

**Algorithm 2.1:** SUBSETLEXRANK ( $n, T$ )

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 $r \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$ 
  do if  $i \in T$ 
     then  $r \leftarrow r + 2^{n-i}$ 
return ( $r$ )

```

**Algorithm 2.2:** SUBSETLEXUNRANK ( $n, r$ )

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 $T \leftarrow \emptyset$ 
for  $i \leftarrow n$  downto 1
  if  $r \bmod 2 = 1$ 
    do then  $T \leftarrow T \cup \{i\}$ 
        $r \leftarrow \lfloor \frac{r}{2} \rfloor$ 
return ( $T$ )

```

As an example, suppose that  $n = 8$  and  $T = \{1, 3, 4, 6\}$ . Then Algorithm 2.1 computes

$$\begin{aligned} \text{rank}(T) &= 2^7 + 2^5 + 2^4 + 2^2 \\ &= 128 + 32 + 16 + 4 \\ &= 180. \end{aligned}$$

Conversely, if we run Algorithm 2.2 with  $n = 8$  and  $r = 180$ , then we obtain the following:

$i$	$r$	$r \bmod 2$	$T$
8	180	0	$\emptyset$
7	90	0	$\emptyset$
6	45	1	$\{6\}$
5	22	0	$\{6\}$
4	11	1	$\{4, 6\}$
3	5	1	$\{3, 4, 6\}$
2	2	0	$\{3, 4, 6\}$
1	1	1	$\{1, 3, 4, 6\}$

This example illustrates that ranking and unranking are inverse operations, since the subset  $\{1, 3, 4, 6\}$  has rank 180 and unranking 180 produces the set  $\{1, 3, 4, 6\}$ .

We have assumed in this section that our base set is  $S = \{1, \dots, n\}$ . What would we do if we wanted to rank and unrank the subsets of some other  $n$ -element set, say  $S'$ ? We could of course design algorithms for ranking and unranking

subsets of  $S'$ , but a different approach is usually more convenient. It suffices to construct a bijection  $\phi : S' \rightarrow S$ . Now we can rank any subset  $X \subseteq S'$ , using a rank function for subsets of  $S$ , from the following formula:

$$\text{rank}(X) = \text{rank}(\phi(X)).$$

Similarly, we can unrank  $r$  to a subset of  $S'$ , using the following formula:

$$\text{rank}(r) = \phi^{-1}(\text{unrank}(r)).$$

In the formula above,  $\phi^{-1}$  is the inverse function of  $\phi$ , i.e.,  $\phi(X) = Y$  if and only if  $\phi^{-1}(Y) = X$ , where  $X \subseteq S'$  and  $Y \subseteq S$ .

For example if we want to rank and unrank subsets of  $S' = \{0, \dots, n-1\}$ , then we can use the bijections  $\phi$  and  $\phi^{-1}$  defined by the following formulas:

$$\phi(X) = \{i+1 : i \in X\}$$

$$\phi^{-1}(Y) = \{i-1 : i \in Y\}.$$

### 2.2.2 Gray codes

The lexicographic ordering defined above makes ranking and unranking very simple, but the ordering is not well suited to the sequential generation of all  $2^n$  subsets of an  $n$ -set. This is because subsets that are consecutive with respect to the ordering can be very "different." For example, in the case  $n = 3$  considered above,  $\text{rank}(\{2, 3\}) = 3$  and  $\text{rank}(\{1\}) = 4$ . Hence, we have two consecutive subsets that are in fact complements of each other (so they are as different as they could possibly be).

Given two subsets  $T_1, T_2 \subseteq S$ , we define the *symmetric difference* of  $T_1$  and  $T_2$ , denoted  $T_1 \Delta T_2$ , to be

$$T_1 \Delta T_2 = (T_1 \setminus T_2) \cup (T_2 \setminus T_1).$$

The *distance* between  $T_1$  and  $T_2$  is defined to be

$$\text{dist}(T_1, T_2) = |T_1 \Delta T_2|.$$

Alternatively,  $\text{dist}(T_1, T_2)$  is equal to the number of coordinates in which  $\chi(T_1)$  and  $\chi(T_2)$  have different entries, which is called the *Hamming distance* between the vectors  $\chi(T_1)$  and  $\chi(T_2)$ . The relevance of the distance between two subsets is that it represents the number of elements that need to be added to and/or deleted from one subset in order to obtain the other.

If we are going to generate all  $2^n$  subsets sequentially, it might be desirable to do so in such a way that any two consecutive subsets have distance one (the smallest possible). This means that any subset can be obtained from the previous one