

Genetic Programming

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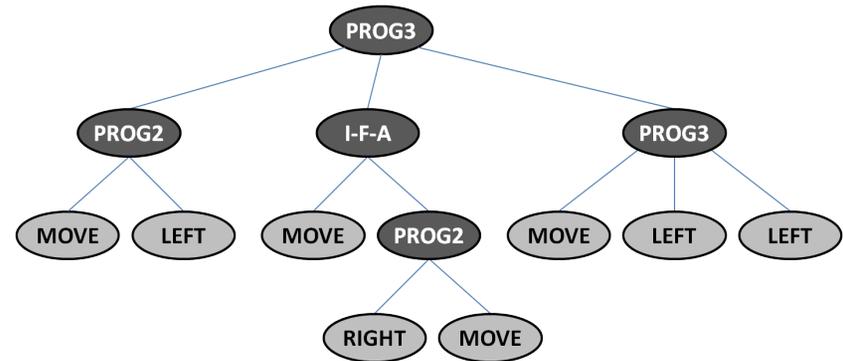


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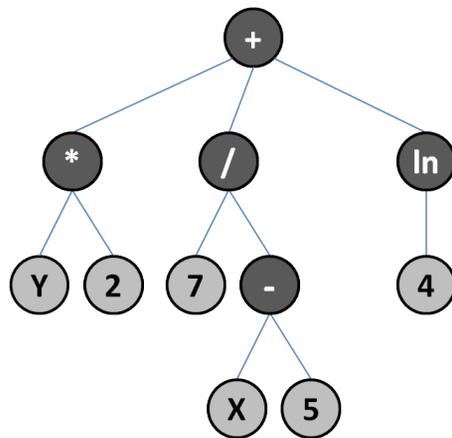
Genetic Programming (GP): Application Domains

Applications

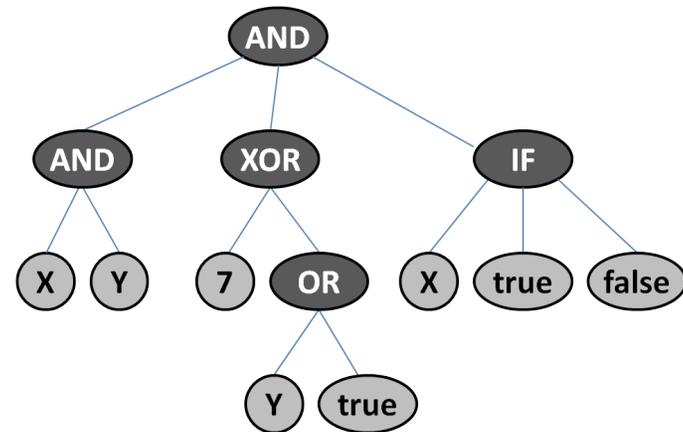
- learning programs,
- learning decision trees,
- learning rules,
- learning strategies,
- ...



Strategy for artificial ant



Arithmetic expression



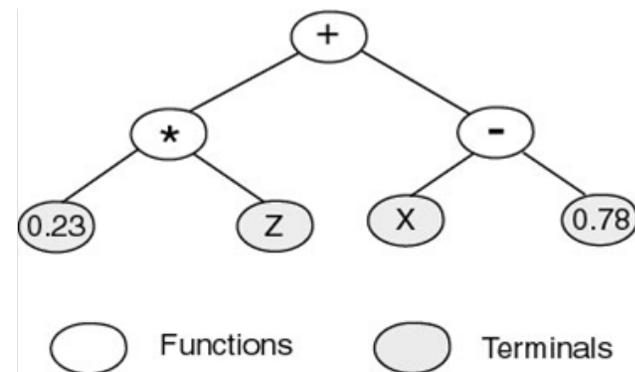
Logic expression

GP: Representation

All possible trees are composed of **functions** (inner nodes) and **terminals** (leaf nodes) appropriate to the problem domain

- **Terminals** – inputs to the programs (independent variables), real, integer or logical constants, actions.
- **Functions**
 - arithmetic operators (+, -, *, /),
 - algebraic functions (sin, cos, exp, log),
 - logical functions (AND, OR, NOT),
 - conditional operators (If-Then-Else, cond?true:false),
 - and others.

Example: Tree representation of a LISP S-expression $0.23 * Z + X - 0.78$



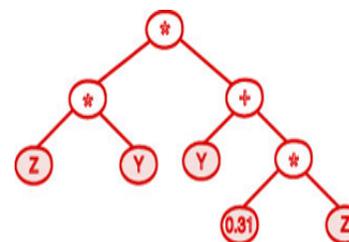
GP: Crossover

Subtree crossover

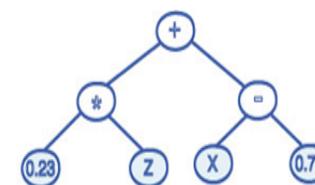
1. randomly select a node (crossover point) in each parent tree
2. create the offspring by replacing the subtrees rooted at the crossover nodes

Crossover points do not have to be selected with uniform probability

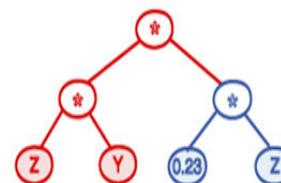
- Typically, the majority of nodes in the trees are leaves, because the average branching factor (the number of children of each node) is ≥ 2 .
- To avoid swapping leaf nodes most of the time, the widely used crossover scenario chooses function nodes 90% of the time and leaves 10% of the time.



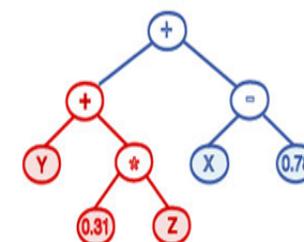
Parent 1: $Z * Y * (Y + 0.31 * Z)$



Parent 2: $0.23 * Z + X - 0.78$



Child 1: $0.23 * Y * Z^2$



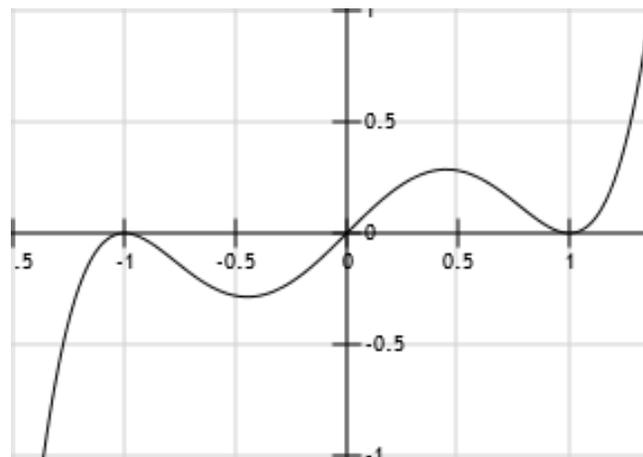
Child 2: $Y + 0.31 * Z + X - 0.78$

GP: Symbolic Regression

Task is to find a function that fits to training data evenly sampled from interval $\langle -1.0, 1.0 \rangle$,
 $f(x) = x^5 - 2x^3 + x$.

GP implementation:

- **Terminal set** $T = \{x\}$.
- **Function set** $F = \{+, -, *, \%, \sin, \cos\}$.
- **Training cases:** 20 pairs (x_i, y_i) , where x_i are values evenly distributed in interval $(-1, 1)$.
- **Fitness:** Sum of errors calculated over all (x_i, y_i) pairs.
- **Stopping criterion:** A solution found that gives the error less than 0.01.



GP: Symbolic Regression

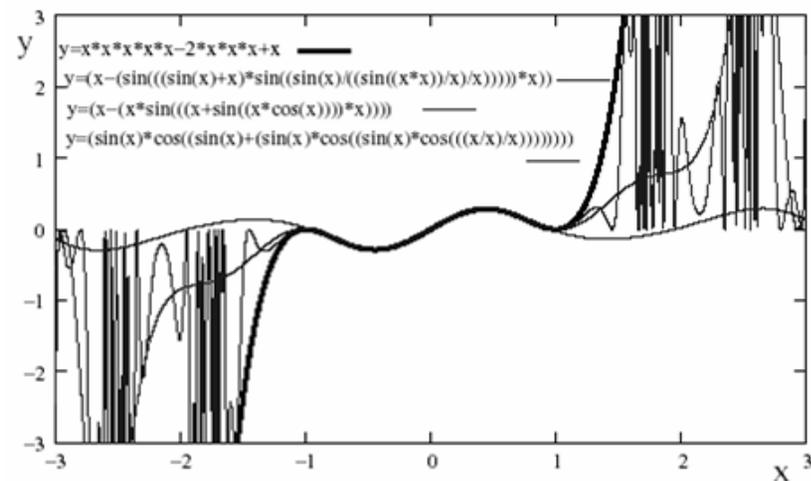
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Besides the desired function other three were found

- with a very strange behavior outside the interval of training data,
- though optimal with respect to the defined fitness.



Artificial Ant Problem

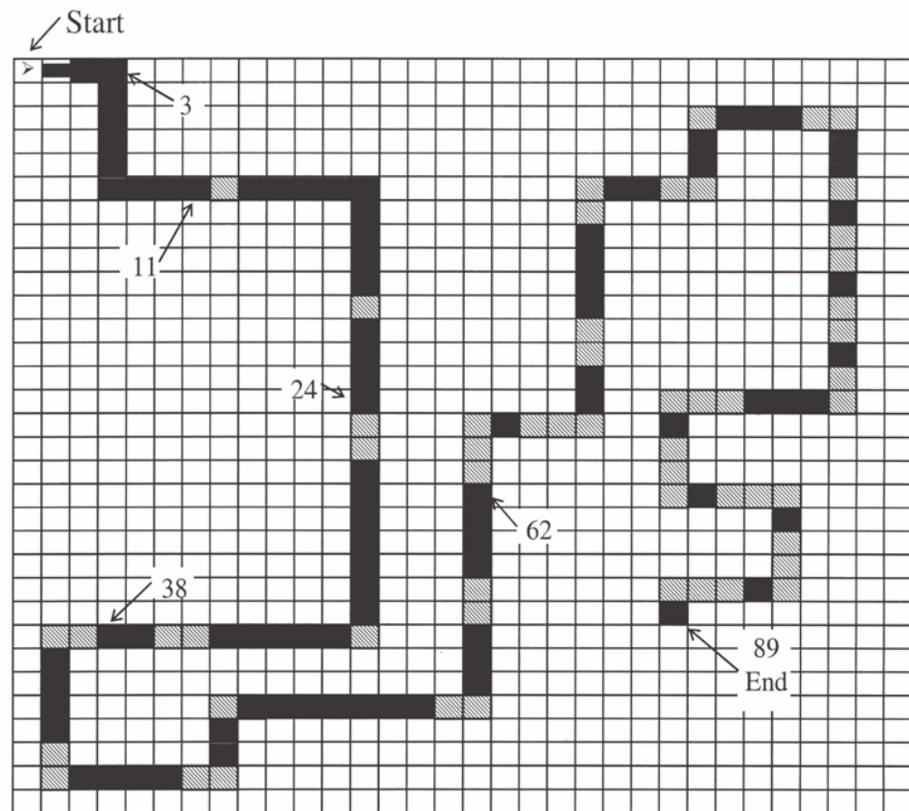
Santa Fe trail

- 32×32 grid with 89 food pieces.
- **Obstacles**
 - $1 \times, 2 \times$ strait,
 - $1 \times, 2 \times, 3 \times$ right/left.

Ant capabilities

- **detects** the food right in front of him in direction he faces.
- **actions** observable from outside
 - MOVE – makes a step and eats a food piece if there is some,
 - LEFT – turns left,
 - RIGHT – turns right,
 - NO-OP – no operation.

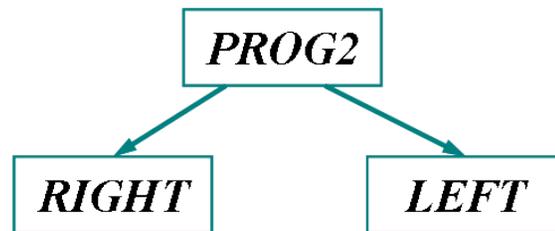
Goal is to find a strategy that would navigate an ant through the grid so that it finds all the food pieces in the given time (600 time steps).



Artificial Ant Problem: GP Approach cont.

Typical solutions in the initial population

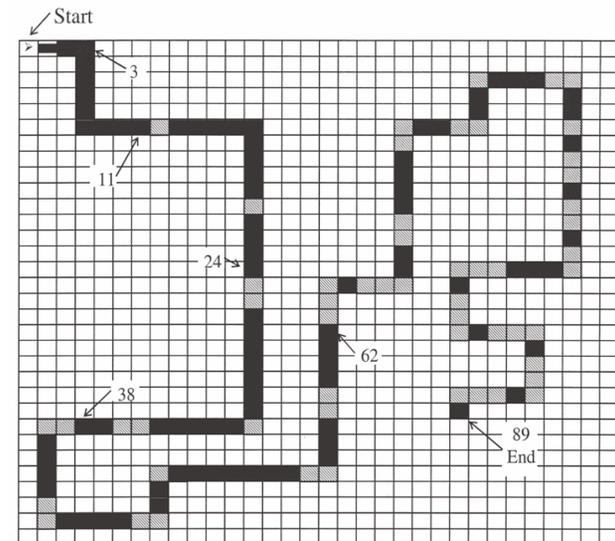
- this solution



completely fails in finding and eating the food,

- similarly this one
(IF-FOOD-AHEAD (LEFT)(RIGHT)),
- this one
(PROG2 (MOVE) (MOVE))
just by chance finds 3 pieces of food.

Santa Fe trail

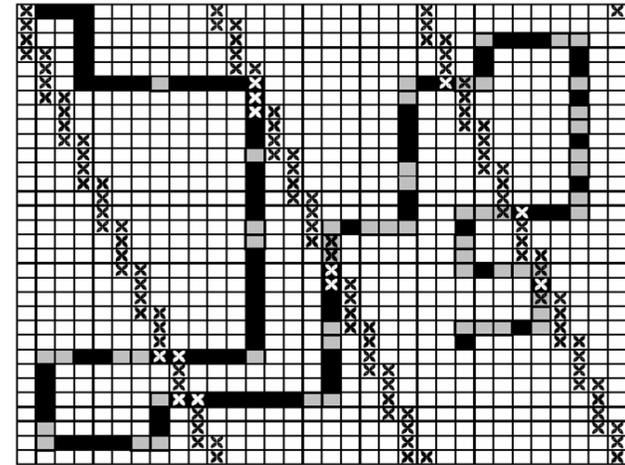


Artificial Ant Problem: GP Approach cont.

More interesting solutions

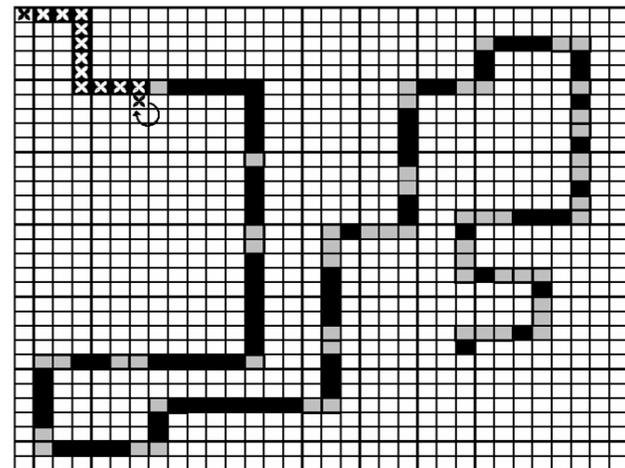
- **Quilter** – performs systematic exploration of the grid,
(PROG3 (RIGHT)
(PROG3 (MOVE) (MOVE) (MOVE))
(PROG2 (LEFT) (MOVE)))

Quilter performance



- **Tracker** – perfectly tracks the food until the first obstacle occurs, then it gets trapped in an infinite loop.
(IF-FOOD-AHEAD (MOVE) (RIGHT))

Tracker performance



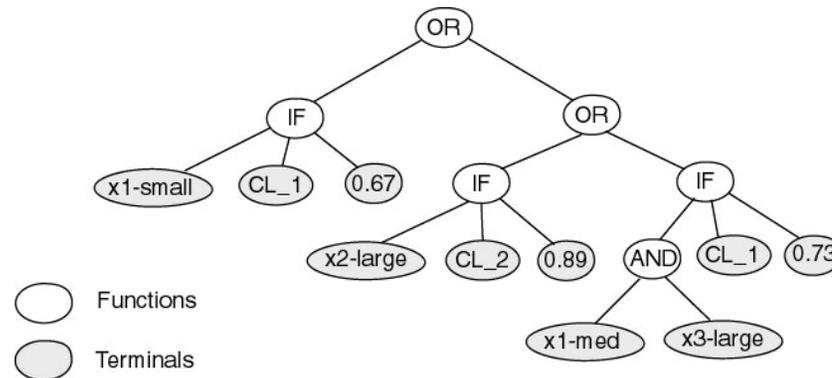
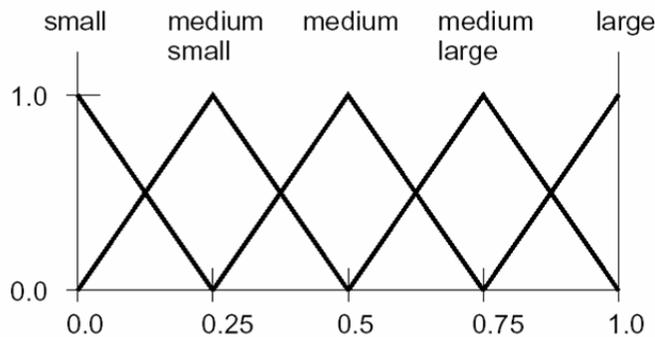
Syntax-preserving GP: Evolving Fuzzy-rule based Classifier

Classifier consists of fuzzy if-then rules of type

IF (x_1 is *medium*) and (x_3 is *large*) THEN *class* = 1 with $cf = 0.73$

Linguistic terms – small, medium small, medium, medium large, large.

Fuzzy membership functions – approximate the confidence in that the crisp value is represented by the linguistic term.



Three rules connected by OR

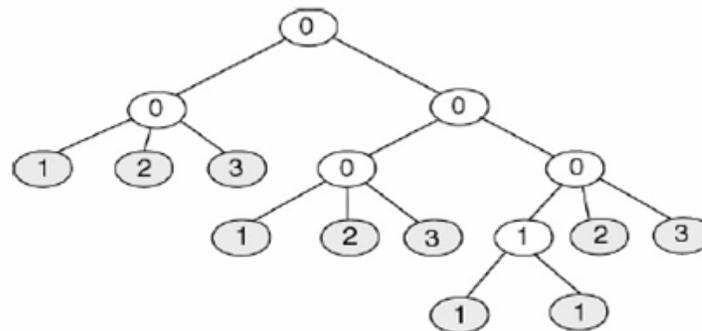
Syntax-preserving GP: Strongly Typed GP

Strongly typed GP – crossover and mutation make explicitly use of type information:

- every terminal has a type,
- every function has types for each of its arguments and a type for its return value,
- the genetic operators are implemented so that they do not violate the type constraints \implies only type correct solutions are generated.

Example: Given the representation as specified below, consider that we chose IS node (with return type 1) as a crossing point in the first parent. Then, the crossing point in the second parent must be either IS or AND node.

F / T	Output	Input
OR	0	0, 0
IF	0	1, 2, 3
AND	1	1, 1
IS	1	None
CLASS	2	None
CF	3	None



STGP can be extended to more complex type systems – multi-level and polymorphic higher-order type systems.

GP Initialization: Probabilistic Tree-Creation Method 1

PTC1 is a modification of Grow that

- allows the user to define probabilities of appearance of functions within the tree,
- gives user a control over expected desired tree size, and guarantees that, on average, trees will be of that size,
- does not give the user any control over the variance in tree sizes.

Given:

- maximum depth bound D
- function set F consisting of N and T
- expected tree size, E_{tree}
- probabilities q_t and q_n for each $t \in T$ and $n \in N$
- arities b_n of all nonterminals $n \in N$

Calculates the probability, p , of choosing a nonterminal over a terminal according to

$$p = \frac{1 - \frac{1}{E_{tree}}}{\sum_{n \in N} q_n b_n}$$

PTC1(depth d)

Returns: a tree of depth $d \leq D$

- 1 if($d = D$) return a terminal from T
(by q_t probabilities)
- 2 else if(rand < p)
- 3 choose a nonterminal n from N
(by q_n probabilities)
- 4 for each argument a of n
- 5 fill a with PTC1($d + 1$)
- 6 return n
- 7 else return a terminal from T
(by q_t probabilities)

Probabilistic Tree-Creation Method PTC1: Proof of p

- From

$$E_{tree} = \frac{1}{1 - pb}$$

we get

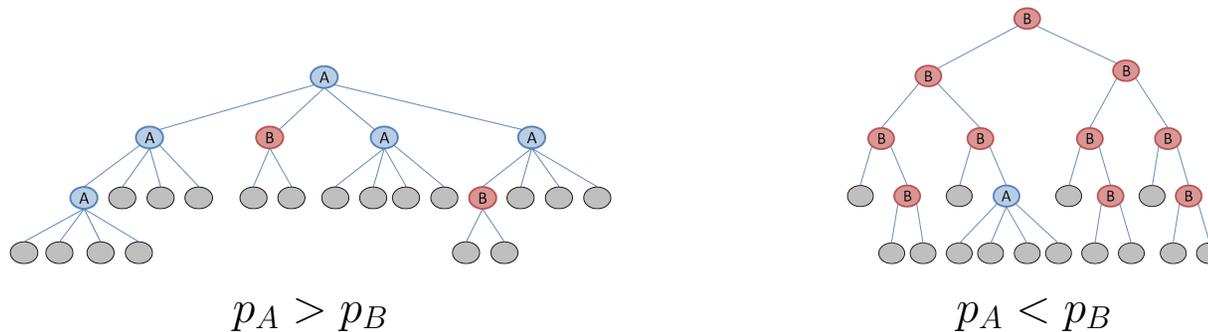
$$p = \frac{1 - \frac{1}{E_{tree}}}{b}$$

After substituting $\sum_{n \in N} q_n b_n$ for b we get

$$p = \frac{1 - \frac{1}{E_{tree}}}{\sum_{n \in N} q_n b_n}$$

- User can bias typical bushiness of a tree by adjusting the occurrence probabilities of nonterminals with large fan-outs and small fan-outs, respectively.

Example: Nonterminal A has four children branches, nonterminal B has two children branches.



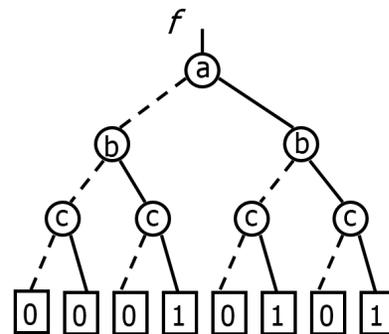
GP: Semantically Driven Crossover

- Applied to **Boolean domains**.
- The semantic equivalence between parents and their children is checked by transforming the trees to **reduced ordered binary decision diagrams (ROBDDs)**.
Trees are considered semantically equivalent if and only if they reduce to the same ROBDDs.

$$f = ac + bc$$

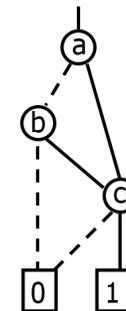
a	b	c	f
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	0
1	1	1	1

Truth table



Decision tree
 — 1 edge
 - - - 0 edge

$$f = (a+b)c$$



Reduced Ordered BDD (ROBDD)

Eliminates two types of **introns** (code that does not contribute to the fitness of the program)

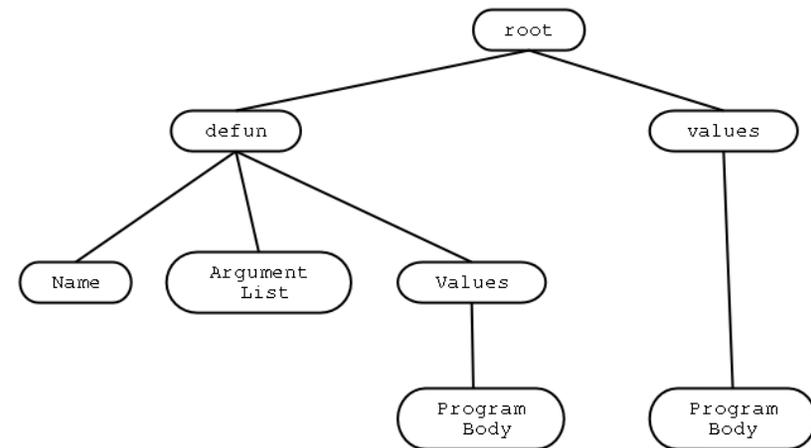
- Unreachable code – (IF A1 D0 (IF A1 (AND D0 D1) D1))
- Redundant code – AND A1 A1

Automatically Defined Functions: Structure of Programs with ADFs

Function defining branches (ADFs) – each ADF resides in a separate function-defining branch.

Each ADF

- can possess zero, one or more formal parameters (dummy variables),
- belongs to a particular individual (program) in the population,
- may be called by the program's result-producing branch(es) or other ADFs.



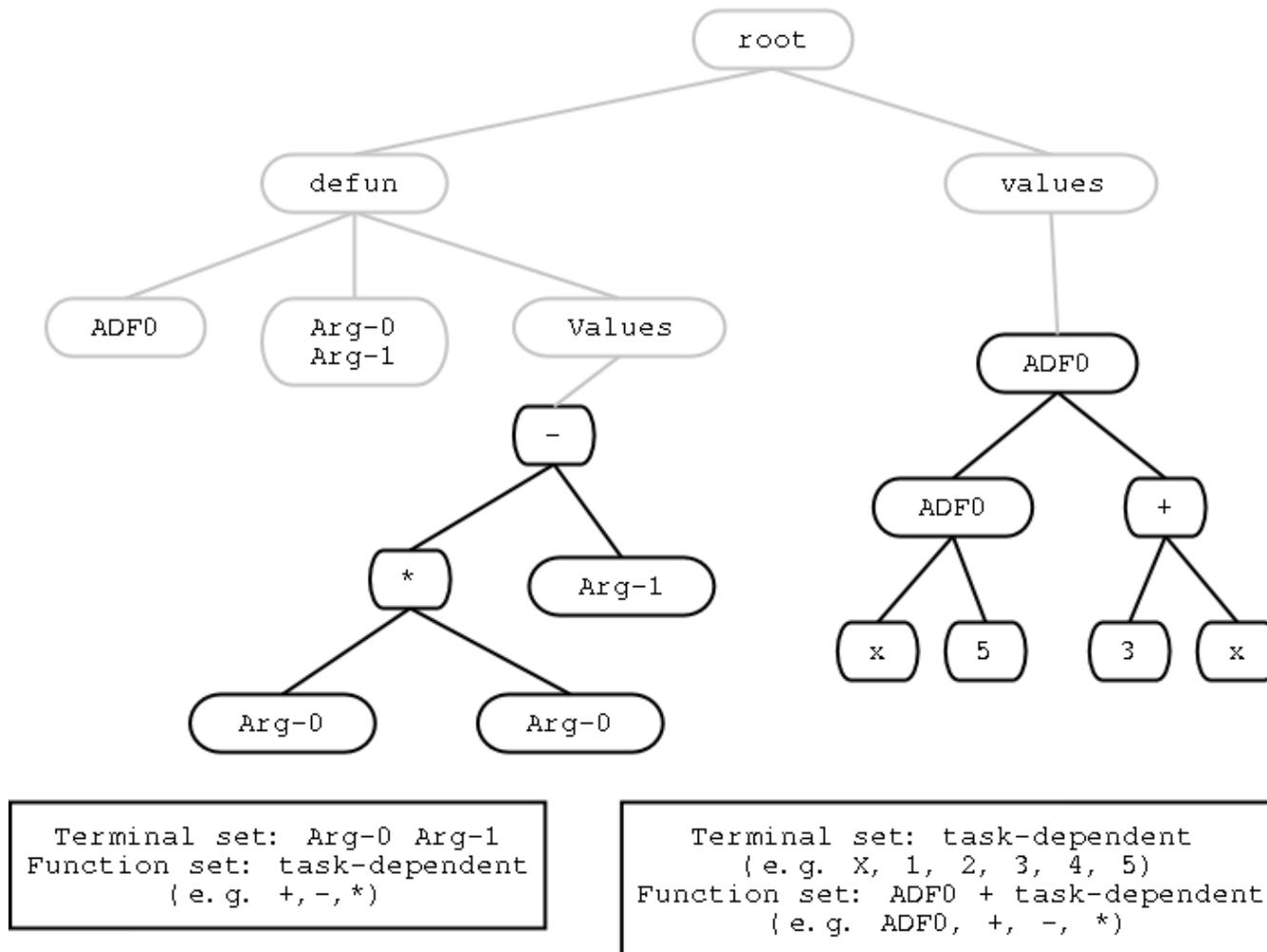
Typically, the ADFs are invoked with different instantiations of their dummy variables.

Result-producing branch (RPB) – "main" program (Can be one or more).

The RPBs and ADFs can have different function and terminal sets.

ADFs as well as RPBs undergo the evolution through the crossover and mutation operations.

ADF: Tree Example for Symbolic Regression of Real-valued Functions

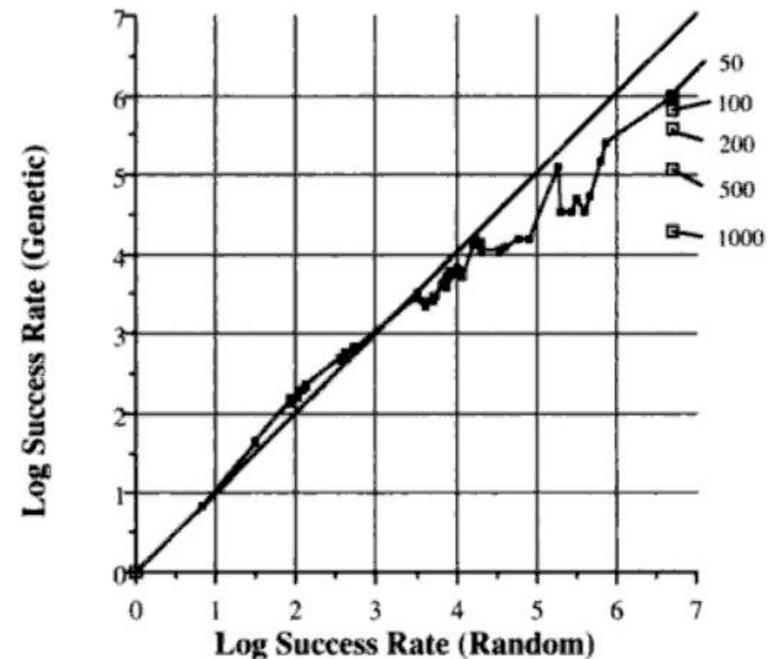


Even-3-Parity Function: Blind Search vs. Simple GP

Log-log scale graph of the number of trees that must be processed per correct solution for blind search vs. GP for 80 Boolean functions with three arguments.

Effect of using larger populations in GP.

- $M = 50$: 999,750 processed ind. per solution
- $M = 100$: 665,923
- $M = 200$: 379,876
- $M = 500$: 122,754
- $M = 1000$: 20,285



Conclusion: The performance advantage of GP over blind search increases for larger population sizes – again, it demonstrates the importance of a proper choice of the population size.

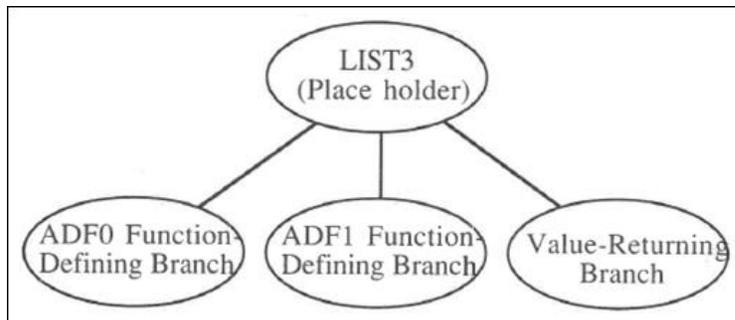
Comparison of GP without and with ADFs: Even-4-Parity Function

Common experimental setup:

- Function set: $F = \{\text{AND}, \text{OR}, \text{NAND}, \text{NOR}\}$
- Population size: 4000
- Number of generations: 51
- Number of independent runs: 60 ~ 80

Setup of the GP with ADFs:

- ADF0 branch
 - Function set: $F = \{\text{AND}, \text{OR}, \text{NAND}, \text{NOR}\}$
 - Terminal set: $A2 = \{\text{ARG0}, \text{ARG1}\}$
- ADF1 branch
 - Function set: $F = \{\text{AND}, \text{OR}, \text{NAND}, \text{NOR}\}$
 - Terminal set: $A3 = \{\text{ARG0}, \text{ARG1}, \text{ARG2}\}$
- Value-producing branch
 - Function set: $F = \{\text{AND}, \text{OR}, \text{NAND}, \text{NOR}, \text{ADF0}, \text{ADF1}\}$
 - Terminal set: $T4 = \{D0, D1, D2, D3\}$

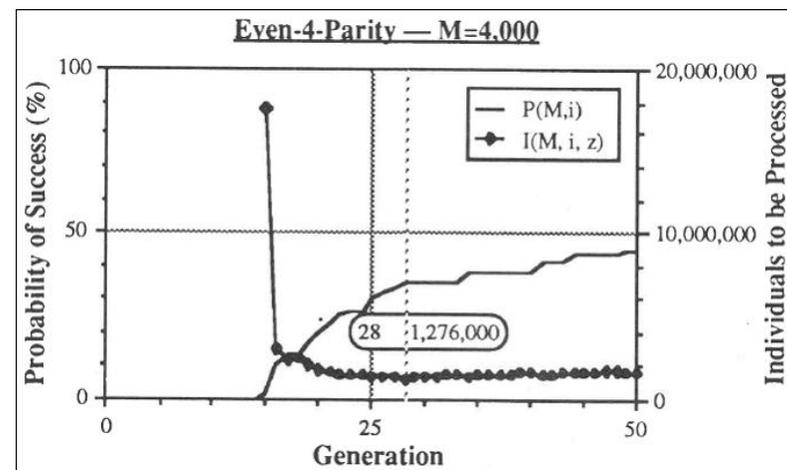


GP without ADFs: Even-4-Parity Function

GP without ADFs is able to solve the even-3-parity function by generation 21 in all of the 66 independent runs.

GP without ADFs on even-4-parity problem (based on 60 independent runs)

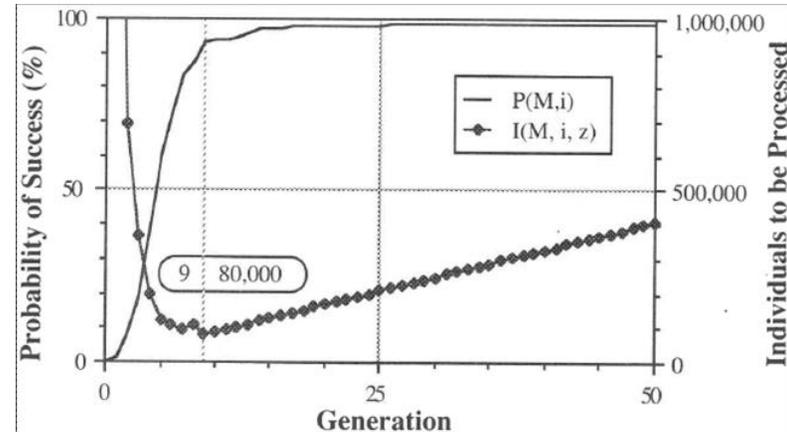
- Cumulative probability of success, $P(M, i)$, is 35% and 45% by generation 28 and 50, respectively.
- The most efficient is to run GP up to the generation 28 – if the problem is run through to generation 28, processing a total of $4,000 \times 29 \text{ gener} \times 11 \text{ runs} = 1,276,000$ individuals is sufficient to yield a solution with 99% probability.



GP with ADFs: Even-4-Parity Function

GP with ADFs on even-4-parity problem (based on 168 independent runs)

- Cumulative probability of success, $P(M, i)$, is 93% and 99% by generation 9 and 50, respectively.
- If the problem is run through to generation 9, processing a total of $4,000 \times 10 \text{ gener} \times 2 \text{ runs} = 80,000$ individuals is sufficient to yield a solution with 99% probability.



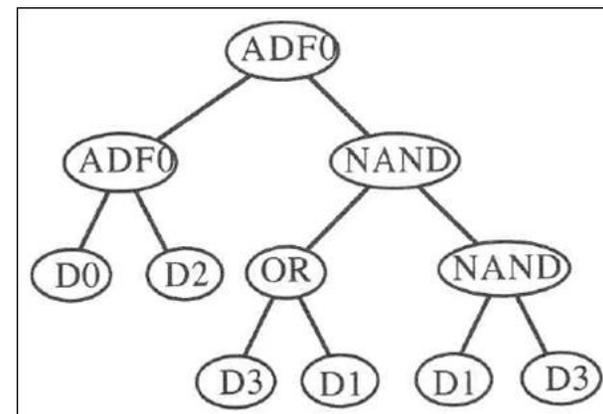
This is a considerable improvement in performance compared to the performance of GP without ADFs.

GP with ADFs: Even-4-Parity Function

An example of solution with 74 nodes.

```
(LIST3 (NAND (OR (AND (NOR ARG0 ARG1) (NOR (AND ARG1 ARG1) ARG1)) (NOR (NAND ARG0 ARG0) (NAND ARG1 ARG1))) (NAND (NOR (NOR ARG1 ARG1) (AND (OR (NAND ARG0 ARG0) (NOR ARG1 ARG0)) ARG0)) (AND (OR ARG0 ARG0) (NOR (OR (AND (NOR ARG0 ARG1) (NAND ARG1 ARG1)) (NOR (NAND ARG0 ARG0) (NAND ARG1 ARG1)))) ARG1)))) (OR (AND ARG2 (NAND ARG0 ARG2)) (NOR ARG1 ARG1)) (ADF0 (ADF0 D0 D2) (NAND (OR D3 D1) (NAND D1 D3))))).
```

- ADF0 defined in the first branch implements two-argument XOR function (odd-2-parity function).
- Second branch defines three-argument ADF1. It has no effect on the performance of the program since it is not called by the value-producing branch.
- VPB implements a function equivalent to ADF0 (ADF0 D0 D2) (EQV D3 D1)

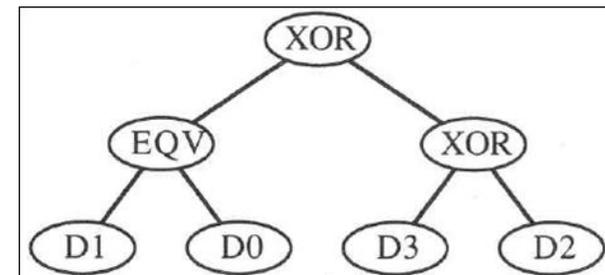


GP with Hierarchical ADFs: Even-4-Parity Function

An example of solution with 45 nodes.

```
(LIST3 (NOR (NOR ARG2 ARG0) (AND ARG0 ARG2))
      (NAND (ADF0 ARG2 ARG2 ARG0)
            (NAND (ADF0 ARG2 ARG1 ARG2)
                  (ADF0 (OR ARG2 ARG1)
                        (NOR ARG0 ARG1)
                        (ADF0 ARG1 ARG0 ARG2))))))
(ADF0 (ADF1 D1 D3 D0)
      (NOR (OR D2 D3) (AND D3 D3))
      (ADF0 D3 D3 D2)).
```

- ADF0 defines a two-argument XOR function of variables ARG0 and ARG2 (it ignores ARG1).
- ADF1 defines a three-argument function that reduces to the two-argument equivalence function of the form (NOT (ADF0 ARG2 ARG0))
- VPB reduces to (ADF0 (ADF1 D1 D0) (ADF0 D3 D2))



Value-producing branch

