# ► Constructing A Suitable Image Similarity

• let  $p_i=(l,r)$  and  ${\bf L}(l),\,{\bf R}(r)$  be (left, right) image descriptors (vectors) constructed from local image neighborhood windows

in matching table T:

- a natural descriptor similarity is  $\ \sin(l,r) = \frac{\|\mathbf{L}(l) - \mathbf{R}(r)\|^2}{\sigma_I^2(l,r)}$ 

•  $\sigma_I^2$  – the difference <u>scale</u>; a suitable (plug-in) estimate is  $\frac{1}{2} \left[ s^2 (\mathbf{L}(l)) + s^2 (\mathbf{R}(r)) \right]$ , giving

$$\sin(l,r) = 1 - \underbrace{\frac{2s(\mathbf{L}(l), \mathbf{R}(r))}{s^2(\mathbf{L}(l)) + s^2(\mathbf{R}(r))}}_{\rho(\mathbf{L}(l), \mathbf{R}(r))} \qquad s^2(\cdot) \text{ is sample (co-)variance}$$
(30)

•  $\rho$  – MNCC – Moravec's Normalized Cross-Correlation

$$ho^2 \in [0,1], \qquad \mathrm{sign}\, 
ho \sim `\mathsf{phase}$$

3D Computer Vision: VII. Stereovision (p. 163/208) つへや

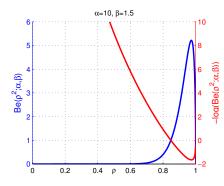
[Moravec 1977]

# cont'd

• we choose some probability distribution on [0,1], e.g. Beta distribution

$$p_1(sim(l,r)) = \frac{1}{B(\alpha,\beta)} \rho^{2(\alpha-1)} (1-\rho^2)^{\beta-1}$$

• note that uniform distribution is obtained for  $\alpha = \beta = 1$ 

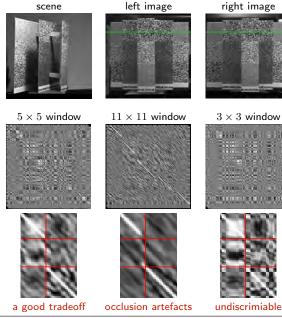


• the mode is at 
$$\sqrt{rac{lpha-1}{lpha+eta-2}}pprox 0.9733$$
 for  $lpha=10$ ,  $eta=1.5$ 

- if we chose  $\beta=1$  then the mode was at  $\rho=1$
- perfect similarity is 'suspicious' (depends on expected camera noise level)
- from now on we will work with

$$V_1(\operatorname{sim}(l,r)) = -\log p_1(\operatorname{sim}(l,r))$$
(31)

# How A Scene Looks in The Filled-In Similarity Table



3D Computer Vision: VII. Stereovision (p. 165/208) つへや

- MNCC  $\rho$  used  $(\alpha = 1.5, \beta = 1)$ 
  - high-correlation structures correspond to scene objects

#### constant disparity

- a diagonal in correlation table
- zero disparity is the main diagonal

#### depth discontinuity

• horizontal or vertical jump in correlation table

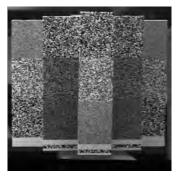
#### large image window

- better correlation
- worse occlusion localization see next

#### repeated texture

 horizontal and vertical block repetition

### Note: Errors at Occlusion Boundaries for Large Windows





NCC, Disparity Error

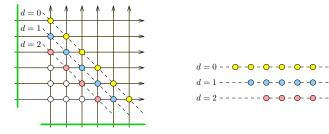
- this used really large window of  $25 imes 25 \, {
  m px}$
- errors depend on the relative contrast across the occlusion boundary
- the direction of 'overlow' depends on the combination of texture contrast and edge contrast
- solutions:
  - 1. small windows (5  $\times$  5 typically suffices)
  - 2. eg. 'guided filtering' methods for computing image similarity [Hosni 2011]

# Marroquin's Winner Take All (WTA) Matching Algorithm

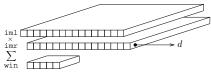
1. per left-image pixel: find the most similar right-image pixel

 $SAD(l, r) = \|\mathbf{L}(l) - \mathbf{R}(r)\|_1$   $L_1$  norm instead of the  $L_2$  norm in (30); unnormalized

2. represent the dissimilarity table diagonals in a compact form



3. use the 'image sliding aggregation algorithm'



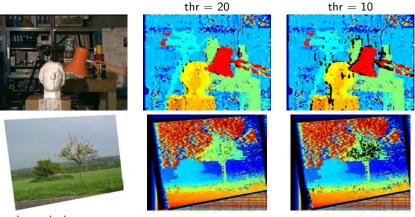
4. threshold results by maximal allowed dissimilarity

### The Matlab Code for WTA

```
function dmap = marroquin(iml.imr.disparitvRange)
       iml, imr - rectified gray-scale images
%
% disparityRange - non-negative disparity range
% (c) Radim Sara (sara@cmp.felk.cvut.cz) FEE CTU Prague, 10 Dec 12
 thr = 20;
                       % bad match rejection threshold
 r = 2:
 winsize = 2*r+[1 \ 1]; % 5x5 window (neighborhood)
 % the size of each local patch; it is N=(2r+1)^2 except for boundary pixels
 N = boxing(ones(size(iml)), winsize);
 % computing dissimilarity per pixel (unscaled SAD)
 for d = 0:disparityRange
                                                 % cycle over all disparities
  slice = abs(imr(:,1:end-d) - iml(:,d+1:end)); % pixelwise dissimilarity
  V(:.d+1:end.d+1) = boxing(slice, winsize)./N: % window aggregation
 end
 % collect winners, threshold, and output disparity map
 [cmap,dmap] = min(V,[],3);
 dmap(cmap > thr) = NaN: % mask-out high dissimilarity pixels
end
function c = boxing(im, wsz)
 % if the mex is not found, run this slow version:
 c = conv2(ones(1,wsz(1)), ones(wsz(2),1), im, 'same');
end
```

3D Computer Vision: VII. Stereovision (p. 168/208) のみや

# WTA: Some Results



- results are bad
- false matches in textureless image regions and on repetitive structures (book shelf)
- a more restrictive threshold (thr=10) does not work as expected
- we searched the true disparity range, results get worse if the range is set wider
- chief failure reasons:
  - unnormalized image dissimilarity does not work well
  - no occlusion model

### ► Negative Log-Likelihood of Observed Images

- given matching M what is the likelihood of observed data D?
- we need the ability 'not to match'
- matches are pairs  $p_i = (l_i, r_i)$ ,  $i = 1, \dots, n$
- we will mask-out some matches by a binary label  $\lambda \in \{\mathrm{e,\,m}\}$  excluded, matched
- labeled matching is a set

$$M = \left\{ \left( p_1, \lambda(p_1) \right), \left( p_2, \lambda(p_2) \right), \dots, \left( p_n, \lambda(p_n) \right) \right\}$$

 $p_i$  are matching table pairs; there are no more than n in the table T

The negative log-likelihood is then

the likelihood of data D given labeled matching M

$$V(D \mid M) = \sum_{p_i \in M} V(D(p_i) \mid \lambda(p_i))$$

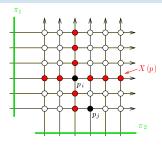
Our choice:

$$V(D(p_i) \mid \lambda(p_i) = e) = V_e$$
$$V(D(p_i) \mid \lambda(p_i) = m) = V_1(D(l, r))$$

penalty for unexplained data,  $V_{\rm e} \geq 0$ probability of match  $p_i = (l,r)$  from (31)

• the  $V(D(p_i) \mid \lambda(p_i) = e)$  could also be a non-uniform distribution but the extra effort does not pay off

# Maximum Likelihood (ML) Matching



**Uniqueness constraint:** Each point in the left image matches at most once and vice versa.

A node set of  ${\cal T}$  that follows the uniqueness constraint is called  $\underline{\rm matching}$  in graph theory

A set of pairs  $M = \{p_i\}_{i=1}^n$ ,  $p_i \in T$  is a matching iff  $\forall p_i, p_j \in M, i \neq j : p_j \notin X(p_i).$ 

The X(p) is called the X-zone of p and it defines dependencies

• ML matching will observe the uniqueness constraint only

epipolar lines are independent wrt uniqueness constraint

 $M^* = \operatorname*{arg\,min}_{M \in \mathcal{M}} \sum_{p \in \mathcal{M}} V(D(p) \mid \lambda(p)) = \operatorname*{arg\,min}_{M \in \mathcal{M}} \Big( - \big| M \big|_{\mathrm{e}} \cdot V_{\mathrm{e}}$ 

• we can solve the problem per image lines *i* independently:

 $\circledast$  H4; 2pt: How many are there: (1) binary partitionings of T, (2) maximal matchings in T; prove the results.

$$+ \sum_{p \in M: \ \lambda(p) = m} V(D(p) \mid \lambda(p) = m) \Big)$$

unexplained pixels

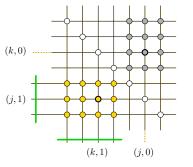
matching likelihood proper

 $\mathcal{M}$  – set of all perfect labeled matchings,  $|M|_e$  – number of pairs with  $\lambda = e$  in M,  $|M|_e \leq n$  perfect = every table row (column) contains exactly 1 match

the total number of individual terms in the sum is n (which is fixed)

## ▶ 'Programming' The ML Matching Algorithm

- we restrict ourselves to a single (rectified) image line and reduce the problem to <u>min-cost</u> perfect matching
- extend every matching table pair  $p \in T$ , p = (j, k) to 4 combinations  $((j, s_j), (k, s_k))$ ,  $s_j \in \{0, 1\}$  and  $s_k \in \{0, 1\}$  selects/rejects <u>pixels</u> for matching unlike  $\lambda$  selecting matches
- binary label  $m_{jk} = 1$  then means that  $(j, s_j)$  matches  $(k, s_k)$



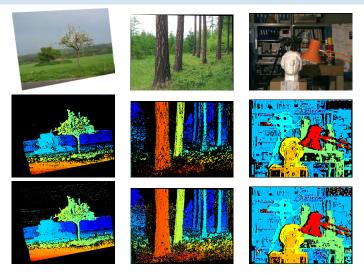
- each (j,1) either matches some (k,1) or it 'matches' (j,0)
- each (k, 1) either matches some (j, 1) or (k, 0)
- if M is maximal in the yellow quadrant then there will be n auxiliary 'matches' in the gray quadrant
- otherwise every empty line in the yellow quadrant induces an empty column in the quadrant, the cost is  $2 \cdot \frac{1}{2} V_e = V_e$
- our problem becomes minimum-cost perfect matching in an (m+n) imes(m+n) table

$$M^+ = \arg \min_M \sum_{j,k} V_{jk} \cdot \mathbf{m}_{jk}, \quad \sum_k \mathbf{m}_{jk} = 1 \text{ for every } j, \quad \sum_j \mathbf{m}_{jk} = 1 \text{ for every } k$$

we collect our matches M\* in the yellow quadrant

3D Computer Vision: VII. Stereovision (p. 172/208) つへや

### Some Results for the ML Matching



- unlike the WTA we can efficiently control the density/accuracy tradeoff
- middle row:  $V_{
  m e}$  set to error rate of 3% (and 61% density is achieved) holes are black
- bottom row:  $V_{\rm e}$  set to density of 76% (and 4.3% error rate is achieved)

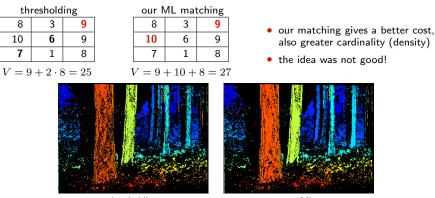
3D Computer Vision: VII. Stereovision (p. 173/208) つへや

# Some Notes on ML Matching

- an algorithm for maximum weighted bipartite matching can be used as well, with  $V\mapsto -V$
- maximum weighted bipartite matching = maximum weighted assignment problem

Idea?: This looks simpler: Run matching with  $V_{\rm e} = 0$  and then threshold the result to remove bad matches.

Ex:  $V_{\rm e} = 8$ 



thresholding

our ML

by eg. Hungarian Algorithm

# A Stronger Model Needed

- notice many small isolated errors in the ML matching
- we need a continuity model
- does human stereopsis teach us something?

#### Potential models for ${\boldsymbol M}$

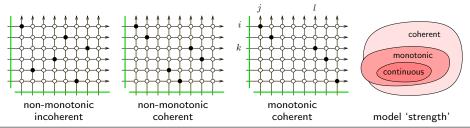
1. Monotonicity (ie. ordering preserved):

For all  $(i, j) \in M, (k, l) \in M, k > i \Rightarrow l > j$ 

Notation:  $(i, j) \in M$  or j = M(i) – left-image pixel i matches right-image pixel j.

2. Coherence [Prazdny 85]

"the world is made of objects each occupying a well defined 3D volume"



3D Computer Vision: VII. Stereovision (p. 175/208) つへや

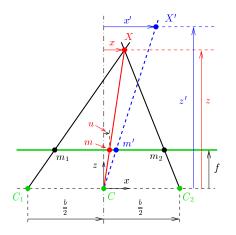
R. Šára, CMP; rev. 18–Dec–2012 Im

# ► An Auxiliary Construct: Cyclopean Camera

 ${\sf Cyclopean}\ {\sf coordinate}\ u$ 

from the psychophysiology of vision [Julesz 1971]

new: 
$$u = f \frac{x}{z}$$
, known:  $d = f \frac{b}{z}$ ,  $x = \frac{b}{d} \frac{u_1 + u_2}{2} \Rightarrow u = \frac{u_1 + u_2}{2}$ 



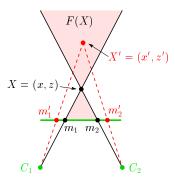
Disparity gradient [Pollard, Mayhew, Frisby 1985]

$$DG = \frac{|d - d'|}{|u - u'|} = \frac{\left| bf\left(\frac{1}{z} - \frac{1}{z'}\right) \right|}{\left| f\left(\frac{x}{z} - \frac{x'}{z'}\right) \right|} = b \frac{|z' - z|}{|xz' - x'z|}$$

 human stereovision fails to perceive a continuous surface when disparity gradient exceeds a limit

# ► Forbidden Zone and The Ordering Constraint

Forbidden zone F(X): DG > k with boundary  $b(z' - z) = \pm k(xz' - x'z)$ 



• boundary: a pair of lines in the x - z plane

a degenerate conic

- point x = x', z = z' lies on the boundary
- coincides with optical rays for k=2
- small k means wide F

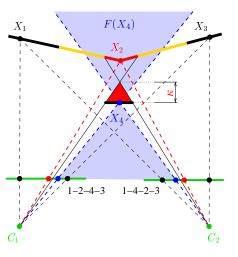




- disparity gradient limit is exceeded when  $X' \in F(X)$
- symmetry:  $X' \in F(X) \Leftrightarrow X \in F(X')$
- Obs: X' and X swap their order in the other image when  $X' \in F(X)$
- real scenes often preserve ordering
- thin and close objects violate ordering

k=2

### Ordering and Critical Distance $\kappa$



- object (thick):
  - black binocularly visible
  - yellow half-occluded
  - red ordering violated wrt foreground
- solid red zone of depth κ:
  - spatial points visible in neither camera
  - bounded by the foreground object

Ordering is violated iff both  $X_i$ ,  $X_j$  s.t.  $X_i \in F(X_j)$  are visible in both cameras.

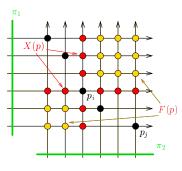
eg.  $X_2$ ,  $X_4$ 

 ordering is preserved in scenes where critical distances κ are not exceeded, ie. when 'the red background hides in the solid red zone'

Thinner objects and/or wider baseline require flatter scenes to preserve ordering.

# **The** *X*-zone and the *F*-zone in Matching Table T

· these are necessary and sufficient conditions for uniqueness and monotonicity



$$p_j \notin X(p_i), \quad p_j \notin F(p_i)$$

#### • Uniqueness Constraint:

A set of pairs  $M = \{p_i\}_{i=1}^N$ ,  $p_i \in T$  is a matching iff  $\forall p_i, p_j \in M, i \neq j : p_j \notin X(p_i).$ 

• Ordering Constraint:

Matching M is monotonic iff  $\forall p_i, p_j \in M : p_j \notin F(p_i).$ 

- ordering constraint: matched points form a monotonic set in both images
- ordering is a powerful constraint: monotonic matchings  $O(4^N) \ll O(N!)$  all matchings in  $N \times N$  table

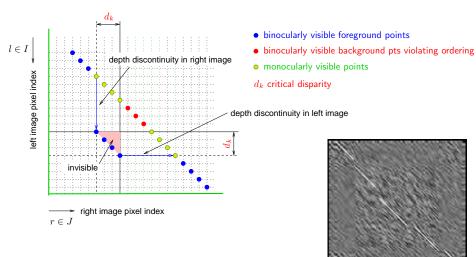
❀ 2: how many are there maximal monotonic matchings?

- uniqueness constraint is a basic occlusion model
- ordering constraint is a weak continuity model

and partly also an occlusion model

# ► Understanding Matching Table

• this is essentially the picture from Slide 178



### Bayesian Decision Task for Matching

**Idea:** L(d, M) – decision cost (loss) d – our decision (matching) M – true correspondences

**Choice:** 
$$L(d, M) : \begin{cases} \text{if } d = M & \text{then } L(d, M) = 0 \\ \text{if } d \neq M & \text{then } L(d, M) = 1 \end{cases}$$
 i.e.  $L(d, M) = [d \neq M]$ 

**Bayesian Loss** 

$$L(d \mid D) = \sum_{M \in \mathcal{M}} p(M \mid D) L(d, M)$$

$$L(d,M) = [d \neq M]$$

 $\mathcal{M}$  – the set of all matchings  $D = \{I_L, I_R\}$  – data

### Solution for the best decision d $d^* = \arg\min_d \sum_{M \in \mathcal{M}} p(M \mid D) \left(1 - [d = M]\right) = \arg\min_d \left(1 - \sum_{M \in \mathcal{M}} p(M \mid D)[d = M]\right) = \sum_{M \in \mathcal{M}} p(M \mid D) \left(1 - [d = M]\right)$ $= \arg \max_{d} \sum_{M \in \mathcal{M}} p(M \mid D) \left[ d = M \right] = \arg \max_{M} p(M \mid D) =$ d?M $= \arg\min_{M} (-\log p(M \mid D)) \stackrel{\text{def}}{=} \arg\min_{M} V(M \mid D) = \arg\min_{M \in \mathcal{M}} \left( \underbrace{V(D \mid M)}_{H \in \mathcal{M}} + \underbrace{V(M)}_{H \in \mathcal{M}} \right)$ likelihoor prior

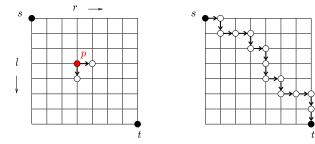
- this is Maximum Aposteriori Probability (MAP) estimate
  - other loss functions result in different solutions
  - our choice of L(d, M) looks oversimple but it results in algorithmically tractable problems

3D Computer Vision; VII. Stereovision (p. 181/208) JAG.

# **Constructing The Prior Model Term** V(M)

- the prior V(M) should capture
- $M^* = \arg \min_{M \in \mathcal{M}} \left( V(D \mid M) + V(M) \right)$

- uniqueness
   ordering
- 3 coherence
- we need a suitable representation to encode V(M)
  - Every p = (l, r) of the  $|I| \times |J|$  matching table T (except for the last row and column) receives two succesors (l + 1, r) and (l, r + 1)



- this gives an acyclic directed graph  ${\cal G}$  optimal paths in acyclic graphs are an easier problem
- the set of s-t paths starting in s and ending in t will represent the set of matchings
- all such s-t paths have equal length  $n = \left|I\right| + \left|J\right| 1$

all prospective matchings will have the same number of terms in  $V(D \mid M)$  and in V(M)

# Endowing s-t Paths with Useful Properties

• introduce node labels  $\Lambda = \{m, e_L, e_R\}$ 

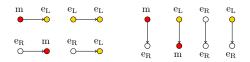
matched, left-excluded, right-excluded

s

-2

numbers are disparities

s-t path neighbors are allowed only some label combinations:

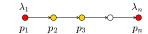


#### Observations

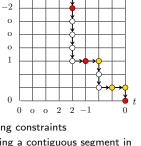
- no two neighbors have label m
- in each labeled s-t path there is at most one transition:
  - 1.  $m \rightarrow e_L$  or  $e_R \rightarrow m$  per matching table row,
  - 2.  $\mathrm{m} \rightarrow \mathrm{e_R}$  or  $\mathrm{e_L} \rightarrow \mathrm{m}$  per matching table column
- pairs labeled  ${
  m m}$  on every s-t path satisfy uniqueness and ordering constraints
- transitions  $e_L \rightarrow e_R$  or  $e_R \rightarrow e_L$  along an s-t path allow skipping a contiguous segment in either or in both images this models half occlusion and mutual occlusion
- disparity change is the number of edges  $\overset{e_L}{\bullet} \overset{e_L}{\to}$  or  $\overset{e_R}{\circ} \overset{e_R}{\to} \overset{e_R}{\circ}$
- a given monotonic matching can be traversed by one or more s-t paths

Labeled s-t paths

$$P = ((p_1, \lambda_1), (p_2, \lambda_2), \dots, (p_n, \lambda_n))$$



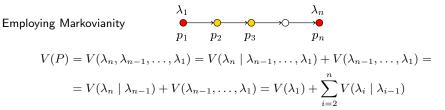
3D Computer Vision: VII. Stereovision (p. 183/208) つへや



### The Structure of The Prior Model V(P) Gives a MC Recognition Problem

### ideas:

- we choose energy of path P dependent on its labeling only
- we choose additive penalty per transition  $e_L \to e_L, \, e_R \to e_R$ , and  $e_L \to e_R, \, e_R \to e_L$
- no penalty for  $m \to e_L, \ m \to e_R$



The matching problem is then a decision over labeled s-t paths  $P \in \mathcal{P}$ :

$$P^{*} = \arg\min_{P \in \mathcal{P}} \left\{ V_{p_{1}}(D \mid \lambda_{1}) + V(\lambda_{1}) + \sum_{i=2}^{n} \left[ V_{p_{i}}(D \mid \lambda_{i}) + V(\lambda_{i} \mid \lambda_{i-1}) \right] \right\}$$
(32)

- the data likelihood term  $V_{p_i}(D \mid \lambda_i)$  is the same as in (31) on Slide 164
- note that one can add/subtract a fixed term from any of the functions V<sub>p</sub>, V in (32)

A Choice of  $V(\lambda_i \mid \lambda_{i-1})$ 

• A natural requirement: symmetry of probability  $p(\lambda_i, \lambda_{i-1}) = e^{-V(\lambda_i, \lambda_{i-1})}$ 

$p(\lambda_i, \lambda_{i-1})$		$\lambda_i$		
		m	$e_{L}$	$e_{\rm R}$
$\lambda_{i-1}$	m	0	p(m, e)	p(m, e)
	$e_{\rm L}$	p(m, e)	p(e, e)	$p(e_{\rm L}, e_{\rm R})$
	$e_{\rm R}$	p(m, e)	$p(\mathrm{e_L},\mathrm{e_R})$	p(e, e)

 $\begin{array}{l} \textbf{3 DOF, 1 constraint} \Rightarrow \textbf{2 parameters} \\ \alpha_1 = \frac{p(\mathbf{e}_{\mathrm{L}},\mathbf{e}_{\mathrm{R}})}{p(\mathbf{e},\mathbf{e})} \qquad 0 \leq \alpha_1 \leq 1 \\ \alpha_2 = \frac{p(\mathbf{m},\mathbf{e})}{p(\mathbf{e},\mathbf{e})} \qquad 0 < \alpha_2 \leq 1 + \alpha_1 \end{array}$ 

• **Result** for  $V(\lambda_i \mid \lambda_{i-1})$  (after subtracting common terms):

$V(\lambda_i \mid \lambda_{i-1})$		$\lambda_i$			
		m	$e_{L}$	$e_{\rm R}$	
	m	$\infty$	0	0	
$\lambda_{i-1}$	$e_{\rm L}$	$\ln \frac{1+\alpha_1+\alpha_2}{2\alpha_2}$	$\ln \frac{1+\alpha_1+\alpha_2}{2}$	$\ln \tfrac{1+\alpha_1+\alpha_2}{2\alpha_1}$	
	$e_{\rm R}$	$\ln \frac{1+\alpha_1+\alpha_2}{2\alpha_2}$	$\ln \frac{1+\alpha_1+\alpha_2}{2\alpha_1}$	$\ln \frac{1+\alpha_1+\alpha_2}{2}$	

by marginalization:

$$V(\mathbf{m}) = \ln \frac{1 + \alpha_1 + \alpha_2}{2 \alpha_2}$$
$$V(\mathbf{e}_{\mathrm{L}}) = V(\mathbf{e}_{\mathrm{R}}) = 0$$

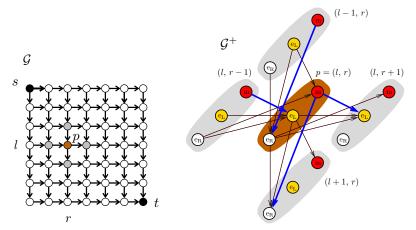
#### parameters

- $\alpha_1$  likelihood of mutual occlusion ( $\alpha_1 = 0$  forbids mutual occlusion)
- $\alpha_2$  likelihood of irregularity ( $\alpha_2 \rightarrow 0$  helps suppress small objects and holes)
- $\alpha$ ,  $\beta$  similarity model parameters (see  $V_1(D(l,r))$  on Slide 164)
- $V_{e}$  penalty for disregarded data (see  $V(D(p_{i}) | \lambda(p_{i}) = e)$  on Slide 170)

3D Computer Vision: VII. Stereovision (p. 185/208) つへや

# 'Programming' the Matching Algorithm: 3LDP

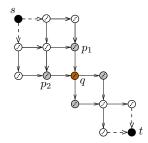
- given  $\mathcal{G}$ , construct directed graph  $\mathcal{G}^+$
- triple of vertices per node of s-t path representing three hypotheses  $\lambda(p)$  for  $\lambda \in \Lambda$
- arcs have costs  $V(\lambda_i \mid \lambda_{i-1})$ , nodes have costs  $V(D \mid \lambda_i)$
- orientation of  $\mathcal{G}^+$  is inherited from the orientation of s-t paths
- we converted the shortest labeled-path problem to ordinary shortest path problem



neighborhood of p; strong blue edges are of zero penalty

# cont'd: Dynamic Programming on $\mathcal{G}^+$

- $\mathcal{G}^+$  is a topologically ordered directed graph
- we can use dynamic programming on  $\mathcal{G}^+$



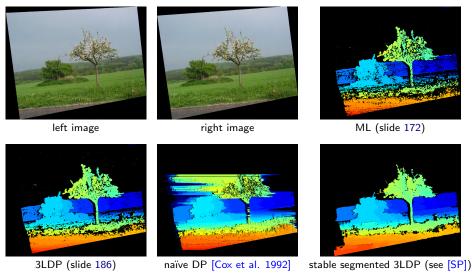
$$\begin{split} V^*_{s:q}(\lambda_q) &= \min_{z \in \{p_1, p_2\}, \lambda_z \in \Lambda} \Big\{ V^*_{s:z}(\lambda_z) + V_z(D \mid \lambda_z) + V(\lambda_q \mid \lambda_z) \Big\} \\ &\quad V^*_{s:q}(\lambda_q) - \text{cost of min-path from } s \text{ to label } \lambda_q \text{ at node } q \end{split}$$

- complexity is  $O(|I| \cdot |J|)$ , ie. stereo matching on  $N \times N$  images needs  $O(N^3)$  time
- speedup by limiting the range in which the disparities d = l r are allowed to vary

### Implementation of 3LDP in a few lines of code...

```
#define clamp(x, mi, ma) ((x) < (mi) ? (mi) : ((x) > (ma) ? (ma) : (x)))
#define MAXi(tab,j) clamp((j)+(tab).drange[1], (tab).beg[0], (tab).end[0])
#define MINi(tab,j) clamp((j)+(tab).drange[0], (tab).beg[0], (tab).end[0])
#define ARG_MIN2(Ca, La, CO, LO, C1, L1) if ((CO) < (C1)) { Ca = CO; La = L0; } else { Ca = C1; La = L1; }
#define ARG_MIN3(Ca, La, CO, LO, C1, L1, C2, L2) \
if ( (C0) <= MIN(C1, C2) ) { Ca = C0; La = L0; } else if ( (C1) < MIN(C0, C2) ) { Ca = C1; La = L1; } else { Ca = C2; La = L2; }
 void DP3LForward(MatchingTableT tab) {
                                                                      void DP3LReverse(double *D. MatchingTableT tab) {
                                                                       int i,j; labelT La; double Ca;
  int i = tab.beg[0]; int i = tab.beg[1];
  C_m[j][i-1] = C_m[j-1][i] = MAXDOUBLE;
                                                                       for(i=0: i<nl: i++) D[i] = nan: /* not-a-number */</pre>
  C \circ L[i][i-1] = C \circ R[i-1][i] = 0.0:
  C \circ L[i-1][i] = C \circ R[i][i-1] = -penaltv[0];
                                                                       i = tab.end[0]; i = tab.end[1];
                                                                       ARG_MIN3(Ca, La, C_m[j][i], lbl_m,
  for(i = tab.beg[1]; i \le tab.end[1]; i++)
                                                                                C oL[i][i], 1b1 oL, C oR[i][i], 1b1 oR):
   for(i = MINi(tab,i); i <= MAXi(tab,i); i++) {</pre>
                                                                       while (i >= tab.beg[0] && j >= tab.beg[1] && La > 0)
     ARG_MIN2(C_m[j][i], P_m[j][i],
                                                                        switch (La) {
              C oR[i-1][i] + penalty[2], 1b1 oR.
                                                                         case lbl m: D[i] = i-i:
              C oL[i][i-1] + penaltv[2]. lbl oL):
                                                                          switch (La = P m[i][i]) {
     C m[i][i] += 1.0 - tab.MNCC[i][i];
                                                                         case lbl oL: i--: break:
                                                                          case lbl_oR: j--; break;
     ARG_MIN3(C_oL[j][i], P_oL[j][i], C_m[j-1][i], lbl_m,
                                                                          default: Error(...);
              C_oL[j-1][i] + penalty[0], lbl_oL,
                                                                          } break:
              C_oR[j-1][i] + penalty[1], lbl_oR);
     C_oL[j][i] \neq penalty[3];
                                                                         case lbl_oL: La = P_oL[j][i]; j--; break;
                                                                         case lbl_oR: La = P_oR[j][i]; i--; break;
     ARG_MIN3(C_oR[j][i], P_oR[j][i], C_m[j][i-1], lbl_m,
                                                                         default: Error(...);
              C_oR[j][i-1] + penalty[0], lbl_oR,
                                                                        3
              C_oL[j][i-1] + penalty[1], lbl_oL);
                                                                      3
     C_oR[j][i] \neq penalty[3];
  }
 3
```

### Some Results: AppleTree



• 3LDP parameters  $lpha_i$ ,  $V_{
m e}$  learned on Middlebury stereo data http://vision.middlebury.edu/stereo/

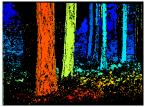
### Some Results: Larch



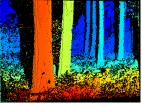
left image



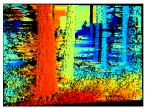
right image



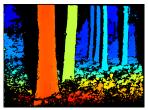
ML (slide 172)



3LDP (slide 186)



naïve DP



stable segmented 3LDP

- naïve DP does not model mutual occlusion
- but even 3LDP has errors in mutually occluded region
- stable segmented 3LDP has few errors in mutually occluded region since it uses a weak form of 'image understanding'

3D Computer Vision: VII. Stereovision (p. 190/208) つへや

### Winner-Take-All (WTA)

- the ur-algorithm [Marroquin 83]
- dense disparity map
- O(N<sup>3</sup>) algorithm, simple but it rarely works

no model

### Maximum Likelihood (ML)

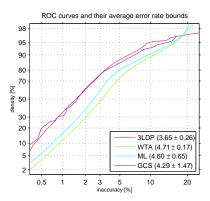
- semi-dense disparity map
- many small isolated errors
- models basic occlusion
- $O(N^3 \log(NV))$  algorithm  $\mbox{max-flow}$  by cost scaling

### MAP with Min-Cost Labeled Path (3LDP)

- semi-dense disparity map
- models occlusion in flat, piecewise continuos scenes
- has 'illusions' if ordering does not hold
- $O(N^3)$  algorithm

### Stable Segmented 3LDP

- better (fewer errors at any given density)
- $O(N^3 \log N)$  algorithm
- requires image segmentation itself a difficult task



- ROC-like curve captures the density/accuracy tradeoff
- GCS is the one used in the exercises
- more algorithms at http://vision.middlebury.edu/ stereo/ (good luck!)

# Part VIII

# Shape from Reflectance

- 8 Reflectance Models (Microscopic Phenomena)
- 9 Photometric Stereo
- Image Events Linked to Shape (Macroscopic Phenomena)

#### mostly covered by

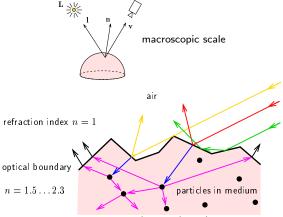
Forsyth, David A. and Ponce, Jean. *Computer Vision: A Modern Approach*. Prentice Hall 2003. Chap. 5

#### additional references

- R. T. Frankot and R. Chellappa. A method for enforcing integrability in shape from shading algorithms. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 10(4):439–451, July 1988.
- P. N. Belhumeur, D. J. Kriegman, and A. L. Yuille. The bas-relief ambiguity. In *Proc Conf Computer Vision and Pattern Recognition*, pp. 1060–1066, 1997.

# ► Basic Surface Reflectance Mechanisms





microscopic scale

- reflection on (rough) optical boundary
- masking and shadowing
- interreflection

- refraction into the body
- subsurface scattering
- refraction into the air

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### ► Parametric Reflectance Models

Image intensity (measurement) at pixel m

given by surface reflectance function  $\boldsymbol{R}$ 

$$J(m) = \eta f_{i,r}(\theta_i, \phi_i; \theta_r, \phi_r) \cdot \underbrace{\frac{\Phi_e}{4\pi \|\mathbf{L} - \mathbf{x}\|^2}}_{\sigma} \mathbf{n}^\top \mathbf{l} = R(\mathbf{n}), \qquad \mathbf{l} = \frac{\mathbf{L} - \mathbf{x}}{\|\mathbf{L} - \mathbf{x}\|}$$

 $\begin{array}{ll} \eta & - \mbox{ sensor sensitivity } & \mbox{ for simplicity, we select } \eta & = 2\pi \\ f_{i,r}() & - \mbox{ bidirectional reflectance distribution function (BRDF)} \\ & [f_{i,r}()] & = \mbox{ sr}^{-1} \mbox{ how much of irradiance in } Wm^{-2} \mbox{ is redistributed per solid angle element } \\ \mathbf{L} & - \mbox{ point light source position} \\ \Phi_e & - \mbox{ radiant power of the light source, } [\Phi_e] & = W \\ & \mathbf{n} & - \mbox{ surface normal } \end{array}$ 

 $\sigma$  – irradiance of a surfel orthogonal to incident light direction

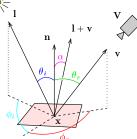
Isotropic (Lambertian) reflection

[Lambert 1760]

no optical boundary

$$f_{i,r}(\theta_i, \phi_i; \theta_r, \phi_r) = \frac{\rho}{2\pi}, \qquad \rho - \text{albedo}$$
$$J(m) = \sigma \rho \cos \theta_i = \sigma \rho \, \mathbf{n}^\top \mathbf{l}$$

3D Computer Vision: VIII. Shape from Reflectance (p. 194/208) 299



pixel projected onto surface

### ► Photometric Stereo

Lambertian model (light  $j \in \{1, 2, 3\}$ , pixel  $i \in \{1, \ldots, n\}$ )

$$J_{ji} = (\sigma_j \mathbf{l}_j)^\top (\rho_i \mathbf{n}_i) = \mathbf{s}_j^\top \mathbf{b}_i$$

 $\mathbf{b}_i$  - scaled normals,  $\mathbf{s}_j$  - scaled lights

3 independent scaled lights and n scaled normals, one per pixel (in n pixels); can be stacked in matrices:

$$\begin{bmatrix} J_{11} & J_{12} \\ J_{21} & J_{22} \\ J_{31} & J_{32} \end{bmatrix} = \begin{bmatrix} \mathbf{s}_1^\top \mathbf{b}_1 & \mathbf{s}_1^\top \mathbf{b}_2 \\ \mathbf{s}_2^\top \mathbf{b}_1 & \mathbf{s}_2^\top \mathbf{b}_2 \\ \mathbf{s}_3^\top \mathbf{b}_1 & \mathbf{s}_3^\top \mathbf{b}_2 \end{bmatrix} = \begin{bmatrix} \mathbf{s}_1^\top \\ \mathbf{s}_2^\top \\ \mathbf{s}_3^\top \end{bmatrix} \begin{bmatrix} \mathbf{b}_1 & \mathbf{b}_2 \end{bmatrix}$$

n=2 pixels, 3 lights

in general, stacked per columns:

 $\mathbf{S} = [\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3] \in \mathbb{R}^{3,3} \qquad \mathbf{B} = [\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n] \in \mathbb{R}^{3,n}$ 

#### **Solution to Photometric Stereo**

$$\mathbf{J} = \mathbf{S}^{\top} \mathbf{B} \quad \Rightarrow \quad \mathbf{B} = \mathbf{S}^{-\top} \mathbf{J} \qquad \mathbf{J} \in \mathbb{R}^{3,n}$$
$$\rho_i = \|\mathbf{b}_i\| \quad \underline{\text{albedo map}}, \qquad \mathbf{n}_i = \frac{1}{\rho_i} \mathbf{b}_i \quad \underline{\text{needle map}}$$

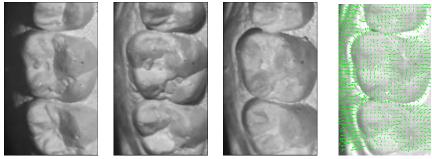
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pixel indexing *i*:

1	2	3	4
5	6	7	8
9	10	11	12

### Photometric Stereo: Plaster Cast Example



input images (known lights) needle & albedo maps We have: 1. shape (surface normals), 2. intrinsic texture (albedo)

The shape can be represented as unit normal vectors n or as a gradient field (p,q):

$$\mathbf{n}(u,v) = \left(n_1(u,v), n_2(u,v), n_3(u,v)\right),$$
$$\frac{\partial z(u,v)}{\partial u} \stackrel{\text{def}}{=} z_u(u,v) = p(u,v) = \pm \frac{n_1(u,v)}{2n_3(u,v)^2 - 1},$$
$$\frac{\partial z(u,v)}{\partial v} \stackrel{\text{def}}{=} z_v(u,v) = q(u,v) = \pm \frac{n_2(u,v)}{2n_3(u,v)^2 - 1}$$

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### The Integration Algorithm of Frankot and Chellappa (FC)

**Task:** Given gradient fields p(u, v), q(u, v), find height function z(u, v) such that  $z_u$  is close to p and  $z_v$  is close to q in the sense of a functional norm.

$$z^* = \arg\min_{z} Q(z), \qquad Q(z) = \iint |z_u(u,v) - p(u,v)|^2 + |z_v(u,v) - q(u,v)|^2 \, du \, dv$$

In the Fourier domain this can be written as  $\mathcal{F}(z;\boldsymbol{\omega}) = \frac{1}{2\pi} \iint z(u,v)e^{-j(u\omega_u + v\omega_v)} du dv$  $Q(z) = \iint \underbrace{|j\omega_u \mathcal{F}(z;\boldsymbol{\omega}) - \mathcal{F}(p;\boldsymbol{\omega})|^2 + |j\omega_v \mathcal{F}(z;\boldsymbol{\omega}) - \mathcal{F}(q;\boldsymbol{\omega})|^2}_{A(\mathcal{F}(z;\boldsymbol{\omega}))} d\boldsymbol{\omega}, \qquad \boldsymbol{\omega} = (\omega_u, \omega_v)$ 

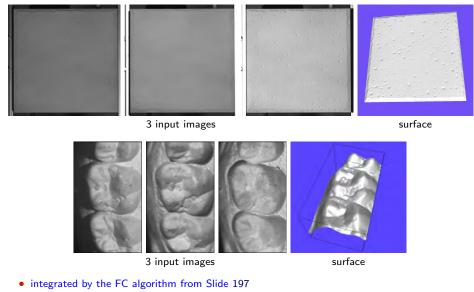
and its minimiser is

from vanishing formal derivative of  $A(\mathcal{F}(z; \omega))$  wrt  $\mathcal{F}(z; \omega)$ [Frankot & Chellappa 1988]

$$\mathcal{F}(z;\boldsymbol{\omega}) = -\frac{j\omega_u}{|\boldsymbol{\omega}|^2} \, \mathcal{F}(p;\boldsymbol{\omega}) - \frac{j\omega_v}{|\boldsymbol{\omega}|^2} \, \mathcal{F}(q;\boldsymbol{\omega})$$

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### Photometric Stereo: Examples



• bias due to interreflections can be removed

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[Drew & Funt, JOSA-A 1992]

# ► Integrability of a Vector Field

- not every vector field p(u, v), q(u, v) is integrable (born by a surface z(u, v))
- integrability constraint

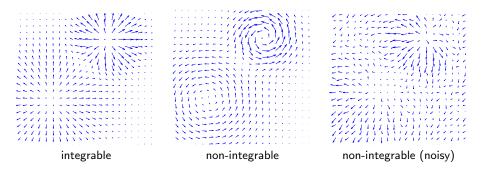
$$p_v(u,v) = q_u(u,v)$$

• this is because a regular surface has  $\operatorname{rot} \nabla z(u, v) = 0$ 

$$z_{uv}(u,v) = z_{vu}(u,v)$$

irrotational gradient field

- noise causes non-integrability
- the FC algorithm finds the closest integrable surface



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#### **Optimal Light Configurations**

For *n* lights S the error  $\Delta \mathbf{b} = \mathbf{S}^{-\top} \Delta \mathbf{J}$  in normal b due to error  $\Delta \mathbf{J}$  in image is

$$\epsilon(\mathbf{S}) = E\left[\Delta \mathbf{b}^{\top} \Delta \mathbf{b}\right] = E\left[\Delta \mathbf{J}^{\top} (\mathbf{S}^{\top} \mathbf{S})^{-1} \Delta \mathbf{J}\right] = \sigma^2 \operatorname{tr}\left[(\mathbf{S} \mathbf{S}^{\top})^{-1}\right] \ge \frac{9\sigma^2}{n}$$

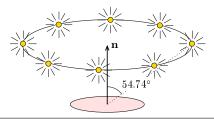
assuming pixel-independent normal camera noise  $\Delta J_i \sim N(0,\sigma)$ 

The error  $\epsilon$  is minimum if

[Drbohlav & Chantler 2005]

 $\mathbf{S}\mathbf{S}^{ op} = rac{n}{3}\mathbf{I}, \qquad ext{where} \quad \mathbf{S} = [\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_n]$ 

- either  $n\geq 3$  equidistant and equiradiant lights on a circle of uniform slant of  $\arctan\sqrt{2}\approx 54.74^\circ$
- n-1 lights in this configuration plus a light parallel to the sum  $\sum_{i=1}^{n-1} \mathbf{s}_i$
- or light matrix S is a concatenation of optimal solutions (each of  $\geq 3$  lights) eg. 3 optimally placed ( $s_1, s_2, s_3$ ) + 3 lights ( $s_4, s_5, s_6$ ) = ( $s_1, s_2, s_3$ ) +  $\alpha$  rotated by angle  $\alpha$  around n



# Uncalibrated Photometric Stereo

	[Hayakawa94]
LS solution by SVD decomposition of $\mathbf{J} = \mathbf{U} \mathbf{D} \mathbf{V}^ op$	
scaled p	seudo-lights
scaled pse	udo-normals $V_{1:3}$ are columns 1–3
$\mathbf{S}^{\top}\mathbf{A}^{-1} \underbrace{\mathbf{A}\mathbf{B}}_{\mathbf{A}},$	$\mathbf{A} \in GL(3)$ [Koenderink94]
$\mathbf{\bar{S}}^{ op}$ $\mathbf{\bar{B}}$	
ambiguity	
$\lambda \mathbf{I}$	(identity $3 \times 3 \text{ mtx}$ ) $\bar{\mathbf{B}} = \mathbf{AB} \Rightarrow \mathbf{A}$ B is measured
$\lambda \mathbf{R}$	(orthogonal $3 \times 3$ mtx) 6 points: [Drew92] $\ \mathbf{A}\mathbf{b}_i\  = 1 \Rightarrow \mathbf{b}_i^\top \mathbf{A}^\top \mathbf{A}\mathbf{b}_i = 1 \Rightarrow \mathbf{A}^\top \mathbf{A} \Rightarrow \mathbf{A}$ up to rot. (Choleski)
$\lambda \mathbf{R}$	$\ \mathbf{s}_{j}\mathbf{A}^{-1}\  = 1 \Rightarrow \mathbf{A}$ up to rot. [Hayakawa94]
$ \left[ \begin{array}{ccc} \lambda & 0 & \mu \\ 0 & \lambda & \nu \\ 0 & 0 & \tau \end{array} \right] $	generalized bas-relief ambiguity [Yuille99, Fan97, Belhumeur99]
$\lambda \mathbf{I}$	
$\lambda \mathbf{I}$	[Drbohlav & Chantler, ICCV 2005]
	scaled p scaled pset $\overline{\mathbf{S}^{\top} \mathbf{A}^{-1}}$ $\underline{\mathbf{AB}}$ , $\overline{\mathbf{S}^{\top}}$ $\overline{\mathbf{B}}$ , ambiguity $\lambda \mathbf{I}$ $\lambda \mathbf{R}$ $\lambda \mathbf{R}$ $\lambda \mathbf{R}$ $\lambda \mathbf{R}$ $\lambda \mathbf{R}$ $\lambda \mathbf{I}$ $\lambda \mathbf{I}$ $\lambda \mathbf{I}$ $\lambda \mathbf{I}$ $\lambda \mathbf{I}$ $\lambda \mathbf{I}$

3D Computer Vision: VIII. Shape from Reflectance (p. 201/208) のへで

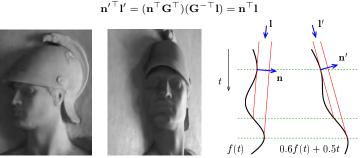
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# ► Generalized Bas Relief Ambiguity (GBR)

GBR maps surface  $z'(u, v) = \lambda z(u, v) + \mu u + \nu v$ , i.e. it maps normals to  $\mathbf{n}' = \mathbf{Gn}$ , where

$$\mathbf{G} = \begin{bmatrix} \lambda & 0 & -\mu \\ 0 & \lambda & -\nu \\ 0 & 0 & 1 \end{bmatrix}$$

**Obs:** If normals change n' = Gn and lights change  $l' = G^{-\top} l$  then Lambertian shading does not change:



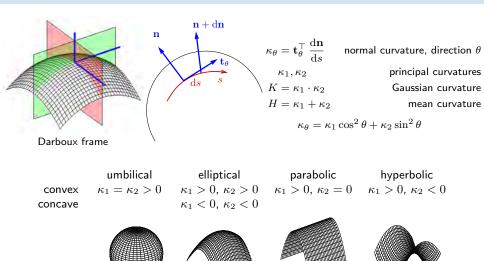
Reproduced from [Belhumeur et al. 1997]

**Obs:** Shadow boundaries of surface S illuminated by light l are identical to those of surface S' transformed by GBR G and illuminated by light  $l' = G^{-\top}l$ 

weak assumptions [Belhumeur et al. 1997]

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### ►A Quick Glance at the Classical Differential Geometry of Surfaces



the transition elliptic  $\rightarrow$  parabolic  $\rightarrow$  hyperbolic occurs at parabolic lines

non-umbilical surface like a torus

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# Occluding Contour Structure

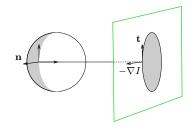


smooth self-occlusion contour (back) not smooth contour (mane)

 surface curves are tangent to smooth self-occlusion contour



 isophotes are surface curves ⇒ their density approaches infinity on smooth self-occlusion contour

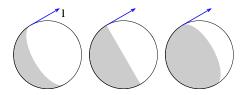


- $\mathbf{n} = \mathbf{Q}^{\top} \underline{\mathbf{t}} \quad \text{optical plane normal} \\ K = \kappa_s \, \kappa_t \quad \rightarrow \quad \operatorname{sign}(K) = \operatorname{sign}(\kappa_t)$
- $\kappa_s > 0-{\rm curvature}$  in the direction of sight  $\kappa_t$  occluding contour curvature

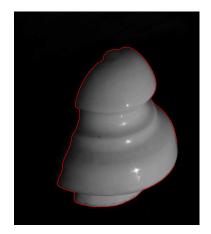
 $\mathbf{x}_{st} = 0$  since  $\mathbf{x}_s \simeq \mathbf{v}$  [Koenderink 84]

• this is a basis for <u>shape from occluding contour</u>

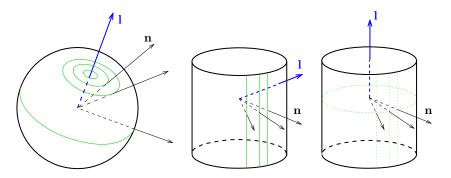
### Self-Shadow Contour Structure



 loci where occluding and self-shadow meet: the projection of light direction vector to image plane is tangent to the contour there



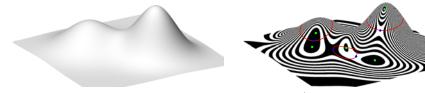
#### Isophotes on Simple Lambertian Surfaces



Surface is parameterized by:  $\sigma$  – slant,  $\tau$  – tilt, where  $\mathbf{n}^{\top}\mathbf{l} = \cos \sigma$ 

- isophotes green
- apex where  $\mathbf{n} \simeq \mathbf{l}$
- isophotes parallel to rulings on developable surfaces
- illuminant on cylinder axis: constant reflectance cylindrical part illumination w/o shading
- in general: isophotes are parallel to zero-curvature principal direction

#### Isophotes on a Complex Surface



shaded Lambertian surface

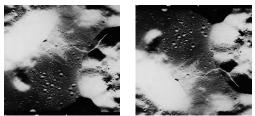
isophotes w/ approximate parabolic curves

#### singular image points

- Lambertian <u>apex</u>: move with light, n = l (T1)
- extrema and saddles on parabolic lines: move along parabolic lines (T2)
- planar points: do not move (not shown)
- specular points: move with light and/or viewer but slower (not shown)

[Koenderink & van Doorn 1980]

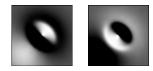
#### The Crater Illusion Ambiguity in Local Shading and The Human Vision Preference



Apollo 17 landing site (Taurus-Littrow); courtesy of NASA

Shading at Lambertian apex:

$$\begin{split} K^2 &= \det \left(\mathbf{H}\mathbf{G}^{-1}\right) \\ 2H^2 - K &= -\frac{1}{2}\operatorname{tr}\left(\mathbf{H}\mathbf{G}^{-1}\right) \\ \mathbf{H} &= \begin{bmatrix} I_{uu} & I_{uv} \\ I_{uv} & I_{vv} \end{bmatrix} & \text{image Hessian} \\ \mathbf{G} &= \begin{bmatrix} 1+l_1^2 & l_1l_2 \\ l_1l_2 & 1+l_2^2 \end{bmatrix} & \text{from light dir. } \mathbf{l} = (l_1, l_2, l_3) \end{split}$$





bottom: crater-like surface top: surface illuminated from lower-left and top-right

Apex: Up to 4 solutions for surface principal curvatures: convex/concave × elliptic/hyperbolic Thank You

