# Applications of HMMs in Computational Biology 

BMI/CS 576<br>www.biostat.wisc.edu/bmi576.html Mark Craven craven@biostat.wisc.edu

## The protein classification task

Given: amino-acid sequence of a protein
Do: predict the family to which it belongs


## Protein family - a simplified view

ACA-- ATG
T C A A C T A T C
ACAC--AGC
AGA---ATC
A C C G - - ATC
ACAC--ATC
query 1
A A A C - - ATC
query 2
TGCT--ATC
query 3

An example from Krogh: An Introduction to HMMs for Biological Sequences, CMMB 1998.

## Protein family - HMM



|  | Sequence |  | Probability $\times 100$ | Log odds |
| :--- | :--- | :--- | ---: | ---: |
| Consensus | A C A C - - A T C | 4.7 | 6.7 |  |
| Original | A C A - - - A T G | 3.3 | 4.9 |  |
| sequences | T C A A C T A T C | 0.0075 | 3.0 |  |
|  | A C A C - - A G C | 1.2 | 5.3 |  |
|  | A G A - - - A T C | 3.3 | 4.9 |  |
|  | A C C G - - A T C | 0.59 | 4.6 |  |
| Exceptional | T G C T - - A G G | 0.0023 | -0.97 |  |

## Profile HMMs

- profile HMMs are used to model families of sequences
 sequence characters


## Multiple alignment of SH3 domain

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## A profile HMM trained for the SH 3 domain



## Profile HMMs

- to classify sequences according to family, we can train a profile HMM to model the proteins of each family of interest
- given a sequence $x$, use Bayes' rule to make classification

$$
P\left(c_{i} \mid x\right)=\frac{P\left(x \mid c_{i}\right) P\left(c_{i}\right)}{\sum_{j} P\left(x \mid c_{j}\right) P\left(c_{j}\right)}
$$

- use Forward algorithm to compute $P\left(x \mid c_{i}\right)$ for each family $c_{i}$



## Profile HMM accuracy



- classifying 2447proteins into 33 families
- $x$-axis represents the median \# of negative sequences that score as high as a positive sequence for a given family's model


## See Pfam database for a large collection profile HMMs



## The gene finding task

Given: an uncharacterized DNA sequence
Do: locate the genes in the sequence, including the coordinates of individual exons and introns


## Eukaryotic gene structure



## Sources of evidence for gene finding

- signals: the sequence signals (e.g. splice junctions) involved in gene expression
- content: statistical properties that distinguish proteincoding DNA from non-coding DNA
- conservation: signal and content properties that are conserved across related sequences (e.g. syntenic regions of the mouse and human genome)


## Gene finding: search by content

- encoding a protein affects the statistical properties of a DNA sequence

| UUU F 0.46 | UCU S 0.19 | UAU Y 0.44 | UGU C 0.46 |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| UUC F 0.54 | UCC S 0.22 | UAC Y 0.56 | UGC C 0.54 |  |  |  |  |
| UUA L 0.08 | UCA S 0.15 | UAA * 0.30 | UGA $~ 0.0 .47$ |  |  |  |  |
| UUG L 0.13 | UCG S 0.05 | UAG * 0.24 | UGG W 1.00 |  |  |  |  |
|  |  |  |  |  |  |  |  |
| CUU L 0.13 | CCU P 0.29 | CAU H 0.42 | CGU R 0.08 |  |  |  |  |
| CUC L 0.20 | CCC P 0.32 | CAC H 0.58 | CGC R 0.18 |  |  |  |  |
| CUA L 0.07 | CCA P 0.28 | CAA Q 0.27 | CGA R 0.11 |  |  |  |  |
| CUG L 0.40 | CCG P 0.11 | CAG Q 0.73 | CGG R 0.20 |  |  |  |  |
| AUU I 0.36 | ACU T 0.25 | AAU N 0.47 | AGU S 0.15 |  |  |  |  |
| AUC I 0.47 | ACC T 0.36 | AAC N 0.53 | AGC S 0.24 |  |  |  |  |
| AUA I 0.17 | ACA T 0.28 | AAA K 0.43 | AGA R 0.21 |  |  |  |  |
| AUG M 1.00 | ACG T 0.11 | AAG K 0.57 | AGG R 0.21 |  |  |  |  |
|  |  |  |  |  |  |  |  |
| GUU V 0.18 | GCU A 0.27 | GAU D 0.46 | GGU G 0.16 |  |  |  |  |
| GUC V 0.24 | GCC A 0.40 | GAC D 0.54 | GGC G 0.34 |  |  |  |  |
| GUA V 0.12 | GCA A 0.23 | GAA B 0.42 | GGA G 0.25 |  |  |  |  |
| GUG V 0.46 | GCG A 0.11 | GAG E 0.58 | GGG G 0.25 |  |  |  |  |

[Codon/a.a./fraction per codon per a.a.]
Homo sapiens data from the Codon Usage Database

## The GENSCAN HMM for Eukaryotic Gene Finding [Burge \& Karlin '97]

Each shape denotes a functional unit of a gene or genomic region and is represented by a submodel in the HMM

Pairs of intron/exon units represent the different ways an intron can interrupt a coding sequence (after $1^{\text {st }}$ base in codon, after $2^{\text {nd }}$ base or after $3^{\text {rd }}$ base)

Complementary submodel (not shown) detects genes on opposite DNA strand


## GENSCAN uses a variety of submodel types

| sequence feature | model |
| :--- | :--- |
| exons | $5^{\text {th }}$ order inhomogenous |
| introns, intergenic regions | $5^{\text {th }}$ order homogenous |
| poly-A, translation initiation, <br> promoter | $0^{\text {th }}$ order, fixed-length |
| splice junctions | tree-structured variable memory |

## Markov models \& exons

- consider modeling a given coding sequence
- for each "word" we evaluate, we'll want to consider its position with respect to the reading frame we're assuming
reading frame

- can do this using an inhomogeneous model


## A fifth-order inhomogenous Markov chain



## Inference with the gene-finding HMM

## given: an uncharacterized DNA sequence

find: the most probable path through the model for the sequence

- this path will specify the coordinates of the predicted genes (including intron and exon boundaries)
- the Viterbi algorithm is used to compute this path


## Parsing a DNA sequence



## Other issues in Markov models

- there are many interesting variants and extensions of the models/algorithms we considered here (some of these are covered in BMI/CS 776)
- separating length/composition distributions with semi-Markov models
- modeling multiple sequences with pair HMMs
- learning the structure of HMMs
- going up the Chomsky hierarchy: stochastic context free grammars
- discriminative learning algorithms (e.g. as in conditional random fields)
- etc.

