



Biometrics Introduction

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Podmínky předmětu

- Přednášející: Daniel Novák, místnost E225, xnovakd1@labe.felk.cvut.cz,
- Stránky předmětu
 - <https://cw.felk.cvut.cz/doku.php/courses/a6m33bio/start>
 - 3. laboratorní úlohy – každá za 20 bodů, celkem 60 bodů
 - Klasifikovaný zápočet – 20 otázek, každá za 2 body
- Podmínky předmětu
 - <https://cw.felk.cvut.cz/doku.php/courses/a6m33bio/podminky>

Body z předmětu	Stupeň ECTS	Známka
100–90	A	výborně
89–80	B	velmi dobře
79–70	C	dobře
69–60	D	uspokojivě
59–50	E	dostatečně
49 a méně	F	nedostatečně



Alternativní úvod

- [Dobrodužství kriminalistiky na csfd](#)
- [Dobrodužství kriminalistiky na CT](#)



1 „Metoda Alphonse Bertillona nastoupila vítěznou cestu světem. Avšak dříve než definitivně zakotvila v arzenálu kriminalistiky, začala být vytlačována metodou daleko přesnější - daktyloskopií. Sláva „bertillonáže“ měla jepičí život, ale byla vědeckým krokem vpřed.“



Biometrics numbers



~ \$3,000,000,000



Estimated value of biometrics market in 2007 (IBG)

> 75%



Market share of the 3 core technologies: face, iris and fingerprinting (IBG)

2009



Planned launch of 2nd generation passports (to include fingerprints)

> 50,000



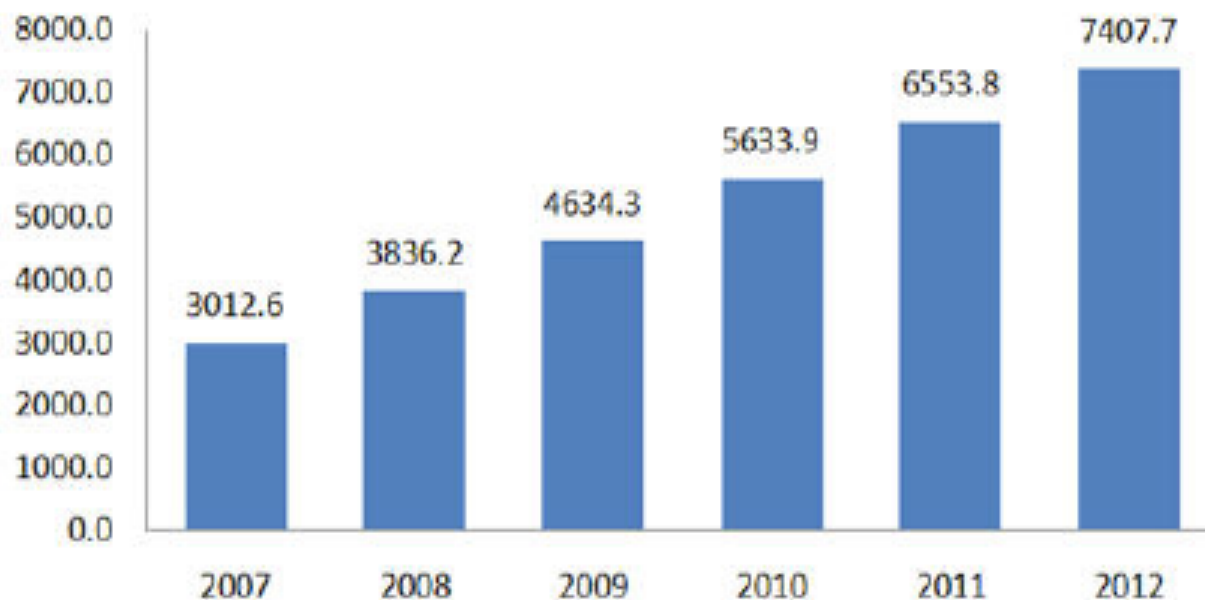
Number of people caught since 2003 at UAE borders using iris recognition

Trend



Annual Biometric Industry Revenues, 2007-2012 (\$m USD)

Copyright © 2006-2007 International Biometric Group



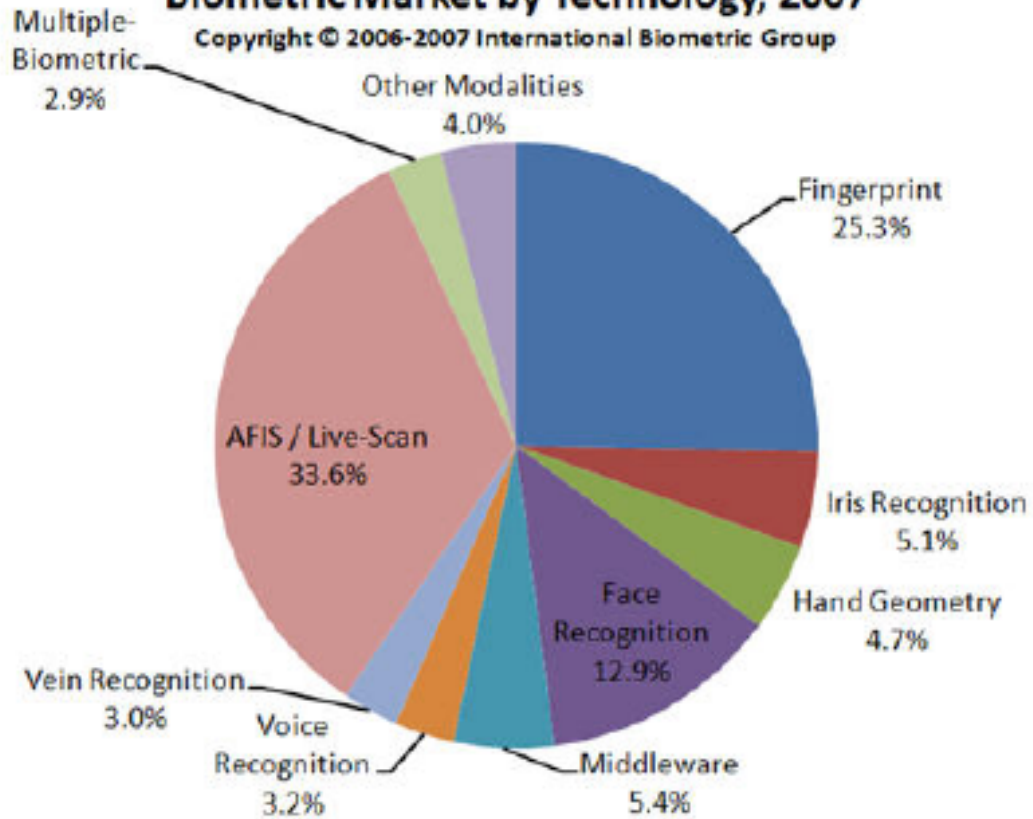
"A Touch of Money"

Market Share



Biometric Market by Technology, 2007

Copyright © 2006-2007 International Biometric Group



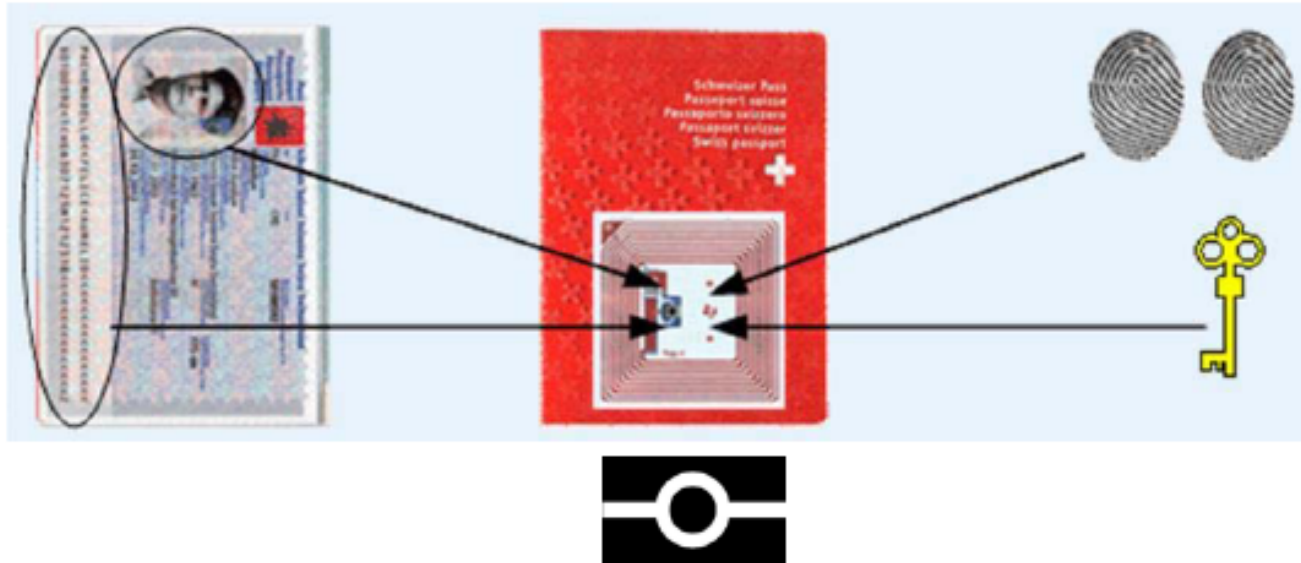
Today: eBorders in the United Arab Emirates (UAE)

- Iris recognition system
- Fully operational since April 2003
- 36 land, air and sea ports
- 12,000 passengers each day
- 1 central database
 - Watchlist application
 - Fully networked
 - Enrolment centres: prisons and deportation centres
 - More than 1 million enrolments (150+ nationalities)
 - Exhaustive search takes <2 seconds
- 12 billion comparisons each day (12,000 passengers against 1 million enrolments)
- About 50,000 persons caught since launch



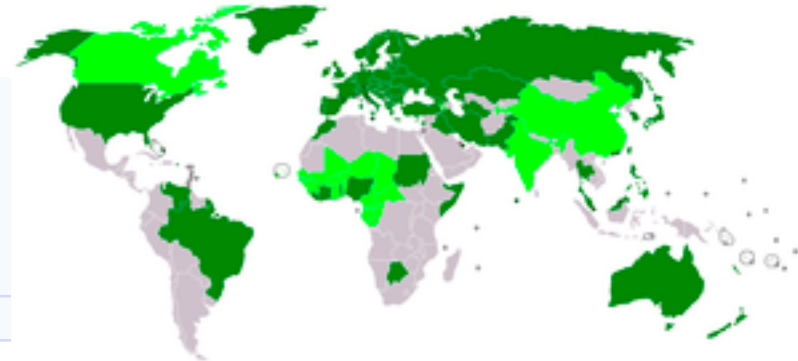
ePassport

In accordance with ICAO and EU specifications, the data of the machine readable zone, the facial image and two fingerprints plus electronic signatures will be stored in the chip.



Integration of Technologies: chip card (smart card), radio-frequency identification (RFID), electronic signatures and public key infrastructure (PKI), back-office systems (databases), biometrics

International Civil Aviation Organization



Some statistics of Mobile devices

- Some statistics:
 - 35,000 laptops reported stolen in the UK each year
(Times Online's estimate: 110,000 stolen laptops/year)
 - only 3% ever retrieved
- Several million biometrically enabled phones, PDAs and peripherals now on the market



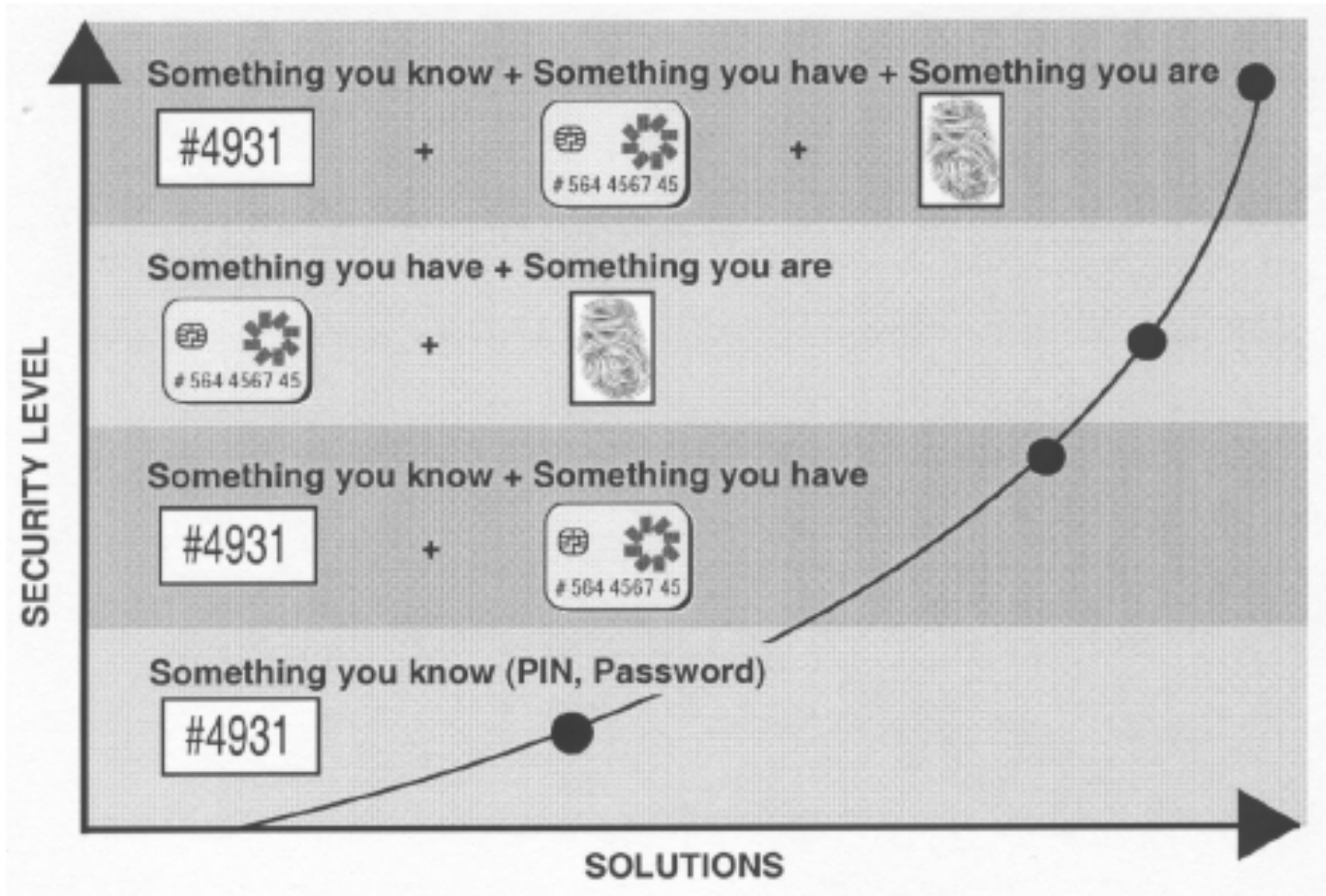


What is Biometrics?

- **Biometrics** – automated recognition of individuals based on their biological and behavioral characteristics
 - *Scientific follow-on to Bertillon's body measurements of the late 1800s*
- **Biometry** – statistical and mathematical methods applicable to data analysis problems in the biological sciences
- **Biometric system** – essentially an automatic pattern recognition system that recognizes a person by determining the authenticity of a specific biological and/or behavioral characteristic (**biometric modality**) possessed by that person
- **Anthropometry** – measurement techniques of human body and its specific parts
 - **Forensic (judicial) anthropometry** – *identification of criminals by these measurement techniques*

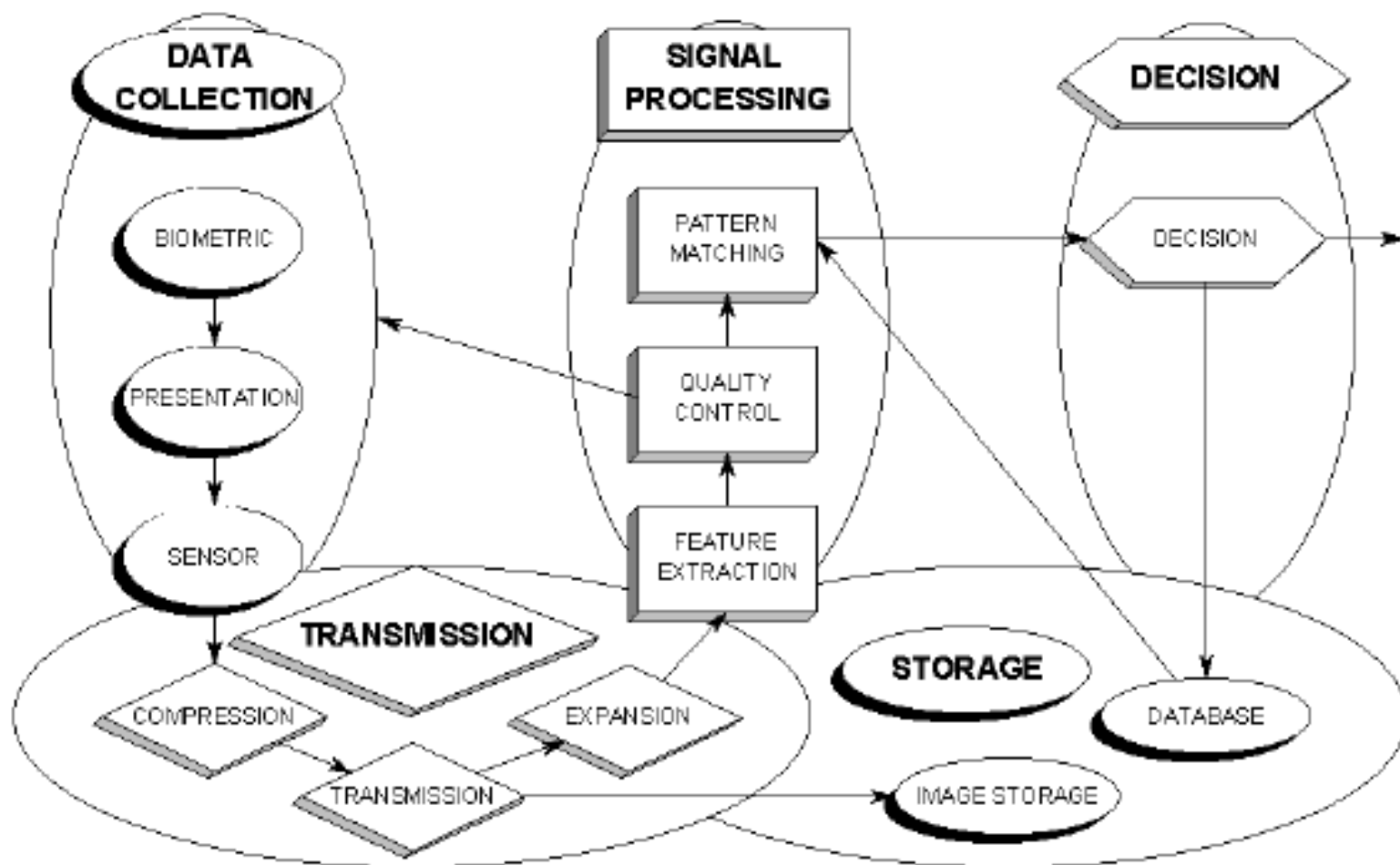


Three basic means





Generic Biometric System



Pattern Recognition System

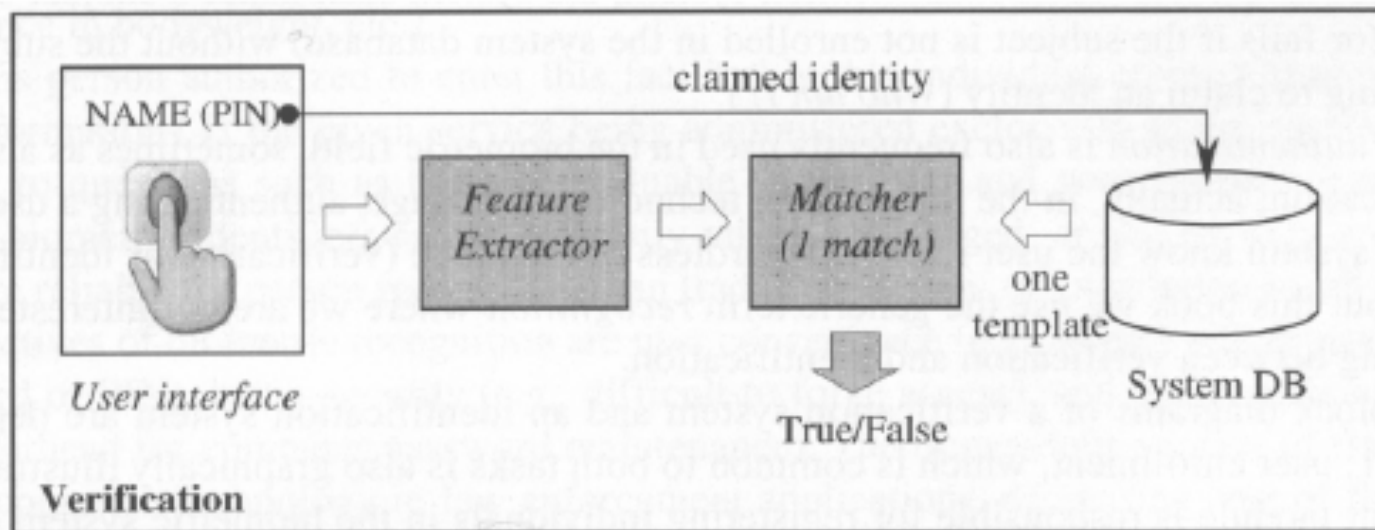


Two patterns are similar, if an appropriately defined distance measure between their feature vectors is small



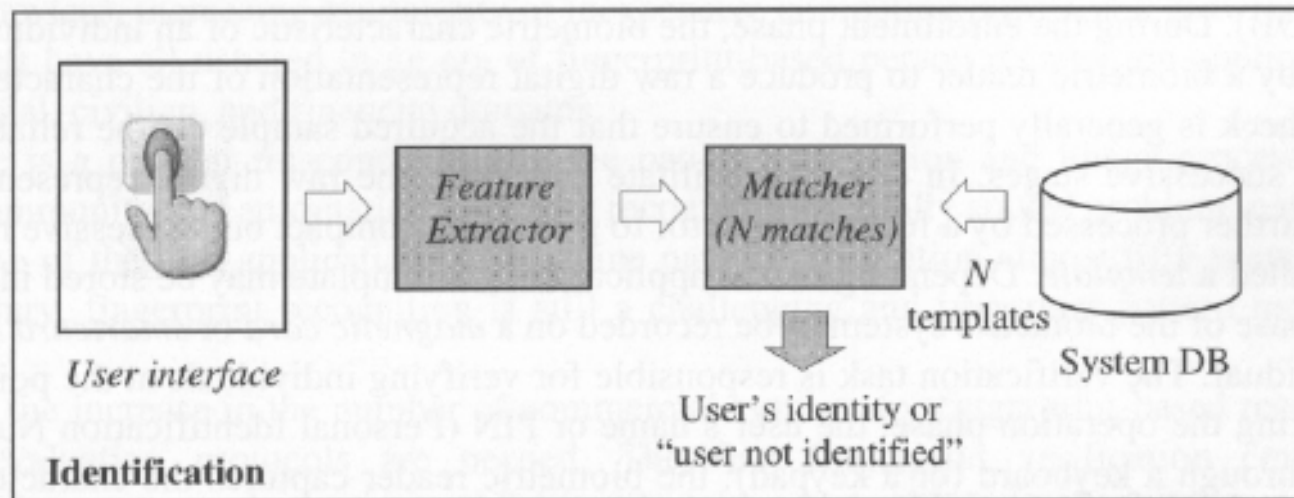
Person recognition

- **Verification** – biometric system function that performs a **one-to-one comparison** of a submitted biometric characteristic (sample) set against a specified stored biometric references, and returns the comparison score and decision.
- “Is this person who he claims to be?”

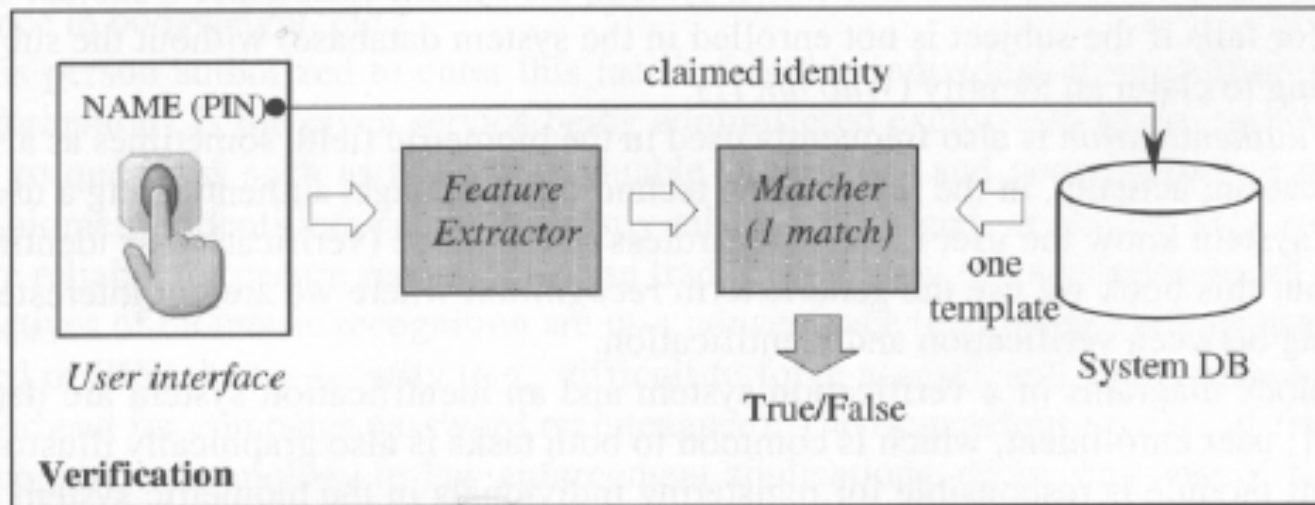
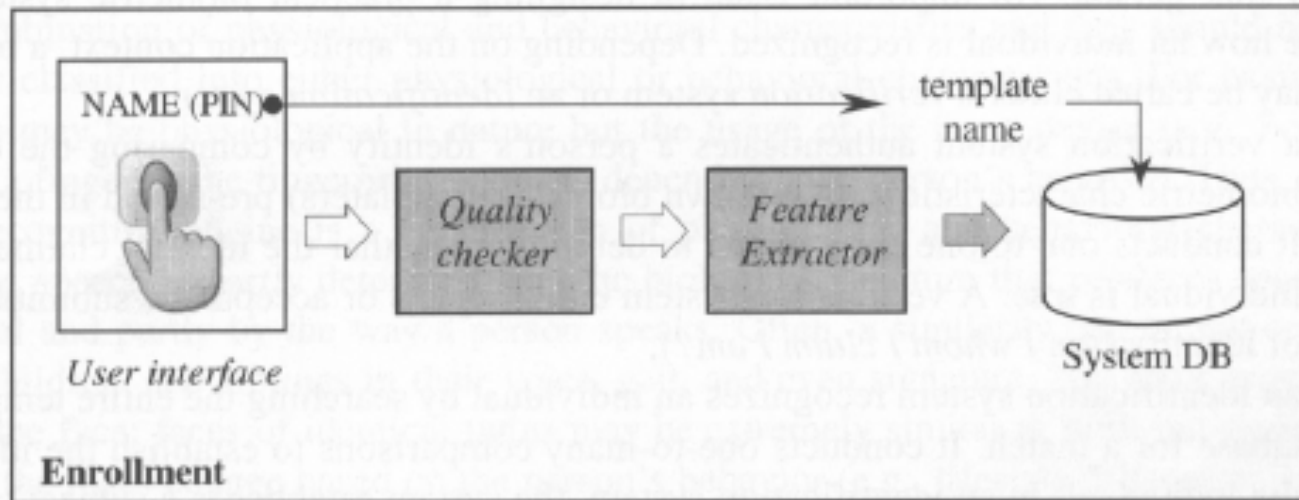


Identification

- **Identification** – biometric system function that performs a **one-to-many comparison/search** process in which a biometric characteristic set is compared against all or part of the database to find biometric references with a specified degree of similarity.
- "Who is this person?"



Enrollment



Main Sorting

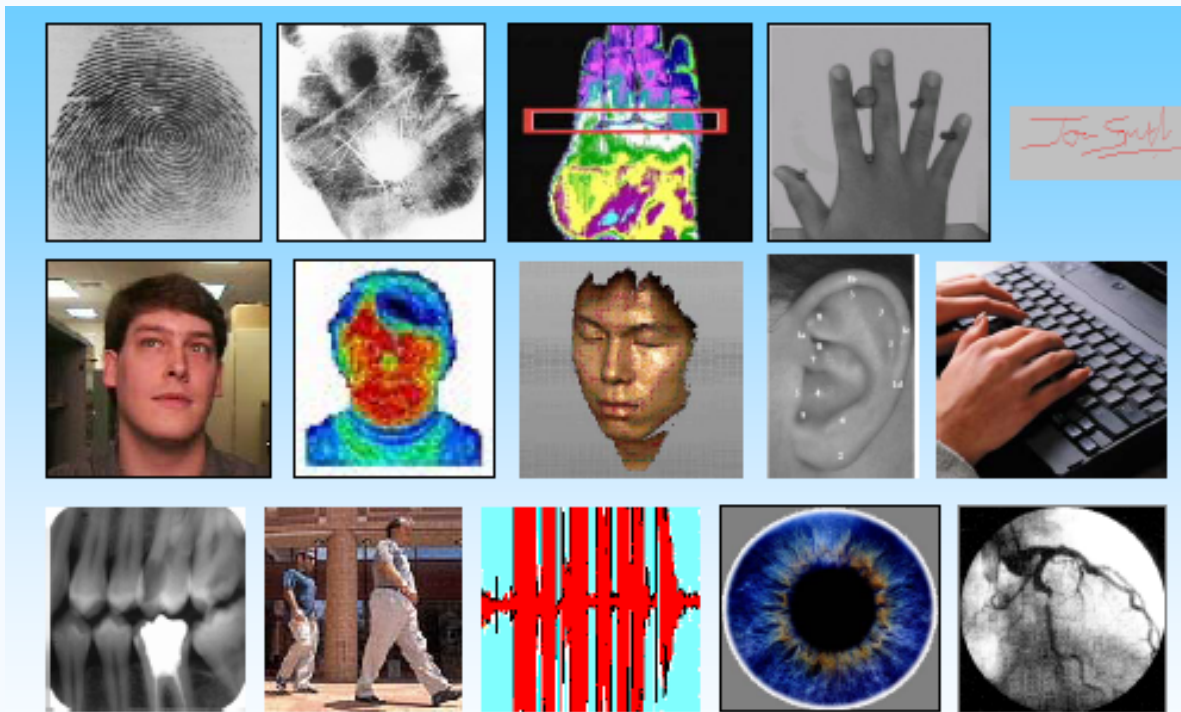
Biometrics can be sorted into two classes:

- Physiological

Examples: face, fingerprint, hand geometry and iris recognition

- Behavioral

Examples: signature and voice



Biometric Identifiers



Common:

- *Fingerprint Recognition*
- *Face Recognition*
- *Speaker Recognition*
- *Iris Recognition*
- *Hand Geometry*
- *Signature verification*

Others:

- DNA
- Retina recognition
- Thermograms
- Gait
- Keystroke
- Ear recognition
- Skin reflection
- Lip motion
- Body odor



Some More* ...

Vein Pattern
Sweat Pores
Fingernail Bed
Hand Grip
Brain Wave Pattern
Footprint and Foot Dynamics

•*See details in *Chapter 7 Esoteric Biometrics* of *Biometrics* by John D. Woodward, Nicholas M. Orlans, Peter T. Higgins, New York : McGraw-Hill/Osborne, c2003



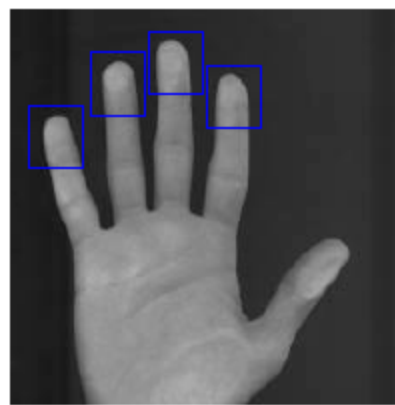
1. Fingerprint Recognition (D.Novak)



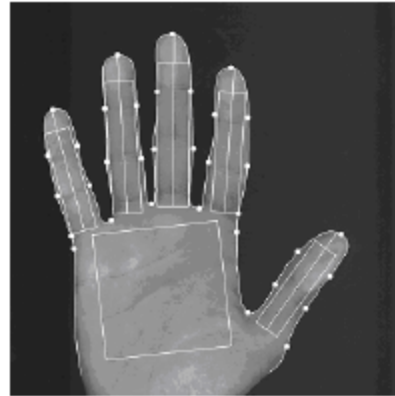
- An extremely useful biometrics technology since fingerprints have long been recognized as a primary and accurate identification method.



Hand shape



Fingerprints



Palm print

Finger strips
(digitprints)



Palmar veins



Acquisition Devices

- ✓ Ink & paper – the oldest way
- ✓ Ink-less Methods - sense the ridges on a finger

– “Livescan” fingerprint scanners

- Optical methods (FTIR)
- CMOS capacitance
- Thermal sensing
- Ultrasound sensing

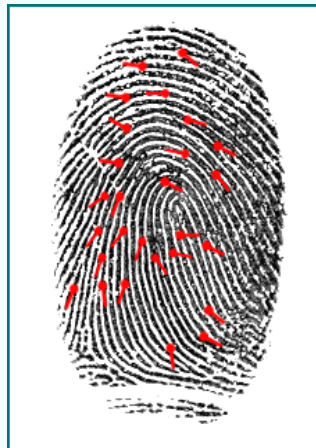


Minutiae

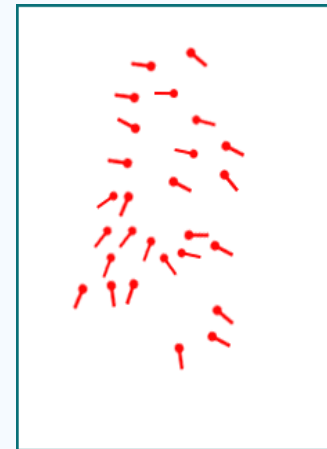
- Uses the ridge endings and bifurcation's on a persons finger to plot points known as Minutiae
- The number and locations of the minutiae vary from finger to finger in any particular person, and from person to person for any particular finger



•Finger Image



•Finger Image +
Minutiae



•Minutiae

Capture

Extraction

Comparison

Verify individual?



Scan left index finger



Thin image to a single pixel



Sample minutia graph



Identify minutiae



ending minutiae



bifurcation minutiae



Minutia graph

Acceptable score ?



Reference minutia graph for individual



No
Access denied
cannot sign record

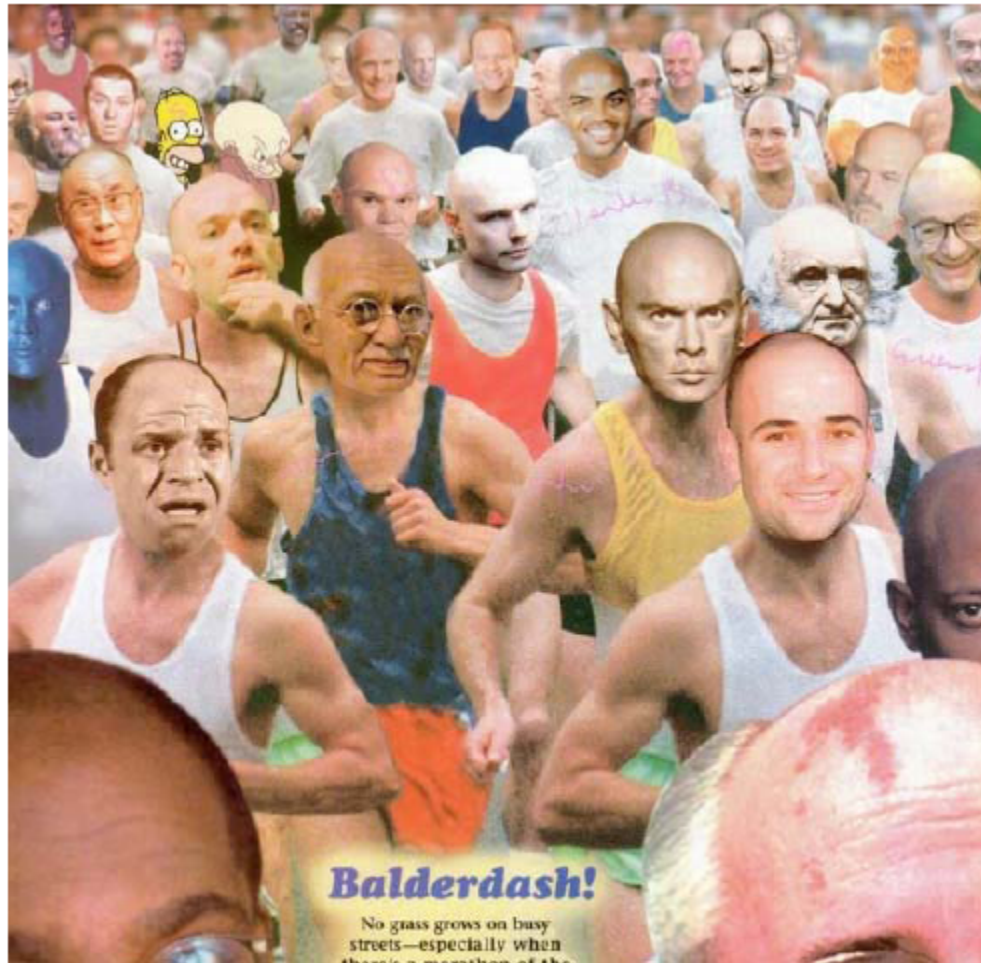
Yes
Access to application
sign records

2. Face Recognition (V. Franc)

- Uses an image or series of images either from a camera or photograph to recognize a person.
- Principle: analysis of the unique shape, pattern and positioning of facial features.



Who is there??



Games Magazine, September 2001



laboratory
Gerstner

Why face?

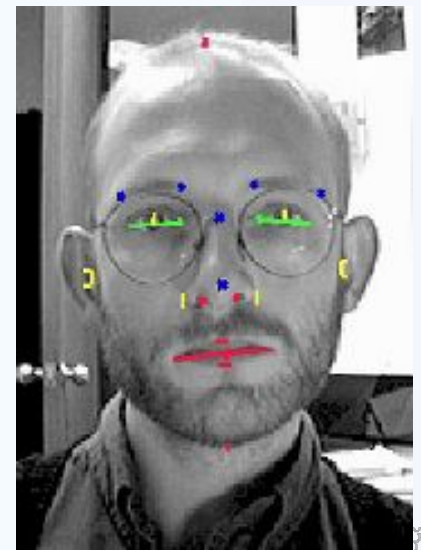
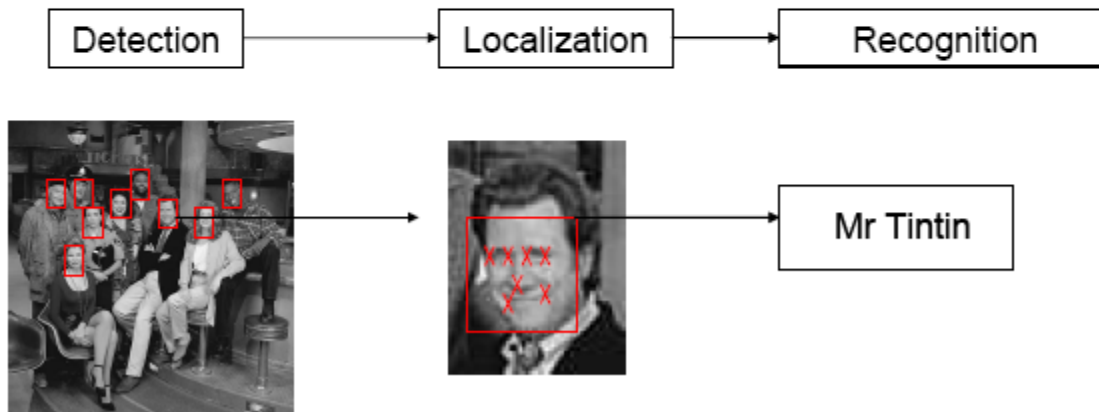
- Face is the **most common** biometric characteristic used by humans
- Sensing at a distance
- Easy to capture from low-cost cameras
- Non-contact data acquisition (free from contagious disease)
- **Non-intrusive** technique which people generally accept as biometric characteristic
- Overt (user aware) and covert (user unaware, e.g. ubiquitous surveillance cameras) applications
- Legacy databases (passport, visa and driver's license)



Details

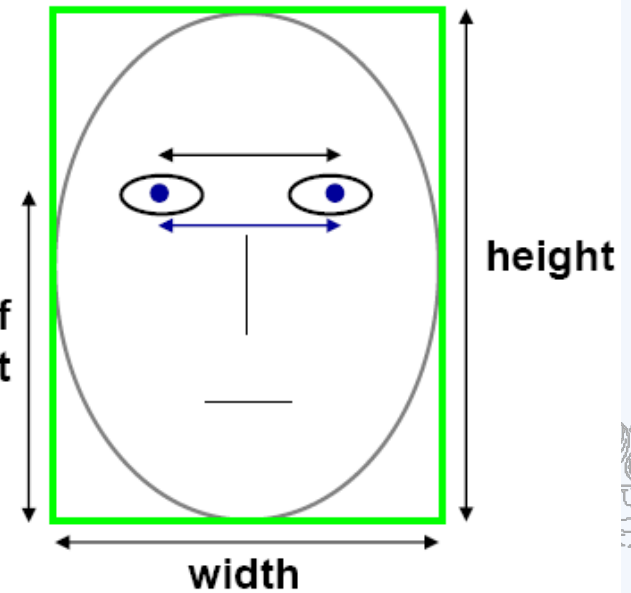
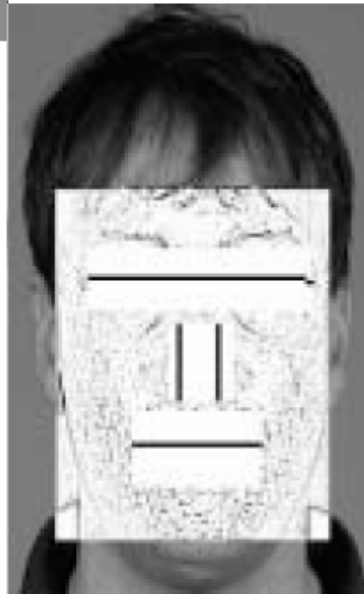
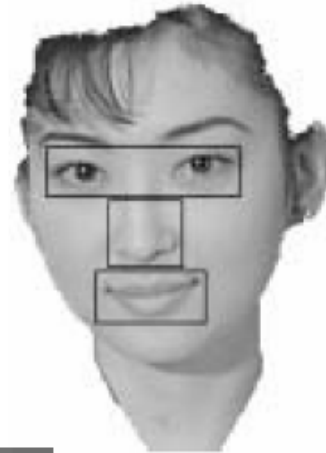
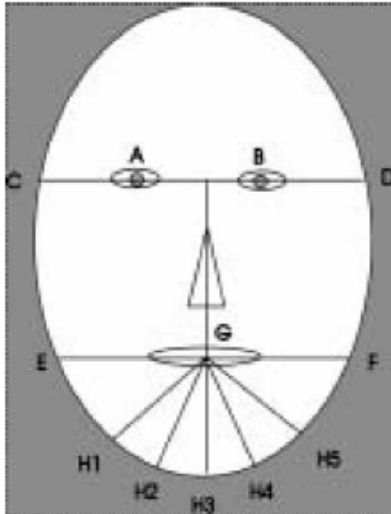
- Source of data: Single image, video sequence, 3D image and Near Infrared
- Models: weak models of the human face that model face shape in terms of facial texture

- **Face detection** – discriminating faces from all other possible images. This is 2-class classification task of assigning an image to the face class or the non-faces class.
- **Face localization** – finding precisely the position of one face, whose presence is already known in a single image





Feature based approach



8015



Example: Eigenfaces



Perfect reconstruction with all eigenfaces

$$\text{Target Face} = 0.4 \text{ Eigenface 1} + 0.2 \text{ Eigenface 2} + \dots + 0.6 \text{ Eigenface N}$$

Reasonable reconstruction with just a few eigenfaces

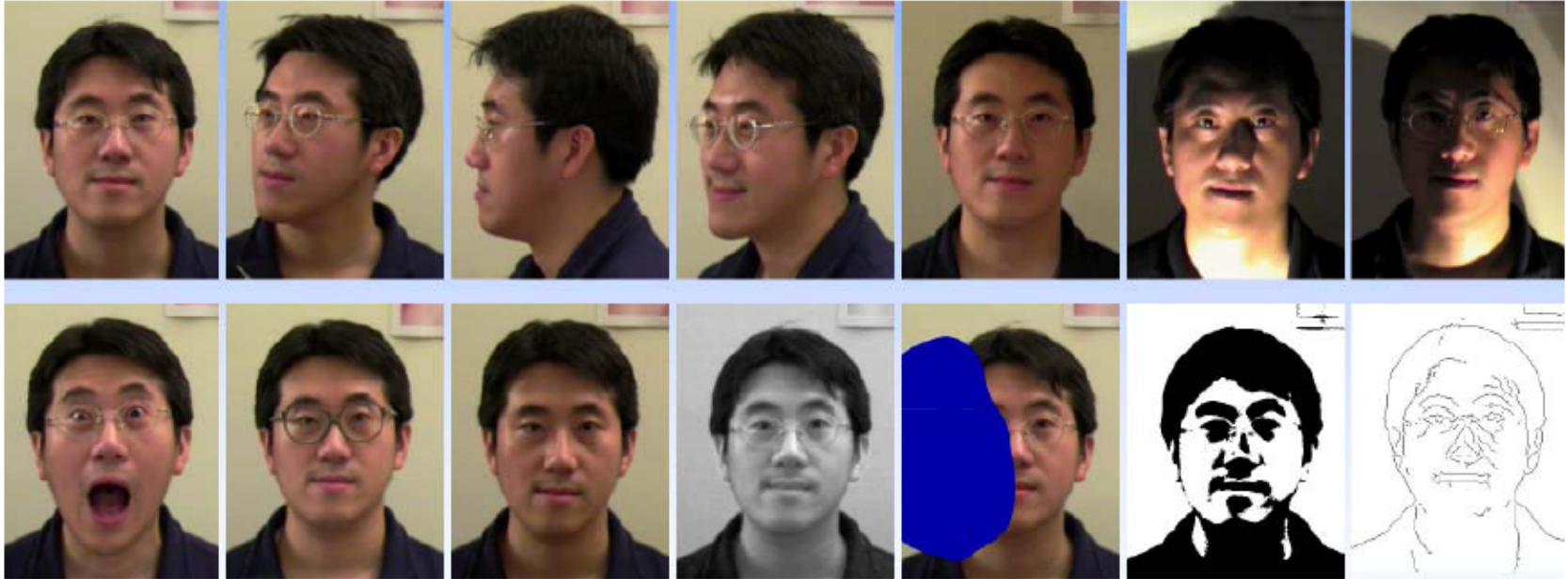
$$\text{Target Face} = 0.4 \text{ Eigenface 1} + 0.2 \text{ Eigenface 2}$$



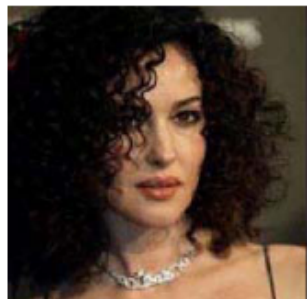
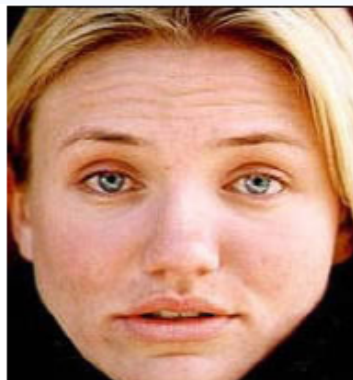


Intra-class variability

- Faces with intra-subject variations in pose, illumination, expression, accessories, color, occlusions, and brightness

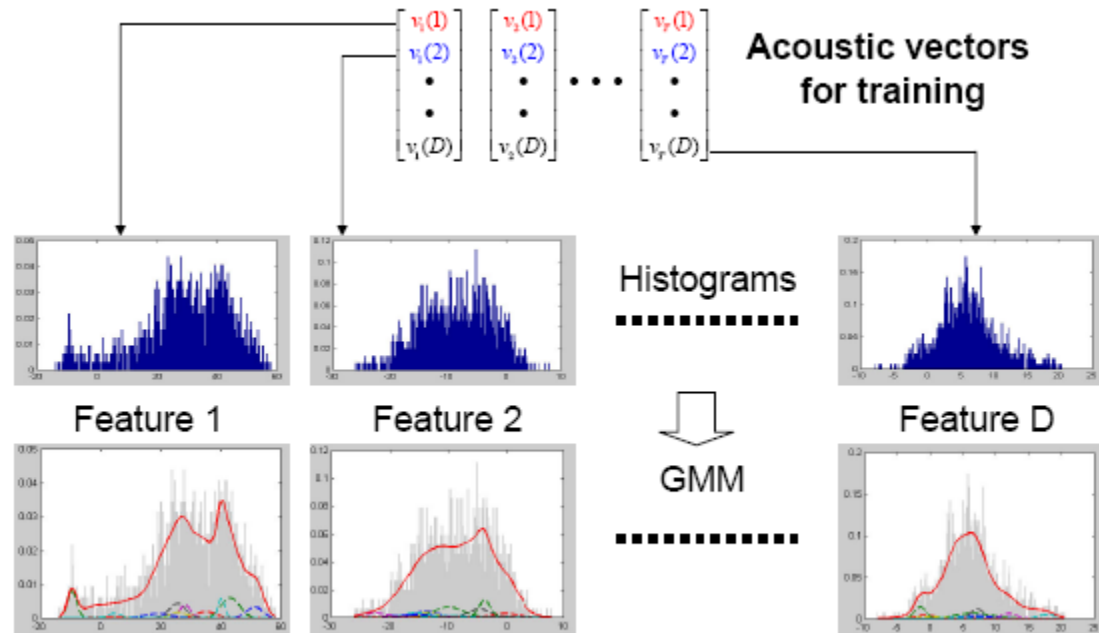
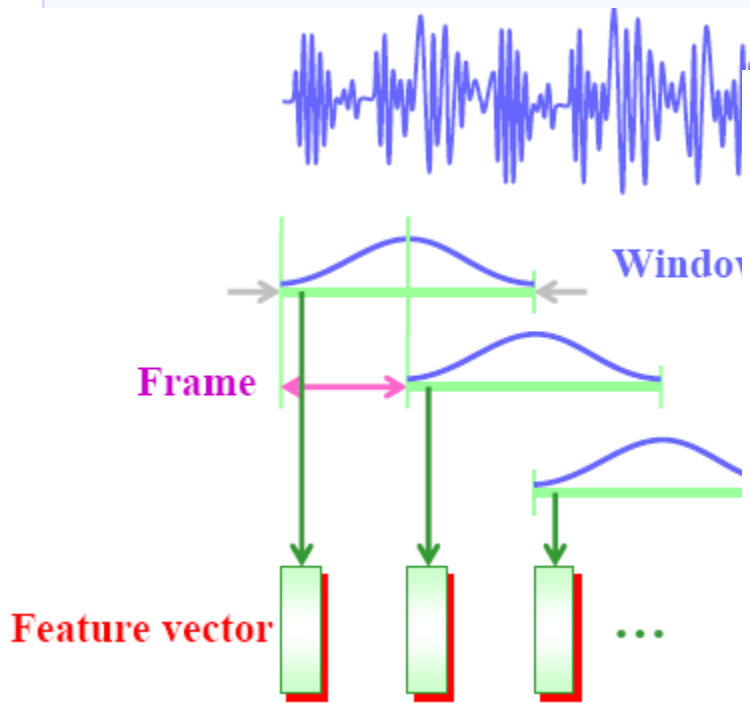


The power of make up



3. Voice Recognition (V. Hanzl)

- Voice recognition is not the same as speech recognition, it is speaker recognition
- Considered both physiological and behavioral
- Popular and low-cost, but less accurate and sometimes lengthy enrollment



score = log-likelihood (speech | model)

Application categories



Training utterances:

"Open sesame"
"Open sesame"
"Open sesame"

GMM
training

Speaker-Dependent GMM

Enrollment session

Test session

Identity claim

Test utterance:
"Open sesame"

Database

Speaker
verifier

Scores



Features



- Advantage

- Less requirements for users, such that they do not have to go through a separate process for verification
- Very little hardware is required, and ideally suited to **telephone-based** system for a remote identification
- **Zero client-side cost, no special reader needs to be installed**

- Disadvantage

- Acoustic features : 1. Misspoken or misread phrases; 2. The human voice's tremendous variability, due to colds, aging, and simple tiredness
- Can be captured surreptitiously by a third party and replayed



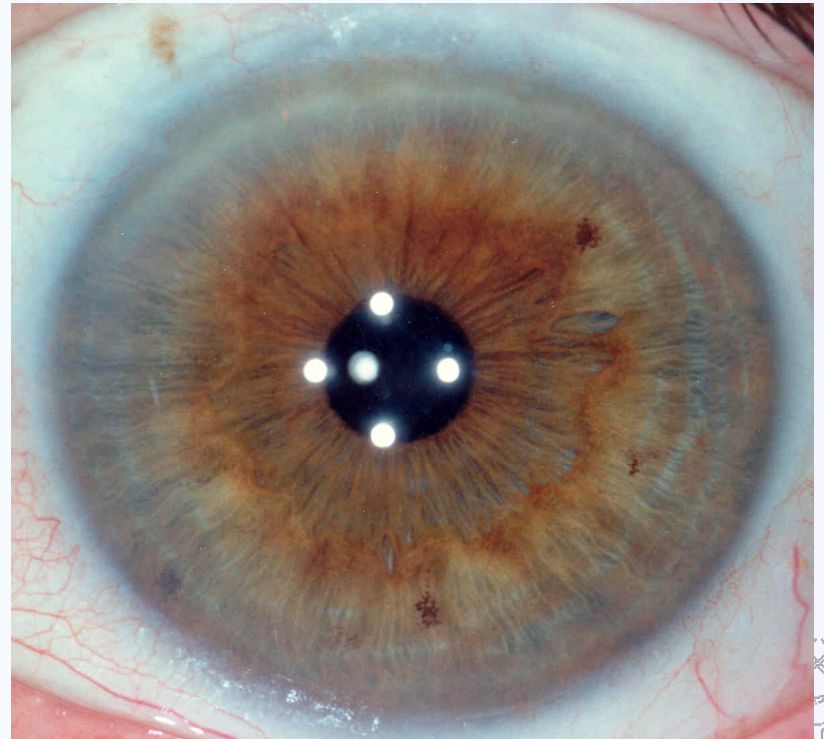
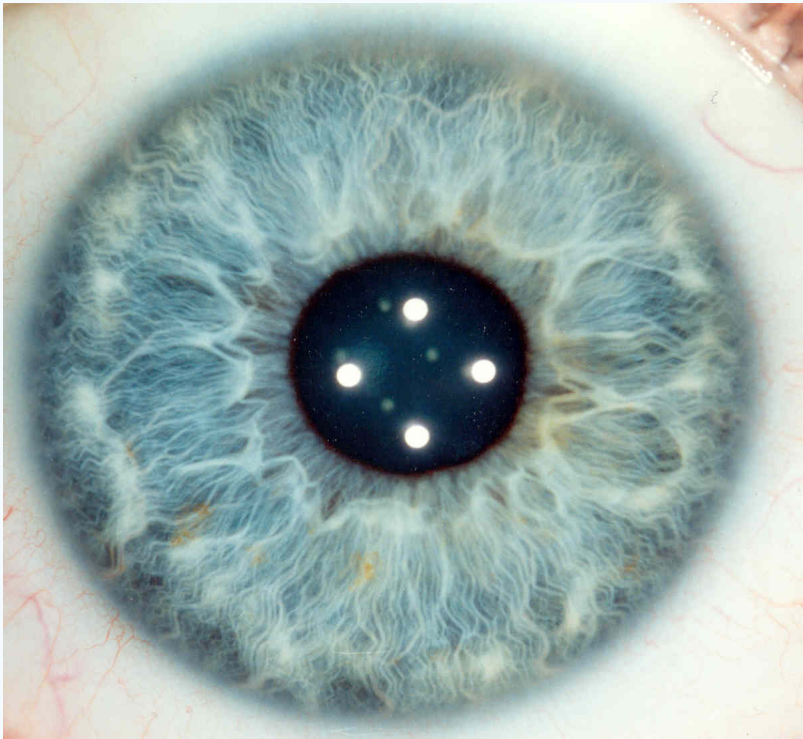
4. Iris recognition



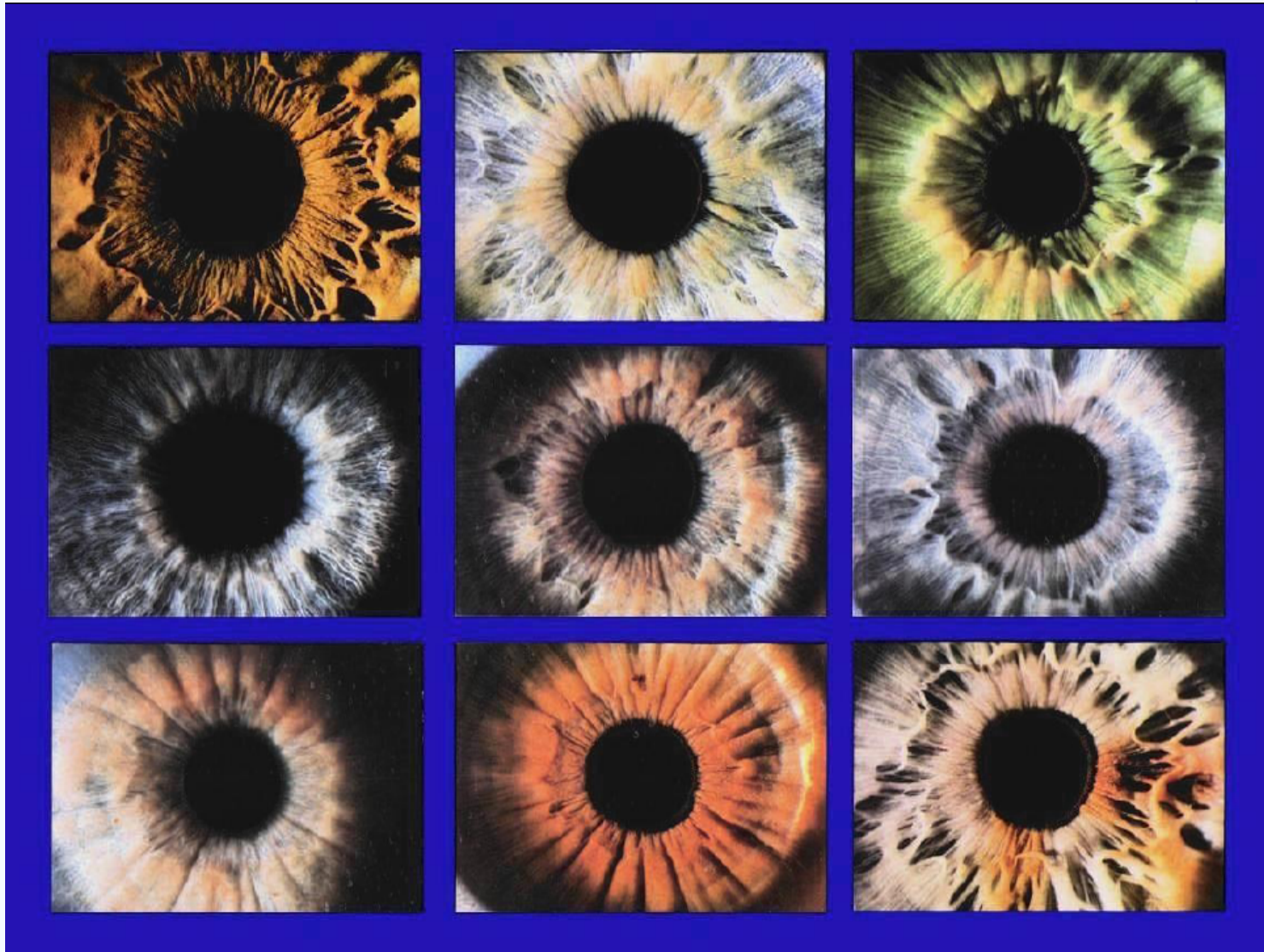
- Analysis of the iris of the eye, which is the colored ring of tissue that surrounds the pupil of the eye.
- Based on visible features, i.e. rings, furrows, freckles and the corona. Features and their location are used to form the Iriscodes, which is the digital template.
- **Widely regarded as the most safe, accurate biometrics technology and capable of performing 1-to-many matches at extraordinarily high speeds, without sacrificing accuracy.**
- **VIDEO:** <http://www.youtube.com/watch?v=QEQEht8zloQ>



Example Iris Images

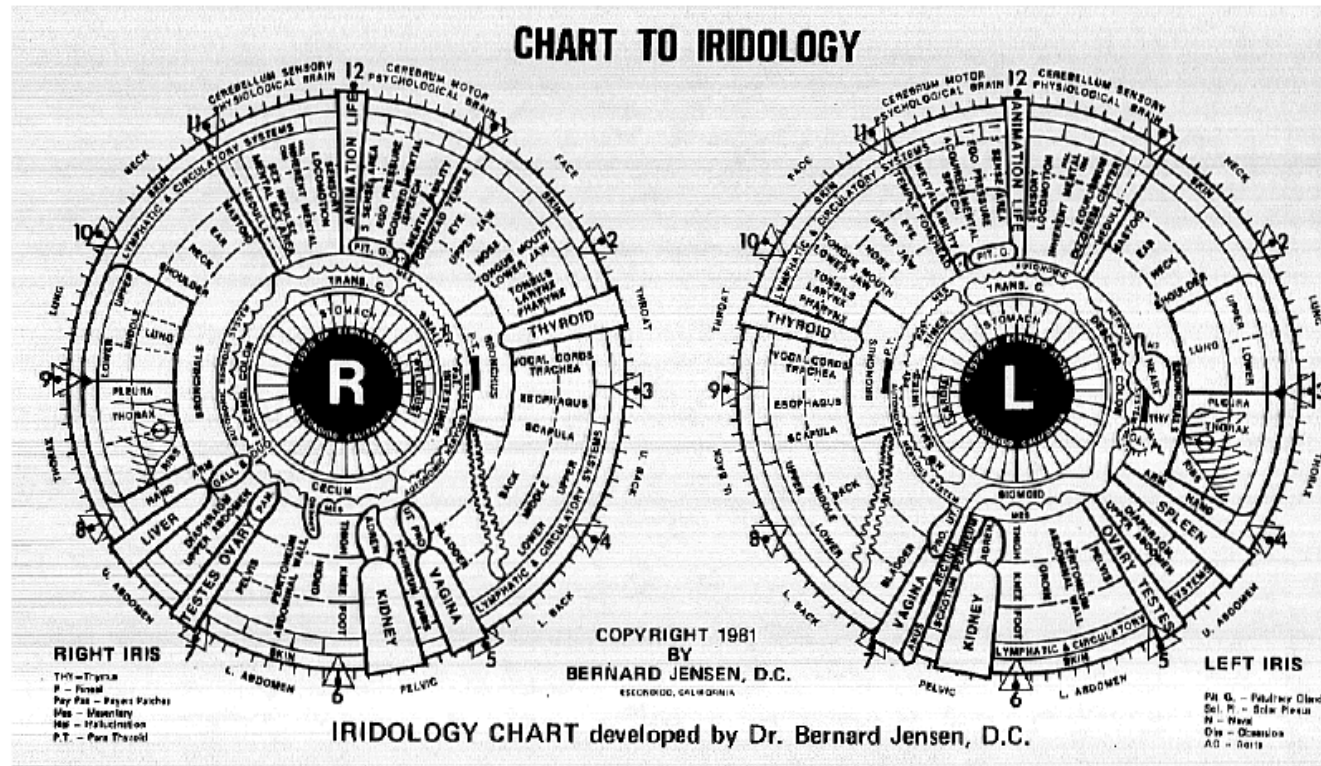


IRISES



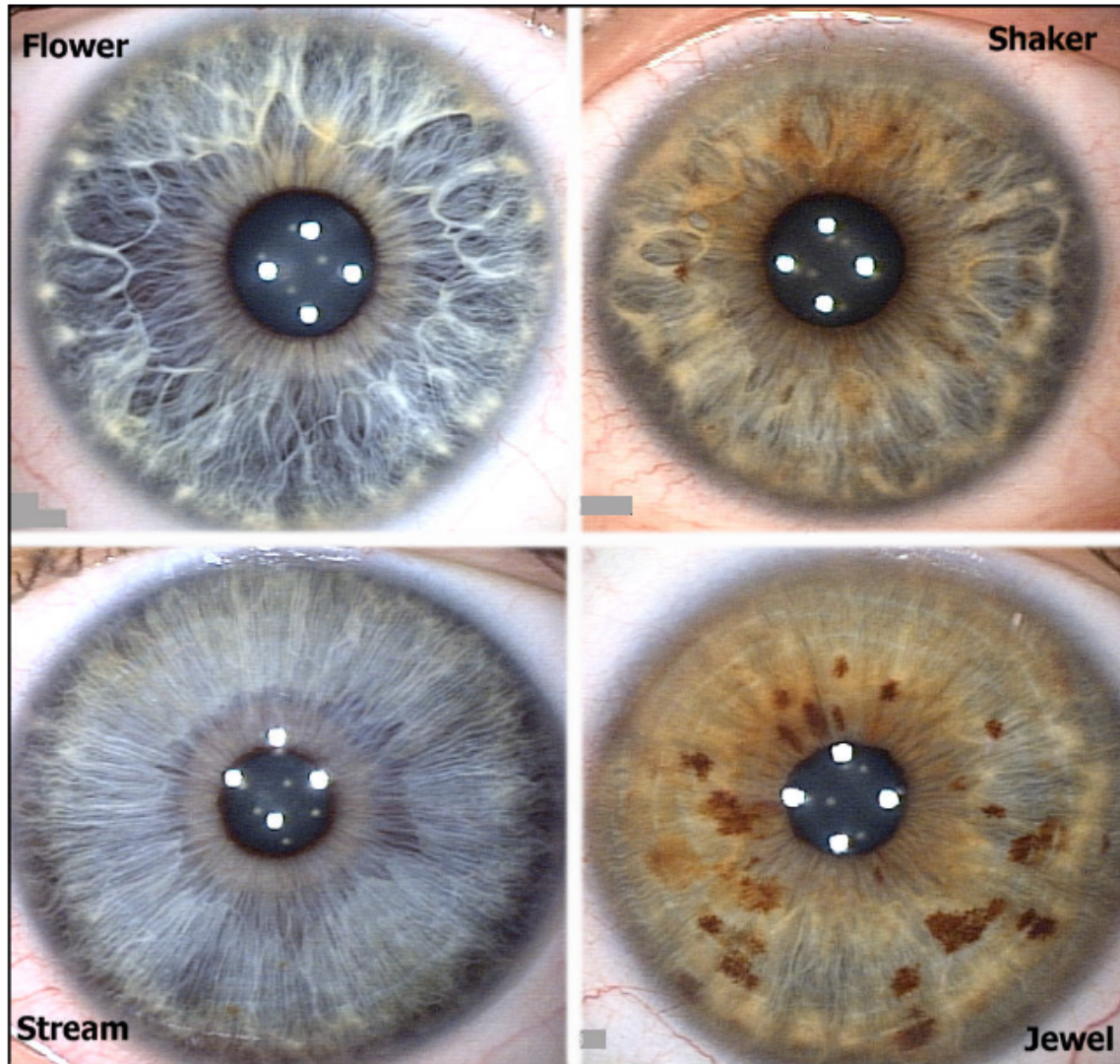
laboratory
erstner

Iridology



“Throughout the ages, the eyes have been known as the windows to the soul, and modern behavioral research is proving this adage to be true. If you look closely at the iris of the eye, you will notice small, dark dots, light streaks or rounded openings in the fibers. These characteristics provide the key to unlocking the mysteries of the personality” (Rayid International).

Iridology



laboratory
Gerstner

Iris code



Iris Code

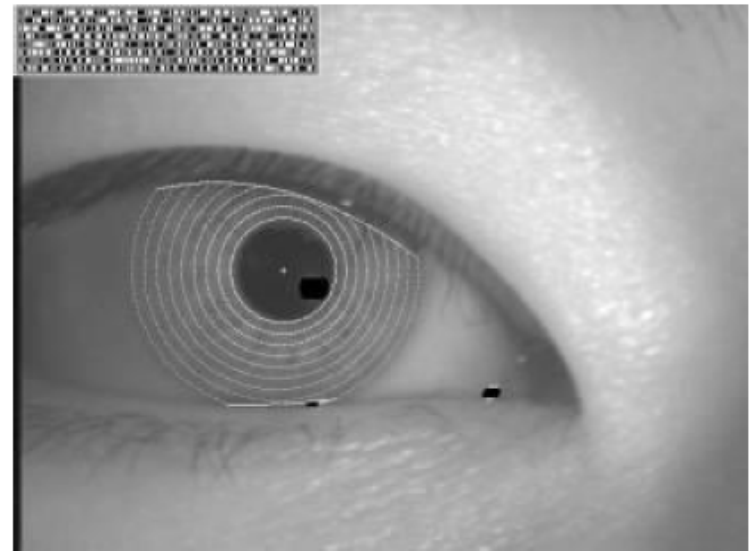
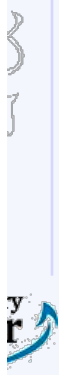
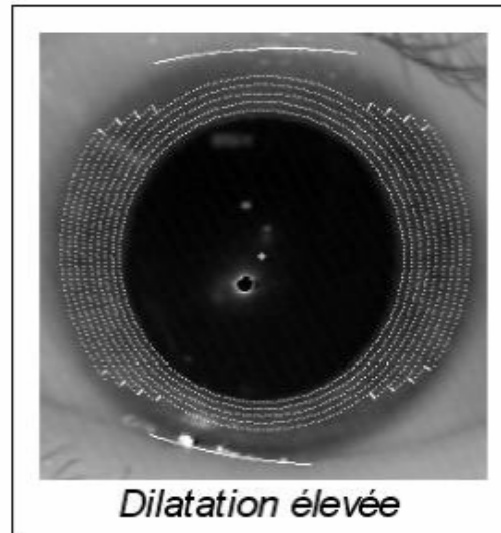
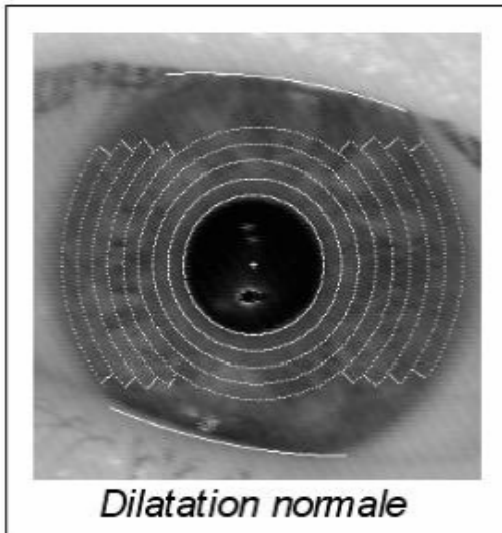
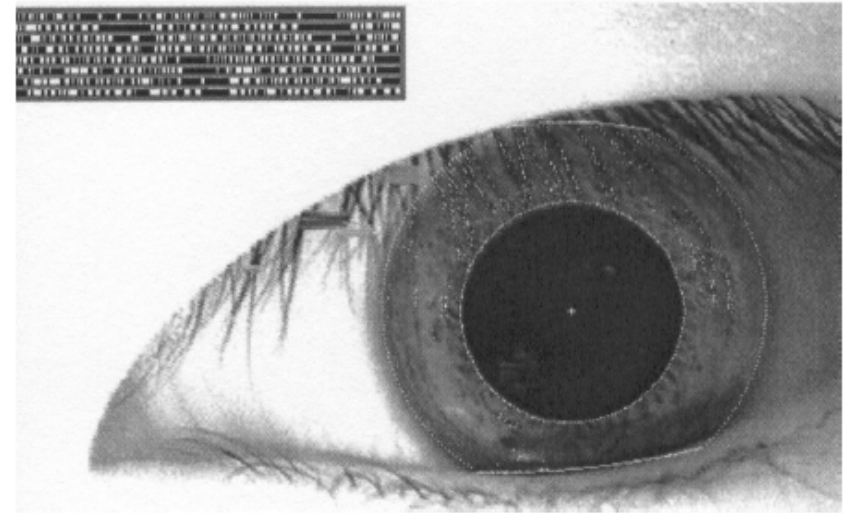
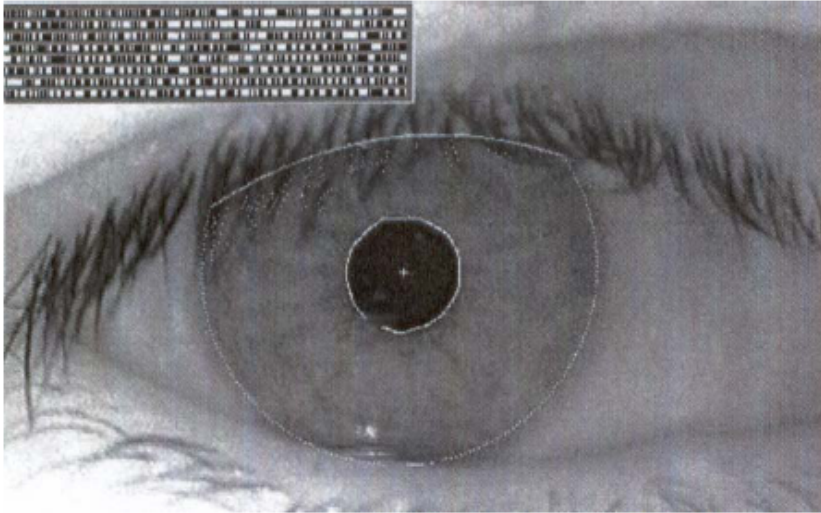
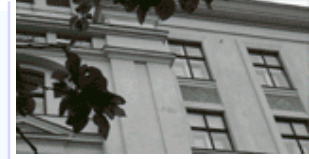


Image size is 64 x 256 bytes
and the iris code is 8 x 32
bytes



Iris mapping



- The **iris mapping** has to be invariant to shift, distance, magnification, and pupillary dilation



5. Hand geometry

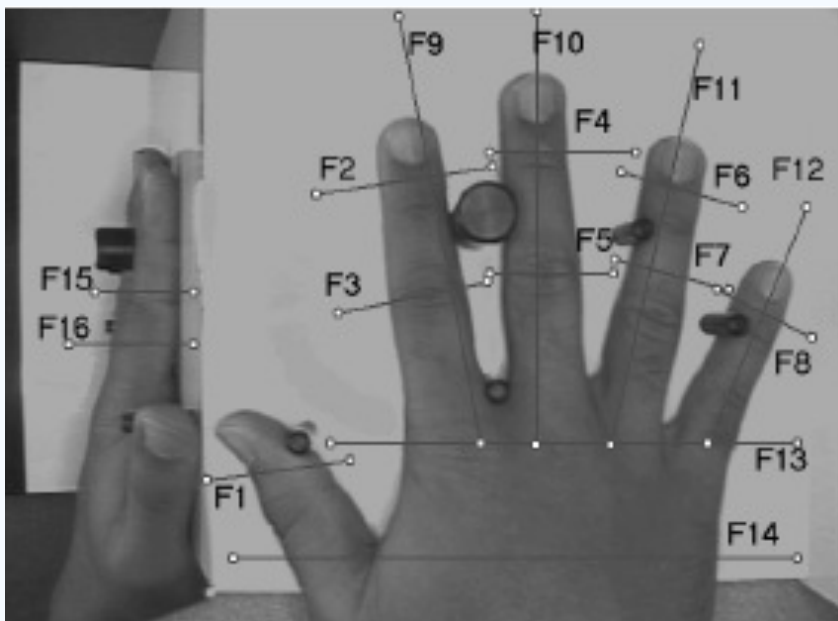


- Hand geometry systems are commonly available in two main forms. Full hand geometry systems take an image of the entire hand for comparison while Two Finger readers only image two fingers of the hand.
- Hand recognition technology is currently one of the most deployed biometrics disciplines world wide



How does it work

- A camera capture an image of the hand, with the help of a mirror to get also the edge. The silhouette of the hand is extracted, and some geometrical characteristics stored.



$$\sum_{j=1}^d |y_j - f_j| < \epsilon_a, \quad (1)$$

$$\sum_{j=1}^d \frac{|y_j - f_j|}{\sigma_j} < \epsilon_{wa}, \quad (2)$$

$$\sqrt{\sum_{j=1}^d (y_j - f_j)^2} < \epsilon_e, \text{ and} \quad (3)$$

$$\sqrt{\sum_{j=1}^d \frac{(y_j - f_j)^2}{\sigma_j^2}} < \epsilon_{we}, \quad (4)$$

where σ_j^2 is the feature variance of the j th feature and ϵ_a , ϵ_{wa} , ϵ_e , and ϵ_{we} are threshold values for each respective distance metric.

Applications



BenGurion Airport – Tel-Aviv,
Hand Geometry



JFK International Airport
1998

[INSPASS - Hand
Geometry](#)



6. Signature Verification

- Static/Off-line: the conventional way
- Dynamic/On-line: using electronically instrumented device

➤ Principle: the movement of the pen during the signing process rather than the static image of the signature.

➤ Many aspects of the signature in motion can be studied, such as pen pressure, the sound the pen makes



Static off-line technology – document authentication

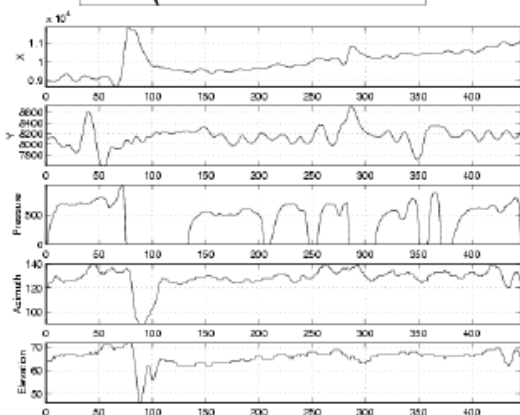
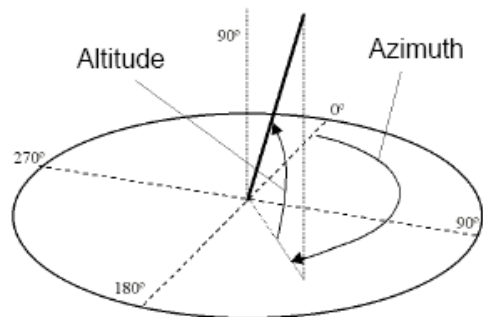
James F. Smith



Dynamic on-line technology – signal processing and pattern recognition

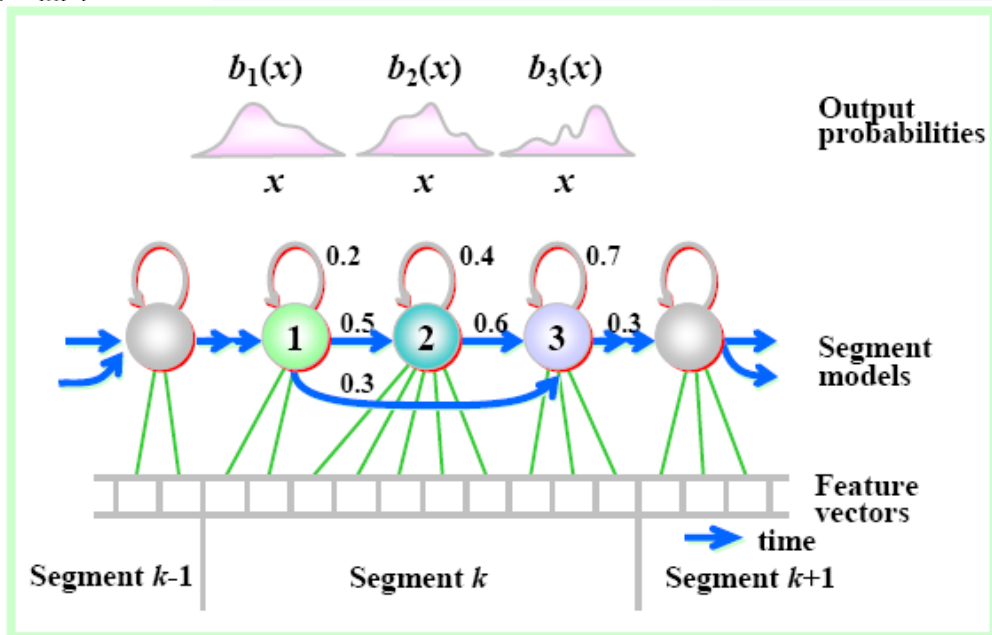


Dynamic signature



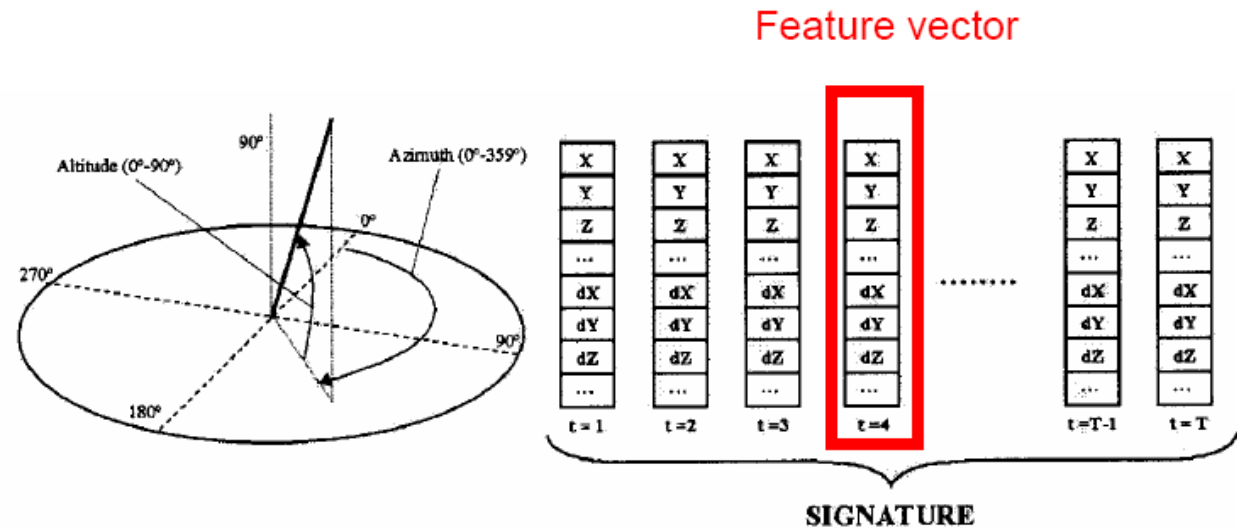
Features:

1. coordinate X
2. coordinate Y
3. pressure
4. pen azimuth ($0^\circ - 359^\circ$)
5. pen altitude ($0^\circ - 90^\circ$)





Dynamic signature



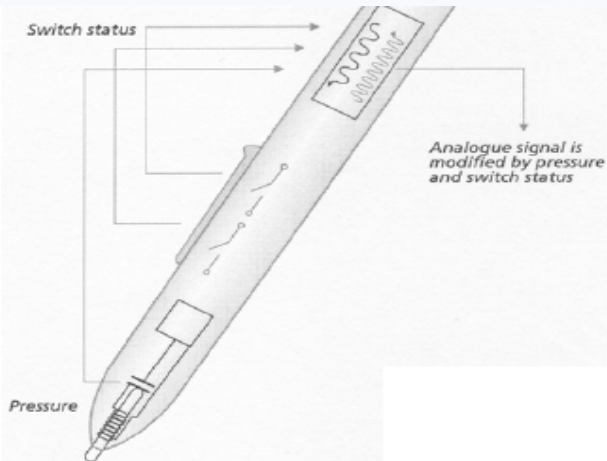
Acquisition:

- acquisition area: 127·106 mm
- pressure levels: 1024
- resolution: 2540 lines/inch (100 lines/mm)
- precision: +/- 0.25 mm
- detection height: 10 mm
- sampling frequency: 100 pps (points per s)

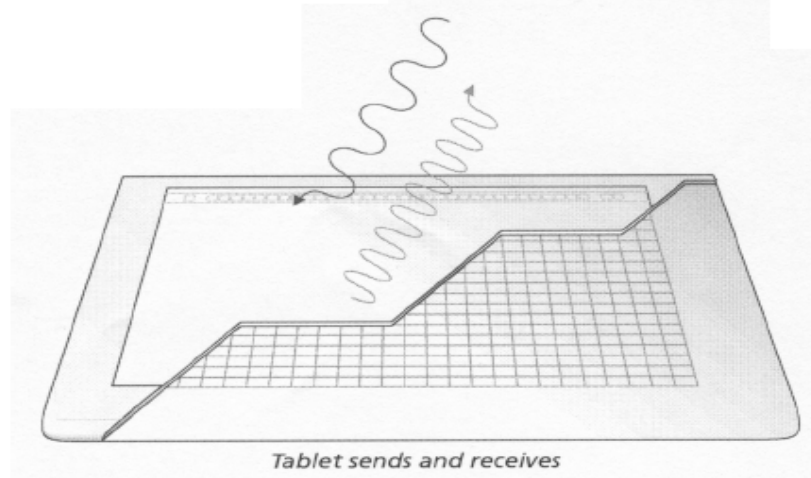
Pen-Tablet system (WACOM)



Pen (Stylus)



Tablet





WACOM – How it works?

- The WACOM stylus **looks and feels like a pen** yet contains no batteries or magnets. Instead it takes advantage of **electro-magnetic resonance technology** in which radio waves are sent to the stylus and returned for position analysis.
- In **operation**, a grid of wires below the screen alternates between transmit and receive modes (about every 20 μs):
 - In **transmit mode**, the electro-magnetic signal stimulates oscillation in the coil-and capacitor resonant circuit in the pen
 - In **receive mode**, the energy of the resonant circuit oscillation in the pen is detected by the antenna grid. This is then analysed to determine position and other information including pressure
- Since the grid provides the **power to the pen** through resonant coupling, no batteries are required. Thus there are no consumables that will run down and need to be replaced or that would make the pen top-heavy.

Dynamic signature: companies

- On-line:

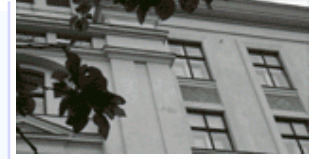
- SOFTPRO
(<http://www.signplus.com/>)
- CYBERSIGN
(<http://www.cybersign.com/>)
- CIC
(<http://www.cic.com/>)

- Off-line:









- APP-DAVOS
(<http://www.app-davos.ch/>)
- NUMEDIA
(<http://www.sapura.com.my/NuMedia/check.htm>)



IBM online verification



IBM online signature verification

	
Template signature #1	Template signature #2
	
Template signature #3	Template signature #4
	
Template signature #5	Template signature #6
	
Authentic signature (accepted)	Forged signature (rejected)



Biometrics in Early Stages



DNA	Retina recognition	Thermograms
Gait	Keystroke	Ear recognition
Skin reflection	Lip motion	Body odor

I. DNA

- DNA has been called the “ultimate identifier”
- Identify information from every cell in the body in a digital form
- Not yet fully automated, not fast and expensive
- Theoretical limitation: Identical twins have the same DNA
- Privacy issue – DNA contains information about race, paternity, and medical conditions for certain disease



Comparison Chart: DNA



DNA	Conventional Biometrics
Requires an actual physical sample	Uses an impression, image, or recording
Not done in real-time; not all stages of comparison are automated	Done in real-time; “lights-out” automated process
Does a comparison of actual samples	Uses templates or feature extraction



II. Retina recognition

- The pattern of blood vessels that emanate from the optic nerve and disperse throughout the retina depends on individuals and never changes.
- No two retinas are the same, even in identical twins.**
- Commercial products: [Retinal Technologies](#)





III. Thermograms

- Thermograms requires an infrared camera to detect the heat patterns of parts of the body that are unique to every human being (such as the face)
- Normally expensive because of the sensors
- Useful paper:

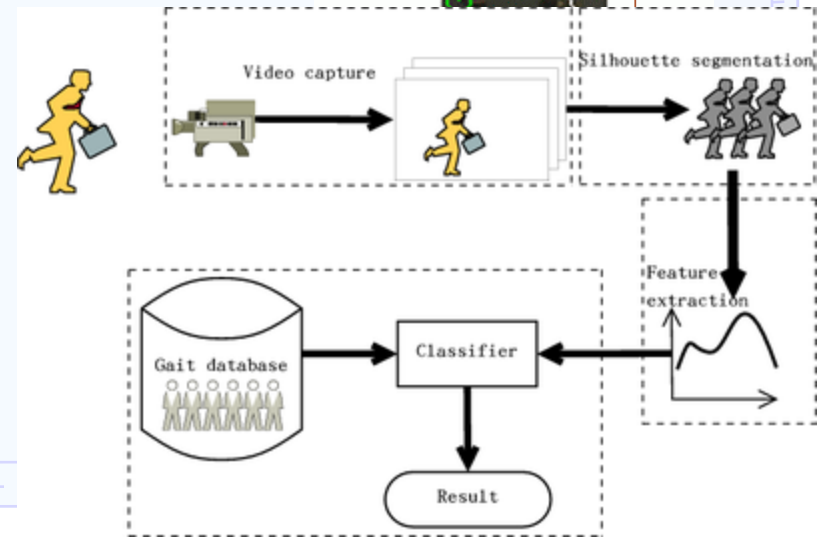
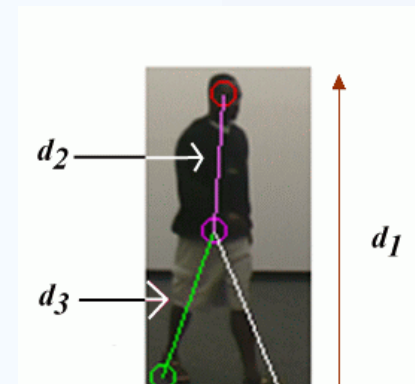
[Illumination Invariant Face Recognition Using Thermal Infrared Imagery](#) (Solikinski & als)





IV. Gait

- The final objective: to recognize persons using standard cameras in any conditions.
- Gait recognition is particularly studied as it may enable identification at distance.
- Gait video



Češi vyhráli policejní olympiádu

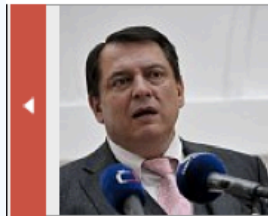
HNEDE.cz

ČEŠNÍ HN | FOTO & VIDEO | DATAROOM | KULTURNÍ TIPY | TV PROGRAM | POČASÍ | NAŠE TITULY

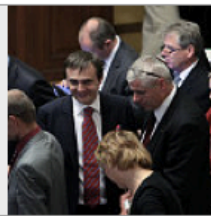
Vyhledat...

Google

HNEDE.cz **HNZPRÁVY** HNBYZNYS HNLIFE HNTech HNSPORT HNDIALOG
POLITIKA ČESKO SVĚT LEHKÉ ZPRÁVY ON-LINE ROZHOVORY ZPRÁVY A-Z



Paroubek by mohl být šéfem ČSNS 28. října



Sněmovna: volba prezidenta i exekuce



10 nejznámějších korupčních kauz



Pospíšek vysvětlil Rampouš odvolání

24. 9. 2010 | poslední aktualizace: 24. 9. 2010 19:34



velikost písma



REKLAMA

Čeští kriminalisté získali největší úspěch v historii. Za to, jak čtou chůzi

Dynamiku pohybu má každý člověk unikátní, podobně jako otisky prstů.

Čtěte více o: [kriminalistika](#) | [policie](#)



Zuzana Keményová
redaktor

Hlásíme plně naloženo

S tarifem **Podnikatel Plus 1100** získáte

- ✓ volání ve firmě zdarma
- ✓ volání do sousedních zemí za cenu jako v ČR
- ✓ nejrychlejší 3G internet



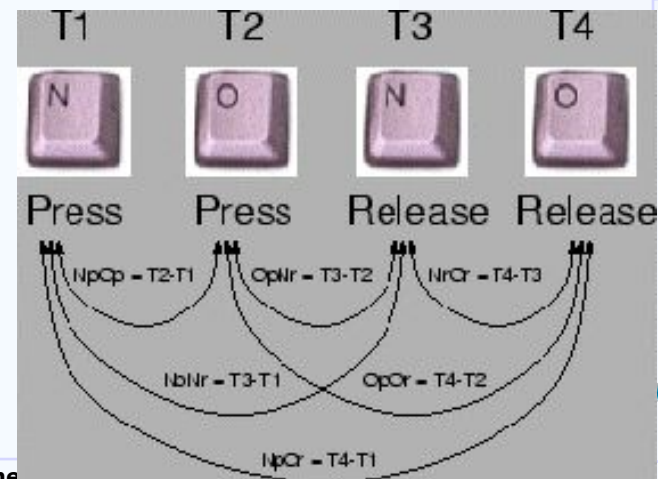
Gerstner



V. Keystroke

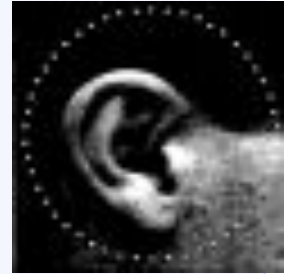
- The rhythms with which one types at a keyboard are sufficiently distinctive to form the basis of the biometric technology known as keystroke dynamics
- 100% software-based, requiring no sensor more sophisticated than a home computer

• [VIDEO](#)



VI. Ear recognition

- Ear geometry recognition uses the shape of the ear to perform identification
- Suggestions have been made that the shapes and characteristics of the human ear are widely different
- **An infrared image can be used to eliminate hair**
- Might be recognized at a distance



Example

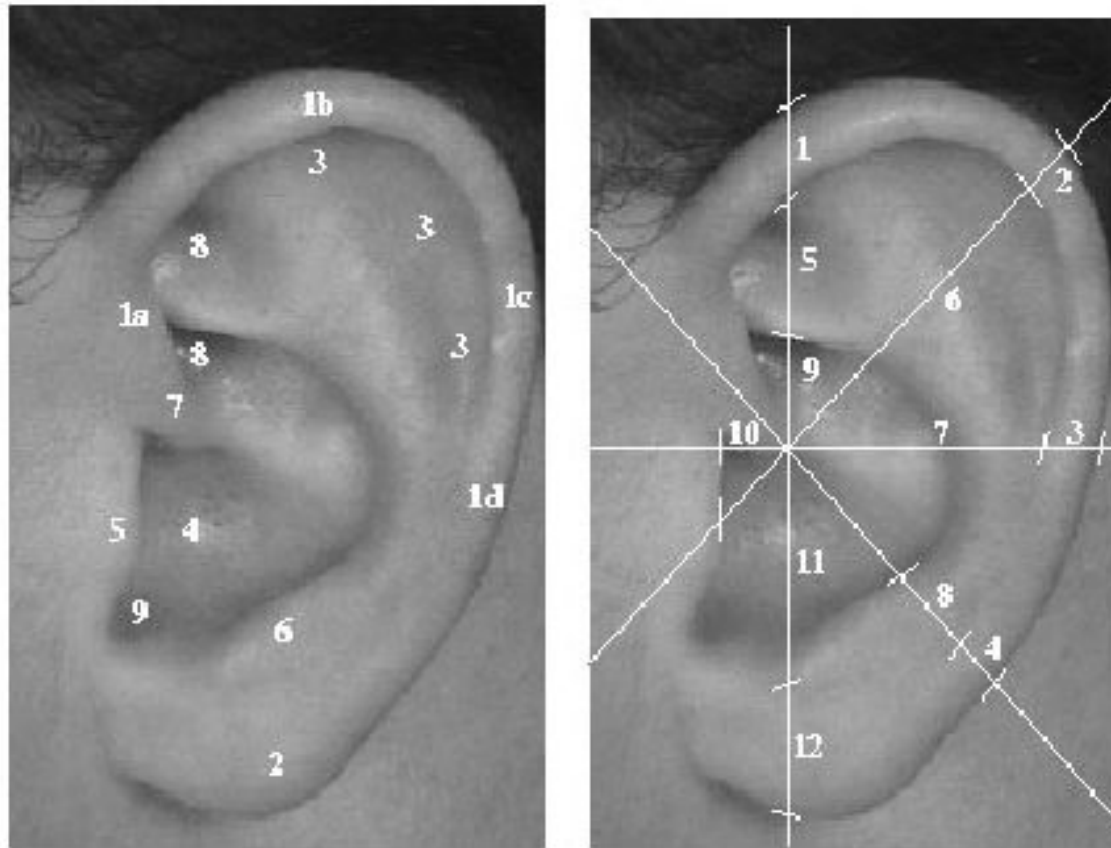
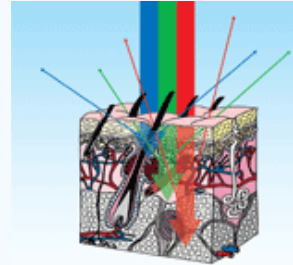


Fig. 2. (a) Anatomy, (b) Measurements. (a) 1 Helix Rim, 2 Lobule, 3 Antihelix, 4 Concha, 5 Tragus, 6 Antitragus, 7 Crus of Helix, 8 Triangular Fossa, 9 Incisure Intertragica. (b) The locations of the anthropometric measurements used in the “Iannarelli System”. (Burge et al., 1998)



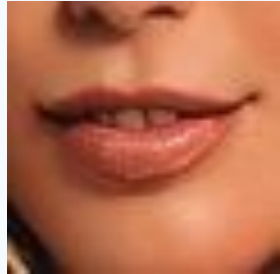
VII. Skin reflection

- [Lumidigm Inc.](#) has established that the absorption spectrum of the skin depends on the individuals.
- In a range of wavelengths over 6mm patch, several LEDs send light into the skin, and photodiodes read the scattered light, which is analyzed to perform the authentication.



VIII. Lip motion

- Compares the characteristic lip motions of people while they speak.
- **Helps identification associated with speaker recognition.**
- Different imaging conditions: Infrared (high security & cost) and Near Infrared (cheap, normally used for active sensing)



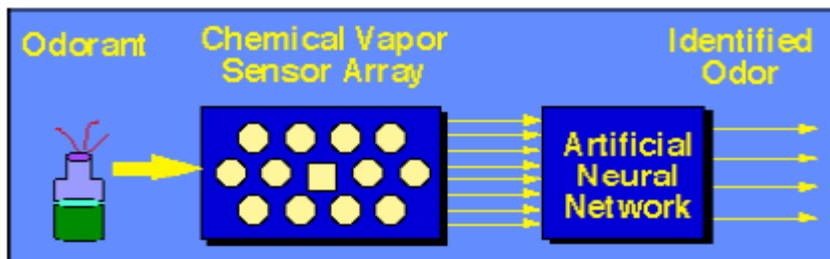
IX. Body odor

It's absolutely clear that people with differing immunity genes produce different body odors

Electronic/artificial noses: developed as a system for the automated detection and classification of odors, vapors, gases.



- Prometheus (Alpha Mos) ,
- an example of electronic nose

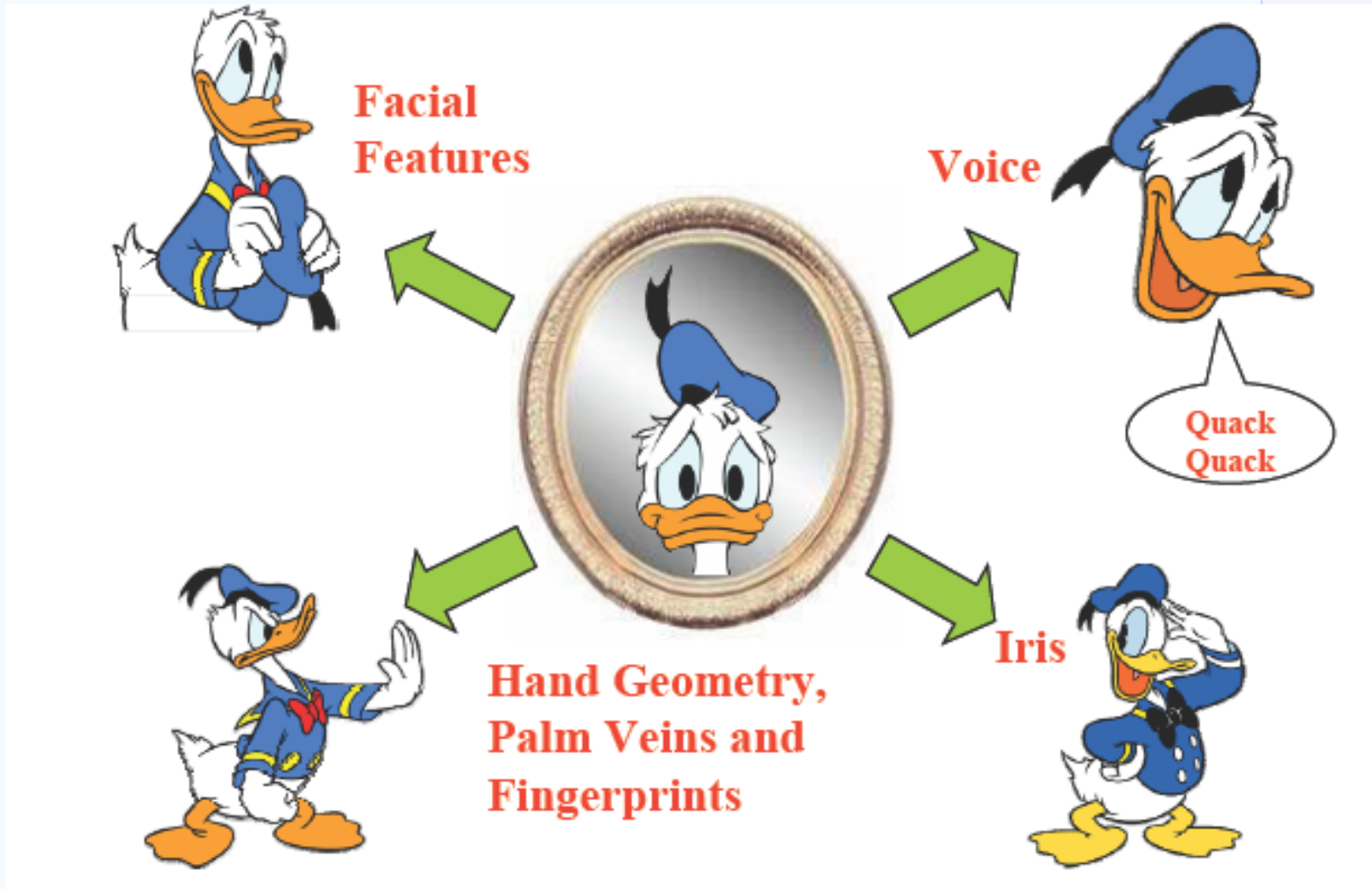


Schematic Diagram of Artificial nose

Artificial noses are not yet sophisticated enough to do all the job

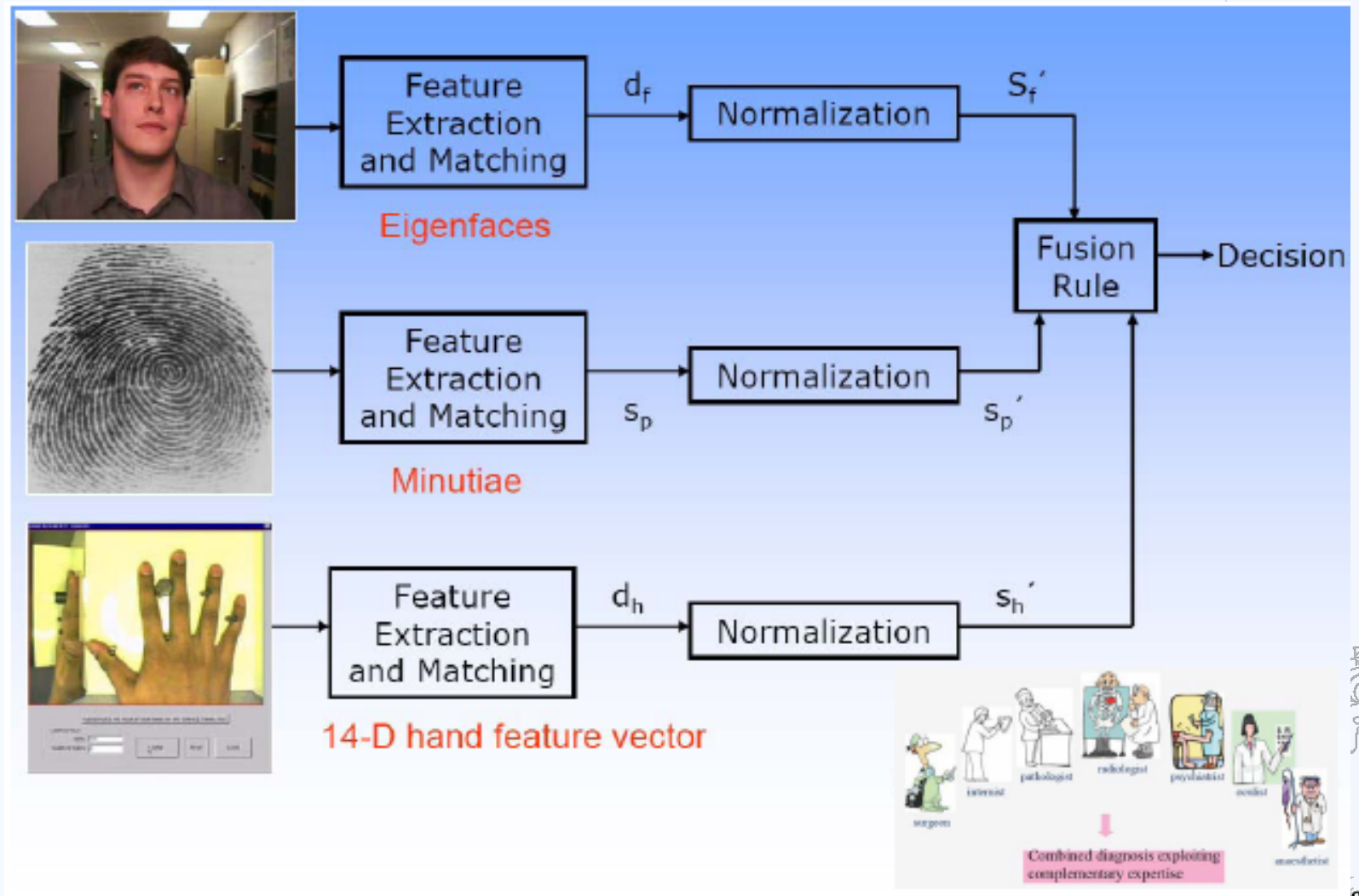


Multimodal Biometrics





Fusion after normalization



Comparison of Biometric Technologies



Characteristic	Fingerprints	Hand Geometry	Retina	Iris	Face	Signature	Voice
Ease of Use	High	High	Low	Medium	Medium	High	High
Error Incidence	Dryness, dirt, age	Hand injury, age	Glasses	Lighting	Lighting, age, glasses, hair	Changing signatures	Noise, colds
Accuracy	High	High	Very High	Very High	High	High	High
User Acceptance	Medium	Medium	Medium	Medium	Medium	High	High
Long-Term Stability	High	Medium	High	High	Medium	Medium	Medium



Possible Future Events



Why news about iris recognition triggered an alien invasion