

PUI: Notes on Classical Planning

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1. Representations

Definition 1. A STRIPS **planning task** Π is specified by a tuple $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, where $\mathcal{F} = \{f_1, \dots, f_n\}$ is a set of facts, $\mathcal{O} = \{o_1, \dots, o_m\}$ is a set of operators, and c is a cost function mapping each operator to a non-negative real number. A **state** $s \subseteq \mathcal{F}$ is a set of facts, $s_{init} \subseteq \mathcal{F}$ is an **initial state** and $s_{goal} \subseteq \mathcal{F}$ is a **goal** specification. An **operator** o is a triple $o = \langle \text{pre}(o), \text{add}(o), \text{del}(o) \rangle$, where $\text{pre}(o) \subseteq \mathcal{F}$ is a set of preconditions, and $\text{add}(o) \subseteq \mathcal{F}$ and $\text{del}(o) \subseteq \mathcal{F}$ are sets of add and delete effects, respectively. All operators are well-formed, i.e., $\text{add}(o) \cap \text{del}(o) = \emptyset$ and $\text{pre}(o) \cap \text{add}(o) = \emptyset$. An operator o is **applicable** in a state s if $\text{pre}(o) \subseteq s$. The **resulting state** of applying an applicable operator o in a state s is the state $o[s] = (s \setminus \text{del}(o)) \cup \text{add}(o)$. A state s is a **goal state** iff $s_{goal} \subseteq s$.

A **sequence of operators** $\pi = \langle o_1, \dots, o_n \rangle$ is applicable in a state s_0 if there are states s_1, \dots, s_n such that o_i is applicable in s_{i-1} and $s_i = o_i[s_{i-1}]$ for $1 \leq i \leq n$. The resulting state of this application is $\pi[s_0] = s_n$ and the cost of the plan is $c(\pi) = \sum_{o \in \pi} c(o)$. A sequence of operators π is called a **plan** iff $s_{goal} \subseteq \pi[s_{init}]$, and an **optimal plan** is a plan with the minimal cost over all plans.

Definition 2. An FDR planning task P is specified by a tuple $P = \langle \mathcal{V}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, where \mathcal{V} is a finite set of **variables**. Each variable $V \in \mathcal{V}$ has a finite domain D_V . A (partial) **state** s is a (partial) variable assignment over \mathcal{V} . We write $\text{vars}(s)$ for the set of variables defined in s and $s[V]$ for the value of V in s . The notation $s[V] = \perp$ means that $V \notin \text{vars}(s)$. A partial state s is **consistent** with a partial state s' if $s[V] = s'[V]$ for all $V \in \text{vars}(s')$. We say that **atom** $V = v$ is true in a (partial) state s iff $s[V] = v$. By c we denote a cost function mapping each operator to a non-negative real number. An **operator** $o \in \mathcal{O}$ is a pair $o = \langle \text{pre}(o), \text{eff}(o) \rangle$, where precondition $\text{pre}(o)$ and effect $\text{eff}(o)$ are partial assignments over \mathcal{V} . We require that $V = v$ cannot be both a precondition and an effect. The (complete) state s_{init} is the **initial state** of the task and the partial state s_{goal} describes its **goal**.

An operator o is **applicable** in a state s if s is consistent with $\text{pre}(o)$. The **resulting state** of applying an applicable operator o in the state s is the state $\text{res}(o, s)$ with

$$\text{res}(o, s) = \begin{cases} \text{eff}(o)[V] & \text{if } V \in \text{vars}(\text{eff}(o)), \\ s[V] & \text{otherwise.} \end{cases}$$

A **sequence of operators** $\pi = \langle o_1, \dots, o_n \rangle$ is applicable in a state s_0 if there are states s_1, \dots, s_n such that o_i is applicable in s_{i-1} and $s_i = \text{res}(o_i, s_{i-1})$ for $1 \leq i \leq n$. The resulting state of this application is $\text{res}(\pi, s_0) = s_n$ and the cost of the plan is $c(\pi) = \sum_{o \in \pi} c(o)$.

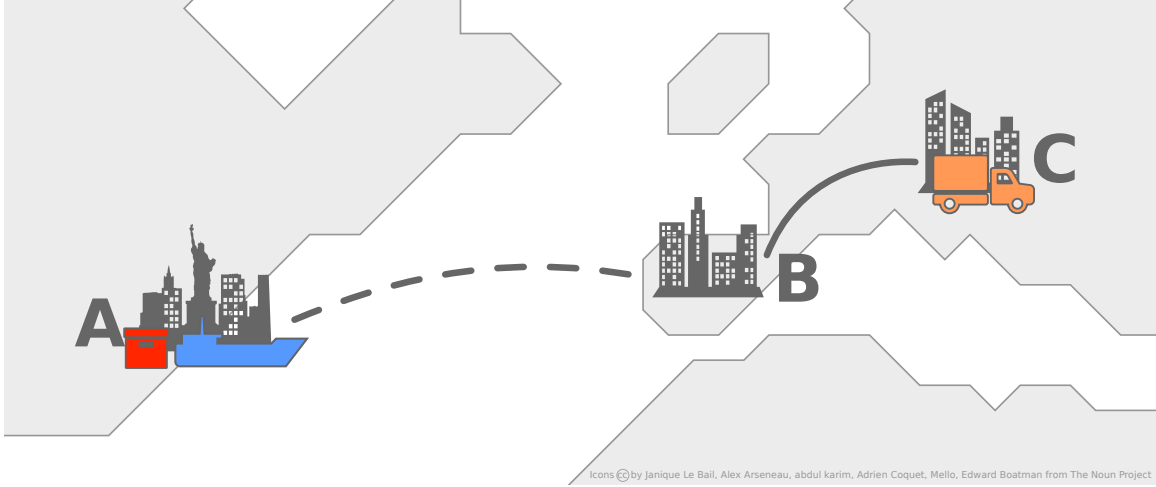


Figure 1: Example problem.

A sequence of operators π is called a **plan** iff $\text{res}(\pi, s_{init})$ is consistent with s_{goal} , and an **optimal plan** is a plan with the minimal cost over all plans.

Exercises

Ex. 1.1 — Model the problem from Fig. 1 in STRIPS.

Ex. 1.2 — Model the problem from Fig. 1 in FDR.

2. h^{\max} Heuristic

Definition 3. Given a STRIPS planning task $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, $\Pi^+ = \langle \mathcal{F}, \mathcal{O}^+, s_{init}, s_{goal}, c \rangle$ denotes a **relaxed** STRIPS planning task, where $\mathcal{O}^+ = \{o_i^+ = \langle \text{pre}(o_i), \text{add}(o_i), \emptyset \rangle \mid o_i \in \mathcal{O}\}$.

Definition 4. Let $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$ denote a STRIPS planning task. The heuristic function $h^{\text{add}}(s)$ gives an estimate of the distance from s to a node that satisfies the goal s_{goal} as $h^{\text{add}}(s) = \sum_{f \in s_{goal}} \Delta_0(s, f)$, where:

$$\Delta_0(s, o) = \sum_{f \in \text{pre}(o)} \Delta_0(s, f), \quad \forall o \in \mathcal{O},$$

and

$$\Delta_0(s, f) = \begin{cases} 0 & \text{if } f \in s, \\ \infty & \text{if } \forall o \in \mathcal{O} : f \notin \text{add}(o), \\ \min\{c(o) + \Delta_0(s, o) \mid o \in \mathcal{O}, f \in \text{add}(o)\} & \text{otherwise.} \end{cases}$$

Definition 5. Let $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$ denote a STRIPS planning task. The heuristic function $h^{\max}(s)$ gives an estimate of the distance from s to a node that satisfies the goal s_{goal} as $h^{\max}(s) = \max_{f \in s_{goal}} \Delta_1(s, f)$, where:

$$\Delta_1(s, o) = \max_{f \in \text{pre}(o)} \Delta_1(s, f), \quad \forall o \in \mathcal{O},$$

and

$$\Delta_1(s, f) = \begin{cases} 0 & \text{if } f \in s, \\ \infty & \text{if } \forall o \in \mathcal{O} : f \notin \text{add}(o), \\ \min\{c(o) + \Delta_1(s, o) \mid o \in \mathcal{O}, f \in \text{add}(o)\} & \text{otherwise.} \end{cases}$$

Algorithm 1: Algorithm for computing $h^{\max}(s)$.

Input: $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, state s
Output: $h^{\max}(s)$

- 1 **for each** $f \in s$ **do** $\Delta_1(s, f) \leftarrow 0$;
- 2 **for each** $f \in \mathcal{F} \setminus s$ **do** $\Delta_1(s, f) \leftarrow \infty$;
- 3 **for each** $o \in \mathcal{O}$ **do** $U(o) \leftarrow |\text{pre}(o)|$;
- 4 $C \leftarrow \emptyset$;
- 5 **while** $s_{goal} \not\subseteq C$ **do**
- 6 $c \leftarrow \arg \min_{f \in \mathcal{F} \setminus C} \Delta_1(s, f)$;
- 7 $C \leftarrow C \cup \{c\}$;
- 8 **for each** $o \in \mathcal{O}, c \in \text{pre}(o)$ **do**
- 9 $U(o) \leftarrow U(o) - 1$;
- 10 **if** $U(o) = 0$ **then**
- 11 **for each** $f \in \text{add}(o)$ **do**
- 12 $\Delta_1(s, f) \leftarrow \min\{\Delta_1(s, f), c(o) + \Delta_1(s, c)\}$;
- 13 **end**
- 14 **end**
- 15 **end**
- 16 **end**
- 17 $h^{\max}(s) = \max_{f \in s_{goal}} \Delta_1(s, f)$;

Exercises

Ex. 2.1 — Modify Algorithm 1 to compute h^{add} instead of h^{\max} .

Ex. 2.2 — Compute $h^{\max}(s_{init})$, $h^{\text{add}}(s_{init})$, $h^+(s_{init})$, and $h^*(s_{init})$ for the following problem $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$:

$$\mathcal{F} = \{a, b, c, d, e, f, g\}$$

	pre	add	del	c
o_1	$\{a\}$	$\{c, d\}$	$\{a\}$	1
o_2	$\{a, b\}$	$\{e\}$	\emptyset	1
o_3	$\{b, e\}$	$\{d, f\}$	$\{a, e\}$	1
o_4	$\{b\}$	$\{a\}$	\emptyset	1
o_5	$\{d, e\}$	$\{g\}$	$\{e\}$	1

$$s_{init} = \{a, b\}, s_{goal} = \{f, g\}$$

3. LM-Cut Heuristic

Definition 6. A **disjunctive operator landmark** $L \subseteq \mathcal{O}$ is a set of operators such that every plan contains at least one operator from L .

Definition 7. Let $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$ denote a planning task, let Δ_1 denote the function from Definition 5 for Π , and let $\text{supp}(o) = \arg \max_{f \in \text{pre}(o)} \Delta_1(f)$ denote a function mapping each operator to its **supporter**.

A **justification graph** $G = (N, E)$ is a directed labeled multigraph with a set of nodes $N = \{n_f \mid f \in \mathcal{F}\}$ and a set of edges $E = \{(n_s, n_t, o) \mid o \in \mathcal{O}, s = \text{supp}(o), t \in \text{add}(o)\}$, where the triple (a, b, l) denotes an edge from a to b with the label l .

An **s-t-cut** $\mathcal{C}(G, s, t) = (N^0, N^* \cup N^b)$ is a partitioning of nodes from the justification graph $G = (N, E)$ such that N^* contains all nodes from which t can be reached with a zero-cost path, N^0 contains all nodes reachable from s without passing through any node from N^* , and $N^b = N \setminus (N^0 \cup N^*)$.

Algorithm 2: Algorithm for computing $h^{\text{lm-cut}}(s)$.

Input: $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, state s
Output: $h^{\text{lm-cut}}(s)$

- 1 $h^{\text{lm-cut}}(s) \leftarrow 0$;
- 2 $\Pi_1 = \langle \mathcal{F}' = \mathcal{F} \cup \{I, G\}, \mathcal{O}' = \mathcal{O} \cup \{o_{init}, o_{goal}\}, s'_{init} = \{I\}, s'_{goal} = \{G\}, c_1 \rangle$, where
 $\text{pre}(o_{init}) = \{I\}$, $\text{add}(o_{init}) = s_{init}$, $\text{del}(o_{init}) = \emptyset$, $\text{pre}(o_{goal}) = s_{goal}$,
 $\text{add}(o_{goal}) = \{G\}$, $\text{del}(o_{goal}) = \emptyset$, $c_1(o_{init}) = 0$, $c_1(o_{goal}) = 0$, and $c_1(o) = c(o)$ for all
 $o \in \mathcal{O}$;
- 3 $i \leftarrow 1$;
- 4 **while** $h^{\text{max}}(\Pi_i, s'_{init}) \neq 0$ **do**
- 5 Construct a justification graph G_i from Π_i ;
- 6 Construct an s-t-cut $\mathcal{C}_i(G_i, n_I, n_G) = (N_i^0, N_i^* \cup N_i^b)$;
- 7 Create a landmark L_i as a set of labels of edges that cross the cut \mathcal{C}_i , i.e., they
 lead from N_i^0 to N_i^* ;
- 8 $m_i \leftarrow \min_{o \in L_i} c_i(o)$;
- 9 $h^{\text{lm-cut}}(s) \leftarrow h^{\text{lm-cut}}(s) + m_i$;
- 10 Set $\Pi_{i+1} = \langle \mathcal{F}', \mathcal{O}', s'_{init}, s'_{goal}, c_{i+1} \rangle$, where $c_{i+1}(o) = c_i(o) - m_i$ if $o \in L_i$, and
 $c_{i+1}(o) = c_i(o)$ otherwise;
- 11 $i \leftarrow i + 1$;
- 12 **end**

Exercises

Ex. 3.1 — Modify Algorithm 1 to compute h^{max} and to find supporters from Definition 7 at the same time.

Ex. 3.2 — Compute $h^{\text{lm-cut}}(s_{init})$ for the following problem $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$:
 $\mathcal{F} = \{s, t, q_1, q_2, q_3\}$

	pre	add	del	c
o_1	$\{s\}$	$\{q_1, q_2\}$	\emptyset	1
o_2	$\{s\}$	$\{q_1, q_3\}$	\emptyset	1
o_3	$\{s\}$	$\{q_2, q_3\}$	\emptyset	1
fin	$\{q_1, q_2, q_3\}$	$\{t\}$	\emptyset	0

$s_{init} = \{s\}, s_{goal} = \{t\}$

Ex. 3.3 — Compute $h^{\max}(s_{init})$, $h^{\text{lm-cut}}(s_{init})$, $h^+(s_{init})$, and $h^*(s_{init})$ for the following problem $\Pi = \langle \mathcal{F}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$:

$\mathcal{F} = \{a, b, c, d, e, i, g\}$

	pre	add	del	c
o_1	$\{i\}$	$\{a, b\}$	\emptyset	2
o_2	$\{i\}$	$\{b, c\}$	\emptyset	3
o_3	$\{a, c\}$	$\{d\}$	$\{c\}$	1
o_4	$\{b, d\}$	$\{e\}$	$\{b\}$	3
o_5	$\{a, c, e\}$	$\{g\}$	$\{c, d\}$	1
o_6	$\{a\}$	$\{e\}$	$\{a, c\}$	5

$s_{init} = \{i\}, s_{goal} = \{g\}$

Ex. 3.4 — Decide dominance for the following cases: $h^{\max} \succcurlyeq h^{\text{add}}$, $h^{\max} \succcurlyeq h^{\text{lm-cut}}$, $h^{\max} \succcurlyeq h^+$, $h^{\text{lm-cut}} \preccurlyeq h^+$, $h^{\text{lm-cut}} \succcurlyeq h^{\max}$.

4. Merge And Shrink Heuristic

Definition 8. A **transition system** is a tuple $\mathcal{T} = \langle S, L, T, I, G \rangle$, where S is a finite set of **states**, L is a finite set of **labels**, each label has **cost** $c(l) \in \mathbb{R}_0^+$, $T \subseteq S \times L \times S$ is a **transition relation**, $I \subseteq S$ is a set of initial states, and $G \subseteq S$ is a set of goal states.

Definition 9. Given an FDR planning task $P = \langle \mathcal{V}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$, $\mathcal{T}(P) = \langle S, L, T, I, G \rangle$ denote a **transition system of** P , where S is a set of states over \mathcal{V} , $L = \mathcal{O}$, $T = \{(s, o, t) \mid \text{res}(o, s) = t\}$, $I = \{s_{init}\}$, and $G = \{s \mid s \in S, s \text{ is consistent with } s_{goal}\}$.

Definition 10. Let $\mathcal{T}^1 = \langle S^1, L, T^1, I^1, G^1 \rangle$ and $\mathcal{T}^2 = \langle S^2, L, T^2, I^2, G^2 \rangle$ denote two transition systems with the same set of labels, and let $\alpha : S^1 \mapsto S^2$. We say that S^2 is an **abstraction of** S^1 with **abstraction function** α if for every $s \in I^1$ it holds that $\alpha(s) \in I^2$ and for every $s \in G^1$ it holds that $\alpha(s) \in G^2$ and for every $(s, l, t) \in T^1$ it holds that $(\alpha(s), l, \alpha(t)) \in T^2$.

Definition 11. Let P denote an FDR planning task, let \mathcal{A} denote an abstraction of a transition system $\mathcal{T}(P) = \langle S, L, T, I, G \rangle$ with the abstraction function α . The **abstraction heuristic** induced by \mathcal{A} and α is the function $h^{\mathcal{A}, \alpha}(s) = h^*(\mathcal{A}, \alpha(s))$ for all $s \in S$.

Definition 12. Given two transition systems $\mathcal{T}^1 = \langle S^1, L, T^1, I^1, G^1 \rangle$ and $\mathcal{T}^2 = \langle S^2, L, T^2, I^2, G^2 \rangle$ with the same set of labels, the **synchronized product** $\mathcal{T}^1 \otimes \mathcal{T}^2 = \mathcal{T}$ is a transition system $\mathcal{T} = \langle S, L, T, I, G \rangle$, where $S = S^1 \times S^2$, $T = \{((s_1, s_2), l, (t_1, t_2)) \mid (s_1, l, s_2) \in T^1, (s_2, l, t_2) \in T^2\}$, $I = I^1 \times I^2$, and $G = G^1 \times G^2$.

Algorithm 3: Algorithm for computing merge-and-shrink.

Input: $P = \langle \mathcal{V}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$

Output: Abstraction \mathcal{M}

- 1 $\mathcal{A} \leftarrow$ Set of (atomic) abstractions $(\alpha_i, \mathcal{T}_i)$ of $\mathcal{T}(P)$;
 - 2 **while** $|\mathcal{A}| > 1$ **do**
 - 3 $A_1 = (\alpha_1, \mathcal{T}_1), A_2 = (\alpha_2, \mathcal{T}_2) \leftarrow$ Select two abstractions from \mathcal{A} ;
 - 4 Shrink A_1 and/or A_2 until they are “small enough”;
 - 5 $\mathcal{A} \leftarrow (\mathcal{A} \setminus \{A_1, A_2\}) \cup (A_1 \otimes A_2)$ // Merge
 - 6 **end**
 - 7 $\mathcal{M} \leftarrow$ The only element of \mathcal{A} ;
-

Exercises

Ex. 4.1 — Compute the synchronized product of $\mathcal{T}^1 = \langle S^1, L, T^1, I^1, G^1 \rangle$ and $\mathcal{T}^2 = \langle S^2, L, T^2, I^2, G^2 \rangle$, where $L = \{a, b, c, d, e\}$, $S^1 = \{A, B, C, D\}$, $T^1 = \{(A, a, B), (B, b, C), (C, c, A), (A, d, A), (A, e, D)\}$, $I^1 = \{A, B\}$, $G^1 = \{A, C\}$, $S^2 = \{X, Y, Z\}$, $T^2 = \{(X, a, Y), (X, a, Z), (Y, b, Z), (Z, c, Y), (Z, d, Y), (Z, e, Z)\}$, $I^2 = \{X\}$, and $G^2 = \{X\}$.

Ex. 4.2 — Study merge and shrink strategies proposed by Helmert, Haslum, and Hoffmann (2007) and compute $h^{m\&s}(s_{init})$ for the problem in Fig. 1 (Ex. 1.2).

5. LP-Based Heuristics

Definition 13. Let $P = \langle \mathcal{V}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$ denote an FDR planning task. The **domain transition graph** for a variable $V \in \mathcal{V}$ is a tuple $\mathcal{A}_V = (N_V, L_V, T_V)$, where $N_V = \{n_v \mid v \in D_V\} \cup \{n_\perp\}$ is a set of nodes, $L_V = \{o \mid o \in \mathcal{O}, V \in \text{vars}(\text{pre}(o)) \cup \text{vars}(\text{eff}(o))\}$ is a set of labels, and $T_V \subseteq N_V \times L_V \times N_V$ is a set of transitions $T_V = \{(n_u, o, n_v) \mid o \in L_V, V \in \text{vars}(\text{eff}(o)), \text{pre}(o)[V] = u, \text{eff}(o)[V] = v\} \cup \{(n_v, o, n_v) \mid o \in L_V, V \notin \text{vars}(\text{eff}(o)), \text{pre}(o)[V] = v\}$.

Definition 14. Let $P = \langle \mathcal{V}, \mathcal{O}, s_{init}, s_{goal}, c \rangle$ denote an FDR planning task, $\mathcal{A}_V = (N_V, L_V, T_V)$ a domain transition graph for each variable $V \in \mathcal{V}$, and s a state reachable from s_{init} . Given the following linear program with real-valued variables x_o for each operator $o \in \mathcal{O}$:

$$\begin{aligned} & \text{minimize} && \sum_{o \in \mathcal{O}} c(o)x_o \\ & \text{subject to} && LB_{V,v} \leq \sum_{(v',o,v) \in T_V} x_o - \sum_{(v,o,v') \in T_V} x_o \quad \forall V \in \mathcal{V}, \forall v \in D_V, \end{aligned}$$

where

$$LB_{V,v} = \begin{cases} 0 & \text{if } V \in \text{vars}(s_{goal}) \text{ and } s_{goal}[V] = v \text{ and } s[V] = v, \\ 1 & \text{if } V \in \text{vars}(s_{goal}) \text{ and } s_{goal}[V] = v \text{ and } s[V] \neq v, \\ -1 & \text{if } (V \notin \text{vars}(s_{goal}) \text{ or } s_{goal}[V] \neq v) \text{ and } s[V] = v, \\ 0 & \text{if } (V \notin \text{vars}(s_{goal}) \text{ or } s_{goal}[V] \neq v) \text{ and } s[V] \neq v, \end{cases}$$

then the value of the **flow heuristic** $h^{\text{flow}}(s)$ for the state s is

$$h^{\text{flow}}(s) = \begin{cases} \left\lceil \sum_{o \in \mathcal{O}} c(o)x_o \right\rceil & \text{if the solution is feasible,} \\ \infty & \text{if the solution is not feasible.} \end{cases}$$

(Bonet, 2013; Bonet & van den Briel, 2014)

Definition 15. Let $P = \langle \mathcal{V}, \mathcal{O}, s_{\text{init}}, s_{\text{goal}}, c \rangle$ denote an FDR planning task and s a state reachable from s_{init} . Given the following linear program with real-valued variables $P_{V,v}$ for each variable $V \in \mathcal{V}$ and each value $v \in D_V$, and real-valued variables M_V for each variable $V \in \mathcal{V}$:

$$\begin{aligned} & \text{maximize} && \sum_{V \in \mathcal{V}} P_{V,s[V]} \\ & \text{subject to} && P_{V,v} \leq M_V && \forall V \in \mathcal{V}, \forall v \in D_V \\ & && \sum_{V \in \mathcal{V}} \text{maxpot}(V, s_{\text{goal}}) \leq 0 \\ & && \sum_{V \in \text{vars}(\text{eff}(o))} (\text{maxpot}(V, \text{pre}(o)) - P_{V,\text{eff}(o)[V]}) \leq \text{cost}(o) \quad \forall o \in \mathcal{O}, \end{aligned}$$

where

$$\text{maxpot}(V, p) = \begin{cases} P_{V,p[V]} & \text{if } V \in \text{vars}(p), \\ M_V & \text{otherwise.} \end{cases}$$

then the value of the **potential heuristic** $h^{\text{pot}}(s)$ for the state s is

$$h^{\text{pot}}(s) = \begin{cases} \left\lceil \sum_{V \in \mathcal{V}} P_{V,s[V]} \right\rceil & \text{if the solution is feasible,} \\ \infty & \text{if the solution is not feasible.} \end{cases}$$

(Pommerening, Helmert, Röger, & Seipp, 2015; Seipp, Pommerening, & Helmert, 2015)

Exercises

Ex. 5.1 — Compute the $h^{\text{flow}}(s_{\text{init}})$ and $h^{\text{pot}}(s_{\text{init}})$ for the problem from Fig. 1.

Ex. 5.2 — How can be flow heuristic improved with landmarks (e.g., from the LM-Cut heuristic)?

Ex. 5.3 — How can we modify objective of the LP for the potential heuristic so we still obtain admissible estimate for all reachable states?

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