

## WINDOWING

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Based on [Berg], [Mount]

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## Windowing queries - examples



## Windowing versus range queries

- Range queries (see range trees in Lecture 03)
- Points
- Often in higher dimensions
- Windowing queries
- Line segments, curves, ...
- Usually in low dimension (2D, 3D)
- The goal for both:

Preprocess the data into a data structure

- so that the objects intersected by the query rectangle can be reported efficiently

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(3/59)

## Windowing queries on line segments



1. Axis parallel line segments

2. Arbitrary line segments (non-crôssing)

## Talk overview

1. Windowing of axis parallel line segments in 2D

- 3 variants of interval tree - IT in x-direction
- Differ in storage of segment end points $M_{L}$ and $M_{R}$
i. Line stabbing (standard $I T$ with sorted lists ) lecture 9 - ineresections
ii. Line segment stabbing (IT with range trees)
iii. Line segment stabbing (IT with priority search trees)

2. Windowing of line segments in general position

- segment tree



## 1. Windowing of axis parallel line segments


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## 1. Windowing of axis parallel line segments

## Window query

## - Given

- a set of orthogonal line segments $S$ (preprocessed),
- and orthogonal query rectangle $W=\left[x: x^{\prime}\right] \times\left[y: y^{\prime}\right]$
- Count or report all the line segments of $S$ that intersect $W$
- Such segments have
a) 1 endpoint in
b) 2 end points in - Included
c) no end point in - Cross over



## Line segments with 1 or 2 points inside

a) 1 point inside

- Use a range tree (Lesson 3)
- $\mathrm{O}(n \log n)$ storage
- $\mathrm{O}\left(\log ^{2} n+k\right)$ query time or
- $\mathrm{O}(\log n+k)$ with fractional cascading

b) 2 points inside - as a) 1 point inside
- Avoid reporting twice

1. Mark segment when reported (clear after the query)
2. When end point found, check the other end-point. Report only the leftmost or bottom endpoint

## Line segments that cross over the window

c) No points inside

- Such segments not detected using end-point range tree
- Cross the boundary twice or contain one boundary edge
- It is enough to
 detect segments intersected by the left and bottom boundary edges (not having end point inside)
- For left boundary: Report the segments intersecting vertical query line segment (1/ii.)
- Let's discuss vertical query'line first (1/i.)
- Bottom boundary is rotated $90^{\circ}$

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(variants of interval tree - IT)
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## i. Segment intersected by vertical line - 1D

- Query line $\ell:=\left(x=q_{x}\right)$

Report the segments stabbed by a vertical line
= 1 dimensional problem (ignore y coordinate)

$\Rightarrow$ Report the interval containing query point $\mathrm{q}_{\mathrm{x}}$


DS: Interval tree with sorted lists


## Interval tree principle



## Static interval tree [Edelsbrunner80]

Tree over sorted segment end-points


## Primary structure - static tree for endpoints



## Secondary lists - sorted segments in M



## Interval tree construction

ConstructIntervalTree( S ) I/ Intervals all active - no active lists Input: $\quad$ Set $S$ of intervals on the real line - on $x$-axis
Output: The root of an interval tree for $S$

1. if $(|S|==0)$ return null // no more intervals
2. else
3. $\quad x M e d=$ median endpoint of intervals in $S \quad / /$ median endpoint
4. $L=\{[x \mid 0, x h i]$ in $S \mid x h i<x M e d\} \quad / /$ left of median
5. $R=\{[x l o, x h i]$ in $S|x| 0>x M e d\} \quad / /$ right of median
6. $\mathrm{M}=\{[$ xlo, xhi] in $\mathrm{S} \mid \mathrm{xlo}<=x \mathrm{Med}<=\mathrm{xhi}\} \quad / /$ contains median
7. $\longrightarrow \mathrm{ML}=$ sort M in increasing order of xlo
// sort M
MR = sort M in decreasing order of xhi
8. $\mathrm{t}=$ new IntTreeNode(xMed, ML, MR) // this node
9. t.left $=$ ConstructIntervalTree(L) // left subtree
10. $\quad$ t.right $=$ ConstructIntervalTree(R) $++_{+}++_{+}$// right subtree
11. return $t$


## Line stabbing query for an interval tree

Stab( $\mathrm{t}, \mathrm{xq}$ )
Input: IntTreeNode t, Scalar xq
Output: prints the intersected intervals

1. if $(t==$ null $)$ return
2. if $(x q<t . x M e d)$
3. for $(i=0 ; i<t . M L . l e n g t h ; ~ i++)$
4. if (t.ML[i].Io $\leq x q) \operatorname{print}(t . M L[i])$
5. else break
6. stab(t.left, xq)
7. else // (xq $\geq \mathrm{t} . \mathrm{xMed})$
8. 
9. if (t.MR[i].hi $\geq x q)$ print(t.MR[i])
10. 
11. stab(t.right, xq)

Less effective variant of QueryInterval ( $b, e, T$ ) on slide 34 in lecture 09 with merged parts: fork and search right
// no leaf: fell out of the tree
// left of median?
// traverse ML
// ..report if in range
// ..else done
// recurse on left
// right of or equal to median
// traverse MR
// ..report if in range
// ..else done
// recurse on right

Note: Small inefficiency for $\mathrm{xq}==\mathrm{t} . \mathrm{xMed}-$ recurse on right


## Complexity of line stabbing via interval tree

- Construction $-O(n \log n)$ time
- Each step divides at maximum into two halves or less (minus elements of M ) $=>$ tree of height $h=O(\log n)$
- If presorted endpoints in three lists L,R, and M then median in $\mathrm{O}(1)$ and copy to new $\mathrm{L}, \mathrm{R}, \mathrm{M}$ in $\mathrm{O}(n)$ ]
- Vertical line stabbing query $-O(k+\log n)$ time
- One node processed in $O\left(1+k^{\prime}\right)$, $k^{\prime}$ reported intervals
- v visited nodes in $O(v+k), \quad k$ total reported intervals
$-v=h=$ tree height $=O(\log n) k=\Sigma k^{\prime}$
- Storage - $O(n)$
- Tree has $O(n)$ nodes, each segment stored twice (two endpoints)


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## Line segment stabbing (IT with range trees)

## Enhance 1D interval trees to 2D

- Change 1D test $q_{x} \in\left\langle x, x^{\prime}\right\rangle$ done by interval tree with sorted lists $M_{L}$ and $M_{R}$ into 2D test $\quad q_{x} \in\left(-\infty: q_{x}\right]$
- and change lines to segments
$q_{x} \times[-\infty: \infty]$ (no y-test)
$q_{x} \times\left[q_{y}: q_{y}^{\prime}\right] \quad$ (additional $y$-test)


## i. Segment intersected by vertical line - 2D

- Query line $\ell:=q_{x} \times[-\infty: \infty]$
- Horizontal segment of $M$ stabs the query line $\ell$ iff its left endpoint lies in
halph-space

$$
q:=\left(-\infty: q_{x}\right] \times[-\infty: \infty]
$$

- In IT node with stored median xMid report all segments from M
- $M_{L}$ : whose left point lies in
$\left(-\infty: q_{x}\right]$
if $\ell$ lies left from xMid
- $M_{R}$ : whose right point lies in $\left[q_{x}:+\infty\right)$

ight from xMid


## ii. Segment intersected by vertical line segment

- Query segment $q:=q_{x} \times\left[q_{y}: q_{y}^{\prime}\right]$
- Horizontal segment of $M_{\llcorner }$stabs the query segment $q$ iff its left endpoint lies in semi-infinite rectangular region

$$
q:=\left(-\infty: q_{x}\right] \times\left[q_{y}: q_{y}^{\prime}\right]
$$



- In IT node with stored median xMid report all segments
- $M_{L}$ : whose left points lie in
$\left(-\infty: q_{x}\right] \times\left[q_{y}: q_{y}^{\prime}\right]$ where $q_{x}$ lies left from xMid
- $M_{R}$ : whose right point lies in $\left[q_{x}:+\infty\right) \times\left[q_{y}: q_{y}^{\prime}\right]$

[^0]

## Data structure for endpoints

- Storage of $M_{L}$ and $M_{R}$
- 1D Sorted lists not enough for line segments
- Use two 2D range trees
- Instead $O(n)$ sequential search in $M_{L}$ and $M_{R}$ perform O(log $n$ ) search
in range tree with fractional cascading
(23/59)

2D range tree (without fractional cascading-more in Lecture 3)


## Complexity of line segment stabbing

- Construction - O( $n \log n$ ) time
- Each step divides at maximum into two halves L,R or less (minus elements of M$)=>$ tree height $\mathrm{O}(\log n)$
- If the range trees are efficiently build in $\mathrm{O}(n)_{\text {ater points sorted }}$
- Vertical line segment stab. q. - $O\left(k+\log ^{2} n\right)$ time
- One node processed in $\mathrm{O}\left(\log n+\mathrm{k}^{\prime}\right)$, $\mathrm{k}^{\prime}=$ reported inter.
- $v$-visited nodes in $\mathrm{O}(\gamma \log n+\mathrm{k})$, $\mathrm{k}=$ total reported inter.
$-v=$ interval tree height $=\mathrm{O}(\log n)$
$-\mathrm{O}\left(k+\log ^{2} n\right)$ time - range tree with fractional cascading
$-\mathrm{O}\left(k+\log ^{3} n\right)$ time - range tree without fractional casc.
- Storage - O( $n$ log $n$ )
$\neq$ Dominated by the range trees
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## iii. Priority search trees

- Priority search trees - in case c) on slide 9
- Exploit the fact that query rectangle in range queries is unbounded (in x direction)
- Can be used as secondary data structures for both left and right endpoints (ML and MR) of segments in nodes of interval tree - one for ML, one for MR
- Improve the storage to $O(n)$ for horizontal segment intersection with window edge (Range tree has $O(n \log n)$ )
- For cases $a$ ) and b) - O( $n \log n$ ) remains
- we need range trees for windowing segment endpoints
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## Rectangular range queries variants

- Let $P=\left\{p_{1}, p_{2}, \ldots, p_{n}\right\}$ is set of points in plane
- Goal: rectangular range queries of the form $\left(-\infty: q_{x}\right] \times\left[q_{y} ; q_{y}^{\prime}\right]$
- In 1D: search for nodes $v$ with $v_{x} \in\left(-\infty: q_{x}\right]$
- range tree $\quad \mathrm{O}(\log n+k)$ time
- ordered list $\mathrm{O}(1+k)$ time (start in the leftmost, stop on $v$ with $v_{x}>q_{x}$ )
- use heap $\mathrm{O}(1+k)$ time !
(traverse all children, stop when $v_{x}>q_{x}$ )
- In 2D - use heap for points with $x \in\left(-\infty: q_{x}\right]$
+ integrate information about y-coordinate


## Heap for 1D unbounded range queries

- Traverse all children, stop when $v_{x}>q_{x}$
- Example: Query ( $-\infty$ :10]



## Principle of priority search tree

## - Heap

- relation between parent and its child nodes
- no relation between the child nodes themselves
- Priority search tree
- relate the child nodes according to $y$



## Priority search tree (PST)

- Heap in 2D can incorporate info about both $x, y$
- BST on $y$-coordinate (horizontal slabs) ~ range tree
- Heap on $x$-coordinate (minimum $x$ from slab along $x$ )
- If $P$ is empty, PST is empty leaf
- else

$$
\begin{array}{ll}
- & p_{\text {min }} \quad=\text { point with smallest x-coordinate in } P \text {--- a heap root } \\
- & y_{\text {med }} \quad=y \text {-coord. median of points } P \backslash\left\{p_{\text {min }}\right\} \quad \text {--- BST root } \\
- & P_{\text {below }}:=\left\{p \in P \backslash\left\{p_{\text {min }}\right\}: p_{y} \leq y_{\text {med }}\right\} \\
- & P_{\text {above }}:=\left\{p \in P \backslash\left\{p_{\text {min }}\right\}: p_{y}>y_{\text {med }}\right\}
\end{array}
$$

- Point $p_{\text {min }}$ and scalar $y_{\text {med }}$ are stored in the PST root
- The left subtree is PST of $P_{\text {below }}$
- The right subtree is PST of $P_{\text {above }}$


## Priority search tree construction example



## Priority search tree construction

## PrioritySearchTree( $P$ )

Input: set $P$ of points in plane
Output: priority search tree $T$

1. if $P=\varnothing$ then PST is an empty leaf
2. else
3. $\quad p_{\min }=$ point with smallest $x$-coordinate in $P \quad / /$ heap on $x$ root
4. $\quad y_{\text {med }}=y$-coord. median of points $P \backslash\left\{p_{\min }\right\} \quad / /$ BST on y root
5. Split points $P \backslash\left\{p_{\text {min }}\right\}$ into two subsets - according to $y_{\text {med }}$
6. $\quad P_{\text {below }}:=\left\{p \in P \backslash\left\{p_{\text {min }}\right\}: p_{y} \leq y_{\text {med }}\right\}$
7. $\quad P_{\text {above }}:=\left\{p \in P \backslash\left\{p_{\text {min }}\right\}: p_{y}>y_{\text {med }}\right\}$
8. $\quad T=$ newTreeNode()
9. T. $p=p_{\min } \quad / /$ point $[x, y]$

Notation in alg:
10. T. $y=y_{\text {mid }} \quad / /$ skalar
... $p(v)$
11. T.left $=$ PrioritySearchTree $\left(P_{\text {below }}\right) \quad \ldots . . \operatorname{lc}(\mathrm{v})$
12. $\quad$ T.rigft $=$ PrioritySearchTree $\left(P_{\text {above }}\right) \quad+\ldots \mathrm{tc}(\mathrm{v})$
13. $\mathrm{O}(n \log n)$, but $\mathrm{O}(n)$ if presorted on $y$-coordinate and bottom up

## Query Priority Search Tree

QueryPrioritySearchTree( $\left.T,\left(-\infty: q_{x}\right] \times\left[q_{y} ; q_{y}^{\prime}\right]\right)$
Input: A priority search tree and a range, unbounded to the left
Output: All points lying in the range

1. Search with $q_{y}$ and $q_{y}^{\prime}$ in $T \quad / /$ BST on $y$-coordinate - select $y$ range Let $v_{\text {split }}$ be the node where the two search paths split (split node)
2. for each node $v$ on the search path of $q_{y}$ or $q_{y}^{\prime} / /$ points along the paths
3. if $p(v) \in\left(-\infty: q_{x}\right] \times\left[q_{y} ; q_{y}^{\prime}\right]$ then report $p(v) / /$ starting in tree root
4. for each node $v$ on the path of $q_{y}$ in the left subtree of $v_{\text {split }} / /$ inner trees
5. if the search path goes left at $v$
6. ReportInSubtree( $\left.r c(v), q_{x}\right) \quad / /$ report right subtree
7. for each node $v$ on the path of $q_{y}^{\prime}$ in right subtree of $v_{\text {split }}$
8. if the search path goes right at $v$
9. ReportInSubtree( $\left.\operatorname{Ic}(v), q_{x}\right)$ // rep. left subtree


## Reporting of subtrees between the paths

## ReportInSubtree( $v, q_{x}$ )

Input: The root $v$ of a subtree of a priority search tree and a value $q_{x}$.
Output: All points in the subtree with $x$-coordinate at most $q_{x}$.

1. if $v$ is not a leaf and $x(p(v)) \leq q_{x} \quad / / x \in\left(-\infty: q_{x}\right] \quad-$ heap condition
2. Report $p(v)$.
3. ReportInSubtree( Ic(v), $q_{x}$ )
4. ReportInSubtree( $\left.r c(v), q_{x}\right)$

## Priority search tree query



## Priority search tree complexity

For set of $n$ points in the plane

- Build $O(n \log n)$
- Storage O(n)
- Query $O(k+\log n)$
- points in query range $\left.\left(-\infty: q_{x}\right] \times\left[q_{y} ; q_{y}^{\prime}\right]\right)$
$-k$ is number of reported points
- Use Priority search tree as associated data structure for interval trees for storage of $M$ (one for $M_{L}$, one for $M_{R}$ )


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(variants of interval tree - IT)
i. Line stabbing (standard IT with sorted lists )
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2. Windowing of line segments in general position

- segment tree

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## 2. Windowing of line segments in general position


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## Windowing of arbitrary oriented line segments

- Two cases of intersection
a,b) Endpoint inside the query window $\quad=>$ range tree
c) Segment intersects side of query window $=>$ ???
- Intersection with BBOX (segment bounding box)?
- Intersection with 4n sides
- But segments may not intersect the window -> query y

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## Segment tree

- Exploits locus approach
- Partition parameter space into regions of same answer
- Localization of such region = knowing the answer
- For given set $S$ of $n$ intervals (segments) on real line
- Finds $m$ elementary intervals (induced by interval end-points)
- Partitions 1D parameter space into these elementary
 $\left(-\infty: p_{1}\right),\left[p_{1}: p_{1}\right],\left(p_{1}: p_{2}\right),\left[p_{2}: p_{2}\right], \ldots$,

$$
\left(p_{m-1}: p_{m}\right),\left[p_{m}: p_{m}\right],\left(p_{m}:+\infty\right)
$$

- Stores intervals $s_{i}$ with the elementary intervals
- Reports the intervals $s_{i}$ containing query point $q_{x}$.
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## Segment tree example

Intervals

$$
\begin{aligned}
& S=\left\{\left[x_{1}: x_{1}^{\prime}\right],\left[x_{2}: x_{2}{ }^{\prime}\right], \ldots,\left[x_{n}: x_{n}^{\prime}\right]\right\} \\
& s_{i}=\left[x_{i}: x_{i}^{\prime}\right]
\end{aligned}
$$



Elementary Intervals


## Segment tree definition

## Segment tree

- Skeleton is a balanced binary tree $T$
- Leaves ~ elementary intervals $\operatorname{Int}(\mathrm{v})$
- Internal nodes $v$
~ union of elementary intervals of its children
- Store: 1. interval $\operatorname{Int}(\mathrm{v})=$ union of elementary intervals of its children segments $s_{i}$

2. canonical set $S(v)$ of intervals $[x: x] \in S$

- Holds $\operatorname{Int}(v) \subseteq[x: x]$ and $\operatorname{Int}($ parent $(v)] \nsubseteq[x: x]$ (node interval is not larger than the segment)
- Intervals $[x: x]$ are stored as high as possible, such that $\operatorname{Int}(v)$ is completely contained in the segment


## Segments span the slab

Segments span the slab of the node, but not of its parent
(stored as up as possible)

$$
S\left(v_{2}\right)=\left\{s_{1}, s_{2}\right\}
$$



$$
\operatorname{lnt}\left(v_{j}\right) \subseteq s_{i}
$$ and

$\operatorname{lnt}\left(\right.$ parent $\left.\left(v_{j}\right)\right] \nsubseteq s_{i}$


## Query segment tree - stabbing query

QuerySegmentTree(v, $q_{x}$ )
Input: The root of a (subtree of a) segment tree and a query point $q_{x}$ Output: All intervals in the tree containing $q_{x}$.

1. Report all the intervals $s_{i}$ in $S(v)$. // current node
2. if $v$ is not a leaf
3. if $q_{x} \in \operatorname{Int}(\operatorname{lc}(v)) \quad / /$ go left
4. QuerySegmentTree( Ic(v), $q_{x}$ )
5. else $/ /$ or go right
6. QuerySegmentTree( $\left.r c(v), q_{x}\right)$

Query time $\mathrm{O}(\log n+k)$, where $k$ is the number of reported intervals $\mathrm{O}\left(1+k_{v}\right)$ for one node Height $\mathrm{O}(\log n)$


## Segment tree construction

ConstructSegmentTree( S )
Input: Set of intervals $S$ - segments
Output: segment tree

1. Sort endpoints of segments in $S$-> get elemetary intervals ... $O$ ( $n$ log n)
2. Construct a binary search tree $T$ on elementary intervals $\ldots \mathrm{O}(n)$ (bottom up) and determine the interval $\operatorname{Int}(v)$ it represents
3. Compute the canonical subsets for the nodes (lists of their segments):
4. $\quad v=\operatorname{root}(T)$
5. for all segments $s_{i}=\left[x: x^{\prime}\right] \in S$
6. InsertSegmentTree( $v,[x: x])$


## Segment tree construction - interval insertion

InsertSegmentTree( $v,\left[x: x^{\prime}\right]$ )
Input: The root of (a subtree of) a segment tree and an interval.
Output: The interval will be stored in the subtree.

1. if $\operatorname{lnt}(v) \subseteq\left[x: x^{\prime}\right] \quad / / \operatorname{Int}(v)$ contains $s_{i}=\left[x: x^{\prime}\right]$
2. store $\left[x: x^{\prime}\right]$ at $v$
3. else if $\operatorname{lnt}(I c(v)) \cap\left[x: x^{\prime}\right] \neq \varnothing$
4. InsertSegmentTree( Ic(v), $\left[x: x^{\prime}\right]$ )
5. if $\operatorname{lnt}(r c(v)) \cap\left[x: x^{\prime}\right] \neq \phi$
6. InsertSegmentTree( $\left.r c(v),\left[x: x^{\prime}\right]\right)$

One interval is stored at most twice in one level =>
Single interval insert $O(\log n)$, insert $n$ intervals $O(z n \log n)$
Construction total $O(n \log n)$
Storage $O(n \log n)$
Tree height $O(\log n)$, name stored max 2 x in one level
Storage total $O(n \log n)$ - see next slide
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## Space complexity - notes



## Segment tree complexity

A segment tree for set $S$ of $n$ intervals in the plane,

- Build $O(n \log n)$
- Storage $O(n \log n)$
- Query $O(k+\log n)$
- Report all intervals that contain a query point
- $k$ is number of reported intervals
(50/59)


## Segment tree versus Interval tree

- Segment tree
- $\mathrm{O}(n \log n)$ storage $\times \mathrm{O}(n)$ of Interval tree
- But returns exactly the intersected segments $s_{i}$, interval tree must search the lists ML and/or MR
- Good for

1. extensions (allows different structuring of intervals)
2. stabbing counting queries

- store number of intersected intervals in nodes
$-\mathrm{O}(\mathrm{n})$ storage and $\mathrm{O}(\log n)$ query time = optimal

3. higher dimensions - multilevel segment trees
(Interval and priority search trees do not exist in ^dims)

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- segment tree
- the algorithm


## 2. Windowing of line segments in general position



## Windowing of arbitrary oriented line segments

- Let $S$ be a set of arbitrarily oriented line segments in the plane.
- Report the segments intersecting a vertical query segment $q:=q_{x} \times\left[q_{y}: q_{y}^{\prime}\right]$
- Segment tree $T$ on $x$ intervals of segments in $S$
- node $v$ of $T$ corresponds to vertical $\operatorname{slab} \operatorname{lnt}(v) \times(-\infty: \infty)$
- segments span the slab of the node, but not of its parent
- segments do not intersect
=> segments in the slab (node) can be vertically ordered - BST




## Segments between vertical segment endpoints

- Segments (in the slab) do not mutually intersect
=> segments can be vertically ordered and stored in BST
- Each node $v$ of the $x$ segment tree has an associated y BST
- BST $T(v)$ of node $v$ stores the canonical subset $S(v)$ according to the vertical order
- Intersected segments can be found by searching $T(v)$ in $\mathrm{O}\left(k_{v}+\log n\right), k_{v}$ is the number of intersected segments


## Segments between vertical segment endpoints

- Segment $s$ is intersected by vert.query segment $q$ iff - The lower endpoint (B) of $q$ is below $s$ and
- The upper endpoint (A) of $q$ is above $s$

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Structure associated to node (BST) uses storage linear in the size of $S(v)$

- Build $O(n \log n)$
- Storage $O(n \log n)$
- Query $\mathrm{O}\left(k+\log ^{2} n\right)$
- Report all segments that contain a query point
$-k$ is number of reported segments


## Windowing of line segments in 2D - conclusions

Construction: all variants $\mathrm{O}(\mathrm{n}$ logn)

1. Axis parallel
i. Line (sorted lists )
ii. Segment (range trees) $\mathrm{O}\left(k+\log ^{2} n\right) \quad \mathrm{O}(n \log n)$
iii. Segment (priority s. tr.) $\mathrm{O}(k+\log n) \quad \mathrm{O}(n)$
2. In general position

- segment tree
$\mathrm{O}\left(k+\log ^{2} n\right) \quad \mathrm{O}(n \log n)$

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