Nový předmět katedry kybernetiky, FEL ČVUT BIOMETRIE (A6M33BIO)



Předmět je zaměřen na výklad nejpoužívanějších metod v biometrii. Sami si naimplementujete dynamické rozpoznávání podpisu, detekce vlastního otisku prstu či duhovky!

Disponujeme profesionálním vybavením, pracujeme v Matlabu s předpřipravenými skripty, neztrácite zbytečně čas na na cvičenich. Soustředíme se na bezpečnostní rizika biometrických systémů. Pro každý biometrický systém je provedeno vyhodnocení z hlediska rychlosti, ceny a přesnosti.

Předmět doporučujeme zejména studentům oborůl Otevřená informatika, Kybernetika a robotika a Biomedicínská informatika & inženýrství.

> Proč je detekce duhovky nejpřesnější metodou?



Proč se neujalo rozpoznávání hlasu?

> Lze jednoduše prolomit biometrický systém?

> > www.predmet-biometrie.cz

Kontakt: Ing. Daniel Novák, Ph.D. Katedra kybernetiky, ČVUT FEL Technická 2, 166 27 Praha 6 Tel.: 22435 7314 zmovakdi sřel cvut.cz

Biometrics Introduction

Daniel Novák (+Eduard Bakštein)

24.9. 2013, Prague

Acknowledgments: Chang Jia, <u>Andrzej</u> Drygajlo





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Outline

- 1. About the course
 - course logistics, contacts, syllabus, conditions
- 2. Biometrics: general introduction
 - Short history, what is biometrics
- 3. Basic statistical concepts in biometrics
 - Hypothesis testing, Type I and II error, FAR, FRR
- 4. Overview of biometric techniques
 - Fingerprint, signature, iris, face, pace...







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1 – ABOUT THE COURSE





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Podmínky předmětu



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- Garant předmětu: Daniel Novák, místnost E116, xnovakd1@labe.felk.cvut.cz
- Stránky předmětu
 - <u>https://cw.felk.cvut.cz/doku.php/courses/a6m33bio/start</u>
 - 3. laboratorní úlohy každá za 20 bodů, celkem 60 bodů
 - Klasifikovaný zápočet 20 otázek, každá za 2 body (celkem 40)
- Podmínky předmětu
 - <u>https://cw.felk.cvut.cz/doku.php/courses/a6m33bio/podminky</u>

Body z předmětu	Stupeň ECTS	Známka
100-90	A	výborně
89-80	В	velmi dobře
79-70	С	dobře
69-60	D	uspokojivě
59-50	E	dostatečně
49 a méně	F	nedostatečně

Program přednášek



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Gerst

1. Úvod

- 2.-3. Dynamický podpis v biometrii Jakub Schneider
- 4.-5. Duhovka (iris) Eduard Bakštein
- 6.-7. Otisk prstu
- 8.-9. Tváře Vojtěch Franc
- 10.-11. Řeč Petr Pollák
- 12. Klasifikace a indexování v biometrických systémech
- 13. Závěrečný test, udělení klas. zápočtu



Program cvičení

- Členění do 3 bloků (+ úvod)
- 3 úlohy: práce na cvičení, popř. doma dokončit
- 1. Matlab intro, statistika
- 2. Statistika dokončení
- 3.-5. **Dynamický podpis** Jakub Schneider
- 7.-10. Duhovka Eduard Bakštein
- 11.-13. Otisk prstu Jakub Schneider



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Facebook, Twitter, Web



– Prispivejte zejmena vy!!!!

- Facebook (zalikujte, pokud se vam predmet bude libit:)
 - <u>http://www.facebook.com/biometrieCVUT</u>
- Twitter
 - <u>http://www.facebook.com/biometrieCVUT</u>
- Webove stranky
 - http://www.predmet-biometrie.cz/





2 - BIOMETRICS: GENERAL INTRODUCTION





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Biometrics: what for?





Laboratory Gerstner

Alternative introduction



<u>Dobrodužství kriminalistiky</u> na csfd
 <u>Dobrodužství kriminalistiky</u> na CT





1, Metoda Alphonse Bertillona nastoupila vítěznou cestu světem. Avšak dříve než definitivně zakotvila v arzenálu krimiňalistiky, začala být vytlačována metodou daleko přesnější – daktyloskopií. Sláva "bertillonáže" měla jepičí život, ale byla vědeckým krokem vpřed. "

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Alphonse Bertillon: Forensic Anthropometry 32



Trend: revenue of the field





"A Touch of Money"



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Market Share







Necessary condition for travel to USA+ESTA. In ČR since 2006, since April 2009 - 2 fingerprints included

http://czech.prague.usembassy.gov/biometricky_pas.html http://www.youtube.com/watch?v=ptb_nxCpgYQ

In accordance with ICAO and EU specifications, the data of the machine readable zone, the facial image and two fingerprints plus electronic signatures will be stored in the chip.





Integration of Technologies: chip card (smart card), radio-frequency identification (RFID), electronic signatures and public key infrastructure (PKI), back-office systems (databases), biometrics





Today: eBorders in the United Arab Emirates (UAE)



Fujairah

Omar

- Iris recognition system
- Fully operational since April 2003
- 36 land, air and sea ports
- 12,000 passengers each day
- 1 central database
 - Watchlist application
 - Fully networked
 - Enrolment centres: prisons and deportation centres
 - More than 1 million enrolments (150+ nationalities)
 - Exhaustive search takes <2 seconds
- 12 billion comparisons each day (12,000 passengers against 1 million enrolments)
- About 50,000 persons caught since launch



Ras al Khaimah Umm al Quyain Ajman

Sharjah

The Arabian Gulf

lationa

Rollout

Store

Abu Dhabi

United Arab Emirates

Odiar





Stolen identity



Article in <u>NY times</u> and <u>Telegraph</u>, Hamas was responsible



February 2010: Dubai Hamas murder: Fraudulent foreign passports were used by the alleged killers of a Hamas commander in Dubai



 One of the victims of the identity theft was British-Israeli Paul John Keeley (picture right). The passport used by one of the suspected assassins bore his name, but featured a photograph of another man (pictured left)



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Another stolen identity



– HW – Unknown watch and rate at CSFD (71%)







The term "biometrics" is derived from the Greek words bio (life) and metric (to measure)

- Biometrics automated recognition of individuals based on their biological and behavioral characteristics
 - Scientific follow-on to Bertillon's body measurements of the late 1800s
- Biometry statistical and mathematical methods applicable to data analysis problems in the biological sciences
- Biometric system essentially an automatic pattern recognition system that recognizes a person by determining the authenticity of a specific biological and/or behavioral characteristic (biometric modality) possessed by that person
- Anthropometry measurement techniques of human body and its specific parts
 - Forensic (judicial) anthropometry identification of criminals by these measurement techniques



versity

History of biometrics

Well-done summary: http://www.biometrics.gov/ documents/biohistory.pdf
Oldest paintings in Chauvet cave, palm prints: I painted it! (documentary by Herzog)



• Ancient civilisations practised biometric techniques routinely.



Sumerians considered a hand print and outline of a hand on a clay tablet a good identifier.



- Egyptians brought the concept of biometric identity verification into the mainstream, in many various ways:
 - From discrete anatomical measurements, e.g., distance between the individuals outstretched thumb and the tip of the elbow
 - To more general notification of individual features, e.g., Nechutes, son of Asos, aged 40, of middle size, sallow complexion, cheerful countenance, long face with straight nose and a scar upon the middle of his forehead.

- Penguins by voice recognition to locate their offspring within a population sometimes numbering hundreds of thousands
- Frogs discriminate between neighbours and strangers by voice recognition
- Hawks and other birds by using visual information
- Wolves by voice at a distance and corroborate this information by visual cues and scent at shorter distances
- Insects (bees, wasps, ants, etc.) practice identity verification routinely

Traditional modes of authentication

 Possession-based schemes
 Based on ID cards, tokens, keys, etc.

WHAT YOU HAVE



 Knowledge-based schemes
 Based on passwords, PINs, etc.

WHAT YOU KNOW

osemame	-	
Password	Lo	g In)
Forgot Passwo Sign Up Lea	<u>rd?</u> m.More <u>Sec</u>	<u>urity</u>



Three basic means





Generic Biometric System







Two patterns are similar, if an appropriately defined distance measure between their feature vectors is small





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Person recognition



- Verification biometric system function that performs a one-to-one comparison of a submitted biometric characteristic (sample) set against a specified stored biometric references, and returns the comparison score and decision.
- "Is this person who he claims to be?"



Identification



Gerstner

- Identification biometric system function that performs a one-to-many comparison/search process in which a biometric characteristic set is compared against all or part of the database to find biometric references with a specified degree of similarity.
- "Who is this person?"



Enrollment







Nice example – Motorola Atrix 4G using swipe fingerprint AuthenTec sensor

Company was <u>bought</u> by Apple in July 2012 for 356\$ mil (8\$ for share – cutrent value: 5\$)

- · Some statistics:
 - 35,000 laptops reported stolen in the UK each year
 - (Times Online's estimate: 110,000 stolen laptops/year)
 - only 3% ever retrieved
- Several million biometrically enabled phones, PDAs and peripherals now on the market





SecurePhone

Mobile phone apps

- HW: try out and paste to fb or twitter
- <u>BioLock</u>, youtube video:



Biometrics on iPhone and iPad (eye and face) 26





Mobile biometrics: future development?

- Iphone 5s (release 09/2013) has fingerprint sensor for authentication
- First mainstream smartphone with hw biometric sensor
- Massive spread of biometric identification in mobile devices?



Example Iris & Speech



- Example
 - Assume 10'000 customers are signed up for biometric authentication and 1'000 transactions are done weekly
 - Assume best-case biometric verification error of 1 in 1 million (iris)
 - Assume best-case speaker verification error of 1 in 1 hundred
 - How often are customers falsely billed?
- Answer
 - On average 10 people are falsely billed each week
 - On average 100 000 people are falsely billed each week

Matching



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Biometric matching makes a decision by computing a measure of the likelihood that the two input samples from two persons are the « same » and hence that the subjects are the same real-world identity.



3 - BASIC STATISTICS FOR BIOMETRICS



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FA & FR



- False Accept (FA): Deciding that a (claimed) identity is a legitimate one while in reality it is an imposter; False Accept Rate (FAR)
- False Reject (FR): Deciding that a (claimed) identity is not legitimate when in reality the person is genuine; False Reject Rate (FRR)
- A FA results in security breaches, with an unauthorized person being admitted
- A FR results in convenience problems, since genuinely enrolled identities are denied access to the application

Performance evaluation





FMR and FNMR


Scores distribution







Given two biometric samples, we can construct two possible hypotheses:

The null hypothesis: The alternate hypothesis: $H_0 \Rightarrow$ the two samples match $H_a \Rightarrow$ the two samples do not match

Two kinds of error

H

Verification:

Decide
$$H_0$$
 is true: if $s > T$,
Decide H_a is true: if $s \le T$.

- Type II error False Match (FM): Deciding that two biometrics are from the same identity, while in reality they are from different identities; the frequency with which this occurs is called the False Match Rate (FMR)
- Type I error False Non-Match (FNM): Deciding that two biometrics are not from the same identity, while in reality they are from the same identity: the frequency with which this occurs is called the False Non-Match Rate (FNMR)
- Correct Match: correctly deciding that two biometric samples match
- Correct Non-Match: correctly deciding that the samples do not match

Two kinds of error

$$H_a \Longrightarrow B' \neq B$$



The Equal Error Rate



Using the ROC Curve



Matcher *b* is always better than matcher *a* since for every possible FNMR, its FMR is lower

er

Face recognition FRR & FAR







4 - OVERVIEW OF BIOMETRICS TECHNIQUES



Main Sorting



Biometrics can be sorted into two classes:

– Physiological

Examples: face, fingerprint, hand geometry and iris recognition

Behavioral

Examples: signature and voice



Common:

- Fingerprint Recognition
- Face Recognition
- Speaker Recognition
- Iris Recognition
- Hand Geometry
- Signature verification

- Others:
- DNA
- Retina recognition
- Thermograms
- Gait
- Keystroke
- Ear recognition
- Skin reflection
- Lip motion
- Body odor









Vein Pattern
Sweat Pores
Fingernail Bed
Hand Grip
Brain Wave Pattern
Footprint and Foot Dynamics

•*See details in <u>Chapter 7 Esoteric Biometrics</u> of Biometrics by John D. Woodward, Nicholas M. Orlans, Peter T. Higgins, New York : McGraw-Hill/Osborne, c2003





Price vs accuracy







Biometric techniques overview

- Fingerprint recognition
- Face recognition
- Voice recognition
- Iris Recognition
- Dynamic signature







An extremely useful biometrics technology since fingerprints have

Fingerprint Recognition (D.Novak)

long been recognized as a primary and accurate identification method.



1.

Hand shape

Fingerprints

Palm print

Finger strips (digitprints)

Palmar veins



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Acquisition Devices

- ✓ Ink & paper the oldest way
- ✓ Ink-less Methods sense the ridges on a finger

-"Livescan" fingerprint scanners

- Optical methods (FTIR)
- CMOS capacitance
- Thermal sensing
- Ultrasound sensing





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Minutiae



- Uses the ridge endings and bifurcation's on a persons finger to plot points known as Minutiae
- The number and locations of the minutiae vary from finger to finger in any particular person, and from person to person for any particular finger



•Finger Image



•Finger Image +

Minutiae







Face recognition







- Uses an image or series of images either from a camera or photograph to recognize a person.
- Principle: analysis of the unique shape, pattern and positioning of facial features.





Who is there??



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Games Magazine, September 2001



Why face?

- Face is the most common biometric characteristic used by humans
- Sensing at a distance
- Easy to capture from low-cost cameras
- Non-contact data acquisition (free from contagious disease)



- Non-intrusive technique which people generally accept as biometric characteristic
- Overt (user aware) and covert (user unaware, e.g. ubiquitous surveillance cameras) applications
- Legacy databases (passport, visa and driver's license)

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Details



- Source of data: Single image, video sequence, 3D image and Near Infrared
- Models: weak models of the human face that model face shape in terms of facial texture
- Face detection discriminating faces from all other possible images. This is 2-class classification task of assigning an image to the face class or the non-faces class.
- Face localization finding precisely the position of one face, whose presence is already known in a single image





Feature based approach



Example: Eigenfaces



oratory **ner**





Perfect reconstruction with all eigenfaces

$$= 0.4$$
 $+ 0.2$ $+ ... + 0.6$

Reasonable reconstruction with just a few eigenfaces

$$= 0.4$$
 $+ 0.2$

Intra-class variability



• Faces with intra-subject variations in pose, illumination, expression, accessories, color, occlusions, and brightness





The power of make up



oratory tner





Voice recognition





Application categories









Advantage

- Less requirements for users, such that they do not have to go through a separate process for verification
- Very little hardware is required, and ideally suited to telephonebased system for a remote identification
- Zero client-side cost, no special reader needs to be installed

Disadvantage

- Acoustic features : 1. Misspoken or misread phrases; 2. The human voice's tremendous variability, due to colds, aging, and simple tiredness
- Can be captured surreptitiously by a third party and replayed.





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-Analysis of the iris of the eye, which is the colored ring of tissue that surrounds the pupil of the eye.

- -Based on visible features, i.e. rings, furrows freckles and the corona. Features and their locatio are used to form the Iriscodes, which is the digitatemplate.
- -Widely regarded as the most safe, accurate biometrics technology and capable of performing 1-to-many matches at extraordinarily high speeds, without sacrificing accuracy.

http://www.youtube.com/watch?v=QEQEht8zloQ

VIDE()



Iris recognition





Example Iris Images















Iridology





"Throughout the ages, the eyes have been known as the windows to the soul, and modern behavioral research is proving this adage to be true. If you look closely at the iris of the eye, you will notice small, dark dots, light streaks or rounded openings in the fibers. These characteristics provide the key to unlocking the mysteries of the personality" (Rayid International).



Iridology









ř٨

Iris Code





Image size is 64 x 256 bytes and the iris code is 8 x 32 bytes
Iris mapping











 The iris mapping has to be invariant to shift, distance, magnification, and pupillary dilation



Dynamic signature





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Static/Off-line: the conventional way Dynamic/On-line: using electronically instrumented device

> Principle: the movement of the pen during the signing process rather than the static image of the signature.

5.

➢ Many aspects of the signature in motion can be studied, such as pen pressure, the sound the pen makes



Saming find





Static off-line technology – document authentication



Dynamic on-line technology – signal processing and pattern recognition



Dynamic signature





Dynamic signature





Feature vector

Acquisition:

-acquisition area: 127.106 mm

-pressure levels: 1024

- -resolution: 2540 lines/inch (100 lines/mm)
- -precision: +/- 0.25 mm
- -detection height: 10 mm
- -sampling frequency: 100 pps (points per s)

Pen-Tablet system (WACOM)





WACOM – How it works?



- The WACOM stylus looks and feels like a pen yet contains no batteries or magnets. Instead it takes advantage of electro-magnetic resonance technology in which radio waves are sent to the stylus and returned for position analysis.
- In operation, a grid of wires below the screen alternates between transmit and receive modes (about every 20 μs):
 - In transmit mode, the electro-magnetic signal stimulates oscillation in the coil-and capacitor resonant circuit in the pen
 - In receive mode, the energy of the resonant circuit oscillation in the pen is detected by the antenna grid. This is then analysed to determine position and other information including pressure
- Since the grid provides the power to the pen through resonant coupling, no batteries are required. Thus there are no consumables that will run down and need to be replaced or that would make the pen top-heavy.



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Dynamic signature: companies



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- On-line:
 - SOFTPRO (http://www.signplus.com/)
 - CYBERSIGN (http://www.cybersign.com/)
 - CIC (http://www.cic.com/)
- Off-line:
 - APP-DAVOS (http://www.app-davos.ch/)
 - NUMEDIA (http://www.sapura.com.my/ NuMedia/check.htm)



IBM online verification



IBM online signature verification

10 km Doe se Template signature #1 Template signature #2 ohn Doe Template signature #3 Template signature #4 Doe Template signature #5 Template signature #6 Voe se hu Gerstner Authentic signature (accepted) Forged signature (rejected) ical University



Hand geometry





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- Hand geometry systems are commonly available in two main forms. Full hand geometry systems take an image of the entire hand for comparison while Two Finger readers only image two fingers of the hand.
- Hand recognition technology is currently one of the most deployed biometrics disciplines world wide





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How does it work



 A camera capture an image of the hand, with the help of a mirror to get also the edge. The silhouette of the hand is extracted, and some geometrical characteristics stored.



$$\sum_{j=1}^{d} |y_j - f_j| < \epsilon_a, \tag{1}$$

$$\sum_{j=1}^{d} \frac{|y_j - f_j|}{\sigma_j} < \epsilon_{wa}, \qquad (2)$$

$$\sqrt{\sum_{j=1}^{d} (y_j - f_j)^2} < \epsilon_e, and \qquad (3)$$

$$\sqrt{\sum_{j=1}^{d} \frac{(y_j - f_j)^2}{\sigma_j^2}} < \epsilon_{we}, \qquad (4)$$

where σ_j^2 is the feature variance of the *j*th feature and ϵ_a , ϵ_{wa} , ϵ_e , and ϵ_{we} are threshold values for each respective distance metric.

Applications







BenGurion Airport – Tel-Aviv, Hand Geometry JFK International Airport 1998 INSPASS - Hand Geometry Gerstner



DNA	Retina recognition	Thermograms
Gait	Keystroke	Ear recognition
Skin reflection	Lip motion	Body odor





DNA

- -DNA has been called the "ultimate identifier"
- -Identify information from every cell in the body in a digital form
- -Not yet fully automated, not fast and expensive -Theoretical limitation: Identical twins have the same DNA
- Privacy issue DNA contains information about race, paternity, and medical conditions for certain disease







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DNA	Conventional Biometrics
Requires an actual physical sample	Uses an impression, image, or recording
Not done in real-time; not all stages of comparison are automated	Done in real-time; "lights-out" automated process
Does a comparison of actual samples	Uses templates or feature extraction







 The pattern of blood vessels that emanate from the optic nerve and disperse throughout the retina depends on individuals and never changes.



- -No two retinas are the same, even in identical twins.
- -Commercial products: Retinal Technologies





- Thermograms requires an infrared camera to detect the heat patterns of parts of the body that are unique to every human being (such as the face)
- -Normally expensive because of the sensors
- -Useful paper: <u>Illumination Invariant Face</u> <u>Recognition Using Thermal Infrared Imagery</u> (Solikinski & als)











- The final objective: to recognize persons using standard cameras in any conditions.
- Gait recognition is particularly studied as it may enable identification at distance.
- -Gait video







Češi vyhráli policejní olympiádu







 The rhythms with which one types at a keyboard are sufficiently distinctive to form the basis of the biometric technology known as keystroke dynamics

- 100% software-based, requiring no sensor more sophisticated than a home computer
- •<u>VIDEO</u>







- -Ear geometry recognition uses the shape of the ear to perform identification
- -Suggestions have been made that the shapes and characteristics of the human ear are widely different
- -An infrared image can be used to eliminate hair
- Might be recognized at a distance





Example



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Fig. 2. (a) Anatomy, (b) Measurements. (a) I Helix Rim, 2 Lobule, 3 Antihelix, 4 Concha, 5 Tragus, 6 Antitragus, 7 Crus of Helix, 8 Triangular Fossa, 9 Incisure Intertragica. (b) The locations of the anthropometric measurements used in the "Iannarelli System". (Burge et al., 1998)

- Lumidigm Inc. has established that the absorption spectrum of the skin depends on the individuals.
- In a range of wavelengths over 6mm patch, several LEDs send light into the skin, and photodiodes read the scattered light, which is analyzed to perform the authentication.







 Compares the characteristic lip motions of people while they speak.

-Helps identification associated with speaker recognition.

 Different imaging conditions: Infrared (high security & cost) and Near Infrared (cheap, normally used for active sensing)





It's absolutely clear that people with differing immunity genes produce different body odors

Electronic/artificial noses: developed as a system for the automated detection and classification of odors, vapors, gases.

Network



Schematic Diagram of Artificial nose



Prometheus (Alpha Mos) ,
an example of electronic nose

Artificial noses are not yet sophisticated

enough to do all the job



- Based on differing hearbeat patterns in each person
- <u>Bionym Nimy wristband</u> commercially available (preorder, as of 09/2013)
- Security?

Х.



Multimodal Biometrics





Fusion after normalization





Comparision of Biometric Technologies



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Characteristic	Fingerprints	Hand Geometry	Retina	Iris	Face	Signature	Voice
Ease of Use	High	High	Low	Medium	Medium	High	High
Error Incidence	Dryness, dirt, age	Hand injury, age	Glasses	Lighting	Lighting, age, glasses, hair	Changing signatures	Noise, colds
Accuracy	High	High	Very High	Very High	High	High	High
User Acceptance	Medium	Medium	Medium	Medium	Medium	High	High
Long-Term Stability	High	Medium	High	High	Medium	Medium	Medium





THANK YOU!



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Possible Future Events



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Why news about iris recognition triggered an alien invasion