

Automated (AI) Planning

Abstractions and Abstraction Heuristics

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Coming up with heuristics in a principled way

General procedure for obtaining a heuristic

Solve an easier version of the problem.

Two common methods:

- **relaxation**: consider **less constrained** version of the problem
- **abstraction**: consider **smaller** version of real problem

In the previous chapter, we have studied **relaxation**, which has been very successfully applied to **satisficing planning**.

Now, we study **abstraction**, which is one of the most prominent techniques for **optimal planning**.

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Abstracting a transition system

Abstracting a transition system means **dropping some distinctions** between states, while **preserving the transition behaviour** as much as possible.

- An abstraction of a transition system \mathcal{T} is defined by an **abstraction mapping** α that defines which states of \mathcal{T} should be distinguished and which ones should not.
- From \mathcal{T} and α , we compute an **abstract transition system** \mathcal{T}' which is similar to \mathcal{T} , but smaller.
- The **abstract goal distances** (goal distances in \mathcal{T}') are used as heuristic estimates for goal distances in \mathcal{T} .

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Abstracting a transition system: example

Example (15-puzzle)

A **15-puzzle** state is given by a permutation $\langle b, t_1, \dots, t_{15} \rangle$ of $\{1, \dots, 16\}$, where b denotes the blank position and the other components denote the positions of the 15 tiles.

One possible **abstraction mapping** ignores the precise location of tiles 8–15, i. e., two states are distinguished iff they differ in the position of the blank or one of the tiles 1–7:

$$\alpha(\langle b, t_1, \dots, t_{15} \rangle) = \langle b, t_1, \dots, t_7 \rangle$$

The heuristic values for this abstraction correspond to the cost of moving tiles 1–7 to their goal positions.

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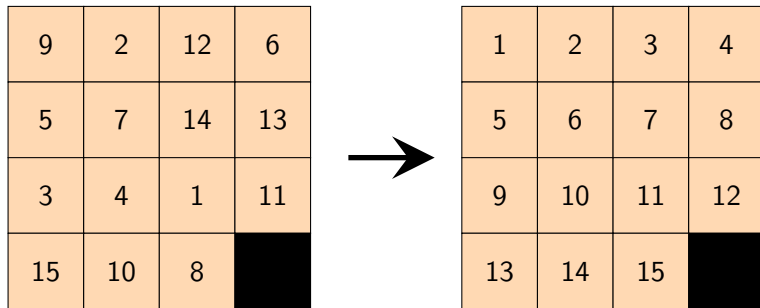
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Abstraction example: 15-puzzle



real state space

- $16! = 20922789888000 \approx 2 \cdot 10^{13}$ states
- $\frac{16!}{2} = 10461394944000 \approx 10^{13}$ reachable states

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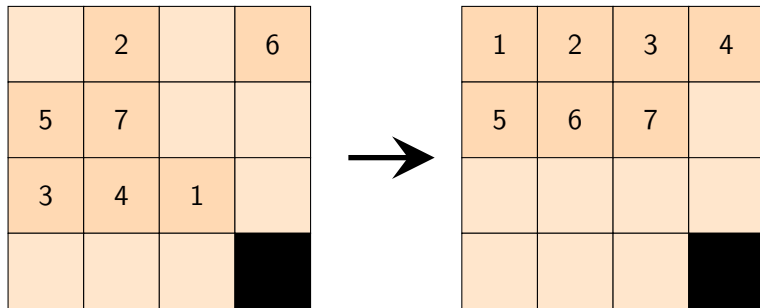
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Abstraction example: 15-puzzle



abstract state space

- $16 \cdot 15 \cdot \dots \cdot 9 = 518918400 \approx 5 \cdot 10^8$ states
- $16 \cdot 15 \cdot \dots \cdot 9 = 518918400 \approx 5 \cdot 10^8$ reachable states

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Computing the abstract transition system

Given \mathcal{T} and α , how do we compute \mathcal{T}' ?

Requirement

We want to obtain an **admissible heuristic**.

Hence, $h^*(\alpha(s))$ (in the abstract state space \mathcal{T}') should never overestimate $h^*(s)$ (in the concrete state space \mathcal{T}).

An easy way to achieve this is to ensure that **all solutions in \mathcal{T} also exist in \mathcal{T}'** :

- If s is a goal state in \mathcal{T} , then $\alpha(s)$ is a goal state in \mathcal{T}' .
- If \mathcal{T} has a transition from s to t , then \mathcal{T}' has a transition from $\alpha(s)$ to $\alpha(t)$.

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Practical requirements for abstractions

To be useful in practice, an abstraction heuristic must be efficiently computable. This gives us two requirements for α :

- For a given state s , the **abstract state** $\alpha(s)$ must be efficiently computable.
- For a given abstract state $\alpha(s)$, the **abstract goal distance** $h^*(\alpha(s))$ must be efficiently computable.

There are different ways of achieving these requirements:

- **pattern database heuristics** (Culberson & Schaeffer, 1996)
- **merge-and-shrink abstractions** (Dräger, Finkbeiner & Podelski, 2006)
- **structural patterns** (Katz & Domshlak, 2008)

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Practical requirements for abstractions: example

Example (15-puzzle)

In our running example, α can be very efficiently computed: just project the given 16-tuple to its first 8 components.

To compute abstract goal distances efficiently during search, most common algorithms precompute **all abstract goal distances** prior to search by performing a backward breadth-first search from the goal state(s). The distances are then stored in a table (requires about 495 MB of RAM).

During search, computing $h^*(\alpha(s))$ is just a table lookup.

This heuristic is an example of a **pattern database heuristic**.

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Multiple abstractions

- One important practical question is how to come up with a suitable abstraction mapping α .
- Indeed, there is usually a **huge number of possibilities**, and it is important to pick good abstractions (i. e., ones that lead to informative heuristics).
- However, it is generally **not necessary to commit to a single abstraction**.

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Combining multiple abstractions

Maximizing several abstractions:

- Each abstraction mapping gives rise to an admissible heuristic.
- By computing the **maximum** of several admissible heuristics, we obtain another admissible heuristic which **dominates** the component heuristics.
- Thus, we can always compute several abstractions and maximize over the individual abstract goal distances.

Adding several abstractions:

- In some cases, we can even compute the **sum** of individual estimates and still stay admissible.
- Summation often leads to **much higher estimates** than maximization, so it is **important to understand when it is admissible**.

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Maximizing several abstractions: example

Example (15-puzzle)

- mapping to tiles 1–7 was arbitrary
 \leadsto can use **any subset** of tiles
- with the same amount of memory required for the tables for the mapping to tiles 1–7, we could store the tables for **nine different abstractions** to six tiles and the blank
- use **maximum** of individual estimates

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Adding several abstractions: example

9	2	12	6
5	7	14	13
3	4	1	11
15	10	8	

9	2	12	6
5	7	14	13
3	4	1	11
15	10	8	

- **1st abstraction:** ignore precise location of 8–15
 - **2nd abstraction:** ignore precise location of 1–7
- ↪ Is the **sum** of the abstraction heuristics **admissible**?

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Adding several abstractions: example

	2		6
5	7		
3	4	1	

9		12	
		14	13
			11
15	10	8	

- **1st abstraction:** ignore precise location of 8–15
 - **2nd abstraction:** ignore precise location of 1–7
- ↪ The **sum** of the abstraction heuristics is **not admissible**.

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Adding several abstractions: example

	2		6
5	7		
3	4	1	

9		12	
		14	13
			11
15	10	8	

- **1st abstraction:** ignore precise location of 8–15 and blank
 - **2nd abstraction:** ignore precise location of 1–7 and blank
- ↪ The **sum** of the abstraction heuristics is **admissible**.

Transition systems

Definition (transition system)

A **transition system** is a 5-tuple $\mathcal{T} = \langle S, L, T, I, G \rangle$ where

- S is a finite set of **states** (the **state space**),
- L is a finite set of (transition) **labels**,
- $T \subseteq S \times L \times S$ is the **transition relation**,
- $I \subseteq S$ is the set of **initial states**, and
- $G \subseteq S$ is the set of **goal states**.

We say that \mathcal{T} **has the transition** $\langle s, l, s' \rangle$ if $\langle s, l, s' \rangle \in T$.

Note: For technical reasons, the definition slightly differs from our earlier one. (It includes explicit labels.)

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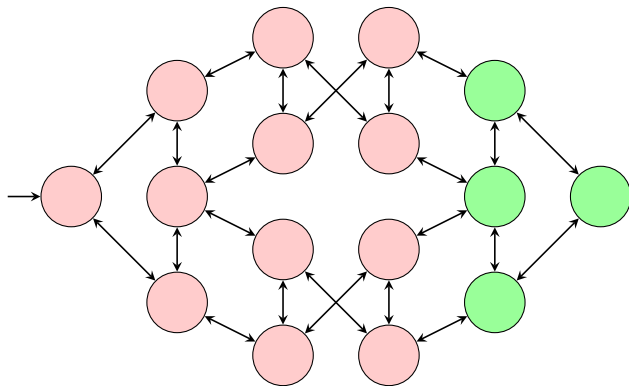
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Transition systems: example



Note: To reduce clutter, our figures usually omit arc labels and collapse transitions between identical states. However, these are important for the formal definition of the transition system.

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Transition systems of SAS⁺ planning tasks

Definition (transition system of an SAS⁺ planning task)

Let $\Pi = \langle V, I, O, G \rangle$ be an SAS⁺ planning task.

The **transition system of Π** , in symbols $\mathcal{T}(\Pi)$, is the transition system $\mathcal{T}(\Pi) = \langle S', L', T', I', G' \rangle$, where

- S' is the set of states over V ,
- $L' = O$,
- $T' = \{ \langle s', o', t' \rangle \in S' \times L' \times S' \mid \text{app}_{o'}(s') = t' \}$,
- $I' = \{I\}$, and
- $G' = \{s' \in S' \mid s' \models G\}$.

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Example task: one package, two trucks

Example (one package, two trucks)

Consider the following SAS⁺ planning task $\langle V, I, O, G \rangle$:

- $V = \{p, t_A, t_B\}$ with
 - $\mathcal{D}_p = \{L, R, A, B\}$
 - $\mathcal{D}_{t_A} = \mathcal{D}_{t_B} = \{L, R\}$
- $I = \{p \mapsto L, t_A \mapsto R, t_B \mapsto R\}$
- $O = \{\text{pickup}_{i,j} \mid i \in \{A, B\}, j \in \{L, R\}\} \cup \{\text{drop}_{i,j} \mid i \in \{A, B\}, j \in \{L, R\}\} \cup \{\text{move}_{i,j,j'} \mid i \in \{A, B\}, j, j' \in \{L, R\}, j \neq j'\}$, where
 - $\text{pickup}_{i,j} = \langle t_i = j \wedge p = j, p := i \rangle$
 - $\text{drop}_{i,j} = \langle t_i = j \wedge p = i, p := j \rangle$
 - $\text{move}_{i,j,j'} = \langle t_i = j, t_i := j' \rangle$
- $G = (p = R)$

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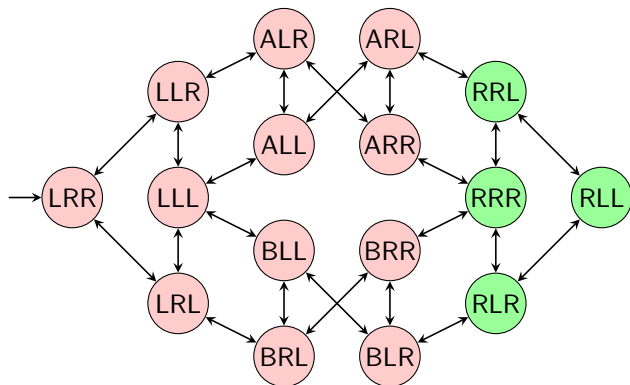
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Transition system of example task



- State $\{p \mapsto i, t_A \mapsto j, t_B \mapsto k\}$ is depicted as ijk .
- Transition labels are again not shown. For example, the transition from LLL to ALL has the label $\text{pickup}_{A,L}$.

Definition (abstraction, abstraction mapping)

Let $\mathcal{T} = \langle S, L, T, I, G \rangle$ and $\mathcal{T}' = \langle S', L', T', I', G' \rangle$ be transition systems with the same label set $L = L'$, and let $\alpha : S \rightarrow S'$.

We say that \mathcal{T}' is **an abstraction of \mathcal{T} with abstraction mapping α** (or: **abstraction function α**) if

- for all $s \in I$, we have $\alpha(s) \in I'$,
- for all $s \in G$, we have $\alpha(s) \in G'$, and
- for all $\langle s, l, t \rangle \in T$, we have $\langle \alpha(s), l, \alpha(t) \rangle \in T'$.

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Abstraction heuristics

Definition (abstraction heuristic)

Let Π be an SAS^+ planning task with state space S , and let \mathcal{A} be an abstraction of $\mathcal{T}(\Pi)$ with abstraction mapping α .

The **abstraction heuristic induced by \mathcal{A} and α** , $h^{\mathcal{A},\alpha}$, is the heuristic function $h^{\mathcal{A},\alpha} : S \rightarrow \mathbb{N}_0 \cup \{\infty\}$ which maps each state $s \in S$ to $h_{\mathcal{A}}^*(\alpha(s))$ (the goal distance of $\alpha(s)$ in \mathcal{A}).

Note: $h^{\mathcal{A},\alpha}(s) = \infty$ if no goal state of \mathcal{A} is reachable from $\alpha(s)$

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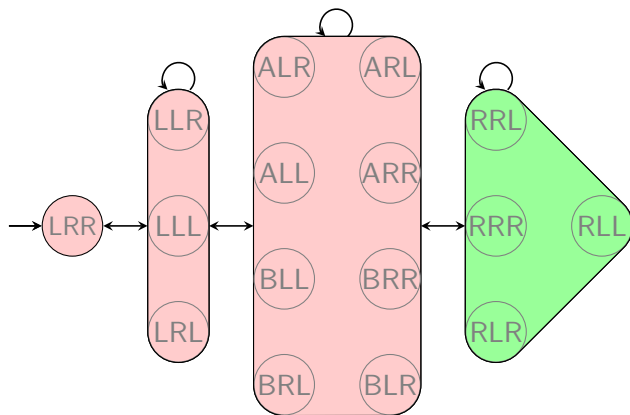
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Abstraction heuristics: example



$$h^{\mathcal{A}, \alpha}(\{p \mapsto L, t_A \mapsto R, t_B \mapsto R\}) = 3$$

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Consistency of abstraction heuristics

Theorem (consistency and admissibility of $h^{\mathcal{A},\alpha}$)

Let Π be an SAS⁺ planning task, and let \mathcal{A} be an abstraction of $\mathcal{T}(\Pi)$ with abstraction mapping α .

Then $h^{\mathcal{A},\alpha}$ is safe, goal-aware, admissible and consistent.

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Orthogonality of abstraction mappings

Definition (orthogonal abstraction mappings)

Let α_1 and α_2 be abstraction mappings on \mathcal{T} .

We say that α_1 and α_2 are **orthogonal** if for all transitions $\langle s, l, t \rangle$ of \mathcal{T} , we have $\alpha_i(s) = \alpha_i(t)$ for at least one $i \in \{1, 2\}$.

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Orthogonal abstraction mappings: example

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5	7		
3	4	1	

9		12	
		14	13
			11
15	10	8	

Are the abstraction mappings orthogonal?

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Orthogonal abstraction mappings: example

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Are the abstraction mappings orthogonal?

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Orthogonality and additivity

Theorem (additivity for orthogonal abstraction mappings)

Let $h^{A_1, \alpha_1}, \dots, h^{A_n, \alpha_n}$ be abstraction heuristics for the same planning task Π such that α_i and α_j are orthogonal for all $i \neq j$.

Then $\sum_{i=1}^n h^{A_i, \alpha_i}$ is a safe, goal-aware, admissible and consistent heuristic for Π .

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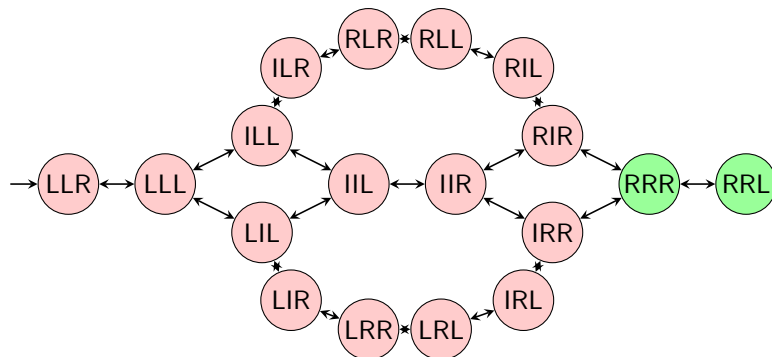
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Orthogonality and additivity: example



transition system \mathcal{T}

state variables: first package, second package, truck

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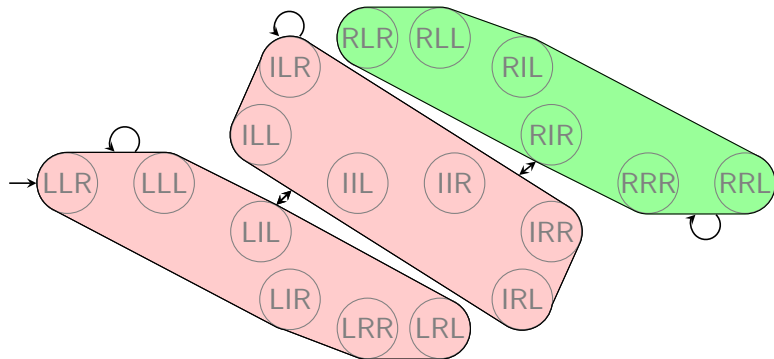
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Orthogonality and additivity: example



abstraction \mathcal{A}_1

mapping: only consider state of first package

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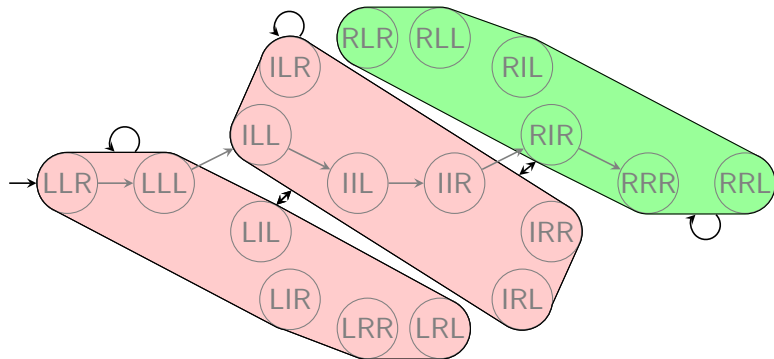
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abstraction \mathcal{A}_1

mapping: only consider state of first package

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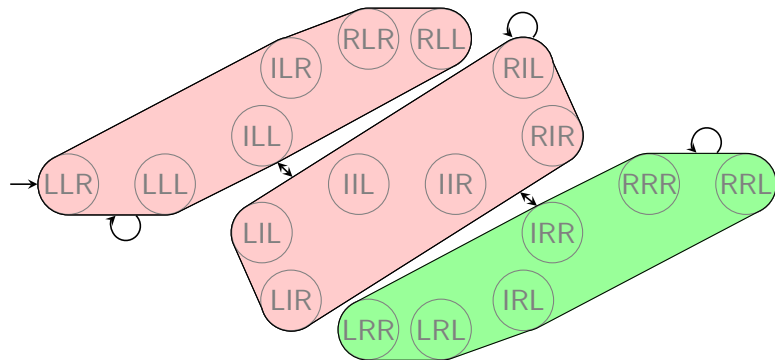
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Orthogonality and additivity: example



abstraction \mathcal{A}_2 (orthogonal to \mathcal{A}_1)

mapping: only consider state of second package

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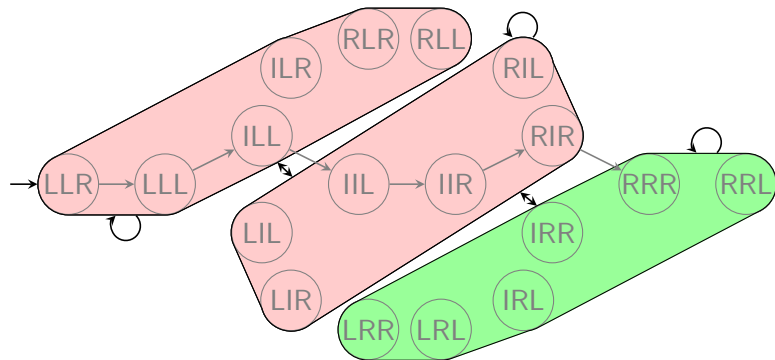
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Orthogonality and additivity: example



abstraction \mathcal{A}_2 (orthogonal to \mathcal{A}_1)
mapping: only consider state of second package

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Using abstraction heuristics in practice

In practice, there are conflicting goals for abstractions:

- we want to obtain an **informative heuristic**, but
- want to keep its **representation small**.

Abstractions have small representations if they have

- **few abstract states** and
- a **succinct encoding for α** .

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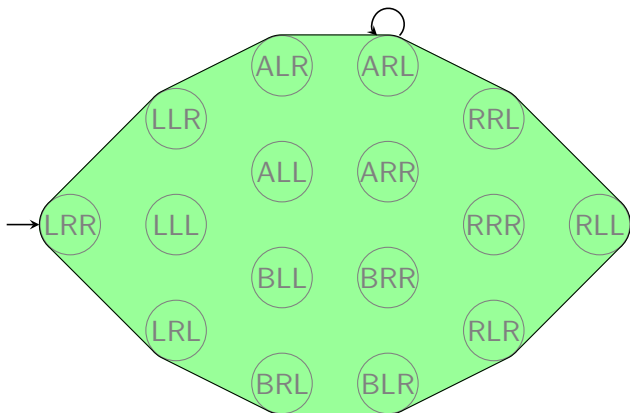
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Counterexample: one-state abstraction



One-state abstraction: $\alpha(s) := \text{const.}$

- + very few abstract states and succinct encoding for α
- completely uninformative heuristic

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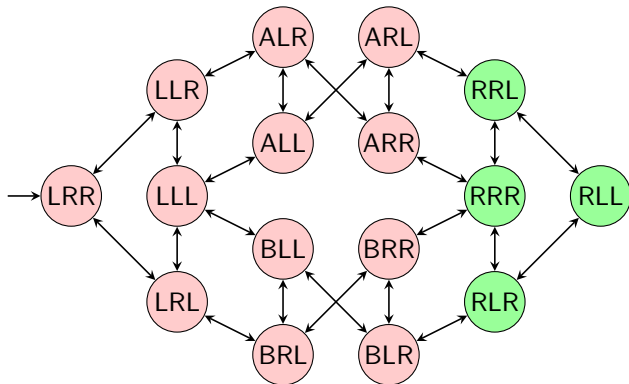
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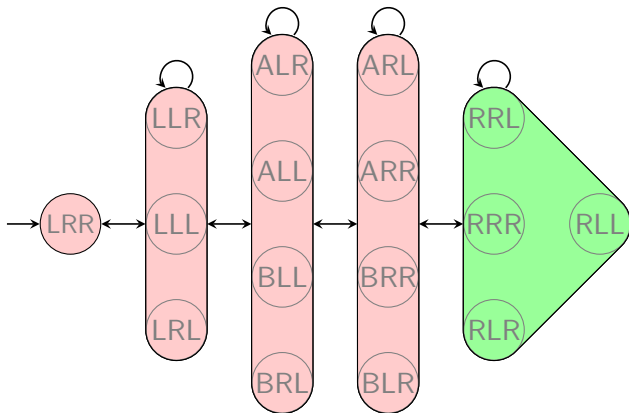
Counterexample: identity abstraction



Identity abstraction: $\alpha(s) := s$.

- + perfect heuristic and succinct encoding for α
- too many abstract states

Counterexample: perfect abstraction



Perfect abstraction: $\alpha(s) := h^*(s)$.

- + perfect heuristic and usually few abstract states
- usually no succinct encoding for α

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Pattern database heuristics informally

Pattern databases: informally

A pattern database heuristic for a planning task is an abstraction heuristic where

- some aspects of the task are represented in the abstraction **with perfect precision**, while
- all other aspects of the task are **not represented at all**.

Example (15-puzzle)

- Choose a subset T of tiles (the **pattern**).
- Faithfully represent the locations of T in the abstraction.
- Assume that all other tiles and the blank can be anywhere in the abstraction.

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Projections

Formally, pattern database heuristics are induced abstractions of a particular class of homomorphisms called **projections**.

Definition (projections)

Let Π be an SAS^+ planning task with variable set V and state set S . Let $P \subseteq V$, and let S' be the set of states over P .

The **projection** $\pi_P : S \rightarrow S'$ is defined as $\pi_P(s) := s|_P$ (with $s|_P(v) := s(v)$ for all $v \in P$).

We call P the **pattern** of the projection π_P .

In other words, π_P maps two states s_1 and s_2 to the same abstract state iff they agree on all variables in P .

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Pattern database heuristics

Abstraction heuristics for projections are called **pattern database (PDB)** heuristics.

Definition (pattern database heuristic)

The abstraction heuristic induced by π_P is called a **pattern database heuristic** or **PDB heuristic**.

We write h^P as a short-hand for h^{π_P} .

Why are they called **pattern database heuristics**?

- Heuristic values for PDB heuristics are traditionally stored in a 1-dimensional table (array) called a **pattern database (PDB)**. Hence the name “PDB heuristic”.

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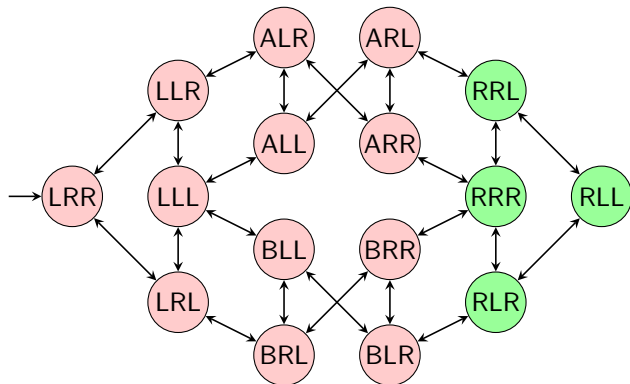
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Back to the running example



Logistics problem with one package, two trucks, two locations:

- state variable **package**: $\{L, R, A, B\}$
- state variable **truck A**: $\{L, R\}$
- state variable **truck B**: $\{L, R\}$

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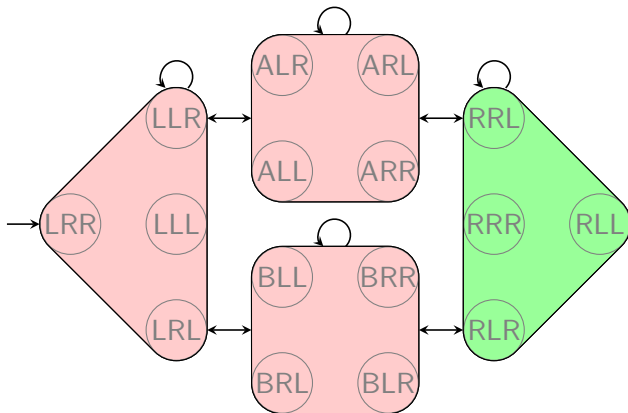
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Example: projection

Project to {package}:



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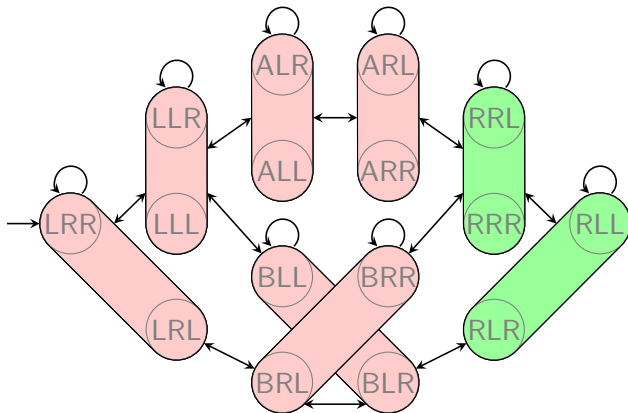
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Example: projection (2)

Project to {package, truck A}:



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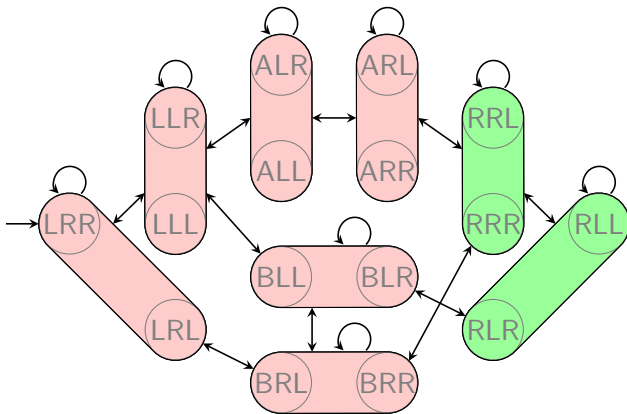
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Example: projection (2)

Project to {package, truck A}:



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Limitations of projections

How accurate is the PDB heuristic?

- consider **generalization of the example**:
 N trucks, M locations (fully connected), still one package
- consider **any** pattern that is proper subset of variable set V
- $h(s_0) \leq 2 \rightsquigarrow$ **no better** than atomic projection to **package**

These values cannot be improved by maximizing over several patterns or using additive patterns.

Merge-and-shrink abstractions can represent heuristics with $h(s_0) \geq 3$ for tasks of this kind of any size.

Time and space requirements are **polynomial in N and M** .

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Merge-and-shrink abstractions: main idea

Main idea of merge-and-shrink abstractions

(due to Dräger, Finkbeiner & Podelski, 2006):

Instead of **perfectly** reflecting **a few** state variables, reflect **all** state variables, but in a **potentially lossy** way.

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The need for succinct abstraction mappings

- One major difficulty for non-PDB abstractions is to **succinctly represent the abstraction mapping**.
- For pattern databases, this is easy because the abstraction mappings – projections – are very **structured**.
- For less rigidly structured abstraction mappings, we need another idea.

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Merge-and-shrink abstractions: idea

- The main idea underlying merge-and-shrink abstractions is that given two abstractions \mathcal{A} and \mathcal{A}' , we can **merge** them into a new **product abstraction**.
 - The product abstraction **captures all information** of both abstractions and can be **better informed than either**.
 - It can even be better informed than their **sum**.
- By merging a set of very simple abstractions, we can in theory represent **arbitrary** abstractions of an SAS⁺ task.
- In practice, due to memory limitations, such abstractions can become too large. In that case, we can **shrink** them by abstracting them further using **any abstraction** on an intermediate result, then **continue the merging process**.

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Running example: explanations

- **Atomic projections** – projections to a single state variable – play an important role in this chapter.
- Unlike previous chapters, **transition labels** are critically important in this chapter.
- Hence we now look at the transition systems for atomic projections of our example task, including transition labels.
- We abbreviate operator names as in these examples:
 - **MALR**: move truck **A** from left to right
 - **DAR**: drop package from truck **A** at right location
 - **PBL**: pick up package with truck **B** at left location
- We abbreviate parallel arcs with **commas** and **wildcards** (*****) in the labels as in these examples:
 - **PAL, DAL**: two parallel arcs labeled **PAL** and **DAL**
 - **MA****: two parallel arcs labeled **MALR** and **MARL**

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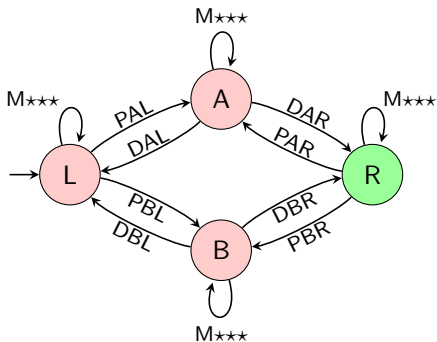
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Running example: atomic projection for package

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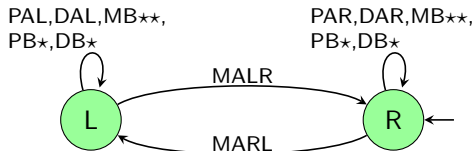
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Running example: atomic projection for truck A

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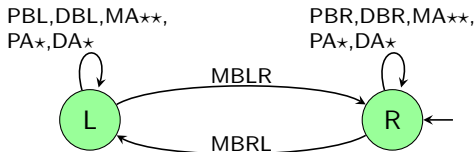
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Running example: atomic projection for truck B

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Synchronized product of transition systems

Definition (synchronized product of transition systems)

For $i \in \{1, 2\}$, let $\mathcal{T}_i = \langle S_i, L, T_i, I_i, G_i \rangle$ be transition systems with identical label set.

The **synchronized product** of \mathcal{T}_1 and \mathcal{T}_2 , in symbols $\mathcal{T}_1 \otimes \mathcal{T}_2$, is the transition system $\mathcal{T}_\otimes = \langle S_\otimes, L, T_\otimes, I_\otimes, G_\otimes \rangle$ with

- $S_\otimes := S_1 \times S_2$
- $T_\otimes := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \langle s_1, l, t_1 \rangle \in T_1 \text{ and } \langle s_2, l, t_2 \rangle \in T_2 \}$
- $I_\otimes := I_1 \times I_2$
- $G_\otimes := G_1 \times G_2$

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Synchronized product of functions

Definition (synchronized product of functions)

Let $\alpha_1 : S \rightarrow S_1$ and $\alpha_2 : S \rightarrow S_2$ be functions with identical domain.

The **synchronized product** of α_1 and α_2 , in symbols $\alpha_1 \otimes \alpha_2$, is the function $\alpha_\otimes : S \rightarrow S_1 \times S_2$ defined as

$$\alpha_\otimes(s) = \langle \alpha_1(s), \alpha_2(s) \rangle.$$

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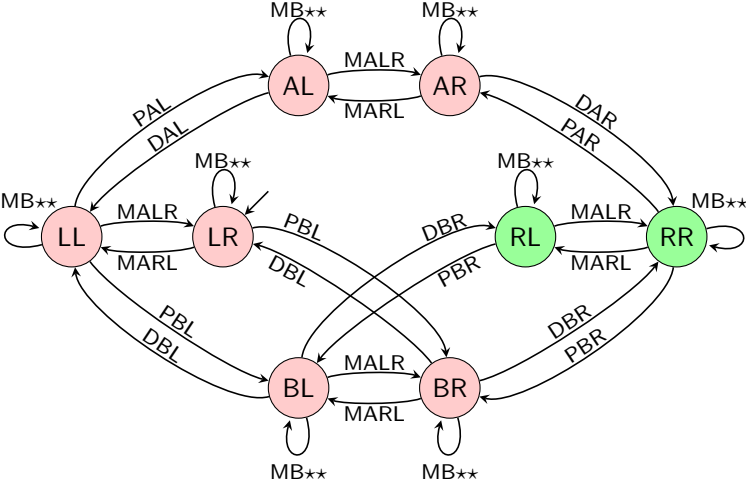
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Example: synchronized product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\} :$$



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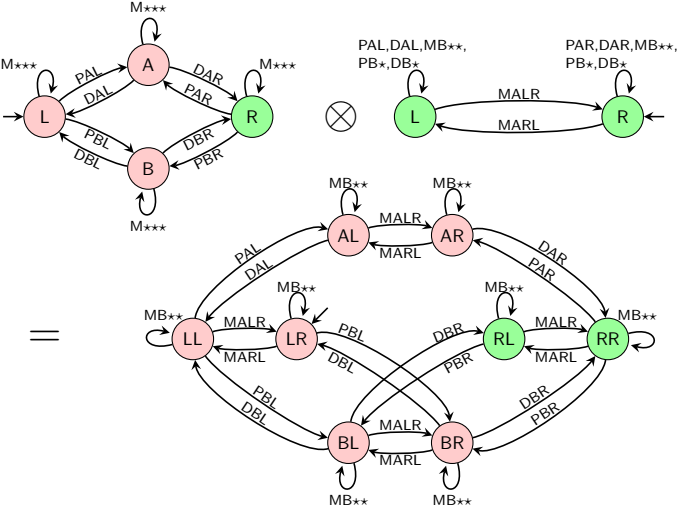
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Example: computation of synchronized product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\} :$$



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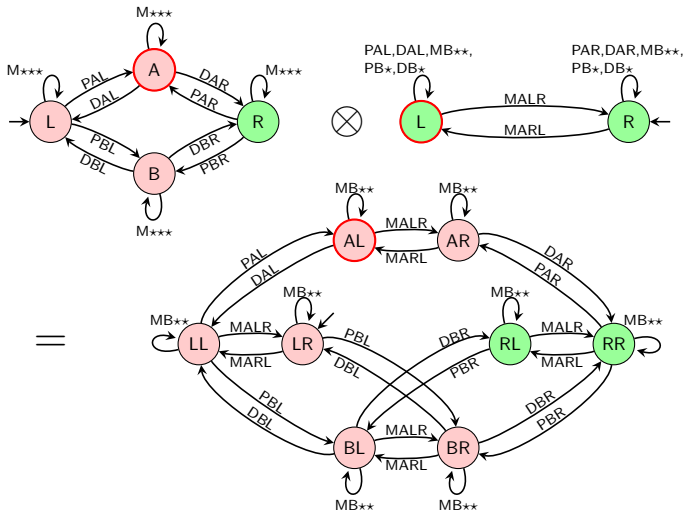
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Example: computation of synchronized product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: S_\otimes = S_1 \times S_2$$



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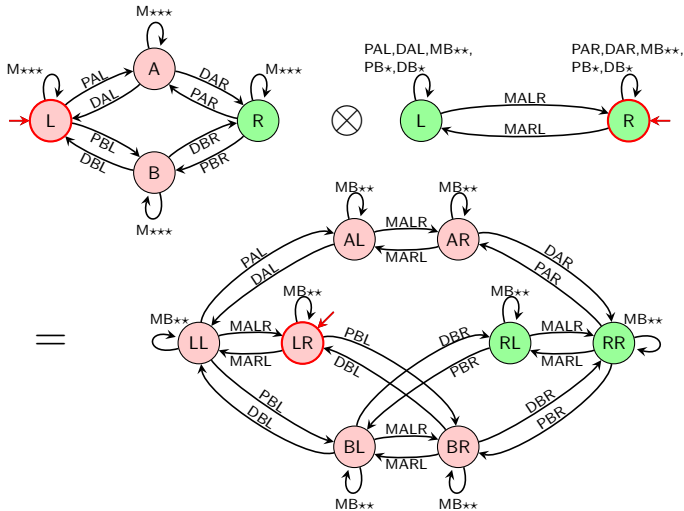
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Example: computation of synchronized product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: I_\otimes = I_1 \times I_2$$



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Example: computation of synchronized product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: G_\otimes = G_1 \times G_2$$

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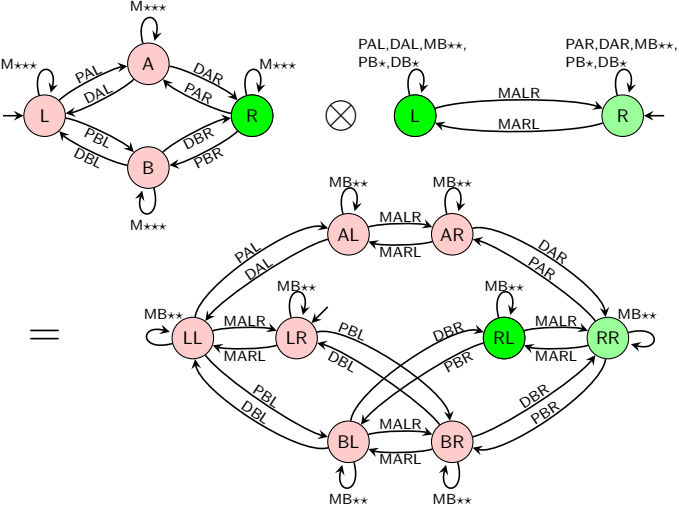
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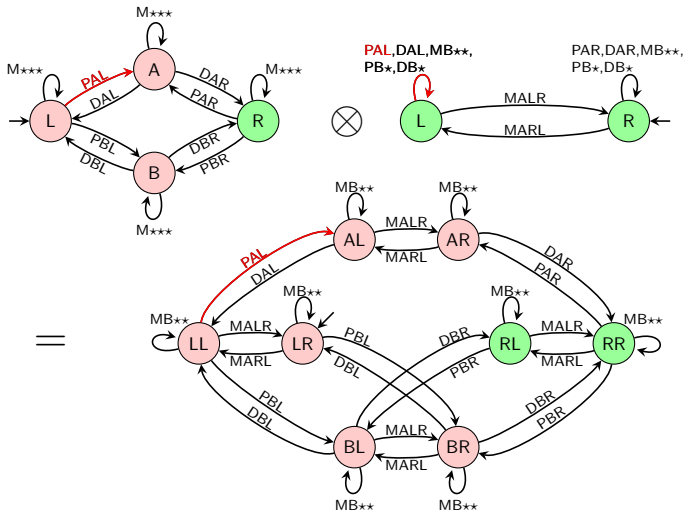
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Example: computation of synchronized product

$$\mathcal{T}^{\pi}\{\text{package}\} \otimes \mathcal{T}^{\pi}\{\text{truck A}\}: T_{\otimes} := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \dots \}$$



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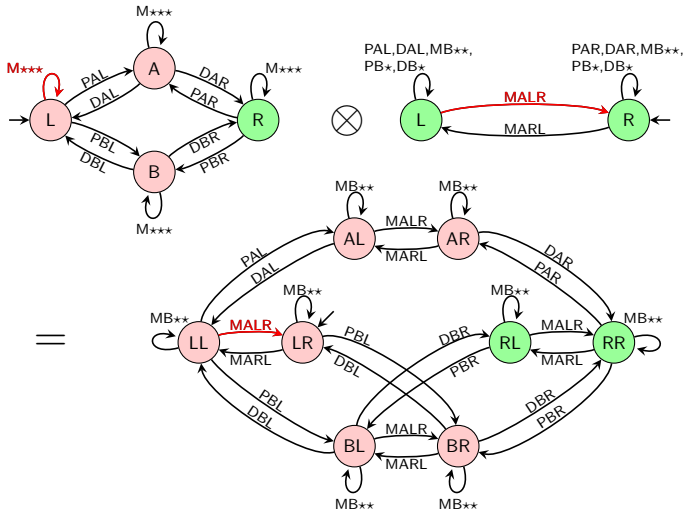
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$$\mathcal{T}^{\pi}\{\text{package}\} \otimes \mathcal{T}^{\pi}\{\text{truck A}\}: T_{\otimes} := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \dots \}$$



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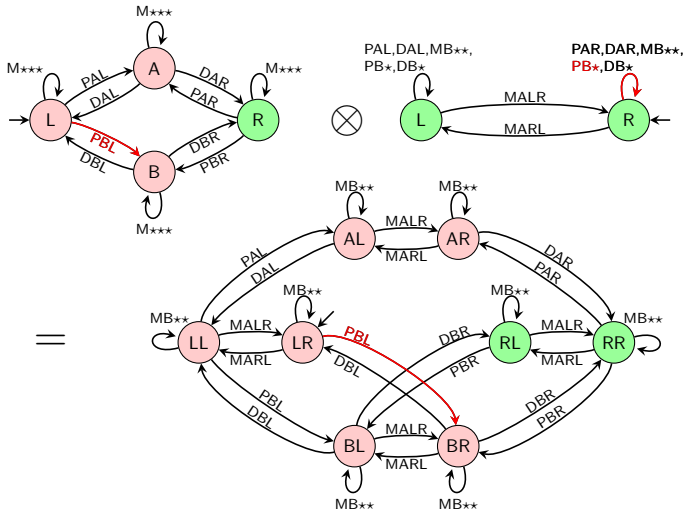
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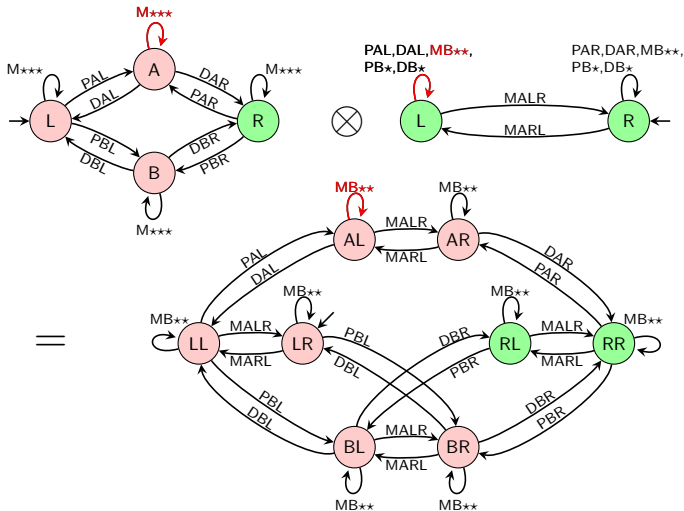
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Generic merge-and-shrink abstractions: outline

Using the results from the previous section, we can develop the ideas of a **generic abstraction computation procedure** that **takes all state variables into account**:

- **Initialization step**: Compute all abstract transition systems for atomic projections to form the initial abstraction collection.
- **Merge steps**: Combine two abstractions in the collection by replacing them with their synchronized product. (Stop once only one abstraction is left.)
- **Shrink steps**: If the abstractions in the collection are too large to compute their synchronized product, make them smaller by abstracting them further (applying an arbitrary homomorphism to them).

We explain these steps with our running example.

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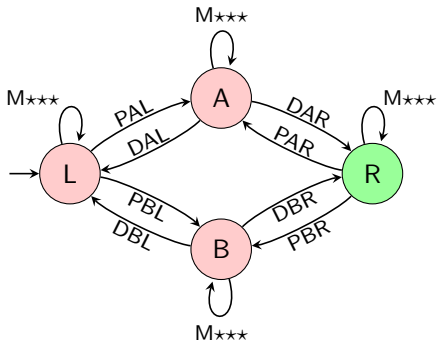
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Initialization step: atomic projection for package

$\mathcal{T}^{\pi}\{\text{package}\}$:



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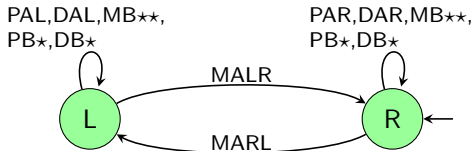
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Initialization step: atomic projection for truck A

$\mathcal{T}^\pi\{\text{truck A}\}$:



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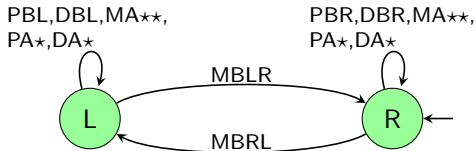
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Initialization step: atomic projection for truck B

$\mathcal{T}^\pi\{\text{truck B}\}$:



current collection: $\{\mathcal{T}^\pi\{\text{package}\}, \mathcal{T}^\pi\{\text{truck A}\}, \mathcal{T}^\pi\{\text{truck B}\}\}$

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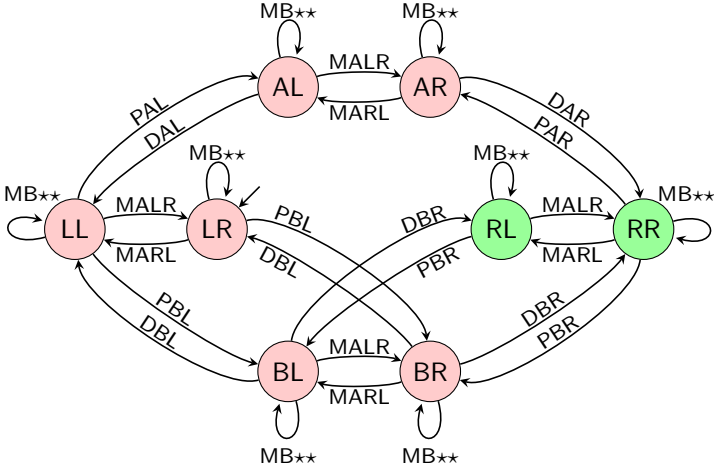
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First merge step

$$\mathcal{T}_1 := \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}}$$



current collection: $\{\mathcal{T}_1, \mathcal{T}^{\pi_{\{\text{truck B}\}}}\}$

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Merge & Shrink Abstractions

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Abstraction mapping
Concrete algorithm

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Need to simplify?

- If we have sufficient memory available, we can now compute $\mathcal{T}_1 \otimes \mathcal{T}^{\pi_{\{\text{truck B}\}}}$, which would recover the complete transition system of the task.
- However, to illustrate the general idea, let us assume that we do not have sufficient memory for this product.
- More specifically, we will assume that after each product operation we need to reduce the result abstraction to **four states** to obey memory constraints.
- So we need to reduce \mathcal{T}_1 to four states. We have a lot of leeway in deciding **how exactly** to abstract \mathcal{T}_1 .
- In this example, we simply use an abstraction that leads to a good result in the end.

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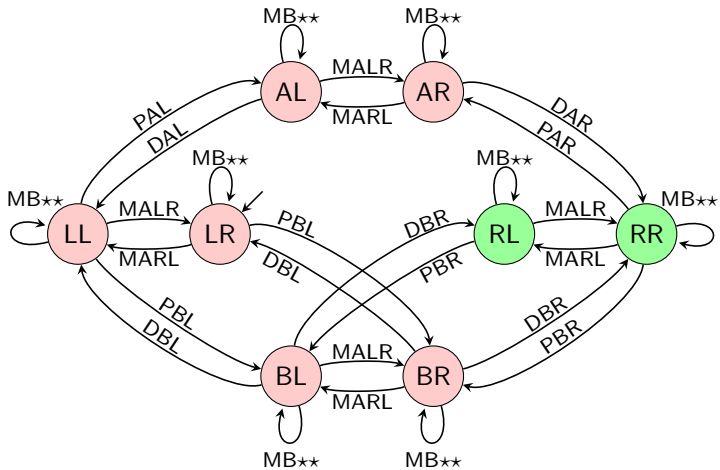
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First shrink step

$\mathcal{T}_2 := \text{some abstraction of } \mathcal{T}_1$



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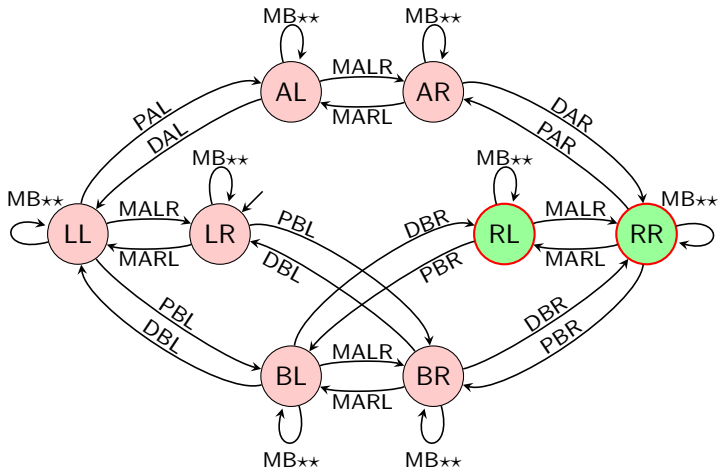
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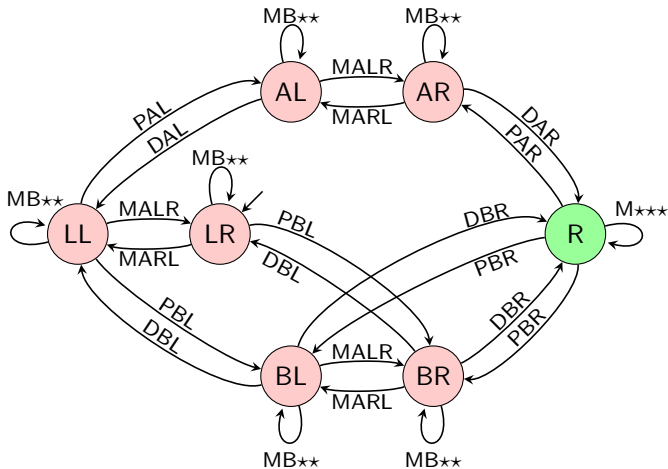
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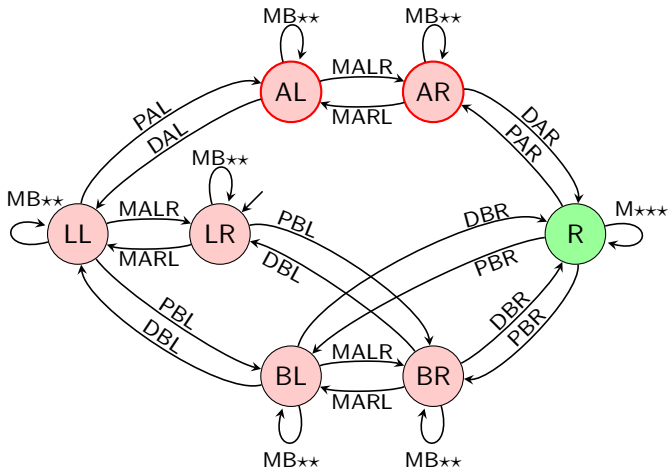
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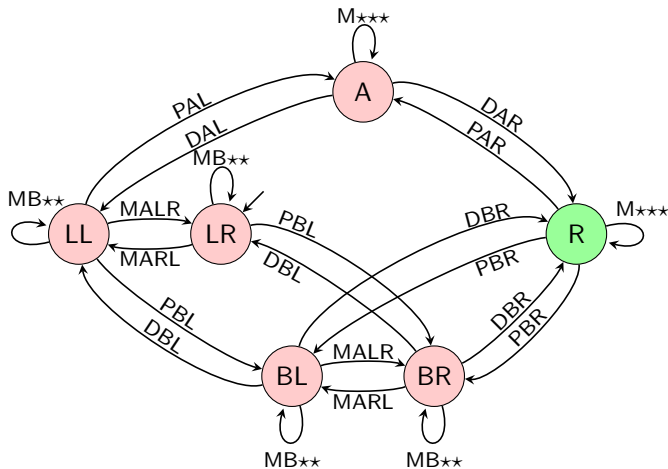
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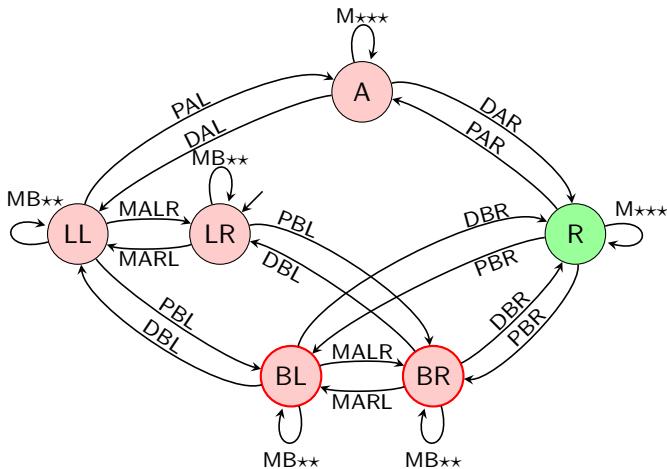
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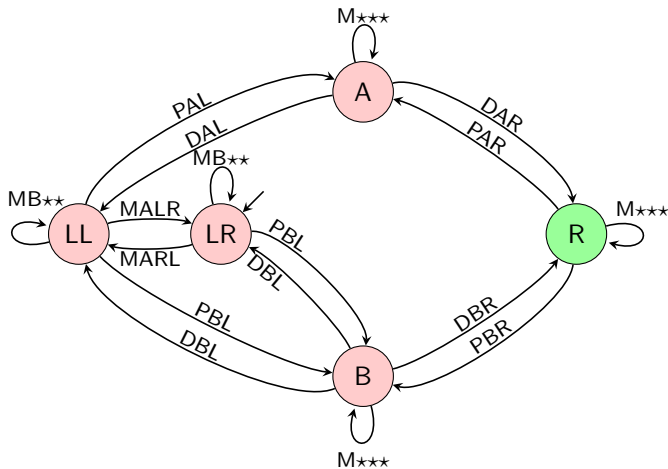
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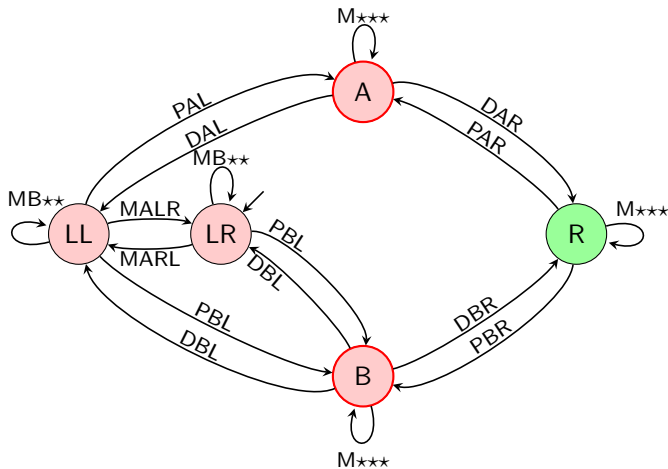
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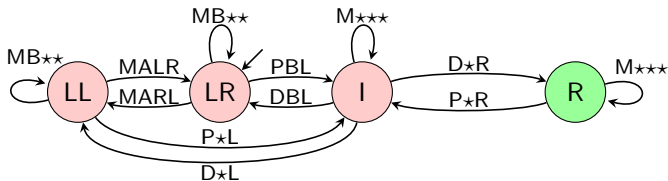
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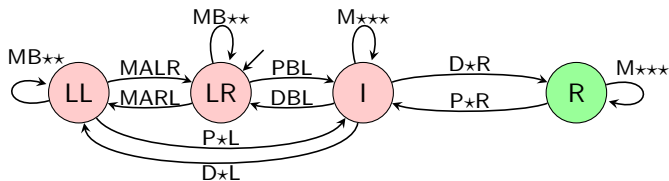
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First shrink step

$\mathcal{T}_2 := \text{some abstraction of } \mathcal{T}_1$



current collection: $\{\mathcal{T}_2, \mathcal{T}^{\pi\{\text{truck B}\}}\}$

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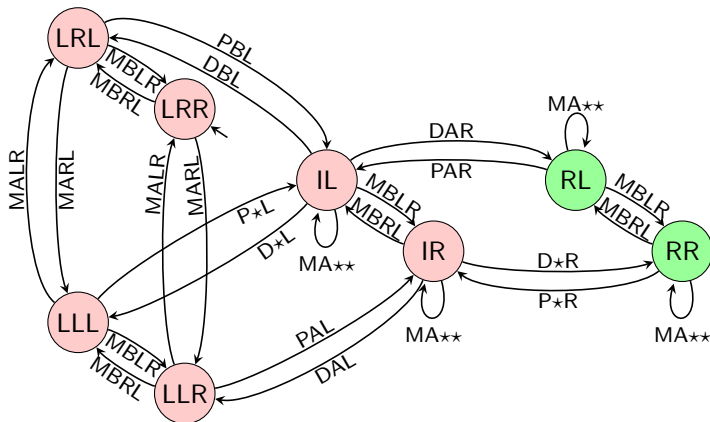
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Second merge step

$$\mathcal{T}_3 := \mathcal{T}_2 \otimes \mathcal{T}^{\pi\{\text{truck B}\}}:$$



current collection: $\{\mathcal{T}_3\}$

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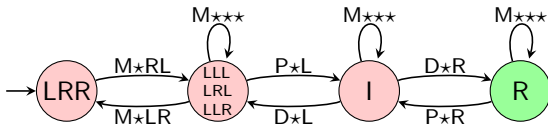
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Another shrink step?

- Normally we could stop now and use the distances in the final abstraction as our heuristic function.
- However, if there were further state variables to integrate, we would simplify further, e. g. leading to the following abstraction (again with four states):



- We get a heuristic value of 3 for the initial state, **better than any PDB heuristic** that is a proper abstraction.
- The example generalizes to more locations and trucks, even if we stick to the size limit of 4 (after merging).

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