_s$
- The **f value** of a node  $n=(s,\pi_s)$  is  **$f(n)=g(n)+h(s)$**

# Greedy Best-First Search (GBFS)

```
open:=new priority_queue() //ordered by the h-value
```

```
closed:= $\emptyset$ 
```

```
open.push((I, $\langle \rangle$ ))
```

```
while !open.empty() do
```

```
    n:=open.pop()
```

```
    if n.state() $\notin$ closed then
```

```
        closed:=closed $\cup$ {n.state()}
```

```
        if n.state()  $\models$  G then return n.plan()
```

```
        foreach n' being a successor of n do
```

```
            if h(n'.state()) $\neq \infty$  then open.push(n')
```

```
return no solution
```



# Properties of GBFS

- Widely used for satisficing planning
- **complete** if  $h$  is safe (with duplicate detection)
- **suboptimal** (even if  $h$  is admissible)

# A\*

open:=new priority\_queue() //ordered by the f-value

closed:= $\emptyset$

dist:= $\emptyset$

open.push((I,⟨⟩))

**while** !open.empty() **do**

    n:=open.pop()

**if** n.state() $\notin$ closed or  $g(n) < dist(n.state())$  **then**

        closed:=closed $\cup$ {n.state()}

        dist(n.state()):= $g(n)$

**if** n.state() = G **then return** n.plan()

**foreach** n' being a successor of n **do**

**if** h(n'.state()) $\neq \infty$  **then** open.push(n')

**return** no solution

# Properties of A\*

- Often used for optimal planning and rarely for satisficing planning
- **complete** if  $h$  is safe
- **optimal** if  $h$  is admissible
- Does not reopen nodes if  $h$  is consistent

# Weighted A\*

- The **f** value is modified to  **$f(n)=g(n)+W*h(s)$** , where the **weight**  $W \geq 0$
- With  $W =$ 
  - 0 – we get breadth-first search
  - 1 – we get A\*
  - $\infty$  – we get GBFS
- Commonly used for satisficing planning
- If  $h$  is admissible and  $W > 1$ , then plans are **bounded suboptimal** by at most the factor  $W$

# Local Search

- Local search techniques are more **memory efficient** than systematic search ones
- **Hill Climbing**
  - only the current state is kept in memory
  - in each step, **the successor node with minimum h value** is selected and the **h value must be lower than for the current state**
  - can be easily stuck in local minima
- **Enforced Hill Climbing**
  - performs **Breadth-First Search** to find a node with lower h value than the current state
  - can get stuck in dead-end states

# Bidirectional Search

- Combines progressive and regressive search
- Uninformed bidirectional search might consists of two interleaving BFS (from I and from G)
- Heuristic bidirectional search
  - **Front-to-back**
    - heuristic values are computed to the goal, or to the initial state (depending on direction)
  - **Front-to-front**
    - heuristic values are computed to the best node in the open list of the opposite search